

Reporting Issuer List / Liste des Émetteurs Assujettis

Every effort is made to ensure the accuracy of this list. A reporting issuer that does not appear on this list or that has inappropriately been noted in default should contact the Financial and Consumer Services Commission (New Brunswick) promptly.

Categories of default

The circumstances under which the securities regulatory authorities will consider a reporting issuer to be in default are:

1. The reporting issuer has failed to file the following continuous disclosure prescribed by securities laws:

- (a) annual financial statements;
- (b) interim financial statements;
- (c) annual or interim management's discussion and analysis (MD&A) or annual or interim management report of fund performance (MRFP);
- (d) annual information form (AIF);
- (e) certification of annual or interim filings under Multilateral Instrument 52-109 Certification of Disclosure in Issuers' Annual and Interim Filings (MI 52-109);
- (f) proxy materials or a required information circular;
- (g) issuer profile supplement on the System for Electronic Disclosure By Insiders (SEDI);
- (h) material change report;
- (i) written update as required after filing a confidential report of a material change;
- (j) business acquisition report;
- (k) annual oil and gas disclosure prescribed by National Instrument 51-101 Standards of Disclosure for Oil and Gas Activities (NI 51-101) or technical reports for a mineral project required under National Instrument 43-101 Standards of Disclosure for Mineral Projects (NI 43-101);
- (l) mandatory news release;
- (m) corporate governance disclosure as required by National Instrument 58-101 Disclosure of Corporate Governance Practices;
- (n) audit committee disclosure as required by Multilateral Instrument 52-110 Audit Committees or BC Instrument 52-509 Audit Committees; or
- (o) disclosure in an issuer's MD&A relating to disclosure controls and procedures and their effectiveness that is referred to in a certificate filed under MI 52-109.

2. The reporting issuer's continuous disclosure is deficient because:

- (a) the financial statements of the reporting issuer, or the auditor's report accompanying the financial statements, do not comply with the requirements of National Instrument 51-102 Continuous Disclosure Obligations (NI 51-102), National Instrument 81-106 Investment Fund Continuous Disclosure (NI 81-106) or National Instrument 52-107 Acceptable Accounting Principles, Auditing Standards and Reporting Currency;
- (b) the reporting issuer has acknowledged that its financial statements, or the auditor's report accompanying the financial statements, may no longer be relied upon;
- (c) the reporting issuer's AIF, MD&A, MRFP, information circular or business acquisition reports do not contain information for each of the content items required by NI 51-102 or NI 81-106; or
- (d) the reporting issuer's technical disclosure or other reports do not comply with the disclosure requirements of NI 43-101 or NI 51-101.

3. The reporting issuer has failed to pay a fee required by New Brunswick securities laws.

4. The reporting issuer has failed to comply with any other requirement related to continuous disclosure.

Tout effort est exercé afin d'assurer l'exactitude de cette liste. Un émetteur assujetti qui n'apparaît pas sur cette liste ou qui est inscrit par erreur en défaut devrait contacter la Commission des services financiers et des services aux consommateurs (Nouveau-Brunswick) dans les plus brefs délais.

Catégories de manquements

Les circonstances dans lesquelles les autorités en valeurs mobilières considèrent qu'un émetteur assujetti est en défaut sont les suivantes :

1. Omission de déposer l'un ou l'autre des documents d'information continue suivants prévus par la législation en valeurs mobilières:
 - (a) les états financiers annuels;
 - (b) les états financiers intermédiaires;
 - (c) un rapport de gestion annuel ou intermédiaire, ou un rapport annuel ou intermédiaire de la direction sur le rendement du fonds;
 - (d) la notice annuelle;
 - (e) l'attestation des documents annuels ou intermédiaires déposés conformément à la Règle 52-109 sur l'attestation de l'information présentée dans les documents annuels et intermédiaires des émetteurs (la « Règle 52-109 »);
 - (f) les documents reliés aux procurations ou la circulaire de sollicitation de procurations requise;
 - (g) le supplément de profil d'émetteur déposé au moyen du Système électronique de déclaration des initiés (SEDI);
 - (h) une déclaration de changement important;
 - (i) une mise à jour écrite après le dépôt d'une déclaration de changement important confidentielle;
 - (j) une déclaration d'acquisition d'entreprise;
 - (k) l'information annuelle concernant le pétrole et le gaz prévue par la Règle 51-101 sur l'information concernant les activités pétrolières et gazières (la « Règle 51-101 ») ou des rapports techniques sur un projet minier prévu par la Règle 43-101 sur l'information concernant les projets miniers (la « Règle 43-101 »);
 - (l) un communiqué prévu par la législation en valeurs mobilières;
 - (m) l'information relative à la gouvernance prévue par la Règle 58-101 sur l'information concernant les pratiques en matière de gouvernance;
 - (n) l'information sur le comité de vérification prévue par la Règle 52-110 sur le comité de vérification ou le BC Instrument 52-509 Audit Committees;
 - (o) l'information relative aux contrôles et procédures visés dans une attestation déposée en vertu de la Règle 52-109, ainsi que l'information sur leur efficacité, dans le rapport de gestion de l'émetteur.
2. Lacune dans des documents d'information continue pour l'une des raisons suivantes:
 - (a) les états financiers de l'émetteur assujetti ou le rapport de vérification s'y rapportant ne sont pas conformes aux obligations prévues par la Règle 51-102 sur les obligations d'information continue (la « Règle 51-102 »), la Règle 81-106 sur l'information continue des fonds d'investissement (la « Règle 81-106 ») ou la Règle 52-107 sur les principes comptables, normes de vérification et monnaies de présentation acceptables;
 - (b) l'émetteur assujetti a reconnu que ses états financiers ou le rapport de vérification s'y rapportant ne sont plus fiables;
 - (c) la notice annuelle, le rapport de gestion, le rapport de la direction sur le rendement du fonds, la circulaire de sollicitation de procurations ou la déclaration d'acquisition d'entreprise de l'émetteur assujetti ne présente pas l'information exigée à chacune des rubriques des annexes pertinentes de la Règle 51-102 ou de la Règle 81-106;
 - (d) le rapport technique ou d'autres rapports de l'émetteur assujetti ne respectent pas les obligations d'information prévues par la Règle 43-101 ou la Règle 51-101.
3. Omission de payer des droits exigibles en vertu de la législation en valeurs mobilières du Nouveau-Brunswick.
4. Omission de se conformer à toute autre obligation en matière d'information continue.

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
@rgentum Canadian Equity Portfolio	QC	1(a), 1(b), 1(c), 1(d)	
@rgentum Canadian L/S Equity Portfolio	QC	1(a), 1(b), 1(c), 1(d)	
@rgentum Canadian Performance Portfolio	QC	1(a), 1(b), 1(c), 1(d)	
@rgentum Discovery Portfolio	QC	1(a), 1(b), 1(c), 1(d)	
@rgentum Income Porfolio	QC	1(a), 1(b), 1(c), 1(d)	
@rgentum International Master Portfolio	QC	1(a), 1(b), 1(c), 1(d)	
@rgentum Short Term Asset Portfolio	QC	1(a), 1(b), 1(c), 1(d)	
@rgentum U.S. Market Neutral Portfolio	QC	1(a), 1(b), 1(c), 1(d)	
@rgentum U.S. Master Portfolio	QC	1(a), 1(b), 1(c), 1(d)	
01 Communique Laboratory Inc.	ON		
1608557 Ontario Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
1832 AM Investment Grade Canadian Corporate Bond Pool	ON		
1832 AM U.S. \$ Investment Grade U.S. Corporate Bond Pool (formerly 1832 AM Investment Grade U.S. Corporate Bond Pool)	ON		
1911 Gold Corporation	ON		
2242749 Ontario Limited (formerly Armtec Infrastructure Inc.)	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
360networks inc.	BC	1(a), 1(b), 1(c), 1(d), 1(e), 1(g)	
407 International Inc.	ON		
4504020 Canada Inc. (formerly Railpower Technologies Corp.)	QC	1(a), 1(b), 1(c), 1(d), 1(e)	
48North Cannabis Corp.	ON		
49 North Resources Inc.	SK		
5N Plus Inc.	QC		
9342-8530 Québec Inc. (formerly DiagnoCure Inc.)	QC	1(a), 1(b), 1(c), 1(e)	
A&W Revenue Royalties Income Fund	BC		
Abacus Health Products, Inc.	ON		
Abacus Mining & Exploration Corporation	BC		
Aberdeen Asia-Pacific Income Investment Company Limited	ON		
Acadian Core International Equity Fund	ON		
Acadian Timber Corp.	NB		
Acasta Enterprises Inc.	ON		
Accelerate Absolute Return Hedge Fund	AB		
Accelerate Arbitrage Fund	AB		
Accelerate Enhanced Canadian Benchmark Alternative Fund	AB		
Accelerate Market Neutral Yield Fund	AB		
Accelerate Multi-Strategy Alternative Allocation Fund	AB		

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Accelerate Private Equity Alpha Fund	AB		
Accord Financial Corp.	ON		
ACE Aviation Holdings Inc.	QC		
Acerus Pharmaceuticals Corporation	ON		
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	ON		
Action Energy Inc.	AB	1(a), 1(b), 1(c), 1(e)	
ACTIVEnergy Income Fund	AB		
AcuityAds Holdings Inc.	ON		
Adaltis Inc.	QC	1(a), 1(b), 1(c), 1(d), 1(e)	
Adex Mining Inc.	ON		
ADF Group Inc.	QC		
Advanced Education Savings Plan	ON		
Advanced Folio Fund	ON		
Advanced Micro Devices, Inc.	ON		
Advantage Oil & Gas Ltd.	AB		
ADVANZ PHARMA Corp. Limited	ON		
Aecon Group Inc.	ON		
AEterna Zentaris Inc.	ON		
AEX Gold Inc.	ON		
AFM Hospitality Corporation	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
African Copper PLC	ON	1(a), 1(b), 1(c), 1(e)	
Ag Growth International Inc.	MB		
AGF American Growth Class	ON		
AGF American Growth Fund	ON		
AGF Canadian Growth Equity Class	ON		
AGF Canadian Large Cap Dividend Class	ON		
AGF Canadian Large Cap Dividend Fund	ON		
AGF Canadian Money Market Fund	ON		
AGF Canadian Small Cap Fund	ON		
AGF China Focus Class	ON		
AGF Diversified Income Class	ON		
AGF Diversified Income Fund (formerly, Acuity Diversified Income Fund)	ON		
AGF Elements Balanced Portfolio	ON		
AGF Elements Balanced Portfolio Class	ON		

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AGF Elements Conservative Portfolio	ON		
AGF Elements Conservative Portfolio Class	ON		
AGF Elements Global Portfolio	ON		
AGF Elements Global Portfolio Class	ON		
AGF Elements Growth Portfolio	ON		
AGF Elements Growth Portfolio Class	ON		
AGF Elements Yield Portfolio	ON		
AGF Elements Yield Portfolio Class	ON		
AGF Emerging Markets Bond Fund	ON		
AGF Emerging Markets Class	ON		
AGF Emerging Markets Fund	ON		
AGF Equity Income Fund (formerly, AGF Equity Income Focus Fund)	ON		
AGF European Equity Class	ON		
AGF European Equity Fund	ON		
AGF Fixed Income Plus Class	ON		
AGF Fixed Income Plus Fund (formerly, Acuity Fixed Income Fund)	ON		
AGF Floating Rate Income Fund	ON		
AGF Global Convertible Bond Fund	ON		
AGF Global Dividend Class	ON		
AGF Global Dividend Fund	ON		
AGF Global Equity Class	ON		
AGF Global Equity Fund	ON		
AGF Global Real Assets Class (formerly, AGF Global Resources Class)	ON		
AGF Global Real Assets Fund (formerly, AGF Precious Metals Fund)	ON		
AGF Global Select Fund (formerly, AGF Aggressive Global Stock Fund)	ON		
AGF Global Strategic Balanced Fund (formerly, AGF Global Balanced Fund)	ON		
AGF Global Sustainable Growth Equity Fund (formerly, AGF Clean Environment Equity Fund)	ON		
AGF High Yield Bond Fund (formerly, AGF Canadian High Yield Bond Fund)	ON		
AGF Management Limited	ON		
AGF Short-Term Income Class	ON		
AGF Strategic Income Fund (formerly, AGF Canadian Asset Allocation Fund)	ON		

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AGF Tactical Fund	ON		
AGF Total Return Bond Class	ON		
AGF Total Return Bond Fund (formerly, AGF Global High Yield Bond Fund)	ON		
AGF U.S. Small-Mid Cap Fund (formerly, AGF Aggressive U.S. Growth Fund)	ON		
AGFiQ Canadian Equity ETF (formerly, AGFiQ Enhanced Core Canadian Equity ETF)	ON		
AGFiQ Dividend Income Fund (formerly, AGF Dividend Income Fund)	ON		
AGFiQ Emerging Markets Equity ETF (formerly, AGFiQ Enhanced Core Emerging Markets Equity ETF)	ON		
AGFiQ Global Balanced ETF Portfolio (formerly, AGFiQ MultiAsset Allocation ETF)	ON		
AGFiQ Global Equity ETF Portfolio (formerly, AGFiQ Global Equity Rotation ETF)	ON		
AGFiQ Global ESG Factors ETF (formerly, AGFiQ Enhanced Global ESG Factors ETF)	ON		
AGFiQ Global Income ETF Portfolio (formerly, AGFiQ MultiAsset Income Allocation ETF)	ON		
AGFiQ Global Infrastructure ETF (formerly, AGFiQ Enhanced Global Infrastructure ETF)	ON		
AGFiQ Global Multi-Sector Bond ETF (formerly, AGFiQ Enhanced Core Global Multi-Sector Bond ETF)	ON		
AGFiQ International Equity ETF (formerly, AGFiQ Enhanced Core International Equity ETF)	ON		
AGFiQ U.S. Sector Class (formerly, AGF U.S. Sector Class)	ON		
AGFiQ US Equity ETF (formerly, AGFiQ Enhanced Core US Equity ETF)	ON		
AGFiQ US Long/Short Dividend Income CAD-Hedged ETF	ON		
AGFiQ US Long/Short Dividend Income CAD-Hedged Fund	ON		
AGFiQ US Market Neutral Anti-Beta CAD-Hedged ETF	ON		
Aggressive Folio Fund	ON		
AgJunction Inc. (formerly Hemisphere GPS Inc.)	AB		
Agnico Eagle Mines Limited	ON		
AIM4 Ventures Inc.	ON		
Aimia Inc.	QC		
Air Canada	QC		
Akita Drilling Ltd.	AB	1(a), 1(b), 1(c), 1(d), 1(e)	
Akumin Inc.	ON		
Alacer Gold Corp.	ON		
Alamos Gold Inc.	ON		

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Alaris Royalty Corp.	AB		
Alcanna Inc. (formerly Liquor Stores N.A. Ltd.)	AB		
Aleafia Health Inc. (formerly Canabo Medical Inc.)	ON		
ALGO Group Inc.	QC	1(a), 1(b), 1(c), 1(d), 1(e)	
Algold Resources Ltd.	QC		
Algoma Central Corporation	ON		
Algonquin Fixed Income 2.0 Fund	ON		
Algonquin Power & Utilities Corp.	ON		
Alimentation Couche-Tard Inc.	QC		
Alio Gold Inc.	BC		
All Equity Fund	ON		
All in West! Capital Corporation	MB	1(a), 1(b), 1(c), 1(e)	
Allbanc Split Corp. II	ON		
Allegiant Gold Ltd.	BC		
Alliance Pipeline Limited Partnership	AB		
Allied Properties Real Estate Investment Trust	ON		
AlphaDelta Canadian Growth of Dividend Income Class	BC		
AlphaDelta Canadian Momentum Equity Class (formerly, AlphaDelta Canadian Focused Equity Class)	BC		
AlphaDelta Growth of Dividend Income Class	BC		
AlphaDelta Tactical Growth Class	BC		
ALSTOM	BC		
AltaGas Ltd.	AB		
AltaLink, L.P.	AB		
Altius Minerals Corporation	ON		
Altus Group Limited	ON		
Alvopetro Energy Ltd.	AB		
American Hotel Income Properties REIT LP	BC		
American Natural Energy Corporation	BC	1(a), 1(b), 1(c), 1(e)	
Americas Gold and Silver Corporation	ON		
Anaconda Mining Inc.	ON		
ANB Canada Inc. (formerly Griffin Skye Corporation)	ON		
Andlauer Healthcare Group Inc.	ON		
Aphria Inc. (formerly, Black Sparrow Capital Corp.)	ON		
Appili Therapeutics Inc.	NS		
Aptose Biosciences Inc.	ON		

