



Document Type: Amendment Instrument
Document N°: 33-105CP
Subject: Amendment Instrument to Companion Policy 33-105CP
Underwriting Conflicts
Publication Date: 28 September 2009
Effective Date: 28 September 2009

Amendments to Companion Policy 33-105CP Underwriting Conflicts

1. *Companion Policy 33-105CP Underwriting Conflicts is amended by this Instrument.*
2. *In the following provisions of the Companion Policy “registrant” is struck out wherever it occurs and “specified firm registrant” is substituted:*
 - a. *section 2.1,*
 - b. *section 2.2,*
 - c. *section 2.4,*
 - d. *section 4.1,*
 - e. *section 4.2,*
 - f. *section 4.3,*
 - g. *section 5.1, and*
 - h. *section 6.1.*
3. *Appendix A-1 is amended by striking out “registrant” wherever it occurs and substituting “specified firm registrant”.*
4. *Appendix A-2 is amended by striking out “registrant” wherever it occurs and substituting “specified firm registrant”.*
5. *Appendix A-3 is amended by striking out “registrant” wherever it occurs and substituting “specified firm registrant”.*

6. *Appendix A-4 is amended by striking out “registrant” wherever it occurs and substituting “specified firm registrant”.*
7. *This Instrument comes into force on the day National Instrument 31-103 Registration Requirements and Exemptions comes into force.*