

CSA Staff Notice 13-321
Update on new service provider for the operation of the
CSA National Systems
and
implementation of
Related Consequential Amendments to CSA National Systems Rules

November 21, 2013

This notice provides an update on the transition of the operation of SEDAR, SEDI and NRD (the CSA National Systems) from CDS INC. to CGI Information Systems and Management Consultants Inc. (CGI) and the implementation of related amendments to:

- National Instrument 13-101 *System for Electronic Document Analysis and Retrieval (SEDAR)* (NI 13-101),
- National Instrument 31-102 *National Registration Database* (NI 31-102), and
- National Instrument 55-102 *System for Electronic Disclosure by Insiders (SEDI)* (NI 55-102),

(the Consequential Amendments).

On October 8, 2013, the Canadian Securities Administrators (CSA) announced that the implementation date of the change-over for hosting, operating and maintaining the CSA National Systems to CGI was delayed to December 2, 2013. To ensure a smooth transition for all market participants, the CSA is further deferring implementing this change. The CSA will publish a further notice to advise market participants of the new change-over date.

Until the change-over takes place, the CSA will delay implementing the Consequential Amendments. CSA members, other than the Ontario Securities Commission (OSC), have either amended the Consequential Amendments or intend to issue blanket orders to delay the effective date of the Consequential Amendments.

While the OSC cannot delay the effective date of the amendments, OSC staff request that, until further notice is given, Ontario market participants continue to treat CDS INC. as the SEDAR filing service contractor under NI 13-101, the SEDI operator under NI 55-102 and the NRD administrator under NI 31-102 and OSC Rule 31-509 *National Registration Database (Commodity Futures Act)*, as if the Consequential Amendments were not in force.

The system fees that are described in Multilateral Instrument 13-102 *System Fees for SEDAR and NRD* were implemented on October 12, 2013 as planned.

Questions

Please refer your questions to any of the following:

Autorité des marchés financiers
Mathieu Laberge
Legal Counsel
Legal Affairs
514-395-0337 ext.2537
1-877-525-0337 ext. 2537
mathieu.laberge@lautorite.qc.ca

Alberta Securities Commission
Samir Sabharwal
Associate General Counsel
403-297-7389
samir.sabharwal@asc.ca

British Columbia Securities Commission
David M. Thompson
General Counsel
604-899-6537
dthompson@bcsc.bc.ca

Manitoba Securities Commission
Chris Besko
Legal Counsel – Deputy Director
204-945-2561
Chris.Besko@gov.mb.ca

Ontario Securities Commission
Robert Galea
Legal Counsel
General Counsel's Office
416-593-2321
rgalea@osc.gov.on.ca