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Arbutus Biopharma Corporation	BC		
ARC Resources Ltd.	AB		
Arctic Glacier Income Fund	MB	1(a), 1(b), 1(c), 1(e)	
Ares Strategic Mining Inc.	BC		
Argent Energy Trust	AB	1(a), 1(b), 1(c), 1(d), 1(e)	
Argonaut Gold Inc.	ON		
Argosy Energy Inc.	AB	1(a), 1(b), 1(c), 1(d), 1(e)	
ARISE Technologies Corporation	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Aristotle - IG U.S. Small Cap Equity Pool	MB		
Aritzia Inc.	BC		
Arrow Canadian Advantage Alternative Class (formerly, Exemplar Canadian Focus Portfolio)	ON		
Arrow Global Advantage Alternative Class	ON		
Artis Real Estate Investment Trust	MB		
Ascalade Communications Inc.	BC	1(a), 1(b), 1(c), 1(d), 1(e)	
Ascendant Resources Inc.	ON		
Asian Mineral Resources Limited	BC		
Atalaya Mining plc	ON		
ATBIS Canadian Equity Pool	AB		
ATBIS Fixed Income Pool	AB		
ATBIS International Equity Pool	AB		
ATBIS U.S. Equity Pool	AB		
ATCO LTD.	AB		
Athabasca Oil Corporation	AB		
Atlantic Power Corporation	ON		
Atlantic Power Limited Partnership	ON		
Atlantic Power Preferred Equity Ltd.	ON		
Atlantic Systems Group Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e), 1(g)	
Aton Resources Inc.	BC		
Atrium Mortgage Investment Corporation	ON		
ATS Automation Tooling Systems Inc.	ON		
Aura Minerals Inc.	ON		
Aurinia Pharmaceuticals Inc.	BC		
Aurora Cannabis Inc.	AB		
Austral Gold Limited	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Australian REIT Income Fund	ON		
Australis Capital Inc.	BC		
AutoCanada Inc.	AB		
Automotive Finco Corp. (formerly, Augyva Mining Resources Inc.)	ON		
Automotive Properties Real Estate Investment Trust	ON		
Auxly Cannabis Group Inc.	ON		
Avalon Advanced Materials Inc.	ON		
Avcorp Industries Inc.	BC		
AVINO SILVER & GOLD MINES LTD.	BC		
Axiom All Equity Portfolio	ON		
Axiom Balanced Growth Portfolio	ON		
Axiom Balanced Income Portfolio	ON		
Axiom Canadian Growth Portfolio	ON		
Axiom Diversified Monthly Income Portfolio	ON		
Axiom Foreign Growth Portfolio	ON		
Axiom Global Growth Portfolio	ON		
Axiom Long-Term Growth Portfolio	ON		
Aylen Capital Inc.	ON		
Ayr Strategies Inc. (formerly, Cannabis Strategies Acquisition Corp.)	ON		
Azure Dynamics Corporation	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
B.E.S.T. Total Return Fund Inc. (formerly RoyNat Canadian Diversified Fund Inc.)	ON		
B2Gold Corp.	BC		
BAC Canada Finance Company	ON		
Badger Daylighting Ltd.	AB		
Balanced 60/40 Fund	ON		
Balanced Folio Fund	ON		
Balanced Fund	ON		
Balanced Income Portfolio	ON		
Balanced Monthly Income Fund	ON		
Ballard Power Systems Inc.	BC		
Bank of America Corporation	ON		
Bank of Montreal	ON		
Bank of Nova Scotia, The	ON		
Barclays Bank PLC	ON		

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Barometer Disciplined Leadership Balanced Fund	ON		
Barometer Disciplined Leadership Equity Fund	ON		
Barometer Disciplined Leadership Tactical Income Growth Fund	ON		
Barrantagh Small Cap Canadian Equity Fund	ON		
Barrick Gold Corporation	ON		
Battery Technologies Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e), 1(g)	
Bausch Health Companies Inc. (formerly, Valeant Pharmaceuticals International, Inc.)	QC		
Baylin Technologies Inc.	ON	1(b), 1(c), 1(e)	
Baytex Energy Corp.	AB		
BCE Inc.	QC		
Bear Creek Mining Corporation	BC		
Belgravia Hartford Capital Inc. (formerly Belgravia Capital International Inc.)	BC		
Bell Canada	QC		
Bellatrix Exploration Ltd.	AB		
BELLUS Health Inc.	QC		
Belo Sun Mining Corp.	ON		
Bengal Energy Ltd.	AB		
Bespoke Capital Acquisition Corp.	ON		
Beutel Goodman American Equity Fund	ON		
Beutel Goodman Balanced Fund	ON		
Beutel Goodman Canadian Dividend Fund	ON		
Beutel Goodman Canadian Equity Fund	ON		
Beutel Goodman Core Plus Bond Fund (formerly, Beutel Goodman Corporate/Provincial Active Bond Fund)	ON		
Beutel Goodman Fundamental Canadian Equity Fund	ON		
Beutel Goodman Global Dividend Fund	ON		
Beutel Goodman Global Equity Fund	ON		
Beutel Goodman Income Fund	ON		
Beutel Goodman International Equity Fund	ON		
Beutel Goodman Long Term Bond Fund	ON		
Beutel Goodman Money Market Fund	ON		
Beutel Goodman North American Focused Equity Fund	ON		
Beutel Goodman Short Term Bond Fund	ON		
Beutel Goodman Small Cap Fund	ON		

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Beutel Goodman Total World Equity Fund	ON		
Beutel Goodman World Focus Equity Fund	ON		
Big Pharma Split Corp.	ON		
Big Rock Brewery Inc.	AB		
Big Sky Petroleum Corporation (formerly Fox Resources Ltd.)	BC		
BioExx Specialty Proteins Ltd.	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
BIP Investment Corporation	ON		
Birchcliff Energy Ltd.	AB		
Bird Construction Inc.	ON		
Black Creek Global Balanced Corporate Class	ON		
Black Creek Global Balanced Fund	ON		
Black Creek Global Leaders Corporate Class	ON		
Black Creek Global Leaders Fund	ON		
Black Creek International Equity Corporate Class	ON		
Black Creek International Equity Fund	ON		
Black Diamond Group Limited	AB		
Black Iron Inc.	ON		
BlackBerry Limited (formerly Research In Motion Limited)	ON		
BlackRock - IG Active Allocation Pool I	MB		
BlackRock - IG Active Allocation Pool II	MB		
BlackRock - IG Active Allocation Pool III	MB		
BlackRock - IG International Equity Pool	MB		
BlackRock All Bond Portfolio	ON		
BlackRock Balanced Portfolio	ON		
BlackRock Conservative Portfolio	ON		
BlackRock Defensive Portfolio	ON		
BlackRock Diversified Monthly Income Portfolio	ON		
BlackRock Growth Portfolio	ON		
BlackRock MaxGrowth Portfolio	ON		
Blockchain Technologies ETF	ON		
BlockchainK2 Corp. (formerly Africa Hydrocarbons Inc.)	AB		
Bloom Canada Dividend Fund	ON		
Bloom Select Income Fund	ON		
Bloom U.S. Income & Growth Fund (formerly Bloom U.S. Advantaged Income & Growth Fund)	ON		
Blue Note Mining Inc.	QC	1(a), 1(b), 1(c), 1(e)	

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Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	ON		
BlueBay \$U.S. Global Convertible Bond Class (Canada)	ON		
BlueBay \$U.S. Global Convertible Bond Fund (Canada)	ON		
BlueBay Emerging Markets Bond Fund (Canada)	ON		
BlueBay Emerging Markets Corporate Bond Fund	ON		
BlueBay Emerging Markets Local Currency Bond Fund (Canada)	ON		
BlueBay European High Yield Bond Fund (Canada)	ON		
BlueBay Global Convertible Bond Class (Canada)	ON		
BlueBay Global Convertible Bond Fund (Canada)	ON		
BlueBay Global Investment Grade Corporate Bond Fund (Canada)	ON		
BlueBay Global Monthly Income Bond Fund	ON		
BlueBay Global Sovereign Bond Fund (Canada)	ON		
Bluestone Resources Inc.	BC		
BMG BullionFund	ON		
BMG Gold BullionFund	ON		
BMG Silver BullionFund	ON		
BMO Aggregate Bond Index ETF	ON		
BMO Ascent Balanced Portfolio	ON		
BMO Ascent Conservative Portfolio	ON		
BMO Ascent Equity Growth Portfolio	ON		
BMO Ascent Growth Portfolio	ON		
BMO Ascent Income Portfolio	ON		
BMO Asian Growth and Income Class	ON		
BMO Asian Growth and Income Fund	ON		
BMO Asset Allocation Fund	ON		
BMO Balanced ESG ETF	ON		
BMO Balanced ETF	ON		
BMO Balanced ETF Portfolio	ON		
BMO Balanced ETF Portfolio Class	ON		
BMO BBB Corporate Bond Index ETF	ON		
BMO Bond Fund	ON		
BMO Canadian Dividend ETF	ON		
BMO Canadian Equity Class	ON		
BMO Canadian Equity ETF Fund	ON		
BMO Canadian Equity Fund	ON		

