

Document Type: Amendment Instrument

Document No.: 11-501

Subject: Amendment Instrument for *Fee Rule*

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Amendments to Local Rule 11-501 Fee Rule

- 1. Local Rule 11-501 *Fee Rule* is amended by this instrument.
- 2. The paragraph preceding section 1 is repealed and the following is substituted:

The following fees are payable to the New Brunswick Securities Commission:

- 3. Section 1 is amended
 - a. in paragraph a) by striking out ", renewal";
 - b. in paragraph b) by striking out "or renewal of registration".
- 4. Section 2 is amended in paragraphs a) to d) by striking out ", renewal" wherever it appears.
- 5. Section 4 is amended by repealing paragraph e).
- 6. Section 5 is amended by striking out "subsection 90(1) of the Act," and substituting "the regulations".
- 7. Section 8 is amended by adding "by a reporting issuer" after "filed".
- 8. Section 11 is amended
 - a. in paragraphs a) through h) by striking out "exemption" wherever it appears;
 - b. in paragraph d) by striking out "(c)".

- 9. Section 16 is amended by repealing paragraph a).
- 10. Section 24 is amended
 - a. In paragraph c) by striking out "paid to" and substituting "properly incurred by the Commission for";
 - b. In paragraph e) by striking out "paid" and substituting "properly incurred by the Commission".
- 11. Section 25 is amended
 - a. In paragraph d) by striking out "paid to" and substituting "properly incurred by the Commission for";
 - b. In paragraph f) by striking out "paid" and substituting "properly incurred by the Commission".
- 12. Section 26 is amended in paragraph a) by striking out "or renewal of registration".
- 13. This instrument repeals Blanket Order 11-503.
- 14. This instrument comes into force on 25 September 2007.