



NOTICE 15-702 FROM THE OFFICE OF THE SECRETARY
PUBLIC ACCESS TO DOCUMENTS

4 January 2013

Originally issued on 6 June 2011 and updated on 4 January 2013 to reflect amendments to Local Rule 15-501 *Proceedings before a Panel of the Commission*.

Notice to parties in proceedings governed by the New Brunswick Securities Commission's Local Rule 15-501 *Proceedings before a Panel of the Commission* (LR 15-501).

This notice relates to the public access to documents in proceedings in accordance with Part 16 of LR 15-501. Part 16 provides that pleadings are available to the public upon being filed, and that all other documents are to remain confidential until the start of the hearing. Subsections 16(2) and 16(2.1) provides the procedure for a Panel to order that any document filed with the Secretary or received in evidence be kept confidential.

When the parties prepare documents that are intended to be filed with the Secretary or received in evidence, they are responsible for limiting the disclosure of personal and confidential information to what is necessary for the disposition of the proceeding.

Parties are encouraged to refrain from including "*personal information*", as defined in the *Right to Information and Protection of Privacy Act* S.N.B. Chap. R-10.6, in all documents that are intended to be filed with the Secretary or received in evidence. Specifically, Hearing Panels of the Commission direct parties to limit or refrain from including – where not necessary for the disposition of the proceeding – the *personal information* of non-parties in documents that are intended to be filed with the Secretary or received in evidence.

Hearing Panels of the Commission may, on their own initiative, direct the Secretary's Office to redact personal and confidential information from documents filed with the Secretary or simply exclude documents from the public record, as appropriate in the circumstances.

This notice is effective immediately.

"original signed by"

Manon Losier
Secretary to the Commission