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BMO Canadian High Dividend Covered Call ETF	ON		
BMO Canadian Large Cap Equity Fund	ON		
BMO Canadian MBS Index ETF	ON		
BMO Canadian Small Cap Equity Fund	ON		
BMO Canadian Stock Selection Fund	ON		
BMO China Equity Index ETF (formerly, BMO China Equity Hedged to CAD Index ETF)	ON		
BMO Concentrated Global Balanced Fund (formerly, BMO Global Diversified Fund)	ON		
BMO Concentrated Global Equity Fund	ON		
BMO Concentrated U.S. Equity Fund	ON		
BMO Conservative ETF	ON		
BMO Conservative ETF Portfolio	ON		
BMO Core Bond Fund	ON		
BMO Core Plus Bond Fund	ON		
BMO Corporate Bond Index ETF	ON		
BMO Covered Call Canada High Dividend ETF Fund	ON		
BMO Covered Call Canadian Banks ETF	ON		
BMO Covered Call Canadian Banks ETF Fund	ON		
BMO Covered Call Dow Jones Industrial Average Hedged to CAD ETF	ON		
BMO Covered Call Europe High Dividend ETF Fund	ON		
BMO Covered Call U.S. High Dividend ETF Fund	ON		
BMO Covered Call US Banks ETF	ON		
BMO Covered Call Utilities ETF	ON		
BMO Crossover Bond Fund	ON		
BMO Discount Bond Index ETF	ON		
BMO Diversified Income Portfolio	ON		
BMO Dividend Class	ON		
BMO Dividend Fund	ON		
BMO Dow Jones Industrial Average Hedged to CAD Index ETF	ON		
BMO Emerging Markets Bond Fund	ON		
BMO Emerging Markets Bond Hedged to CAD Index ETF	ON		
BMO Emerging Markets Fund	ON		
BMO Enterprise Fund (formerly BMO Guardian Enterprise Fund)	ON		
BMO Equal Weight Banks Index ETF (previously, BMO S&P/TSX Equal Weight Banks Index ETF)	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
BMO Equal Weight Global Base Metals Hedged to CAD Index ETF (prev, BMO S&P/TSX Equal Weight Global Base Metals Hedged)	ON		
BMO Equal Weight Global Gold Index ETF (previously, BMO S&P/TSX Equal Weight Global Gold Index ETF)	ON		
BMO Equal Weight Industrials Index ETF (previously, BMO S&P/TSX Equal Weight Industrials Index ETF)	ON		
BMO Equal Weight Oil & Gas Index ETF (previously, BMO S&P/TSX Equal Weight Oil & Gas Index ETF)	ON		
BMO Equal Weight REITs Index ETF	ON		
BMO Equal Weight US Banks Hedged to CAD Index ETF	ON		
BMO Equal Weight US Banks Index ETF	ON		
BMO Equal Weight US Health Care Hedged to CAD Index ETF	ON		
BMO Equal Weight US Health Care Index ETF	ON		
BMO Equal Weight Utilities Index ETF	ON		
BMO Equity Growth ETF Portfolio	ON		
BMO Equity Growth ETF Portfolio Class	ON		
BMO ESG Corporate Bond Index ETF	ON		
BMO ESG US Corporate Bond Hedged to CAD Index ETF	ON		
BMO Europe High Dividend Covered Call ETF	ON		
BMO Europe High Dividend Covered Call Hedged to CAD ETF	ON		
BMO European Fund	ON		
BMO Fixed Income ETF Portfolio	ON		
BMO Floating Rate High Yield ETF	ON		
BMO Floating Rate Income Fund	ON		
BMO FundSelect Balanced Portfolio	ON		
BMO FundSelect Equity Growth Portfolio	ON		
BMO FundSelect Growth Portfolio	ON		
BMO FundSelect Income Portfolio	ON		
BMO Global Balanced Fund	ON		
BMO Global Communications Index ETF	ON		
BMO Global Consumer Discretionary Hedged to CAD Index ETF	ON		
BMO Global Consumer Staples Hedged to CAD Index ETF	ON		
BMO Global Dividend Class	ON		
BMO Global Dividend Fund	ON		
BMO Global Energy Class	ON		
BMO Global Equity Class	ON		
BMO Global Equity Fund	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
BMO Global Growth & Income Fund	ON		
BMO Global High Dividend Covered Call ETF	ON		
BMO Global Infrastructure Fund	ON		
BMO Global Infrastructure Index ETF	ON		
BMO Global Low Volatility ETF Class	ON		
BMO Global Monthly Income Fund	ON		
BMO Global Multi-Sector Bond Fund	ON		
BMO Global Small Cap Fund	ON		
BMO Global Strategic Bond Fund	ON		
BMO Global Water Solutions TACTIC Fund	ON		
BMO Government Bond Index ETF	ON		
BMO Greater China Class	ON		
BMO Growth & Income Fund	ON		
BMO Growth ETF	ON		
BMO Growth ETF Portfolio	ON		
BMO Growth ETF Portfolio Class	ON		
BMO Growth Opportunities Fund	ON		
BMO High Quality Corporate Bond Index ETF	ON		
BMO High Yield US Corporate Bond Hedged to CAD Index ETF	ON		
BMO High Yield US Corporate Bond Index ETF	ON		
BMO Income ETF Portfolio	ON		
BMO Income ETF Portfolio Class	ON		
BMO India Equity Index ETF (formerly, BMO India Equity Hedged to CAD Index ETF)	ON		
BMO International Dividend ETF	ON		
BMO International Dividend Hedged to CAD ETF	ON		
BMO International Equity ETF Fund	ON		
BMO International Equity Fund	ON		
BMO International Value Class	ON		
BMO International Value Fund	ON		
BMO Japan Fund	ON		
BMO Junior Gas Index ETF	ON		
BMO Junior Gold Index ETF	ON		
BMO Junior Oil Index ETF	ON		
BMO Laddered Preferred Share Index ETF (formerly BMO S&P/TSX Laddered Preferred Share Index ETF)	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
BMO LifeStage Plus 2020 Fund	ON		
BMO LifeStage Plus 2022 Fund	ON		
BMO LifeStage Plus 2025 Fund	ON		
BMO LifeStage Plus 2026 Fund	ON		
BMO LifeStage Plus 2030 Fund	ON		
BMO Long Corporate Bond Index ETF	ON		
BMO Long Federal Bond Index ETF	ON		
BMO Long Provincial Bond Index ETF	ON		
BMO Long-Term US Treasury Bond Index ETF	ON		
BMO Low Volatility Canadian Equity ETF	ON		
BMO Low Volatility Canadian Equity ETF Fund	ON		
BMO Low Volatility Emerging Markets Equity ETF	ON		
BMO Low Volatility International Equity ETF	ON		
BMO Low Volatility International Equity Hedged to CAD ETF	ON		
BMO Low Volatility U.S. Equity ETF Fund	ON		
BMO Low Volatility US Equity ETF	ON		
BMO Low Volatility US Equity Hedged to CAD ETF	ON		
BMO Mid Corporate Bond Index ETF	ON		
BMO Mid Federal Bond Index ETF	ON		
BMO Mid Provincial Bond Index ETF	ON		
BMO Mid-Term US IG Corporate Bond Hedged to CAD Index ETF	ON		
BMO Mid-Term US IG Corporate Bond Index ETF	ON		
BMO Mid-Term US Treasury Bond Index ETF	ON		
BMO Money Market Fund	ON		
BMO Monthly Dividend Fund Ltd.	ON		
BMO Monthly High Income Fund II	ON		
BMO Monthly Income ETF	ON		
BMO Monthly Income Fund	ON		
BMO Mortgage and Short-Term Income Fund	ON		
BMO MSCI All Country World High Quality Index ETF	ON		
BMO MSCI Canada ESG Leaders Index ETF	ON		
BMO MSCI Canada Value Index ETF	ON		
BMO MSCI EAFE ESG Leaders Index ETF	ON		
BMO MSCI EAFE Hedged to CAD Index ETF (formerly, BMO International Equity Hedged to CAD Index ETF)	ON		
BMO MSCI EAFE Index ETF	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
BMO MSCI EAFE Value Index ETF	ON		
BMO MSCI Emerging Markets Index ETF (formerly, BMO Emerging Markets Equity Index ETF)	ON		
BMO MSCI Europe High Quality Hedged to CAD Index ETF	ON		
BMO MSCI Global ESG Leaders Index ETF	ON		
BMO MSCI USA ESG Leaders Index ETF	ON		
BMO MSCI USA High Quality Index ETF	ON		
BMO MSCI USA Value Index ETF	ON		
BMO Multi-Factor Equity Fund	ON		
BMO Nasdaq 100 Equity Hedged to CAD Index ETF	ON		
BMO Nasdaq 100 Equity Index ETF	ON		
BMO North American Dividend Fund	ON		
BMO PineBridge Preferred Securities TACTIC Fund	ON		
BMO Precious Metals Fund	ON		
BMO Preferred Share Fund	ON		
BMO Premium Yield ETF	ON		
BMO Principle Balanced Portfolio	ON		
BMO Principle Conservative Portfolio	ON		
BMO Principle Growth Portfolio	ON		
BMO Principle Income Portfolio	ON		
BMO Private Canadian Core Equity Portfolio (formerly, BMO Private Canadian Conservative Equity Portfolio)	ON		
BMO Private Canadian Corporate Bond Portfolio	ON		
BMO Private Canadian Income Equity Portfolio	ON		
BMO Private Canadian Mid-Term Bond Portfolio	ON		
BMO Private Canadian Money Market Portfolio	ON		
BMO Private Canadian Short-Term Bond Portfolio	ON		
BMO Private Canadian Special Equity Portfolio	ON		
BMO Private Diversified Yield Portfolio	ON		
BMO Private Emerging Markets Equity Portfolio	ON		
BMO Private International Equity Portfolio	ON		
BMO Private U.S. Equity Portfolio	ON		
BMO Private U.S. Growth Equity Portfolio	ON		
BMO Private U.S. Special Equity Portfolio	ON		
BMO Real Return Bond Index ETF	ON		
BMO Resource Fund	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
BMO Retirement Balanced Portfolio	ON		
BMO Retirement Conservative Portfolio	ON		
BMO Retirement Income Portfolio	ON		
BMO Risk Reduction Equity Fund	ON		
BMO Risk Reduction Fixed Income Fund	ON		
BMO S&P 500 Hedged to CAD Index ETF (formerly, BMO US Equity Hedged to CAD Index ETF)	ON		
BMO S&P 500 Index ETF	ON		
BMO S&P US Mid Cap Index ETF	ON		
BMO S&P US Small Cap Index ETF	ON		
BMO S&P/TSX Capped Composite Index ETF (formerly, BMO Dow Jones Canada Titans 60 Index ETF)	ON		
BMO SelectClass Balanced Portfolio	ON		
BMO SelectClass Equity Growth Portfolio	ON		
BMO SelectClass Growth Portfolio	ON		
BMO SelectClass Income Portfolio	ON		
BMO SelectTrust Balanced Portfolio	ON		
BMO SelectTrust Conservative Portfolio	ON		
BMO SelectTrust Equity Growth Portfolio	ON		
BMO SelectTrust Fixed Income Portfolio	ON		
BMO SelectTrust Growth Portfolio	ON		
BMO SelectTrust Income Portfolio	ON		
BMO Short Corporate Bond Index ETF	ON		
BMO Short Federal Bond Index ETF	ON		
BMO Short Provincial Bond Index ETF	ON		
BMO Short-Term Bond Index ETF	ON		
BMO Short-Term US IG Corporate Bond Hedged to CAD Index ETF	ON		
BMO Short-Term US Treasury Bond Index ETF	ON		
BMO SIA Focused Canadian Equity Fund	ON		
BMO SIA Focused North American Equity Fund	ON		
BMO Sustainable Opportunities Canadian Equity Fund	ON		
BMO Sustainable Opportunities Global Equity Fund	ON		
BMO Tactical Balanced ETF Fund	ON		
BMO Tactical Dividend ETF Fund	ON		
BMO Tactical Global Asset Allocation ETF Fund	ON		
BMO Tactical Global Bond ETF Fund	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
BMO Tactical Global Equity ETF Fund	ON		
BMO Tactical Global Growth ETF Fund	ON		
BMO Target Education 2020 Portfolio	ON		
BMO Target Education 2025 Portfolio	ON		
BMO Target Education 2030 Portfolio	ON		
BMO Target Education 2035 Portfolio	ON		
BMO Target Education 2040 Portfolio	ON		
BMO Target Education Income Portfolio	ON		
BMO U.S. Dividend Fund	ON		
BMO U.S. Dollar Balanced Fund	ON		
BMO U.S. Dollar Dividend Fund	ON		
BMO U.S. Dollar Equity Index Fund	ON		
BMO U.S. Dollar Money Market Fund	ON		
BMO U.S. Dollar Monthly Income Fund	ON		
BMO U.S. Equity Class	ON		
BMO U.S. Equity ETF Fund	ON		
BMO U.S. Equity Fund	ON		
BMO U.S. Equity Plus Fund	ON		
BMO U.S. High Yield Bond Fund	ON		
BMO U.S. Small Cap Fund	ON		
BMO Ultra Short-Term Bond ETF (formerly, BMO 2013 Corporate Bond Target Maturity ETF)	ON		
BMO Ultra Short-Term US Bond ETF	ON		
BMO US Dividend ETF	ON		
BMO US Dividend Hedged to CAD ETF	ON		
BMO US High Dividend Covered Call ETF	ON		
BMO US High Dividend Covered Call Hedged to CAD ETF	ON		
BMO US Preferred Share Hedged to CAD Index ETF	ON		
BMO US Preferred Share Index ETF	ON		
BMO US Put Write ETF	ON		
BMO US Put Write Hedged to CAD ETF	ON		
BMO USD Balanced ETF Portfolio	ON		
BMO USD Conservative ETF Portfolio	ON		
BMO USD Income ETF Portfolio	ON		
BMO Women in Leadership Fund	ON		
BMO World Bond Fund	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
BNS Split Corp. II	ON		
Boardwalk Real Estate Investment Trust	AB		
Bombardier Inc.	QC		
Bonavista Energy Corporation	AB		
Bonterra Energy Corp.	AB		
Boralex Inc.	QC		
Border Petroleum Limited (formerly Border Petroleum Corp.)	AB	1(a), 1(b), 1(c), 1(e)	
Borealis Infrastructure Trust	ON		
Boston Pizza Royalties Income Fund	BC		
Boyd Group Services Inc.	MB		
Boyuan Construction Group, Inc.	ON		
BQE Water Inc.	BC		
Bracknell Corporation	ON	1(a), 1(b), 1(c), 1(d), 1(e), 1(g)	
BRAMPTON BRICK LIMITED	ON		
Brand Leaders Income Fund	ON		
Brandes Canadian Equity Fund	ON		
Brandes Canadian Money Market Fund	ON		
Brandes Corporate Focus Bond Fund	ON		
Brandes Emerging Markets Value Fund	ON		
Brandes Global Equity Fund	ON		
Brandes Global Opportunities Fund	ON		
Brandes Global Small Cap Equity Fund	ON		
Brandes International Equity Fund	ON		
Brandes U.S. Equity Fund	ON		
Bri-Chem Corp.	AB		
Bridgehouse Canadian Bond Fund (formerly, Greystone Canadian Bond Fund)	ON		
Bridgemarq Real Estate Services Inc. (Formerly Brookfield Real Estate Services Inc.)	ON		
Brighter Minds Media Inc.	ON	1(a), 1(b), 1(c), 1(e)	
Briko Energy Corp.	AB		
Bristol Gate Concentrated Canadian Equity ETF	ON		
Bristol Gate Concentrated US Equity ETF	ON		
British Columbia Ferry Services Inc.	BC		
Brixton Metals Corporation	BC		
Brompton European Dividend Growth ETF	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Brompton Flaherty & Crumrine Investment Grade Preferred ETF	ON		
Brompton Global Dividend Growth ETF	ON		
Brompton Global Healthcare Income & Growth ETF	ON		
Brompton Global Real Assets Dividend ETF	ON		
Brompton Lifeco Split Corp.	ON		
Brompton North American Financials Dividend ETF	ON		
Brompton North American Low Volatility Dividend ETF	ON		
Brompton Oil Split Corp.	ON		
Brompton Split Banc Corp.	ON		
Brompton Tech Leaders Income ETF	ON		
Brookfield Asset Management Inc.	ON		
Brookfield Business Partners L.P.	ON		
Brookfield Finance Inc.	ON		
Brookfield Finance LLC	ON		
Brookfield Global Infrastructure Securities Income Fund	ON		
Brookfield Infrastructure Corporation	ON		
Brookfield Infrastructure Finance Limited	ON		
Brookfield Infrastructure Finance LLC	ON		
Brookfield Infrastructure Finance Pty Ltd	ON		
Brookfield Infrastructure Finance ULC	ON		
Brookfield Infrastructure Partners Exchange LP	ON		
Brookfield Infrastructure Partners L.P.	ON		
Brookfield Infrastructure Preferred Equity Inc.	ON		
Brookfield Investments Corporation (Formerly Brascade Corporation)	ON		
Brookfield Office Properties Inc.	ON		
Brookfield Property Finance ULC	ON		
Brookfield Property Partners L.P.	ON		
Brookfield Property Preferred Equity Inc.	ON		
Brookfield Property Split Corp.	ON		
Brookfield Renewable Partners L.P.	ON		
Brookfield Renewable Partners ULC	ON		
Brookfield Renewable Power Preferred Equity Inc.	ON		
Brookfield Select Opportunities Income Fund	ON		
BRP Inc.	QC		
BSR Real Estate Investment Trust	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
BTB Real Estate Investment Trust	QC		
Buffalo Coal Corp. (formerly Forbes & Manhattan Coal Corp.)	ON		
BURCON NUTRASCIENCE CORPORATION	BC		
CAE Inc.	QC		
Caldwell Balanced Fund	ON		
Caldwell Canadian Value Momentum Fund	ON		
Caldwell U.S. Dividend Advantage Fund	ON		
Calfrac Well Services Ltd.	AB		
Calian Group Ltd.	ON		
Callitas Health Inc.	BC	1(a), 1(b), 1(c), 1(d), 1(e)	
Calmena Energy Services Inc.	AB	1(a), 1(b), 1(c), 1(d), 1(e)	
Calyx Ventures Inc.	BC		
Cambridge Asset Allocation Corporate Class	ON		
Cambridge Asset Allocation Fund	ON		
Cambridge Bond Fund	ON		
Cambridge Canadian Dividend Corporate Class	ON		
Cambridge Canadian Dividend Fund	ON		
Cambridge Canadian Equity Corporate Class	ON		
Cambridge Canadian Equity Fund	ON		
Cambridge Canadian Long-Term Bond Pool	ON		
Cambridge Canadian Short-Term Bond Pool	ON		
Cambridge Global Dividend Class (formerly Sentry Global Growth and Income Class)	ON		
Cambridge Global Dividend Corporate Class	ON		
Cambridge Global Dividend Fund	ON		
Cambridge Global Equity Corporate Class	ON		
Cambridge Global Equity Fund	ON		
Cambridge Global High Income Fund	ON		
Cambridge Global Smaller Companies Fund (formerly Cambridge Growth Companies Fund)	ON		
Cambridge Monthly Income Corporate Class	ON		
Cambridge Monthly Income Fund	ON		
Cambridge Pure Canadian Equity Corporate Class	ON		
Cambridge Pure Canadian Equity Fund	ON		
Cambridge Put Write Pool (formerly Cambridge Balanced Yield Pool)	ON		
Cambridge Stock Selection Fund	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Cambridge U.S. Dividend Fund	ON		
Cambridge U.S. Dividend Registered Fund	ON		
Cambridge U.S. Dividend US\$ Fund	ON		
Cameco Corporation	SK		
Campbell Resources Inc.	QC	1(a), 1(b), 1(c), 1(d), 1(e)	
Canaccord Genuity Group Inc.	BC		
Canaccord Genuity Growth II Corp.	ON		
Canacol Energy Ltd.	AB		
Canada 3000 Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e), 1(g)	
Canada Goose Holdings Inc.	ON		
Canada Jetlines Ltd.	BC		
Canada Life Canadian Dividend Fund (Laketon)	ON		
Canada Life Canadian Equity Fund (Beutel Goodman)	ON		
Canada Life Canadian Low Volatility Fund (London Capital)	ON		
Canada Life Canadian Value Fund (FGP)	ON		
Canada Life Capital Trust	ON		
Canada Life North American Specialty Fund	ON		
Canada Life Pathways Canadian Concentrated Equity Fund	ON		
Canada Life Pathways Canadian Equity Fund	ON		
Canada Life Pathways Core Bond Fund	ON		
Canada Life Pathways Core Plus Bond Fund	ON		
Canada Life Pathways Emerging Markets Equity Fund	ON		
Canada Life Pathways Emerging Markets Large Cap Equity Fund	ON		
Canada Life Pathways Global Core Plus Bond Fund	ON		
Canada Life Pathways Global Multi Sector Bond Fund	ON		
Canada Life Pathways Global Tactical Fund	ON		
Canada Life Pathways International Concentrated Equity Fund	ON		
Canada Life Pathways International Equity Fund	ON		
Canada Life Pathways Money Market Fund	ON		
Canada Life Pathways U.S. Concentrated Equity Fund	ON		
Canada Life Pathways U.S. Equity Fund	ON		
Canadian Apartment Properties Real Estate Investment Trust	ON		
Canadian Banc Corp.	ON		
Canadian Credit Card Trust II	QC		
Canadian Diversified Resource Investment Listed Liquidity Fund	ON	1(a), 1(b), 1(c), 1(d)	

