

AMENDMENTS TO SPECIFIED INSTRUMENTS

- 1. National Instrument 23-102 Use of Client Brokerage Commissions, National Instrument 24-101 Institutional Trade Matching and Settlement, National Instrument 81-107 Independent Review Committee for Investment Funds, Multilateral Instrument 11-102 Passport System, and are amended by this Instrument.
- 2. The Instruments named in section 1 are amended by replacing "National Instrument 31-103 Registration Requirements" with "National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations" wherever it occurs.
- 3. Local Rule 31-502 Supplementary Registration Requirements and Local Rule 91-501 Derivatives are amended by this Instrument.
- 4. The Instruments named in section 3 are amended by replacing "National Instrument 31-103 Registration Requirements" with "National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations" wherever it occurs.
- 5. This Instrument comes into force on January 11, 2015.