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**Subject:** Amendment Instrument for *Registration Requirements* 

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## Amendments to Local Rule 31-501 Registration Requirements

- 1. Local Rule 31-501 *Registration Requirements,* as amended by Amendment Instrument for *Registration Requirements* effective date 15 May 2007, is amended by this instrument.
- 2. The table of contents is amended:
  - (a) by striking out "PART 15: RENEWAL, SUSPENSION AND TERMINATION OF REGISTRATION" and substituting "PART 15: SUSPENSION AND TERMINATION OF REGISTRATION";
  - (b) by striking out "15.1 Renewal of registration for a registered firm" and substituting "15.1 Repealed";
  - (c) by striking out "15.2 Renewal of registration for individuals" and substituting "15.2 Repealed";
  - (d) by striking out "15.3 Suspension on lapse of registration or renewal of registration" and substituting "15.3 Suspension on lapse of registration".
- 3. Subsection 2.1(1) is amended by striking out "33-109 Registration Information Requirements" and substituting "33-109 Registration Information".
- 4. Subsection 2.2(1) is amended by striking out "or renewal of registration".
- 5. Subsection 4.3(3) is amended by repealing paragraph (a) and substituting the following:
  - (a) has been registered as a salesperson, officer (trading), partner (trading) or compliance officer for a minimum of two years, and

- 6. Section 4.4(1) is amended by striking out "council" and substituting "counsel".
- 7. Section 9.5 is amended by adding "." after "9.4";
- 8. Subsection 9.6(4) is amended by striking out "sub branch" and substituting "sub-branch".
- 9. The heading "RENEWAL, SUSPENSION AND TERMINATION OF REGISTRATION" preceding Part 15 is repealed and the following is substituted:

## SUSPENSION AND TERMINATION OF REGISTRATION

- 10. The heading "Renewal of registration for a registered firm" preceding section 15.1 is repealed.
- 11. Section 15.1 is repealed.
- 12. The heading "Renewal of registration for individuals" preceding section 15.2 is repealed.
- 13. Section 15.2 is repealed.
- 14. The heading "Suspension on lapse of registration or renewal of registration" preceding section 15.3 is repealed and the following is substituted:
  - Suspension on lapse of registration
- 15. Subsection 15.3(1) is amended by striking out "and renewal of registration".
- 16. Subsection 15.3(2) is amended by striking out "or renewal of registration" wherever it appears.
- 17. Subsection 16.1(5) is amended by striking out "canceling" and substituting "cancelling".
- 18. This instrument comes into force on 25 September 2007.