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Canadian Dividend Class (Laketon)	ON		
Canadian Dollar Cash Management Fund	ON		
Canadian Equity Alpha Corporate Class	ON		
Canadian Equity Alpha Pool	ON		
Canadian Equity Class (Laketon)	ON		
Canadian Equity Fund	ON		
Canadian Equity Fund (Laketon)	ON		
Canadian Equity Growth Corporate Class	ON		
Canadian Equity Growth Pool	ON		
Canadian Equity Small Cap Corporate Class	ON		
Canadian Equity Small Cap Pool	ON		
Canadian Equity Value Corporate Class	ON		
Canadian Equity Value Pool	ON		
Canadian Fixed Income Corporate Class	ON		
Canadian Fixed Income Fund	ON		
Canadian Fixed Income Pool	ON		
Canadian General Investments, Limited	ON		
Canadian Growth Class (GWLIM)	ON		
Canadian Growth Fund (GWLIM)	ON		
Canadian High Income Equity Fund	ON		
Canadian Imperial Bank of Commerce	ON		
Canadian Investment Grade Preferred Share Fund	ON		
Canadian Life Companies Split Corp.	ON		
Canadian Low Volatility Class (London Capital)	ON		
Canadian National Railway Company	QC		
Canadian Natural Resources Limited	AB		
Canadian Overseas Petroleum Limited	AB		
Canadian Pacific Railway Company	AB		
Canadian Pacific Railway Limited	AB		
Canadian Scholarship Trust Family Savings Plan	ON		
Canadian Scholarship Trust Founders' Plan	ON		
Canadian Scholarship Trust Group Savings Plan	ON		
Canadian Scholarship Trust Individual Savings Plan	ON		
Canadian Small Company Equity Fund	ON		
Canadian Tire Corporation, Limited	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Canadian Utilities & Telecom Income Fund	ON		
Canadian Utilities Limited	AB		
Canadian Value Class (FGP)	ON		
Canadian Western Bank	AB		
Candente Gold Corp.	BC		
Canfor Corporation	BC		
Canfor Pulp Products Inc.	BC		
Canlan Ice Sports Corp.	BC		
Cannabis Growth Opportunity Corporation	ON		
CannTrust Holdings Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Canoe Asset Allocation Class (formerly, Canoe Canadian Asset Allocation Class)	AB		
Canoe Bond Advantage Class	AB		
Canoe Bond Advantage Fund	AB		
Canoe Canadian Small Mid Cap Class	AB		
Canoe Credit Opportunities Class (formerly, Canoe Strategic High Yield Class)	AB		
Canoe Credit Opportunities Fund (formerly, Canoe Strategic High Yield Fund)	AB		
Canoe Defensive Global Balanced Fund	AB		
Canoe Defensive Global Equity Fund (formerly Fiera Capital Defensive Global Equity Fund)	AB		
Canoe Defensive International Equity Fund (formerly, Canoe International Equity Fund)	AB		
Canoe Defensive U.S. Equity Class (formerly, Canoe U.S. Equity Income Class)	AB		
Canoe EIT Income Fund	AB		
Canoe Energy Class	AB		
Canoe Energy Income Class	AB		
Canoe Enhanced Income Class	AB		
Canoe Enhanced Income Fund	AB		
Canoe Equity Class	AB		
Canoe Global All Cap Class (formerly, Canoe Global Equity Income Class)	AB		
Canoe Global Equity Fund (formerly Fiera Capital Global Equity Fund)	AB		
Canoe Global Income Class	AB		
Canoe Global Income Fund	AB		
Canoe North American Monthly Income Class	AB		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Canoe Premium Income Fund	AB		
Canoe Trust Fund	AB		
Canopy Growth Corporation	ON		
Canopy Rivers Inc.	ON		
Canso Credit Income Fund	ON		
Canso Select Opportunities Corporation	ON		
CANSORTIUM INC.	ON		
CanWel Building Materials Group Ltd.	BC		
Capital Desjardins Inc.	QC		
Capital DGMC Inc.	QC	1(a), 1(b), 1(c), 1(e)	
Capital Group Canadian Core Plus Fixed Income Fund (Canada)	ON		
Capital Group Canadian Focused Equity Fund (Canada)	ON		
Capital Group Capital Income Builder (Canada)	ON		
Capital Group Emerging Markets Total Opportunities Fund (Canada)	ON		
Capital Group Global Balanced Fund (Canada)	ON		
Capital Group Global Equity Fund (Canada)	ON		
Capital Group International Equity Fund (Canada)	ON		
Capital Group Monthly Income Portfolio (Canada)	ON		
Capital Group U.S. Equity Fund (Canada)	ON		
Capital Group World Bond Fund (Canada)	ON		
Capital Power Corporation	AB		
Capstone Infrastructure Corporation	ON		
Capstone Mining Corp.	BC		
Carbiz Inc.	AB	1(a), 1(b), 1(c), 1(e)	
Cardinal Energy Ltd.	AB		
Cardinal Resources Limited	ON		
Cardiol Therapeutics Inc.	ON		
CARDS II Trust	ON		
Cargojet Inc.	ON		
Caribbean Utilities Company, Ltd.	ON		
Carmanah Resources Ltd	AB	1(a), 1(b), 1(c), 1(e), 1(g)	
CARS and PARS Programme	ON		
Cascades Inc.	QC		
Cash Management Class	ON		
Cash Management Pool	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
CatchMark Timber Trust, Inc.	ON		
Caterpillar Financial Services Limited	ON		
Cathay Forest Products Corp.	ON	1(a), 1(b), 1(c), 1(e)	
Cathedral Energy Services Ltd.	AB		
CC&L Alternative Canadian Equity Fund	ON		
CC&L Alternative Global Equity Fund	ON		
CC&L Alternative Income Fund	ON		
CC&L Core Income and Growth Fund	ON		
CC&L Diversified Income Portfolio	BC		
CC&L Equity Income and Growth Fund	ON		
CC&L Global Alpha Fund	ON		
CC&L High Yield Bond Fund	ON		
CCL Industries Inc.	ON		
Celestica Inc.	ON		
Celtic Tiger Minerals Exploration Inc.	NS	1(a), 1(b), 1(c), 1(e)	
Cenovus Energy Inc.	AB		
Centamin plc	ON		
Centaurus Energy Inc. (formerly Madalena Energy Inc.)	AB		
Centerra Gold Inc.	ON		
Centric Health Corporation (formerly Alegro Health Corp.)	ON		
Century Metals Inc.	ON		
Cequence Energy Ltd.	AB		
Ceres Acquisition Corp.	ON		
Ceres Global Ag Corp.	ON		
Ceridian HCM Holding Inc.	ON		
Cervus Equipment Corporation	AB		
CES Energy Solutions Corp.	AB		
CGI Inc.	QC		
CGX Energy Inc.	ON		
Champion Iron Limited	ON		
Charlotte Resources Ltd.	BC	1(a), 1(b), 1(c), 1(e)	
Charlotte's Web Holdings, Inc. (formerly Stanley Brothers Holdings Inc.)	ON		
Chartwell Retirement Residences	ON		
Chemistree Technology Inc. (Formerly Whattozee Networks Inc.)	BC		
Chemokine Therapeutics Corp.	BC	1(a), 1(b), 1(c), 1(d), 1(e)	

