

IN THE MATTER OF THE *SECURITIES ACT*,
S.N.B. 2004, c. S-5.5

AND IN THE MATTER OF

RICHARD A. SCOTT

(Respondent)

ORDER

WHEREAS on 16 February 2012, Staff of the New Brunswick Securities Commission filed a Motion concerning the Respondent, Richard A. Scott;

AND WHEREAS the Respondent consents to the issuance of this Order without admitting any fact and without prejudice to make a full defence in any future hearing or proceeding before the New Brunswick Securities Commission, including arguments concerning jurisdiction;

AND WHEREAS Staff of the New Brunswick Securities Commission continue to investigate the Respondent;

AND WHEREAS the Commission is of the opinion that it is in the public interest to make this Order;

NOW THEREFORE IT IS HEREBY ORDERED THAT:

1. Pursuant to section 184(1)(c)(ii) of the *Securities Act*, S.N.B. 2004, c. S-5.5, Richard A. Scott shall cease trading in all securities, directly or through any agent, until otherwise ordered by the New Brunswick Securities Commission, except that he may trade securities in and for his own account through a registered securities dealer.
2. Pursuant to section 184(1)(d) of the *Securities Act*, S.N.B. 2004, c. S-5.5, any exemptions under New Brunswick securities law do not apply to Richard A. Scott until otherwise ordered by the New Brunswick Securities Commission.
3. Pursuant to section 184(1)(i) of the *Securities Act*, S.N.B. 2004, c. S-5.5, Richard A. Scott is prohibited from becoming or acting as a director or officer of any issuer, registrant or mutual fund manager, until otherwise ordered by the New Brunswick Securities

Commission, except that he may act as officer and/or director of Scott Funeral Home Ltd., provided that the company is and remains a private issuer as described in National Instrument 45-106.

DATED at the municipality of Moncton and St. George, this 12TH day of April, 2012.

"original signed by"
Guy G. Couturier, Q.C. , Panel Chair

"original signed by"
Sheldon Lee, Panel Member

"original signed by"
Tracey DeWare, Panel Member

New Brunswick Securities Commission
Suite 300, 85 Charlotte Street
Saint John, New Brunswick E2L 2J2

Tel: 506-658-3060
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Staff of the New Brunswick Securities Commission consents to this Order.

Dated at the City of Saint John, New Brunswick, this 11th day of April, 2012.

"original signed by"
Mark McElman
Counsel to Staff of the Commission

The Respondent, Richard A. Scott, consents to this Order.

Dated at the City of Woodstock, New Brunswick, this 29th day of March, 2012.

"original signed by"
Peter E. Crocco, Q.C.
Counsel to the Respondent
Richard A. Scott