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**Document N° :** 33-501  
**Subject:** Calls to Residences by Registrants-Cold Calling  
**Amendments:**  
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The New Brunswick Securities Commission, having considered that to do so would not be prejudicial to the public interest, makes Order 33-501 (NB) entitled "*Calls to Residence by Registrants-Cold Calling*" as set out in the attached Schedule.

**DATED** at Saint John, New Brunswick this 22<sup>nd</sup> day of July, 2004.

Donne W. Smith  
Chair

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**ORDER 33-501 (NB)**  
**CALLS TO RESIDENCES BY REGISTRANTS - COLD CALLING**

Order under Subsection 57(5) of the *Securities Act*

**PART I EXEMPTION ORDER**

- 1.1 The restrictions on a person attending at or telephoning to any residence, as set out in subsection 57(2) of the Act, do not apply to a dealer that is registered in New Brunswick or to an individual registered to trade securities on behalf of a registered dealer.
- 1.2 Notwithstanding paragraph 1.1, the Executive Director may by order suspend, cancel, restrict or impose conditions upon the right of any registrant or class of registrants named or described in the order to:
- a) call at any residence; or
  - b) telephone from within New Brunswick to any residence within or outside New Brunswick
- for the purpose of trading in any security or class of securities.

**PART 2 OPPORTUNITY TO BE HEARD**

The Executive Director shall not make an order under paragraph 1.2 without giving the person or class of persons an opportunity to be heard.