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Chemtrade Logistics Income Fund	ON		
Chesswood Group Limited	ON		
Cheyenne Energy Inc.	AB	1(a), 1(b), 1(c), 1(e)	
China AMC - IG China Equity Pool	MB		
CHIP Mortgage Trust	ON		
Choice Properties Real Estate Investment Trust	ON		
Chorus Aviation Inc.	NS		
Chorus II 100 Equity Growth Portfolio	QC		
Chorus II Aggressive Growth Portfolio (formerly Chorus II Dynamic Growth Portfolio)	QC		
Chorus II Balanced Low Volatility Portfolio (formerly Chorus II Balanced Growth Portfolio)	QC		
Chorus II Conservative Low Volatility Portfolio (formerly Chorus II Conservative Portfolio)	QC		
Chorus II Growth Portfolio	QC		
Chorus II Maximum Growth Portfolio	QC		
Chorus II Moderate Low Volatility Portfolio (formerly Chorus II Balanced Income Portfolio)	QC		
Chou Asia Fund	ON		
Chou Associates Fund	ON		
Chou Bond Fund	ON		
Chou Europe Fund	ON		
Chou RRSP Fund	ON		
CI American Managers Corporate Class	ON		
CI American Small Companies Corporate Class	ON		
CI American Small Companies Fund	ON		
CI American Value Corporate Class	ON		
CI American Value Fund	ON		
CI Canadian Dividend Private Pool	ON		
CI Canadian Equity Private Pool	ON		
CI Canadian Fixed Income Private Pool	ON		
CI Canadian Investment Corporate Class	ON		
CI Canadian Investment Fund	ON		
CI Canadian Small/Mid Cap Fund	ON		
CI DoubleLine Core Plus Fixed Income US\$ Fund	ON		
CI DoubleLine Income US\$ Fund	ON		
CI DoubleLine Total Return Bond US\$ Fund	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
CI Financial Corp.	ON		
CI First Asset 1-5 Year Laddered Government Strip Bond Index ETF	ON		
CI First Asset Active Canadian Dividend ETF	ON		
CI First Asset Active Credit ETF	ON		
CI First Asset Active Utility & Infrastructure ETF	ON		
CI First Asset Canadian Buyback Index ETF	ON		
CI First Asset Canadian Convertible Bond ETF	ON		
CI First Asset Canadian REIT ETF	ON		
CI First Asset CanBanc Income Class ETF	ON		
CI First Asset Core Canadian Equity Income Class ETF	ON		
CI First Asset Energy Giants Covered Call ETF	ON		
CI First Asset Enhanced Government Bond ETF	ON		
CI First Asset Enhanced Short Duration Bond ETF	ON		
CI First Asset European Bank ETF	ON		
CI First Asset Global Asset Allocation ETF	ON		
CI First Asset Global Financial Sector ETF	ON		
CI First Asset Gold+ Giants Covered Call ETF (formerly, CI First Asset Can-Materials Covered Call ETF)	ON		
CI First Asset Health Care Giants Covered Call ETF	ON		
CI First Asset High Interest Savings ETF	ON		
CI First Asset Investment Grade Bond ETF	ON		
CI First Asset Long Duration Fixed Income ETF	ON		
CI First Asset Morningstar Canada Dividend Target 30 Index ETF	ON		
CI First Asset Morningstar Canada Momentum Index ETF	ON		
CI First Asset Morningstar Canada Value Index ETF	ON		
CI First Asset Morningstar International Momentum Index ETF	ON		
CI First Asset Morningstar International Value Index ETF	ON		
CI First Asset Morningstar National Bank Québec Index ETF	ON		
CI First Asset Morningstar US Dividend Target 50 Index ETF	ON		
CI First Asset Morningstar US Momentum Index ETF	ON		
CI First Asset Morningstar US Value Index ETF	ON		
CI First Asset MSCI Canada Low Risk Weighted ETF	ON		
CI First Asset MSCI Canada Quality Index Class ETF	ON		
CI First Asset MSCI Europe Low Risk Weighted ETF	ON		
CI First Asset MSCI International Low Risk Weighted ETF	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
CI First Asset MSCI USA Low Risk Weighted ETF	ON		
CI First Asset MSCI World ESG Impact ETF	ON		
CI First Asset MSCI World Low Risk Weighted ETF	ON		
CI First Asset Preferred Share ETF	ON		
CI First Asset Short Term Government Bond Index Class ETF	ON		
CI First Asset Tech Giants Covered Call ETF	ON		
CI First Asset U.S. & Canada Lifeco Income ETF	ON		
CI First Asset U.S. Buyback Index ETF	ON		
CI First Asset U.S. TrendLeaders Index ETF	ON		
CI G5 20 2038 Q3 Fund	ON		
CI G5 20 2038 Q4 Fund	ON		
CI G5 20 2039 Q2 Fund	ON		
CI G5 20 2039 Q3 Fund	ON		
CI G5 20 2040 Q1 Fund	ON		
CI G5 20 2040 Q4 Fund	ON		
CI G5 20i 2035 Q1 Fund	ON		
CI G5 20i 2035 Q2 Fund	ON		
CI G5 20i 2036 Q1 Fund	ON		
CI G5 20i 2036 Q2 Fund	ON		
CI Global Asset Allocation Private Pool	ON		
CI Global Balanced Yield Private Pool	ON		
CI Global Concentrated Equity Private Pool	ON		
CI Global Enhanced Government Bond Private Pool	ON		
CI Global Equity Alpha Private Pool	ON		
CI Global Equity Core Private Pool	ON		
CI Global Equity Momentum Private Pool	ON		
CI Global Health Sciences Corporate Class	ON		
CI Global High Dividend Advantage Corporate Class	ON		
CI Global High Dividend Advantage Fund	ON		
CI Global High Yield Credit Private Pool	ON		
CI Global Infrastructure Private Pool	ON		
CI Global Investment Grade Credit Private Pool	ON		
CI Global Longevity Economy Fund	ON		
CI Global Real Asset Private Pool	ON		
CI Global REIT Private Pool	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
CI Global Smaller Companies Private Pool	ON		
CI Global Stock Selection Fund (formerly Harbour Global Analyst Fund)	ON		
CI Global Unconstrained Bond Private Pool	ON		
CI Global Value Balanced Fund (formerly Sentry Global Monthly Income Fund)	ON		
CI Global Value Corporate Class	ON		
CI Global Value Fund	ON		
CI High Interest Savings Fund	ON		
CI ICBCCS S&P China 500 Index ETF (formerly WisdomTree ICBCCS S&P China 500 Index ETF)	ON		
CI Income Fund	ON		
CI India All Cap Fund	ON		
CI International Equity Alpha Private Pool	ON		
CI International Equity Growth Private Pool	ON		
CI International Equity Value Private Pool	ON		
CI International Value Corporate Class	ON		
CI International Value Fund	ON		
CI Investment Grade Bond Fund	ON		
CI Lawrence Park Alternative Investment Grade Credit ETF	ON		
CI Lawrence Park Alternative Investment Grade Credit Fund	ON		
CI Marret Alternative Absolute Return Bond ETF	ON		
CI Marret Alternative Absolute Return Bond Fund	ON		
CI Marret Alternative Enhanced Yield Fund	ON		
CI Money Market Class (formerly Sentry Money Market Class)	ON		
CI Money Market Fund	ON		
CI Mosaic Balanced ETF Portfolio	ON		
CI Mosaic Balanced Growth ETF Portfolio	ON		
CI Mosaic Balanced Income ETF Portfolio	ON		
CI Mosaic Growth ETF Portfolio	ON		
CI Mosaic Income ETF Portfolio	ON		
CI MSCI World ESG Impact Fund	ON		
CI Munro Alternative Global Growth ETF	ON		
CI Munro Alternative Global Growth Fund	ON		
CI North American Dividend Fund (formerly Sentry Growth and Income Fund)	ON		
CI North American Small/Mid Cap Equity Private Pool	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
CI ONE Global Equity ETF (formerly ONE Global Equity ETF)	ON		
CI ONE North American Core Plus Bond ETF (formerly ONE North American Core Plus Bond ETF)	ON		
CI Short-Term Corporate Class	ON		
CI Short-Term US\$ Corporate Class	ON		
CI U.S. Equity Private Pool	ON		
CI U.S. Income US\$ Pool	ON		
CI US Money Market Fund	ON		
CI Vantage Managed Payout Portfolio 1946 - 1948	ON		
CI Vantage Managed Payout Portfolio 1949 - 1951	ON		
CI Vantage Managed Payout Portfolio 1952 - 1954	ON		
CI Vantage Managed Payout Portfolio 1955 - 1957	ON		
CI Vantage Managed Payout Portfolio 1958 - 1960	ON		
CI Vantage Managed RRSP/RRIF Payout Portfolio 1946 - 1948	ON		
CI Vantage Managed RRSP/RRIF Payout Portfolio 1949 - 1951	ON		
CI Vantage Managed RRSP/RRIF Payout Portfolio 1952 - 1954	ON		
CI Vantage Managed RRSP/RRIF Payout Portfolio 1955 - 1957	ON		
CI Vantage Managed RRSP/RRIF Payout Portfolio 1958 - 1960	ON		
CI WisdomTree Canada Quality Dividend Growth Index ETF (formerly WisdomTree Canada Quality Dividend Growth Index ETF)	ON		
CI WisdomTree Emerging Markets Dividend Index ETF (formerly WisdomTree Emerging Markets Dividend Index ETF)	ON		
CI WisdomTree Europe Hedged Equity Index ETF (formerly WisdomTree Europe Hedged Equity Index ETF)	ON		
CI WisdomTree International Quality Dividend Growth Index ETF (formerly WisdomTree International Quality Dividend Growth Index ETF)	ON		
CI WisdomTree International Quality Dividend Growth Variably Hedged Index ETF	ON		
CI WisdomTree Japan Equity Index ETF (formerly WisdomTree Japan Equity Index ETF)	ON		
CI WisdomTree U.S. MidCap Dividend Index ETF (formerly WisdomTree U.S. MidCap Dividend Index ETF)	ON		
CI WisdomTree U.S. Quality Dividend Growth Index ETF (formerly WisdomTree U.S. Quality Dividend Growth Index ETF)	ON		
CI WisdomTree U.S. Quality Dividend Growth Variably Hedged Index ETF	ON		
CI Yield Enhanced Canada Aggregate Bond Index ETF (formerly WisdomTree Yield Enhanced Canada Aggregate Bond Index ETF)	ON		
CI Yield Enhanced Canada Short-Term Aggregate Bond Index ETF	ON		
CIBC Active Investment Grade Corporate Bond ETF	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
CIBC Active Investment Grade Floating Rate Bond ETF	ON		
CIBC Asia Pacific Fund	ON		
CIBC Asia Pacific Index Fund	ON		
CIBC Balanced Fund	ON		
CIBC Balanced Growth Passive Portfolio	ON		
CIBC Balanced Index Fund	ON		
CIBC Balanced Passive Portfolio	ON		
CIBC Canadian Bond Fund	ON		
CIBC Canadian Bond Index Fund	ON		
CIBC Canadian Equity Fund	ON		
CIBC Canadian Equity Value Fund	ON		
CIBC Canadian Index Fund	ON		
CIBC Canadian Real Estate Fund	ON		
CIBC Canadian Resources Fund	ON		
CIBC Canadian Short-Term Bond Index Fund	ON		
CIBC Canadian Small-Cap Fund	ON		
CIBC Canadian T-Bill Fund	ON		
CIBC Capital Trust	ON		
CIBC Conservative Fixed Income Pool	ON		
CIBC Conservative Passive Portfolio	ON		
CIBC Core Fixed Income Pool	ON		
CIBC Core Plus Fixed Income Pool	ON		
CIBC Dividend Growth Fund	ON		
CIBC Dividend Income Fund	ON		
CIBC Emerging Markets Fund	ON		
CIBC Emerging Markets Index Fund	ON		
CIBC Energy Fund	ON		
CIBC European Equity Fund	ON		
CIBC European Index Fund	ON		
CIBC Financial Companies Fund	ON		
CIBC Flexible Yield ETF (CAD-Hedged)	ON		
CIBC Global Bond Fund	ON		
CIBC Global Bond Index Fund	ON		
CIBC Global Equity Fund	ON		
CIBC Global Monthly Income Fund	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
CIBC Global Technology Fund	ON		
CIBC International Equity Fund	ON		
CIBC International Index Fund	ON		
CIBC International Small Companies Fund	ON		
CIBC Latin American Fund	ON		
CIBC Managed Aggressive Growth Portfolio	ON		
CIBC Managed Balanced Growth Portfolio	ON		
CIBC Managed Balanced Portfolio	ON		
CIBC Managed Growth Portfolio	ON		
CIBC Managed Income Plus Portfolio	ON		
CIBC Managed Income Portfolio	ON		
CIBC Managed Monthly Income Balanced Portfolio	ON		
CIBC Money Market Fund	ON		
CIBC Monthly Income Fund	ON		
CIBC Multi-Asset Absolute Return Strategy	ON		
CIBC Multifactor Canadian Equity ETF	ON		
CIBC Multifactor U.S. Equity ETF	ON		
CIBC Nasdaq Index Fund	ON		
CIBC Precious Metals Fund	ON		
CIBC Short-Term Income Fund	ON		
CIBC Smart Balanced Growth Solution	ON		
CIBC Smart Balanced Income Solution	ON		
CIBC Smart Balanced Solution	ON		
CIBC Smart Growth Solution	ON		
CIBC Smart Income Solution	ON		
CIBC U.S. Broad Market Index Fund	ON		
CIBC U.S. Dollar Managed Balanced Portfolio	ON		
CIBC U.S. Dollar Managed Growth Portfolio	ON		
CIBC U.S. Dollar Managed Income Portfolio	ON		
CIBC U.S. Dollar Money Market Fund	ON		
CIBC U.S. Equity Fund	ON		
CIBC U.S. Index Fund	ON		
CIBC U.S. Small Companies Fund	ON		
Cineplex Inc.	ON		
Cipher Pharmaceuticals Inc.	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Citadel Income Fund	ON		
Clarke Inc.	NS		
Clean Air Metals Inc. (formerly, Regency Gold Corp.)	ON		
Clean Harbors, Inc.	AB		
Clearpoint Short Term Income Fund	ON		
ClearStream Energy Services Inc.	AB		
Clearwater Seafoods Incorporated	NS		
CloudMD Software & Services Inc. (formerly Premier Health Group Inc.)	BC		
CMP 2019 Resource Limited Partnership	ON		
CMP 2020 Resource Limited Partnership	ON		
CNH Capital Canada Receivables Trust	ON		
CNH Capital Canada Wholesale Trust	ON		
Coeur Mining, Inc.	ON		
Cogeco Communications Inc.	QC		
Cogeco Inc	QC		
CohBar, Inc.	BC		
COIN HODL INC. (formerly Malbex Resources Inc.)	ON		
Colabor Group Inc.	QC		
Colibri Resource Corporation	NB		
COLLIERS INTERNATIONAL GROUP INC.	ON		
Colossus Minerals Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e), 3	
Columbia Care Inc. (formerly known as Canaccord Genuity Growth Corp.)	ON		
Columbus Gold Corp.	BC		
Cominar Real Estate Investment Trust	QC		
Compass Balanced Growth Portfolio	AB		
Compass Balanced Portfolio	AB		
Compass Conservative Balanced Portfolio	AB		
Compass Conservative Portfolio	AB		
Compass Growth Portfolio	AB		
Compass Maximum Growth Portfolio	AB		
Concert Industries Ltd.	BC	1(a), 1(b), 1(c), 1(d), 1(e)	
Condor Petroleum Inc.	AB		
Conic Metals Corp.	ON		
Conifex Timber Inc.	BC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
ConjuChem Biotechnologies Inc.	QC	1(a), 1(b), 1(c), 1(d), 1(e)	
Conscience Capital Inc.	ON		
Conservative Folio Fund	ON		
Conservative Fund	ON		
Conservative Income Portfolio	ON		
Conservative Monthly Income Fund	ON		
CONSTELLATION COPPER CORPORATION	ON	1(a), 1(b), 1(c), 1(e)	
Constellation Software Inc.	ON		
Contact Gold Corp.	BC		
Converge Technology Solutions Corp.	ON		
Co-operators General Insurance Company	ON		
Copper Mountain Mining Corporation	BC		
Copper North Mining Corp.	BC		
COPPER REEF MINING CORPORATION	MB		
Core Bond Fund (Portico)	ON		
Core Canadian Dividend Trust	ON		
Core Plus Bond Fund (Portico)	ON		
Corporate Bond Fund (Portico)	ON		
Correvio Pharma Corp.	BC		
Corus Entertainment Inc.	ON		
Counsel All Equity Portfolio	ON		
Counsel Balanced Portfolio	ON		
Counsel Canadian Core Fixed Income	ON		
Counsel Canadian Dividend	ON		
Counsel Canadian Growth	ON		
Counsel Canadian Value	ON		
Counsel Conservative Portfolio	ON		
Counsel Defensive Global Equity	ON		
Counsel Fixed Income	ON		
Counsel Global Dividend	ON		
Counsel Global Fixed Income	ON		
Counsel Global Low Volatility Equity	ON		
Counsel Global Real Estate	ON		
Counsel Global Small Cap	ON		
Counsel Global Trend Strategy	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Counsel Growth Portfolio	ON		
Counsel High Income Portfolio	ON		
Counsel High Yield Fixed Income	ON		
Counsel International Growth	ON		
Counsel International Value	ON		
Counsel Money Market	ON		
Counsel Monthly Income Portfolio	ON		
Counsel North American High Yield Bond	ON		
Counsel Retirement Accumulation Portfolio	ON		
Counsel Retirement Foundation Portfolio	ON		
Counsel Retirement Income Portfolio	ON		
Counsel Retirement Preservation Portfolio	ON		
Counsel Short Term Bond	ON		
Counsel U.S. Growth	ON		
Counsel U.S. Value	ON		
Covington Fund II Inc.	ON		
Covington Venture Fund Inc.	ON		
CPI Card Group Inc.	BC		
Crailar Technologies Inc.	BC	1(a), 1(b), 1(c), 1(e)	
Credit Suisse AG	ON		
Crescent Point Energy Corp.	AB		
Crescita Therapeutics Inc.	ON		
Cresco Labs Inc.	BC		
Crew Energy Inc.	AB		
Crombie Real Estate Investment Trust	NS		
Cronos Group Inc.	ON		
Crosswinds Holdings Inc. (formerly C.A. Bancorp Inc.)	ON		
Crown Capital Partners Inc.	AB		
Crown Point Energy Inc.	AB		
CST Advantage Plan	ON		
CST Bright Plan	ON		
CT Real Estate Investment Trust	ON		
CU Inc.	AB		
CWC Energy Services Corp.	AB		
Cymat Technologies Ltd.	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Cymbria Corporation	ON		
DANIER LEATHER INC.	ON	1(a), 1(b), 1(c), 1(e)	
DATA Communications Management Corp.	ON	1(a), 1(c), 1(d), 1(e)	
Davie Yards Inc.	QC	1(a), 1(b), 1(c), 1(d), 1(e)	
D-Box Technologies Inc.	QC		
Deans Knight Income Corporation	BC		
Decibel Cannabis Company Inc.	AB		
DECISIVE DIVIDEND CORPORATION	BC		
Defiance Silver Corp.	BC		
Delphi Energy Corp.	AB		
