



NOTICE OF RULE

**MAKING OF
NATIONAL INSTRUMENT 31-103 – *REGISTRATION REQUIREMENTS AND EXEMPTIONS* (NI 31-103) AND
COMPANION POLICY 31-103CP; AND**

THE FOLLOWING CONSEQUENTIAL AMENDMENTS:

NATIONAL INSTRUMENT 14-101 *DEFINITIONS* (NI 14-101);

NATIONAL INSTRUMENT 24-101 *INSTITUTIONAL TRADE MATCHING AND SETTLEMENT* (NI 24-101);

NATIONAL INSTRUMENT 33-105 *UNDERWRITING CONFLICTS* (NI 33-105);

NATIONAL INSTRUMENT 81-102 *MUTUAL FUNDS* (NI 81-102);

NATIONAL INSTRUMENT 81-104 *COMMODITY POOLS* (NI 81-104);

NATIONAL INSTRUMENT 81-105 *MUTUAL FUND SALES PRACTICES* (NI 81-105);

**NATIONAL INSTRUMENT 81-107 *INDEPENDENT REVIEW COMMITTEE FOR INVESTMENT FUNDS* (NI 81-107);
AND**

THE REPEAL OF THE FOLLOWING INSTRUMENTS:

MULTILATERAL INSTRUMENT 11-101 *PRINCIPAL REGULATOR* (MI 11-101);

NATIONAL INSTRUMENT 33-102 *REGULATION OF CERTAIN REGISTRANT ACTIVITIES* (NI 33-102).

On 25 September 2009, the Minister of Justice and Consumer Affairs consented to the making of NI 31-103 and 31-103CP which come into force on 28 September 2009:

- NI 31-103 and 31-103CP.

On 25 September 2009, the Minister of Justice and Consumer Affairs also consented to the making of the following consequential amendments arising from the amendments to NI 31-103, which come into force on 28 September 2009:

- NI 14-101;
- NI 24-101;
- NI 33-105;
- NI 81-102;
- NI 81-105; and
- NI 81-107.

On 25 September 2009, the Minister of Justice and Consumer Affairs also consented to the making of the repeal of the following instruments arising from the amendments to NI 31-103, which come into force on 28 September 2009:

- MI 11-101; and
- NI 33-102.