

# IN THE MATTER OF THE SECURITIES ACT S.N.B 2004, c. S-5.5 (the "Act")

### AND

### IN THE MATTER OF

## INVESTORS GROUP FINANCIAL SERVICES INC./SERVICES FINANCIERS GROUPE INVESTORS INC.

#### NOTICE OF HEARING

**TAKE NOTICE** that the New Brunswick Securities Commission ("the Commission") will hold a hearing pursuant to section 184 of the Securities Act at the offices of the Commission, Suite 606, 133 Prince William Street, Saint John, New Brunswick, commencing on **Thursday**, **the 19<sup>th</sup> day of January**, **2006 at 10 a.m.** or as soon thereafter as the hearing can be held.

**TO CONSIDER WHETHER,** in the opinion of the Commission it is in the public interest to make an order or orders, pursuant to subsections 185(1),185(2) and 186(1) of the Act:

- a. An order pursuant to subsection 185(1) of the Act that the respondent pay the costs of the Commission's investigation;
- b. An order pursuant to subsection 185(2) of the Act that the respondent pay the Commission's costs of this hearing;
- c. An order pursuant to subsection 186(1) of the Act that the respondent pay an administrative penalty; and
- d. And that it contains such further order as the Commission deems appropriate.

**BY REASON** of the allegations as set out in the attached Statement of Allegations made by Staff of the Commission dated the  $6^{th}$  day of January, 2006.

**AND TAKE FURTHER NOTICE THAT** any party to the proceedings may be represented by counsel at the hearing;

**AND TAKE FURTHER NOTICE THAT**, upon failure of any party to attend at the time and place aforesaid, the hearing may proceed in the absence of that party and such party is not entitled to any further notice of the proceeding.





### PLEASE BE ADVISED THAT:

- a) A party is entitled to issue documents and present evidence in the proceeding in English or French or both:
- b) Staff of the Commission intend to proceed in the English language; and
- c) A party must indicate the language in which they intend to proceed.

**DATED** at the City of Saint John, Province of New Brunswick this <sup>9</sup> day of ,2006.

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Secretary to the Commission

To: INVESTORS GROUP FINANCIAL SERVICES INC

David M.R. Cheop Vice-President, Corporate Compliance