Delta 9 Cannabis Inc.	MB		
Denison Mines Corp.	ON		
DENNINGHOUSE INC.	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Desjardins 1-5 year Laddered Canadian Corporate Bond Index ETF	QC		
Desjardins 1-5 year Laddered Canadian Government Bond Index ETF	QC		
Desjardins Alt Long/Short Equity Market Neutral ETF	QC		
Desjardins American Equity Growth Currency Neutral Fund	QC		
Desjardins American Equity Growth Fund	QC		
Desjardins American Equity Value Fund	QC		
Desjardins Canada Multifactor-Controlled Volatility ETF	QC		
Desjardins Canadian Bond Fund	QC		
Desjardins Canadian Equity Fund	QC		
Desjardins Canadian Equity Income Fund	QC		
Desjardins Canadian Equity Value Fund	QC		
Desjardins Canadian Preferred Share Fund	QC		
Desjardins Canadian Preferred Share Index ETF	QC		
Desjardins Canadian Short Term Bond Index ETF	QC		
Desjardins Canadian Small Cap Equity Fund	QC		
Desjardins Canadian Universe Bond Index ETF	QC		
Desjardins Developed ex-USA ex-Canada Multifactor-Controlled Volatility ETF	QC		
Desjardins Dividend Growth Fund	QC		
Desjardins Dividend Income Fund	QC		
Desjardins Emerging Markets Bond Fund	QC		
Desjardins Emerging Markets Fund	QC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Desjardins Emerging Markets Multifactor-Controlled Volatility ETF	QC		
Desjardins Emerging Markets Opportunities Fund	QC		
Desjardins Enhanced Bond Fund	QC		
Desjardins Floating Rate Income Fund	QC		
Desjardins Global Balanced Strategic Income Fund	QC		
Desjardins Global Bond Fund (formerly Desjardins IBrix Global Bond Fund)	QC		
Desjardins Global Corporate Bond Fund	QC		
Desjardins Global Dividend Fund	QC		
Desjardins Global Equity Fund	QC		
Desjardins Global Equity Growth Fund	QC		
Desjardins Global High Yield Bond Fund	QC		
Desjardins Global Infrastructure Fund	QC		
Desjardins Global Small Cap Equity Fund	QC		
Desjardins Global Tactical Bond Fund	QC		
Desjardins Global Total Return Bond Fund (formerly Desjardins Global Inflation Linked Bond Fund)	QC		
Desjardins Low Volatility Emerging Markets Fund (formerly Desjardins IBrix Low Volatility Emerging Markets Fund)	QC		
Desjardins Low Volatility Global Equity Fund	QC		
Desjardins Money Market Fund	QC		
Desjardins Overseas Equity Fund (formerly Desjardins Overseas Equity Value Fund)	QC		
Desjardins Overseas Equity Growth Fund	QC		
Desjardins Québec Balanced Fund	QC		
Desjardins RI Active Canadian Bond - Low CO2 ETF	QC		
Desjardins RI Canada - Low CO2 Index ETF	QC		
Desjardins RI Canada Multifactor - Low CO2 ETF	QC		
Desjardins RI Developed ex-USA ex-Canada - Low CO2 Index ETF	QC		
Desjardins RI Developed ex-USA ex-Canada Multifactor - Low CO2 ETF	QC		
Desjardins RI Emerging Markets - Low CO2 Index ETF	QC		
Desjardins RI Emerging Markets Multifactor - Low CO2 ETF	QC		
Desjardins RI Global Multifactor - Fossil Fuel Reserves Free ETF	QC		
Desjardins RI USA - Low CO2 Index ETF	QC		
Desjardins RI USA Multifactor - Low CO2 ETF	QC		
Desjardins Short-Term Income Fund	QC		
Desjardins SocieTerra American Equity Fund	QC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Desjardins SocieTerra Canadian Bond Fund	QC		
Desjardins SocieTerra Canadian Equity Fund	QC		
Desjardins SocieTerra Cleantech Fund	QC		
Desjardins SocieTerra Diversity Fund	QC		
Desjardins SocieTerra Emerging Markets Equity Fund	QC		
Desjardins SocieTerra Environment Fund (formerly Desjardins Environment Fund)	QC		
Desjardins SocieTerra Environmental Bond Fund	QC		
Desjardins SocieTerra Global Bond Fund	QC		
Desjardins SocieTerra International Equity Fund	QC		
Desjardins SocieTerra Positive Change Fund	QC		
Desjardins Tactical Balanced Fund (formely Desjardins Canadian Balanced Fund)	QC		
Desjardins USA Multifactor-Controlled Volatility ETF	QC		
Deutsche Bank Aktiengesellschaft	ON		
DFA Canadian Core Equity Fund	BC		
DFA Canadian Vector Equity Fund	BC		
DFA Five-Year Global Fixed Income Fund	BC		
DFA Global 40EQ-60FI Portfolio	BC		
DFA Global 50EQ-50FI Portfolio	BC		
DFA Global 60EQ-40FI Portfolio	BC		
DFA Global 70EQ-30FI Portfolio	BC		
DFA Global 80EQ-20FI Portfolio	BC		
DFA Global Equity Portfolio	BC		
DFA Global Fixed Income Portfolio	BC		
DFA Global Investment Grade Fixed Income Fund	BC		
DFA Global Real Estate Securities Fund	BC		
DFA Global Targeted Credit Fund	BC		
DFA International Core Equity Fund	BC		
DFA International Vector Equity Fund	BC		
DFA U.S. Core Equity Fund	BC		
DFA U.S. Vector Equity Fund	BC		
DFA World Equity Portfolio	BC		
Digital Consumer Dividend Fund	AB		
DIRTT Environmental Solutions Ltd.	AB		
Discovery 2019 Short Duration LP	AB		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Discovery Air Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Distinct Infrastructure Group Inc. (formerly QE2 Acquisition Corp.)	ON	1(a), 1(b), 1(c), 1(e)	
Distinction Balanced Class	QC		
Distinction Bold Class	QC		
Distinction Conservative Class	QC		
Distinction Growth Class	QC		
Distinction Prudent Class	QC		
Diversified Fixed Income Folio Fund	ON		
Diversified Royalty Corp.	BC		
Divestco Inc.	AB	1(a), 1(b), 1(c), 1(e)	
Dividend 15 Split Corp.	ON		
Dividend 15 Split Corp. II	ON		
Dividend Class (GWLIM)	ON		
Dividend Fund (GWLIM)	ON		
Dividend Growth Split Corp.	ON		
Dividend Select 15 Corp.	ON		
DMP Power Global Growth Class	ON		
DMP Resource Class	ON		
DMP Value Balanced Class	ON		
Docebo Inc.	ON		
Dollarama Inc.	QC		
Domtar Corporation	QC		
Donnelley Financial Solutions, Inc.	ON		
Dorel Industries Inc.	QC		
DragonWave Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Dream Hard Asset Alternatives Trust	ON		
Dream Industrial Real Estate Investment Trust	ON		
Dream Office Real Estate Investment Trust	ON		
DREAM Unlimited Corp.	ON		
Drone Delivery Canada Corp. (formerly Asher Resources Corporation)	ON		
Dundee Corporation	ON		
Dundee Energy Limited (formerly Eurogas Corporation)	ON	1(a), 1(b), 1(c), 1(e)	
Dundee Global Resource Class	ON		
Dundee Precious Metals Inc.	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Dynamic Active Canadian Dividend ETF (formerly, Dynamic iShares Active Canadian Dividend ETF)	ON		
Dynamic Active Canadian Dividend Fund	ON		
Dynamic Active Core Bond Private Pool	ON		
Dynamic Active Credit Strategies Private Pool	ON		
Dynamic Active Crossover Bond ETF (formerly, Dynamic iShares Active Crossover Bond ETF)	ON		
Dynamic Active Crossover Bond Fund	ON		
Dynamic Active Global Dividend ETF (formerly, Dynamic iShares Active Global Dividend ETF)	ON		
Dynamic Active Global Dividend Fund	ON		
Dynamic Active Global Financial Services ETF (formerly, Dynamic iShares Active Global Financial Services ETF)	ON		
Dynamic Active Global Financial Services Fund	ON		
Dynamic Active Global Infrastructure ETF	ON		
Dynamic Active International Dividend ETF	ON		
Dynamic Active Investment Grade Floating Rate ETF (formerly, Dynamic iShares Active Investment Grade Floating Rate ETF)	ON		
Dynamic Active Investment Grade Floating Rate Fund	ON		
Dynamic Active Preferred Shares ETF (formerly, Dynamic iShares Active Preferred Shares ETF)	ON		
Dynamic Active Preferred Shares Fund	ON		
Dynamic Active Tactical Bond ETF (formerly, Dynamic iShares Active Tactical Bond ETF)	ON		
Dynamic Active Tactical Bond Fund	ON		
Dynamic Active U.S. Dividend ETF (formerly, Dynamic iShares Active U.S. Dividend ETF)	ON		
Dynamic Active U.S. Dividend Fund	ON		
Dynamic Active U.S. Mid-Cap ETF (formerly, Dynamic iShares Active U.S. Mid-Cap ETF)	ON		
Dynamic Active U.S. Mid-Cap Fund	ON		
Dynamic Advantage Bond Class	ON		
Dynamic Advantage Bond Fund	ON		
Dynamic Alpha Performance II Fund	ON		
Dynamic Alternative Managed Risk Private Pool Class (formerly Dynamic Alternative Investments Private Pool Class)	ON		
Dynamic Alternative Yield Class	ON		
Dynamic Alternative Yield Fund	ON		
Dynamic American Class (formerly Dynamic American Value Class)	ON		
Dynamic American Fund (formerly Dynamic American Value Fund)	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Dynamic Asia Pacific Equity Fund (formerly Dynamic Far East Value Fund)	ON		
Dynamic Asset Allocation Private Pool	ON		
Dynamic Blue Chip Balanced Fund (formerly Dynamic Focus+ Balanced Fund)	ON		
Dynamic Blue Chip Equity Fund (formerly Dynamic Focus+ Equity Fund)	ON		
Dynamic Canadian Bond Fund (formerly Dynamic Income Fund)	ON		
Dynamic Canadian Dividend Fund	ON		
Dynamic Canadian Equity Private Pool Class	ON		
Dynamic Canadian Value Class	ON		
Dynamic Conservative Yield Private Pool	ON		
Dynamic Conservative Yield Private Pool Class	ON		
Dynamic Corporate Bond Strategies Class	ON		
Dynamic Corporate Bond Strategies Fund	ON		
Dynamic Credit Absolute Return II Fund	ON		
Dynamic Credit Spectrum Fund (formerly Dynamic High Yield Credit Fund)	ON		
Dynamic Diversified Real Asset Fund	ON		
Dynamic Dividend Advantage Class	ON		
Dynamic Dividend Advantage Fund (formerly Dynamic Dividend Value Fund)	ON		
Dynamic Dividend Fund	ON		
Dynamic Dividend Income Class	ON		
Dynamic Dividend Income Fund	ON		
Dynamic Dollar-Cost Averaging Fund	ON		
Dynamic Energy Income Fund (formerly Dynamic Focus+ Energy Income Trust Fund)	ON		
Dynamic Equity Income Fund (formerly Dynamic Focus+ Diversified Income Fund)	ON		
Dynamic European Equity Fund (formerly Dynamic European Value Fund)	ON		
Dynamic Financial Services Fund (formerly Dynamic Focus+ Wealth Management Fund)	ON		
Dynamic Global All-Terrain Fund	ON		
Dynamic Global Asset Allocation Class	ON		
Dynamic Global Asset Allocation Fund (formerly Dynamic Global Value Balanced Fund)	ON		
Dynamic Global Balanced Fund	ON		
Dynamic Global Discovery Class	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Dynamic Global Discovery Fund	ON		
Dynamic Global Dividend Class (formerly Dynamic Global Dividend Value Class)	ON		
Dynamic Global Dividend Fund (formerly Dynamic Global Dividend Value Fund)	ON		
Dynamic Global Equity Fund	ON		
Dynamic Global Equity Income Fund	ON		
Dynamic Global Equity Private Pool Class	ON		
Dynamic Global Infrastructure Class	ON		
Dynamic Global Infrastructure Fund	ON		
Dynamic Global Real Estate Fund (formerly Dynamic Focus+ Real Estate Fund)	ON		
Dynamic Global Strategic Yield Fund	ON		
Dynamic Global Yield Private Pool	ON		
Dynamic Global Yield Private Pool Class	ON		
Dynamic High Yield Bond Fund	ON		
Dynamic International Dividend Private Pool	ON		
Dynamic International Equity Fund (formerly Dynamic Global Value Fund)	ON		
Dynamic Investment Grade Floating Rate Fund	ON		
Dynamic Liquid Alternatives Private Pool	ON		
Dynamic Money Market Class	ON		
Dynamic Money Market Fund	ON		
Dynamic North American Dividend Private Pool	ON		
Dynamic Power American Growth Class	ON		
Dynamic Power American Growth Fund	ON		
Dynamic Power Balanced Fund	ON		
Dynamic Power Canadian Growth Fund	ON		
Dynamic Power Global Balanced Class	ON		
Dynamic Power Global Growth Class	ON		
Dynamic Power Global Growth Fund	ON		
Dynamic Power Global Navigator Class	ON		
Dynamic Power Small Cap Fund	ON		
Dynamic Precious Metals Fund	ON		
Dynamic Preferred Yield Class	ON		
Dynamic Premium Bond Private Pool	ON		
Dynamic Premium Bond Private Pool Class	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Dynamic Premium Yield Class	ON		
Dynamic Premium Yield Fund	ON		
Dynamic Premium Yield PLUS Fund	ON		
Dynamic Real Estate & Infrastructure Income II Fund	ON		
Dynamic Short Term Bond Fund	ON		
Dynamic Small Business Fund (formerly Dynamic Focus+ Small Business Fund)	ON		
Dynamic Strategic Energy Class (formerly Dynamic Global Energy Class)	ON		
Dynamic Strategic Gold Class	ON		
Dynamic Strategic Resource Class	ON		
Dynamic Strategic Yield Class	ON		
Dynamic Strategic Yield Fund	ON		
Dynamic Tactical Bond Private Pool	ON		
Dynamic Total Return Bond Class (formerly Dynamic Aurion Total Return Bond Class)	ON		
Dynamic Total Return Bond Fund (formerly Dynamic Aurion Total Return Bond Fund)	ON		
Dynamic U.S. Balanced Class (formerly Dynamic Blue Chip U.S. Balanced Class)	ON		
Dynamic U.S. Dividend Advantage Fund (formerly Dynamic U.S. Dividend Advantage Class)	ON		
Dynamic U.S. Equity Income Fund	ON		
Dynamic U.S. Equity Private Pool Class	ON		
Dynamic U.S. Monthly Income Fund (formerly Dynamic U.S. Value Balanced Fund)	ON		
Dynamic U.S. Sector Focus Class	ON		
Dynamic U.S. Strategic Yield Fund	ON		
Dynamic Value Balanced Class	ON		
Dynamic Value Balanced Fund	ON		
Dynamic Value Fund of Canada	ON		
DynamicEdge Balanced Class Portfolio	ON		
DynamicEdge Balanced Growth Class Portfolio	ON		
DynamicEdge Balanced Growth Portfolio	ON		
DynamicEdge Balanced Income Portfolio (formerly Dynamic Strategic Income Portfolio)	ON		
DynamicEdge Balanced Portfolio	ON		
DynamicEdge Conservative Class Portfolio	ON		
DynamicEdge Defensive Portfolio	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
DynamicEdge Equity Class Portfolio	ON		
DynamicEdge Equity Portfolio	ON		
DynamicEdge Growth Class Portfolio	ON		
DynamicEdge Growth Portfolio	ON		
E Split Corp.	AB		
Eagle Credit Card Trust	ON		
Eagle Energy Inc.	AB	1(a), 1(b), 1(c), 1(e)	
EarthRenew Inc. (Formerly known as Valencia Ventures Inc.)	ON		
East Africa Metals Inc.	BC		
East Coast Investment Grade Income Fund	ON		
Eastern Platinum Limited	BC		
Echelon Financial Holdings Inc.	ON		
ECN Capital Corp.	ON		
EcoSynthetix Inc.	ON		
Eden Empire Inc. (formerly Rosehearty Energy Inc.)	BC		
EdgePoint Canadian Growth & Income Portfolio	ON		
EdgePoint Canadian Portfolio	ON		
EdgePoint Global Growth & Income Portfolio	ON		
EdgePoint Global Portfolio	ON		
EdgePoint Income Portfolio	ON		
EHP Advantage Alternative Fund	ON		
EHP Advantage International Alternative Fund	ON		
EHP Foundation Alternative Fund	ON		
EHP Foundation International Alternative Fund	ON		
EHP Global Arbitrage Alternative Fund	ON		
EHP Select Alternative Fund	ON		
E-L Financial Corporation Limited	ON		
Eldorado Gold Corporation	BC		
Electrovaya Inc.	ON		
Element Fleet Management Corp. (formerly Element Financial Corporation)	ON		
Elementos Limited	BC		
Emblem Corp. (formerly Saber Capital Corp.)	ON		
Emera Incorporated	NS		
Emerald Health Therapeutics, Inc.	BC		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Emerge ARK AI & Big Data ETF (formerly, Emerge ARK Artificial Intelligence ETF)	ON		
Emerge ARK Autonomous Tech & Robotics ETF (formerly, Emerge ARK Autonomous Technology ETF)	ON		
Emerge ARK Fintech Innovation ETF	ON		
Emerge ARK Genomics & Biotech ETF (formerly, Emerge ARK Genomic Revolution ETF)	ON		
Emerge ARK Global Disruptive Innovation ETF	ON		
Emerging Markets Equity Corporate Class	ON		
Emerging Markets Equity Fund	ON		
Emerging Markets Equity Pool	ON		
Empire Company Limited	NS		
Empire Life Dividend Growth Mutual Fund	ON		
Empire Life Emblem Aggressive Growth Portfolio	ON		
Empire Life Emblem Balanced Portfolio	ON		
Empire Life Emblem Conservative Portfolio	ON		
Empire Life Emblem Diversified Income Portfolio	ON		
Empire Life Emblem Growth Portfolio	ON		
Empire Life Emblem Moderate Growth Portfolio	ON		
Empire Life Monthly Income Mutual Fund	ON		
Enableness Technologies Inc.	ON		
Enbridge Gas Inc.	ON		
Enbridge Inc.	AB		
Enbridge Pipelines Inc.	AB		
Endeavour Mining Corporation	BC		
Endeavour Silver Corp.	BC		
Endo International plc	QC		
Enerflex Ltd.	AB		
énergir Inc.	QC		
Energy Fuels Inc.	ON		
Energy Income Fund	ON		
Enerplus Corporation	AB		
Enhanced Income Corporate Class	ON		
Enhanced Income Pool	ON		
Enhanced Income Portfolio	ON		
EnQuest Energy Services Corp.	AB	1(a), 1(b), 1(c), 1(e)	
Enseco Energy Services Corp.	AB	1(a), 1(b), 1(c), 1(e)	

