NOTICE OF RULE

MAKING OF AMENDMENTS TO:

MULTILATERAL INSTRUMENT 11-102 PASSPORT SYSTEM

NATIONAL INSTRUMENT 14-101 *DEFINITIONS*NATIONAL INSTRUMENT 21-101 *MARKETPLACE OPERATION*

NATIONAL INSTRUMENT 23-102 USE OF CLIENT BROKERAGE COMMISSIONS

NATIONAL INSTRUMENT 31-103 REGISTRATION REQUIREMENTS, EXEMPTIONS AND ONGOING REGISTRANT OBLIGATIONS AND ITS RELATED COMPANION POLICY

NATIONAL INSTRUMENT 55-104 INSIDER REPORTING REQUIREMENTS AND EXEMPTIONS

AND TO

FINANCIAL AND CONSUMER SERVICES COMMISSION (NEW BRUNSWICK) LOCAL RULE 31-502

SUPPLEMENTARY REGISTRATION REQUIREMENTS

On 6 December 2016, the Minister of Finance consented to the making of amendments to the above-mentioned instruments, which come into force on 1 February 2017.