



IN THE MATTER OF THE SECURITIES ACT OF  
NEW BRUNSWICK, BEING CHAPTER S-6, R.S.N.B. 1973

AND

IN THE MATTER OF ULYSSE KERRY  
("KERRY")

ORDER

(Section 12(1)(c)(v) and 12(1)(f) of the Act)

WHEREAS the Administrator initiated certain proceedings against Ulysse Kerry ("KERRY") by way of summons dated December 18, 1991 pursuant to subsection 21(1) of the Securities Act, 1973, Chapter S-6;

AND WHEREAS the ADMINISTRATOR heard evidence and submissions on behalf of the Deputy Administrator and Kerry on June 22, 1992;


AND UPON the Administrator being of the opinion that it is in the public interest to make this order;

IT IS ORDERED, pursuant to subparagraph 12(1)(c)(v) and paragraph 12(1)(f) of the Act, that:

1. KERRY's registration as a salesman is hereby suspended from June 22, 1992 to August 22, 1992, inclusive such that he shall not be registered in any capacity under the Act during this period.

2. KERRY pay the sum of \$2,000.00 to the Minister of Finance, this sum being the total amount to be recovered against KERRY pursuant to subsection 25(2) of the Act for costs and expenses incurred while carrying out these investigations and proceedings;
3. KERRY file within nine months of the date of this order proof that he has successfully completed an investment funds course sponsored by either the Investment Funds Institute of Canada, the Trust Companies Institute of Canada or the Institute of Canadian Bankers; and
4. Upon KERRY requesting reinstatement on or after August 23, 1992 and satisfying the Registrar that the above conditions have been met, except Condition 3, the Registrar shall so reinstate KERRY's registration.

DATED at the City of Saint John Province of New Brunswick, this 22th day of June, 1992.

  
DONNE W. SMITH/ JR.  
ADMINISTRATOR  
Securities Branch