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Enterprise Group, Inc.	AB		
ENTREC Corporation	AB	1(b), 1(c), 1(e)	
Entree Resources Ltd.	BC		
EnWave Corporation	BC		
EPCOR Utilities Inc.	AB		
Epoch Global Equity Class	ON		
Epoch Global Equity Fund	ON		
Epoch Global Shareholder Yield Fund	ON		
Epoch International Equity Fund	ON		
Epoch U.S. Blue Chip Equity Currency Neutral Fund	ON		
Epoch U.S. Blue Chip Equity Fund	ON		
Epoch U.S. Large-Cap Value Class	ON		
Epoch U.S. Large-Cap Value Fund	ON		
Epoch U.S. Shareholder Yield Fund	ON		
Epsilon Energy Ltd.	AB		
EQ Inc.	ON		
Equinox Gold Corp.	BC		
Equitable Group Inc.	ON		
Erdene Resource Development Corporation	NS		
Ero Copper Corp.	BC		
Esrey Resources Ltd	BC	1(a), 1(b), 1(c), 1(e)	
Essential Energy Services Ltd.	AB		
Euro Sun Mining Inc. (formerly Carpathian Gold Inc.)	ON		
Eurogas International Inc.	ON		
European Focused Dividend Fund	AB		
European Residential Real Estate Investment Trust	ON		
Eurotin Inc.	ON		
Evertz Technologies Limited	ON		
Evolve Active Canadian Preferred Share Fund	ON		
Evolve Active Core Fixed Income Fund	ON		
Evolve Active Global Fixed Income Fund	ON		
Evolve Automobile Innovation Index Fund	ON		
Evolve Cyber Security Index Fund	ON		
Evolve Dividend Stability Preferred Share Index ETF	ON		
Evolve E-Gaming Index ETF	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Evolve Global Healthcare Enhanced Yield Fund	ON		
Evolve Global Materials & Mining Enhanced Yield Index ETF	ON		
Evolve Innovation Index Fund	ON		
Evolve US Banks Enhanced Yield Fund	ON		
Evolved Digital Systems Inc.	QC	1(a), 1(b), 1(c), 1(e)	
exactEarth Ltd.	ON		
Exall Energy Corporation	AB	1(a), 1(b), 1(c), 1(d), 1(e)	
Excellon Resources Inc.	ON		
Excelsior Mining Corp.	BC		
Exchange Income Corporation	MB		
Exemplar Growth and Income Fund	ON		
Exemplar Investment Grade Fund	ON		
Exemplar Performance Fund	ON		
EXFO Inc. (formerly know as EXFO Electro-Optical Engineering Inc.)	QC		
Extendicare Inc.	ON		
Faircourt Gold Income Corp.	ON		
Faircourt Split Trust	ON		
Fairfax Africa Holdings Corporation	ON		
Fairfax Financial Holdings Limited	ON		
Fairfax India Holdings Corporation	ON		
Falco Resources Ltd. (formerly Falco Pacific Resource Group Inc.)	QC		
Falcon Oil & Gas Ltd.	BC		
Family Single Student Education Savings Plan	ON		
FAX Capital Corp.	ON		
FDP Balanced Growth Portfolio	QC		
FDP Balanced Income Portfolio	QC		
FDP Balanced Portfolio	QC		
FDP Canadian Bond Portfolio	QC		
FDP Canadian Equity Portfolio	QC		
FDP Canadien Dividend Equity Portfolio	QC		
FDP Cash Management Portfolio	QC		
FDP Emerging Markets Equity Portfolio	QC		
FDP Global Equity Portfolio	QC		
FDP Global Fixed Income Portfolio	QC		
FDP US Equity Portfolio	QC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Federated Strategic Value U.S. Equity Dividend Fund	BC		
Fédération des caisses Desjardins du Québec	QC		
Fennec Pharmaceuticals Inc.	ON		
Feronia Inc.	ON		
Fidelity - IG Canadian Equity Pool	MB		
Fidelity American Balanced Currency Neutral Fund	ON		
Fidelity American Balanced Fund	ON		
Fidelity American Disciplined Equity Class	ON		
Fidelity American Disciplined Equity Currency Neutral Class	ON		
Fidelity American Disciplined Equity Currency Neutral Fund	ON		
Fidelity American Equity Class	ON		
Fidelity American Equity Currency Neutral Class	ON		
Fidelity American Equity Fund	ON		
Fidelity American Equity Systematic Currency Hedged Fund	ON		
Fidelity American High Yield Currency Neutral Fund	ON		
Fidelity American High Yield Fund	ON		
Fidelity AsiaStar Class	ON		
Fidelity AsiaStar Fund	ON		
Fidelity Asset Allocation Currency Neutral Private Pool	ON		
Fidelity Asset Allocation Private Pool	ON		
Fidelity Balanced Class Portfolio	ON		
Fidelity Balanced Currency Neutral Private Pool	ON		
Fidelity Balanced Income Currency Neutral Private Pool	ON		
Fidelity Balanced Income Private Pool	ON		
Fidelity Balanced Managed Risk Portfolio	ON		
Fidelity Balanced Portfolio	ON		
Fidelity Balanced Private Pool	ON		
Fidelity Canadian Asset Allocation Class	ON		
Fidelity Canadian Asset Allocation Fund	ON		
Fidelity Canadian Balanced Class	ON		
Fidelity Canadian Balanced Fund	ON		
Fidelity Canadian Bond Fund	ON		
Fidelity Canadian Disciplined Equity Class	ON		
Fidelity Canadian Disciplined Equity Fund	ON		
Fidelity Canadian Equity Multi-Asset Base Fund (formerly Fidelity Canadian Equity Investment Trust)	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Fidelity Canadian Equity Private Pool	ON		
Fidelity Canadian Focused Equity Multi-Asset Base Fund (formerly Fidelity Canadian Focused Equity Investment Trust)	ON		
Fidelity Canadian Fundamental Equity Multi-Asset Base Fund	ON		
Fidelity Canadian Government Long Bond Index Multi-Asset Base Fund	ON		
Fidelity Canadian Growth Company Class	ON		
Fidelity Canadian Growth Company Fund	ON		
Fidelity Canadian High Dividend Index ETF	ON		
Fidelity Canadian High Dividend Index ETF Fund	ON		
Fidelity Canadian High Quality Index ETF	ON		
Fidelity Canadian High Quality Index ETF Fund	ON		
Fidelity Canadian Large Cap Class	ON		
Fidelity Canadian Large Cap Fund	ON		
Fidelity Canadian Low Volatility Index ETF	ON		
Fidelity Canadian Low Volatility Index ETF Fund	ON		
Fidelity Canadian Momentum Index ETF	ON		
Fidelity Canadian Money Market Fund	ON		
Fidelity Canadian Money Market Investment Trust	ON		
Fidelity Canadian Monthly High Income ETF	ON		
Fidelity Canadian Monthly High Income ETF Fund	ON		
Fidelity Canadian Opportunities Class	ON		
Fidelity Canadian Opportunities Fund	ON		
Fidelity Canadian Real Return Bond Index Multi-Asset Base Fund (formerly Fidelity Canadian Real Return Bond Index Invest	ON		
Fidelity Canadian Short Term Bond Fund	ON		
Fidelity Canadian Short Term Corporate Bond ETF	ON		
Fidelity Canadian Short Term Corporate Bond ETF Fund	ON		
Fidelity Canadian Short Term Fixed Income Multi-Asset Base Fund (formerly Fidelity Canadian Short Term Fixed Income Inve	ON		
Fidelity Canadian Short Term Income Class	ON		
Fidelity Canadian Value Index ETF	ON		
Fidelity CanAM Opportunities Class (formerly, Fidelity Active Equity Class)	ON		
Fidelity CanAM Opportunities Currency Neutral Class (formerly, Fidelity Active Equity Currency Neutral Class)	ON		
Fidelity China Class	ON		
Fidelity China Fund	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Fidelity ClearPath 2005 Portfolio	ON		
Fidelity ClearPath 2010 Portfolio	ON		
Fidelity ClearPath 2015 Portfolio	ON		
Fidelity ClearPath 2020 Portfolio	ON		
Fidelity ClearPath 2025 Portfolio	ON		
Fidelity ClearPath 2030 Portfolio	ON		
Fidelity ClearPath 2035 Portfolio	ON		
Fidelity ClearPath 2040 Portfolio	ON		
Fidelity ClearPath 2045 Portfolio	ON		
Fidelity ClearPath 2050 Portfolio	ON		
Fidelity ClearPath 2055 Portfolio	ON		
Fidelity ClearPath Income Portfolio	ON		
Fidelity ClearPath® 2060 Portfolio	ON		
Fidelity Concentrated Canadian Equity Multi-Asset Base Fund (formerly Fidelity Concentrated Canadian Equity Investment T	ON		
Fidelity Concentrated Canadian Equity Private Pool	ON		
Fidelity Concentrated Value Investment Trust	ON		
Fidelity Concentrated Value Private Pool	ON		
Fidelity Conservative Income Fund	ON		
Fidelity Conservative Income Private Pool	ON		
Fidelity Conservative Managed Risk Portfolio	ON		
Fidelity Convertible Securities Multi-Asset Base Fund (formerly Fidelity Convertible Securities Investment Trust)	ON		
Fidelity Corporate Bond Class	ON		
Fidelity Corporate Bond Fund	ON		
Fidelity Dividend Fund	ON		
Fidelity Dividend Class	ON		
Fidelity Dividend Multi-Asset Base Fund (formerly Fidelity Dividend Investment Trust)	ON		
Fidelity Dividend Plus Class	ON		
Fidelity Dividend Plus Fund (formerly Fidelity Income Trust Fund)	ON		
Fidelity Emerging Markets Class	ON		
Fidelity Emerging Markets Debt Multi-Asset Base Fund (formerly Fidelity Emerging Markets Debt Investment Trust)	ON		
Fidelity Emerging Markets Equity Multi-Asset Base Fund (formerly Fidelity Emerging Markets Equity Investment Trust)	ON		
Fidelity Emerging Markets Fund	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Fidelity Emerging Markets Local Currency Debt Multi-Asset Base Fund (formerly Fidelity Emerging Markets Local Currency D	ON		
Fidelity Europe Class	ON		
Fidelity Europe Fund	ON		
Fidelity Event Driven Opportunities Class	ON		
Fidelity Event Driven Opportunities Currency Neutral Class	ON		
Fidelity Event Driven Opportunities Fund	ON		
Fidelity Far East Class	ON		
Fidelity Far East Fund	ON		
Fidelity Floating Rate High Income Currency Neutral Fund	ON		
Fidelity Floating Rate High Income Currency Neutral Multi-Asset Base Fund	ON		
Fidelity Floating Rate High Income Fund	ON		
Fidelity Floating Rate High Income Multi-Asset Base Fund (formerly Fidelity Floating Rate High Income Investment Trust)	ON		
Fidelity Founders Class	ON		
Fidelity Founders Currency Neutral Class	ON		
Fidelity Founders Investment Trust	ON		
Fidelity Frontier Emerging Markets Fund (formerly Fidelity Latin America Fund)	ON		
Fidelity Global Asset Allocation Currency Neutral Private Pool	ON		
Fidelity Global Asset Allocation Fund	ON		
Fidelity Global Asset Allocation Private Pool	ON		
Fidelity Global Balanced Class Portfolio	ON		
Fidelity Global Balanced Portfolio	ON		
Fidelity Global Bond Currency Neutral Fund	ON		
Fidelity Global Bond Currency Neutral Multi-Asset Base Fund (formerly Fidelity Global Bond Currency Neutral Investment T	ON		
Fidelity Global Bond Fund	ON		
Fidelity Global Bond Multi-Asset Base Fund (formerly Fidelity Global Bond Investment Trust)	ON		
Fidelity Global Class	ON		
Fidelity Global Concentrated Equity Class	ON		
Fidelity Global Concentrated Equity Currency Neutral Fund	ON		
Fidelity Global Concentrated Equity Fund (formerly Fidelity Global Opportunities Fund)	ON		
Fidelity Global Consumer Industries Class	ON		
Fidelity Global Consumer Industries Fund	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Fidelity Global Core Plus Bond ETF (formerly, Fidelity Global Core Plus ETF)	ON		
Fidelity Global Core Plus ETF Fund	ON		
Fidelity Global Credit Ex-U.S. Currency Neutral Multi-Asset Base Fund	ON		
Fidelity Global Credit Ex-U.S. Investment Trust	ON		
Fidelity Global Disciplined Equity Class	ON		
Fidelity Global Disciplined Equity Currency Neutral Class	ON		
Fidelity Global Disciplined Equity Currency Neutral Fund	ON		
Fidelity Global Disciplined Equity Fund	ON		
Fidelity Global Dividend Class	ON		
Fidelity Global Dividend Fund	ON		
Fidelity Global Dividend Investment Trust	ON		
Fidelity Global Equity Currency Neutral Private Pool	ON		
Fidelity Global Equity Investment Trust	ON		
Fidelity Global Equity Private Pool	ON		
Fidelity Global Financial Services Class	ON		
Fidelity Global Financial Services Fund	ON		
Fidelity Global Fund	ON		
Fidelity Global Growth and Value Class (formerly, Fidelity Core Global Equity Class)	ON		
Fidelity Global Growth and Value Currency Neutral Class (formerly, Fidelity Core Global Equity Currency Neutral Class)	ON		
Fidelity Global Growth and Value Investment Trust (formerly, Fidelity Core Global Equity Investment Trust)	ON		
Fidelity Global Growth Class Portfolio	ON		
Fidelity Global Growth Portfolio	ON		
Fidelity Global Health Care Class	ON		
Fidelity Global Health Care Fund	ON		
Fidelity Global High Yield Multi-Asset Base Fund (formerly Fidelity Global High Yield Investment Trust)	ON		
Fidelity Global Income Class Portfolio	ON		
Fidelity Global Income Portfolio	ON		
Fidelity Global Innovators Class	ON		
Fidelity Global Innovators Currency Neutral Class	ON		
Fidelity Global Innovators Investment Trust	ON		
Fidelity Global Intrinsic Value Class	ON		
Fidelity Global Intrinsic Value Currency Neutral Class	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Fidelity Global Intrinsic Value Investment Trust	ON		
Fidelity Global Investment Grade Bond ETF	ON		
Fidelity Global Investment Grade Bond ETF Fund	ON		
Fidelity Global Large Cap Class	ON		
Fidelity Global Large Cap Currency Neutral Class	ON		
Fidelity Global Large Cap Fund	ON		
Fidelity Global Monthly High Income ETF	ON		
Fidelity Global Monthly High Income ETF Fund	ON		
Fidelity Global Monthly Income Currency Neutral Fund	ON		
Fidelity Global Monthly Income Fund	ON		
Fidelity Global Natural Resources Class	ON		
Fidelity Global Natural Resources Fund	ON		
Fidelity Global Real Estate Class	ON		
Fidelity Global Real Estate Fund	ON		
Fidelity Global Real Estate Multi-Asset Base Fund (formerly Fidelity Global Real Estate Investment Trust)	ON		
Fidelity Global Small Cap Class	ON		
Fidelity Global Small Cap Fund	ON		
Fidelity Global Telecommunications Class	ON		
Fidelity Global Telecommunications Fund	ON		
Fidelity Greater Canada Class	ON		
Fidelity Greater Canada Fund	ON		
Fidelity Growth Class Portfolio	ON		
Fidelity Growth Portfolio	ON		
Fidelity High Income Commercial Real Estate Currency Neutral Multi-Asset Base Fund	ON		
Fidelity High Income Commercial Real Estate Multi-Asset Base Fund (formerly Fidelity High Income Commercial Real Estate)	ON		
Fidelity Income Allocation Fund	ON		
Fidelity Income Class Portfolio	ON		
Fidelity Income Portfolio	ON		
Fidelity Insights Class	ON		
Fidelity Insights Currency Neutral Class	ON		
Fidelity Insights Currency Neutral Multi-Asset Base Fund	ON		
Fidelity Insights Investment Trust	ON		
Fidelity Insights Systematic Currency Hedged Fund	ON		
Fidelity International Concentrated Equity Currency Neutral Fund	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Fidelity International Concentrated Equity Fund (formerly Fidelity International Value Fund)	ON		
Fidelity International Disciplined Equity Class	ON		
Fidelity International Disciplined Equity Currency Neutral Class	ON		
Fidelity International Disciplined Equity Currency Neutral Fund	ON		
Fidelity International Disciplined Equity Fund	ON		
Fidelity International Equity Currency Neutral Investment Trust	ON		
Fidelity International Equity Currency Neutral Private Pool	ON		
Fidelity International Equity Investment Trust	ON		
Fidelity International Equity Multi-Asset Base Fund (formerly, Fidelity International Equity Central Fund)	ON		
Fidelity International Equity Private Pool	ON		
Fidelity International Growth Class	ON		
Fidelity International Growth Currency Neutral Multi-Asset Base Fund	ON		
Fidelity International Growth Fund (formerly Fidelity Overseas Fund)	ON		
Fidelity International Growth Multi-Asset Base Fund (formerly Fidelity International Growth Investment Trust)	ON		
Fidelity International High Dividend Index ETF	ON		
Fidelity International High Dividend Index ETF Fund	ON		
Fidelity International High Quality Index ETF	ON		
Fidelity International High Quality Index ETF Fund	ON		
Fidelity International Low Volatility Index ETF	ON		
Fidelity International Low Volatility Index ETF Fund	ON		
Fidelity International Momentum Index ETF	ON		
Fidelity International Value Index ETF	ON		
Fidelity Investment Grade Total Bond Currency Neutral Fund	ON		
Fidelity Investment Grade Total Bond Fund	ON		
Fidelity Japan Class	ON		
Fidelity Japan Fund	ON		
Fidelity Monthly Income Class	ON		
Fidelity Monthly Income Fund	ON		
Fidelity Multi-Sector Bond Currency Neutral Fund	ON		
Fidelity Multi-Sector Bond Fund	ON		
Fidelity North American Equity Class	ON		
Fidelity North American Equity Investment Trust	ON		
Fidelity NorthStar Balanced Currency Neutral Fund	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Fidelity NorthStar Balanced Fund	ON		
Fidelity NorthStar Class	ON		
Fidelity NorthStar Currency Neutral Class	ON		
Fidelity NorthStar Currency Neutral Fund	ON		
Fidelity NorthStar Fund	ON		
Fidelity Premium Fixed Income Private Pool	ON		
Fidelity Premium Fixed Income Private Pool Class	ON		
