#### REVISED NOTICE OF AMENDMENTS TO

## **NATIONAL INSTRUMENT 13-101** SYSTEM FOR ELECTRONIC DOCUMENT ANALYSIS AND RETRIEVAL (SEDAR)

AND TO

**NATIONAL INSTRUMENT 31-102** NATIONAL REGISTRATION DATABASE

AND TO

**NATIONAL INSTRUMENT 55-102** SYSTEM FOR ELECTRONIC DISCLOSURE BY INSIDERS (SEDI)

### 29 August 2013

The consequential amendments made to:

- National Instrument 13-101 System for Electronic Document Analysis and Retrieval (SEDAR),
- National Instrument 31-102 National Registration Database, and
- National Instrument 55-102 System for Electronic Disclosure by Insiders (SEDI),

as published in Chapter 5 of the OSC Bulletin, Volume 36, Issue 29 dated July 18, 2013 (36 OSCB 7228), contained errors. In fact, the SEDAR filing service contractor, SEDI operator and NRD administrator will change from CDS Inc. to the Alberta Securities Commission (the ASC). Upon completion of the transition of operation of SEDAR, SEDI and NRD from CDS Inc. to CGI Information Systems and Management Consultants Inc., the ASC will be the representative securities regulatory authority authorized to grant licenses and enter into agreements with users of SEDAR, SEDI and NRD on behalf of CSA members. The corrected versions of the amendments are being published in Chapter 5 of today's Bulletin.

# AMENDMENTS TO NATIONAL INSTRUMENT 13-101 SYSTEM FOR ELECTRONIC DOCUMENT ANALYSIS AND RETRIEVAL (SEDAR)

- 1. National Instrument 13-101 System for Electronic Document Analysis and Retrieval (SEDAR) is amended by this Instrument.
- 2. Section 1.1 is amended by, in the definition of "SEDAR filing service contractor", replacing "CDS INC." with "the Alberta Securities Commission".
- 3. This Instrument comes into force on October 12, 2013.

# AMENDMENTS TO NATIONAL INSTRUMENT 31-102 NATIONAL REGISTRATION DATABASE

- 1. National Instrument 31-102 National Registration Database is amended by this Instrument.
- 2. Section 1.1 is amended by, in the definition of "NRD administrator", replacing "CDS INC." with "the Alberta Securities Commission".
- 3. Paragraph 4.5(e) is amended by replacing "pays the following fees by submitting a cheque, payable to CDS INC. in Canadian funds, to the firm's principal regulator within 14 days of the date the payment is due" with "pays the following fees within 14 days of the date the payment is due by submitting a cheque, payable to the Ontario Securities Commission in Canadian currency, to CSA Service Desk, Attn: NRD Administrator, 12 Millennium Blvd, Suite 210, Moncton, NB E1C 0M3"."
- 4. This Instrument comes into force on October 12, 2013.

## AMENDMENTS TO NATIONAL INSTRUMENT 55-102 SYSTEM FOR ELECTRONIC DISCLOSURE BY INSIDERS (SEDI)

- 1. National Instrument 55-102 System for Electronic Disclosure by Insiders (SEDI) is amended by this Instrument.
- 2. Section 1.1 is amended by, in the definition of "SEDI operator", replacing "CDS INC." with "the Alberta Securities Commission".
- 3. Form 55-102F5 SEDI User Registration Form is amended by
  - (a) replacing the section titled "Delivery of Signed Copy to SEDI Operator" with the following:

## Delivery of Signed Copy to SEDI Operator

Before you may make a valid SEDI filing, you must deliver a manually signed paper copy of the completed user registration form to the SEDI operator for verification purposes. To satisfy this requirement, you may print a copy of the online user registration form once you have certified and submitted it. You must deliver a manually signed and dated copy of the completed user registration form via prepaid mail, personal delivery or facsimile to the SEDI operator at the following address or fax number, as applicable:

CSA Service Desk Attn: SEDI Operator 12 Millennium Blvd, Suite 210 Moncton, NB E1C 0M3

or at such other address(es) or fax number(s) as may be provided on the SEDI web site (www.sedi.ca).

(b) replacing the section titled "Questions" with the following:

#### **Questions**

Questions may be directed to the CSA Service Desk at 1-800-219-5381 or such other number as may be provided on the SEDI web site.

- (c) in the section titled "Notice Collection and Use of Personal Information",
  - (i) replacing "CDS INC. (the SEDI operator) is retained by CDS INC." with "the SEDI operator is retained by the SEDI operator"; and
  - (ii) replacing "the CDS SEDI Administrator" with "the SEDI operator";
- (d) replacing the first paragraph in the section titled "SEDI User Registration Form"

#### with the following:

Note: Before an individual registering as a SEDI user may make a valid SEDI filing, the registering individual must deliver a manually signed paper copy of the completed user registration form to the SEDI operator for verification purposes. The registering individual may print a copy of the online version using the "Print" function provided for this purpose in SEDI. The signed paper copy must be delivered by prepaid mail, personal delivery or facsimile to:

CSA Service Desk Attn: SEDI Operator 12 Millennium Blvd, Suite 210 Moncton, NB E1C 0M3

(e) replacing, in the section titled "SEDI User Registration Form", the portion titled "Section 3 – Certification of SEDI User" with the following:

#### **Section 3 Certification of SEDI User**

I certify that the foregoing information is true in all material respects. I agree to update the information submitted on this form in SEDI as soon as practicable following any material change in the information. I agree that an executed copy of Form 55-102F5, if delivered to the SEDI operator by facsimile, shall have the same effect as an originally executed copy delivered to the SEDI operator.

4. This Instrument comes into force on October 12, 2013.