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RULE 31-801 IMPLEMENTING

MULTILATERAL INSTRUMENT 31-102 *NATIONAL REGISTRATION DATABASE* And COMPANION POLICY 31-102 CP

PART 1 DEFINITIONS

In this Rule:

“MI 31-102” means Multilateral Instrument 31-102 - *National Registration Database* of the Canadian Securities Administrators, made effective February 3, 2003 and March 31, 2003.

“MI 33-109” means Multilateral Instrument 33-109 *Registration Information* of the Canadian Securities Administrators, made effective February 21, 2003.

PART 2 ADOPTION AS A RULE

Multilateral Instrument 31-102, as amended by this Instrument, is adopted as a Rule under the *Securities Act*.

PART 3 TRANSITION

3.1 Accuracy of Business Location

A transition firm as defined in section 7.1 of MI 31-102, is exempt from the requirement under section 7.4 of MI 31-102 to submit a completed Form 33-109F3 in the time required under section 7.4 provided that the completed Form 33-109F3 is submitted by March 31, 2006.

3.2. Changes to Form 4 Information – Registered Individuals

If a completed Form 33-109F5 is submitted by a registered individual under section 8.5 of MI 33-109 to notify the regulator about changes to the information found in a previously filed Form 4, the individual is exempt from the requirement to submit a completed Form

33-109F4 under section 7.7 of MI 31-102 and section 8.5 of MI 33-109 in the time required in section 7.7, provided that the completed Form 33-109F4 is submitted by March 31, 2006, and provided that the changes relate to information in Form 33-109F4 other than:

- (i) Item 1 Name;
- (ii) Item 2 Residential Address – where the change of residential address is a change to a residential address outside the province of New Brunswick;
- (iii) Item 14 Criminal Disclosure;
- (iv) Item 15 Civil Disclosure; and
- (v) Item 16 Financial Disclosure

3.3 Changes to Form 4 Information – Non-registered Individuals

If a completed Form 33-109F4 is submitted on behalf of a non-registered individual under section 8.7 of MI 33-109 to notify the regulator about changes to the information found in a previously filed Form 4, the non-registered individual's firm is exempt from the requirement to submit a completed Form 33-109 F4 under section 7.8 of MI 31-102 and section 8.7 of MI 33-109 provided that the completed Form 33-109F4 is submitted by March 31, 2006, and provided that the changes relate to information in Form 33-109F4 other than:

- (i) Item 1 Name
- (ii) Item 2 Residential Address – where the change of residential address is a change to a residential address outside the province of New Brunswick;
- (iii) Item 14 Criminal Disclosure;
- (iv) Item 15 Civil Disclosure; and
- (v) Item 16 Financial Disclosure

3.4 Pending Application to Change Individual's Registration Category

A registered individual is exempt from the requirement under section 7.9(1)(a) of MI 31-102 to submit a completed Form 33-109F4 in NRD format in the time required under section 7.9(1)(a) provided that the completed Form 33-109F4 is submitted by March 31, 2006.

PART 4 EFFECTIVE DATE

4.1 This Instrument comes into effect on 10 May 2005.