Fidelity Premium Money Market Private Pool	ON		
Fidelity Premium Tactical Fixed Income Private Pool	ON		
Fidelity Small Cap America Class	ON		
Fidelity Small Cap America Currency Neutral Class	ON		
Fidelity Small Cap America Fund	ON		
Fidelity Small Cap America Systematic Currency Hedged Fund	ON		
Fidelity Special Situations Class	ON		
Fidelity Special Situations Fund	ON		
Fidelity Strategic Income Currency Neutral Fund	ON		
Fidelity Strategic Income Fund	ON		
Fidelity Sustainable World ETF	ON		
Fidelity Sustainable World ETF Fund	ON		
Fidelity Systematic Canadian Bond Index ETF	ON		
Fidelity Systematic Canadian Bond Index ETF Fund	ON		
Fidelity Systematic U.S. High Yield Bond Currency Neutral ETF (formerly, Fidelity Fundamental High Yield Currency Neutr	ON		
Fidelity Systematic U.S. High Yield Bond Currency Neutral ETF Fund (formerly, Fidelity Fundamental High Yield Currency Neutral ETF Fund)	ON		
Fidelity Systematic U.S. High Yield Bond ETF (formerly, Fidelity Fundamental High Yield ETF)	ON		
Fidelity Systematic U.S. High Yield Bond ETF Fund (formerly Fidelity Fundamental High Yield ETF Fund)	ON		
Fidelity Tactical Fixed Income Fund	ON		
Fidelity Tactical Global Dividend ETF Fund	ON		
Fidelity Tactical High Income Currency Neutral Fund	ON		
Fidelity Tactical High Income Fund	ON		
Fidelity Tactical Strategies Fund	ON		
Fidelity Technology Innovators Class (formerly, Fidelity Global Technology Class)	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Fidelity Technology Innovators Fund (formerly, Fidelity Global Technology Fund)	ON		
Fidelity True North Class	ON		
Fidelity True North Fund	ON		
Fidelity U.S. All Cap Class	ON		
Fidelity U.S. All Cap Currency Neutral Class	ON		
Fidelity U.S. All Cap Fund	ON		
Fidelity U.S. Bond Currency Neutral Multi-Asset Base Fund	ON		
Fidelity U.S. Bond Multi-Asset Base Fund (formerly Fidelity U.S. Bond Investment Trust)	ON		
Fidelity U.S. Dividend Currency Neutral Fund	ON		
Fidelity U.S. Dividend for Rising Rates Currency Neutral Index ETF	ON		
Fidelity U.S. Dividend for Rising Rates Currency Neutral Index ETF Fund	ON		
Fidelity U.S. Dividend for Rising Rates Index ETF	ON		
Fidelity U.S. Dividend for Rising Rates Index ETF Fund	ON		
Fidelity U.S. Dividend Fund	ON		
Fidelity U.S. Dividend Investment Trust	ON		
Fidelity U.S. Dividend Private Pool	ON		
Fidelity U.S. Dividend Registered Fund	ON		
Fidelity U.S. Dividend Systematic Currency Hedged Fund	ON		
Fidelity U.S. Equity Currency Neutral Private Pool	ON		
Fidelity U.S. Equity Investment Trust	ON		
Fidelity U.S. Equity Private Pool	ON		
Fidelity U.S. Focused Stock Class (formerly Fidelity Growth America Class)	ON		
Fidelity U.S. Focused Stock Currency Neutral Class	ON		
Fidelity U.S. Focused Stock Fund (formerly Fidelity Growth America Fund)	ON		
Fidelity U.S. Focused Stock Systematic Currency Hedged Fund	ON		
Fidelity U.S. Growth and Income Private Pool	ON		
Fidelity U.S. Growth Opportunities Class	ON		
Fidelity U.S. Growth Opportunities Investment Trust	ON		
Fidelity U.S. Growth Opportunities Systematic Currency Hedged Class	ON		
Fidelity U.S. High Dividend Currency Neutral Index ETF	ON		
Fidelity U.S. High Dividend Currency Neutral Index ETF Fund	ON		
Fidelity U.S. High Dividend Index ETF	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Fidelity U.S. High Dividend Index ETF Fund	ON		
Fidelity U.S. High Quality Currency Neutral Index ETF	ON		
Fidelity U.S. High Quality Currency Neutral Index ETF Fund	ON		
Fidelity U.S. High Quality Index ETF	ON		
Fidelity U.S. High Quality Index ETF Fund	ON		
Fidelity U.S. Low Volatility Currency Neutral Index ETF	ON		
Fidelity U.S. Low Volatility Currency Neutral Index ETF Fund	ON		
Fidelity U.S. Low Volatility Index ETF	ON		
Fidelity U.S. Low Volatility Index ETF Fund	ON		
Fidelity U.S. Momentum Currency Neutral Index ETF	ON		
Fidelity U.S. Momentum Index ETF	ON		
Fidelity U.S. Money Market Fund	ON		
Fidelity U.S. Money Market Investment Trust	ON		
Fidelity U.S. Monthly Income Currency Neutral Fund	ON		
Fidelity U.S. Monthly Income Fund	ON		
Fidelity U.S. Multi-Cap Multi-Asset Base Fund (formerly Fidelity U.S. Multi-Cap Investment Trust)	ON		
Fidelity U.S. Small/Mid-Cap Equity Multi-Asset Base Fund (formerly Fidelity U.S. Small/Mid-Cap Equity Investment Trust)	ON		
Fidelity U.S. Value Currency Neutral Index ETF	ON		
Fidelity U.S. Value Index ETF	ON		
Fidelity Women's Leadership Fund	ON		
Fidelity Women's Leadership Systematic Currency Hedged Fund	ON		
Fiera Canadian Bond Class (formerly Natixis Canadian Bond Class)	ON		
Fiera Canadian Bond Fund (formerly Natixis Canadian Bond Fund)	ON		
Fiera Canadian Dividend Class (formerly Natixis Canadian Dividend Class)	ON		
Fiera Canadian Dividend Registered Fund (formerly Natixis Canadian Dividend Registered Fund)	ON		
Fiera Canadian Preferred Share Class (formerly Natixis Canadian Preferred Share Class)	ON		
Fiera Canadian Preferred Share Registered Fund (formerly Natixis Canadian Preferred Share Registered Fund)	ON		
Fiera Capital Corporation	QC		
Fiera Capital Income Opportunities Fund	QC		
Fiera Core Global Equity Class (formerly Natixis Global Equity Class)	ON		
Fiera Core Global Equity Registered Fund (formerly Natixis Global Equity Registered Fund)	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Fiera Intrinsic Balanced Class (formerly Natixis Intrinsic Balanced Class)	ON		
Fiera Intrinsic Balanced Registered Fund (formerly Natixis Intrinsic Balanced Registered Fund)	ON		
Fiera Strategic Balanced Class (formerly Natixis Strategic Balanced Class)	ON		
Fiera Strategic Balanced Registered Fund (formerly Natixis Strategic Balanced Registered Fund)	ON		
Fiera U.S. Dividend Class (formerly Natixis U.S. Dividend Plus Class)	ON		
Fiera U.S. Dividend Registered Fund (formerly Natixis U.S. Dividend Plus Registered Fund)	ON		
Filo Mining Corp.	BC		
Financial 15 Split Corp.	ON		
Finning International Inc.	BC		
Fire & Flower Holdings Corp. (formerly Cinaport Acquisition Corp. II)	ON		
FireFox Gold Corp.	BC		
Firm Capital American Realty Partners Trust	ON		
Firm Capital Mortgage Investment Corporation	ON		
Firm Capital Property Trust	ON		
First Asset Canadian Convertible Bond Fund	ON		
First Asset Canadian Dividend Opportunity Fund	ON		
First Asset REIT Income Fund	ON		
First Asset Utility Plus Fund	ON		
First Capital Real Estate Investment Trust	ON		
First Majestic Silver Corp.	BC		
First Mining Gold Corp.	BC		
First National Financial Corporation	ON		
First Quantum Minerals Ltd	ON		
First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)	ON		
First Trust AlphaDEX European Dividend Index ETF (CAD-Hedged)	ON		
First Trust AlphaDEX U.S. Consumer Discretionary Sector Index ETF	ON		
First Trust AlphaDEX U.S. Consumer Staples Sector Index ETF	ON		
First Trust AlphaDEX U.S. Energy Sector Index ETF	ON		
First Trust AlphaDEX U.S. Financial Sector Index ETF	ON		
First Trust AlphaDEX U.S. Health Care Sector Index ETF	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
First Trust AlphaDEX U.S. Industrials Sector Index ETF	ON		
First Trust AlphaDEX U.S. Materials Sector Index ETF	ON		
First Trust AlphaDEX U.S. Technology Sector Index ETF	ON		
First Trust AlphaDEX U.S. Utilities Sector Index ETF	ON		
First Trust Canadian Capital Strength ETF	ON		
First Trust Cboe Vest U.S. Equity Buffer ETF - August	ON		
First Trust Cboe Vest U.S. Equity Buffer ETF - February	ON		
First Trust Cboe Vest U.S. Equity Buffer ETF - May	ON		
First Trust Cboe Vest U.S. Equity Buffer ETF - November	ON		
First Trust Cboe Vest U.S. Equity Deep Buffer ETF - August	ON		
First Trust Cboe Vest U.S. Equity Deep Buffer ETF - February	ON		
First Trust Cboe Vest U.S. Equity Deep Buffer ETF - May	ON		
First Trust Cboe Vest U.S. Equity Deep Buffer ETF - November	ON		
First Trust Dorsey Wright U.S. Sector Rotation Index ETF (CAD-Hedged)	ON		
First Trust Global Risk Managed Income Index ETF	ON		
First Trust Indxx Innovative Transaction and Process ETF	ON		
First Trust International Capital Strength ETF	ON		
First Trust Senior Loan ETF (CAD-Hedged)	ON		
First Trust Tactical Bond Index ETF	ON		
First Trust Value Line™ Dividend Index ETF (CAD-Hedged) previously, First Trust AlphaDEX U.S. Dividend ETF (CAD-Hedged)	ON		
FIRSTSERVICE CORPORATION	ON		
Fission Uranium Corp.	BC		
Flaherty & Crumrine Investment Grade Preferred Income Fund	ON		
Flex First Plan	ON		
Flow Capital Corp. (formerly LOGiQ Asset Management Inc.)	ON		
Flower One Holdings Inc. (formerly Theia Resources Ltd.)	ON		
Flutter Entertainment plc	ON		
FMF Capital Group Ltd.	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Focused Canadian Equity Class (Beutel Goodman)	ON		
FogChain Corp.	BC		
Fonds MATIQ40	QC	1(a), 1(b), 1(c), 1(d)	
Foraco International SA	ON		
Foran Mining Corporation	BC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Forbes Energy Services Ltd.	AB		
Ford Auto Securitization Trust	ON		
Ford Credit Canada Company	ON		
Forge First Conservative Alternative Fund	ON		
Forge First Long Short Alternative Fund	ON		
Forstrong Global Strategist Balanced Fund	QC		
Forstrong Global Strategist Growth Fund	QC		
Forstrong Global Strategist Income Fund	QC		
Fortaleza Energy Inc.	AB	1(a), 1(b), 1(c), 1(e)	
Fortified Trust	ON		
Fortis Inc.	ON		
FortisAlberta Inc.	AB		
FortisBC Energy Inc.	BC		
FortisBC Inc.	BC		
Fortress Global Enterprises Inc. (formerly Fortress Paper Ltd.)	BC	1(a), 1(c), 1(d), 1(e)	
Fortuna Silver Mines Inc.	BC		
Fortune Bay Corp.	NS		
Fortune Minerals Limited	ON		
Foundation Wealth Equity Pool	ON		
Foundation Wealth Income Pool	ON		
Foundation Wealth Real Alternative Pool	ON		
FP Newspapers Inc.	MB		
Fracmaster Ltd.	AB	1(a), 1(b), 1(c), 1(d), 1(e), 1(g)	
Franco-Nevada Corporation	ON		
Franklin ActiveQuant Canadian Corporate Class	ON		
Franklin ActiveQuant Canadian Fund	ON		
Franklin ActiveQuant U.S. Corporate Class	ON		
Franklin ActiveQuant U.S. Fund	ON		
Franklin Bissett Canada Plus Equity Fund	ON		
Franklin Bissett Canadian Balanced Corporate Class	ON		
Franklin Bissett Canadian Balanced Fund	ON		
Franklin Bissett Canadian Bond Fund	ON		
Franklin Bissett Canadian Dividend Corporate Class	ON		
Franklin Bissett Canadian Dividend Fund	ON		
Franklin Bissett Canadian Equity Corporate Class	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Franklin Bissett Canadian Equity Fund	ON		
Franklin Bissett Canadian Government Bond Fund	ON		
Franklin Bissett Core Plus Bond Fund	ON		
Franklin Bissett Corporate Bond Fund	ON		
Franklin Bissett Dividend Income Corporate Class	ON		
Franklin Bissett Dividend Income Fund	ON		
Franklin Bissett Money Market Corporate Class	ON		
Franklin Bissett Money Market Fund	ON		
Franklin Bissett Monthly Income and Growth Fund	ON		
Franklin Bissett Short Duration Bond Fund (formerly, Franklin Bissett Canadian Short Term Bond Fund)	ON		
Franklin Bissett Small Cap Fund	ON		
Franklin Conservative Income ETF Portfolio	ON		
Franklin Core ETF Portfolio	ON		
Franklin FTSE Canada All Cap Index ETF	ON		
Franklin FTSE Europe ex U.K. Index ETF	ON		
Franklin FTSE Japan Index ETF	ON		
Franklin FTSE U.S. Index ETF	ON		
Franklin Global Aggregate Bond Fund	ON		
Franklin Global Growth Corporate Class	ON		
Franklin Global Growth Fund	ON		
Franklin Growth ETF Portfolio	ON		
Franklin High Income Fund	ON		
Franklin K2 Alternatives Fund	ON		
Franklin Liberty Canadian Investment Grade Corporate ETF	ON		
Franklin Liberty Core Balanced ETF	ON		
Franklin Liberty Core Plus Bond ETF	ON		
Franklin Liberty Global Aggregate Bond ETF (CAD-Hedged)	ON		
Franklin Liberty Risk Managed Canadian Equity ETF	ON		
Franklin Liberty Senior Loan ETF (CAD-Hedged)	ON		
Franklin Liberty Short Duration Bond ETF	ON		
Franklin Liberty U.S. Investment Grade Corporate ETF (CAD-Hedged)	ON		
Franklin LibertyQT Emerging Markets Index ETF	ON		
Franklin LibertyQT Global Dividend Index ETF	ON		
Franklin LibertyQT International Equity Index ETF	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Franklin LibertyQT U.S. Equity Index ETF	ON		
Franklin Mutual Global Discovery Corporate Class	ON		
Franklin Mutual Global Discovery Fund	ON		
Franklin Quotential Balanced Growth Corporate Class Portfolio	ON		
Franklin Quotential Balanced Growth Portfolio	ON		
Franklin Quotential Balanced Income Corporate Class Portfolio	ON		
Franklin Quotential Balanced Income Portfolio	ON		
Franklin Quotential Diversified Equity Corporate Class Portfolio	ON		
Franklin Quotential Diversified Equity Portfolio	ON		
Franklin Quotential Diversified Income Corporate Class Portfolio	ON		
Franklin Quotential Diversified Income Portfolio	ON		
Franklin Quotential Growth Corporate Class Portfolio	ON		
Franklin Quotential Growth Portfolio	ON		
Franklin Strategic Income Fund	ON		
Franklin U.S. Monthly Income Corporate Class	ON		
Franklin U.S. Monthly Income Fund	ON		
Franklin U.S. Monthly Income Hedged Corporate Class	ON		
Franklin U.S. Opportunities Corporate Class	ON		
Franklin U.S. Opportunities Fund	ON		
Franklin U.S. Rising Dividends Corporate Class	ON		
Franklin U.S. Rising Dividends Fund	ON		
Franklin U.S. Rising Dividends Hedged Corporate Class	ON		
Fraser Papers Inc.	ON	1(a), 1(b), 1(c), 1(e)	
Freehold Royalties Ltd.	AB		
Freshii Inc.	ON		
Friedberg Asset Allocation Fund	ON		
Friedberg Global-Macro Hedge Fund	ON		
Fronsac Real Estate Investment Trust	QC		
Frontenac Mortgage Investment Corporation	ON		
Frontera Energy Corporation	ON		
FT Balanced Growth Private Wealth Pool	ON		
FT Balanced Income Private Wealth Pool	ON		
FT Growth Private Wealth Pool	ON		
Fuel Cell Technologies Ltd.	ON	1(a), 1(b), 1(c), 1(e)	
G2 Goldfields Inc.	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Gabriel Resources Ltd.	BC		
Galiano Gold Inc. (formerly Asanko Gold Inc.)	BC		
Galileo High Income Plus Fund	ON		
Gamehost Inc.	AB		
Gamesys Group plc (previously, JPI Group plc)	ON	1(d)	
Garda World Security Corporation	QC		
GC One Equity Portfolio	ON		
GC One Fixed Income Portfolio	ON		
GDI Integrated Facility Services Inc.	QC		
GE Capital Canada Funding Company	ON		
General Motors Company	ON		
Genesis Trust II	ON		
Genesis Worldwide Inc.	ON	1(a), 1(b), 1(c), 1(e)	
Genworth MI Canada Inc.	ON		
Geodrill Limited	ON		
GeoPetro Resources Company	AB	1(a), 1(b), 1(c), 1(d), 1(e)	
George Weston Limited	ON		
GFL Environmental Inc.	ON		
Gibson Energy Inc.	AB		
Gildan Activewear Inc.	QC		
Glacier Credit Card Trust	ON		
GLG LIFE TECH CORPORATION	BC	1(a), 1(c), 1(d), 1(e)	
Global All Cap Equity Class (Setanta)	ON		
Global All Cap Equity Fund (Setanta)	ON		
Global Dividend Equity Class (Setanta)	ON		
Global Dividend Equity Fund (Setanta)	ON		
Global Dividend Growers Income Fund	AB		
Global Dividend Growth Split Corp.	ON		
Global Equity Allocation Pool	ON		
Global Fixed Income Corporate Class	ON		
Global Fixed Income Pool	ON		
Global Healthcare Dividend Fund	AB		
Global Iman Fund	ON		
Global Infrastructure Equity Fund (London Capital)	ON		
Global Innovation Dividend Fund	AB		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Global Low Volatility Fund (ILIM)	ON		
Global Managed Volatility Fund	ON		
Global Monthly Income Fund (London Capital)	ON		
Global Real Estate & E-Commerce Dividend Fund	AB		
Global Real Estate Fund (London Capital)	ON		
Global Telecom & Utilities Income Fund	ON		
Global Water Resources, Inc. (formerly GWR Global Water Resources Corp.)	ON		
Globevest Capital Tactical Covered Options Fund	QC		
GMP Capital Inc.	ON		
goeasy Ltd. (formerly, easyhome Ltd.)	ON		
GoGold Resources Inc.	NS		
Gold Miners Split Corp.	ON		
Gold Reserve Inc.	ON		
Gold Standard Ventures Corp.	BC		
Gold Terra Resource Corp.	BC		
Gold X Mining Corp.	BC		
Golden Minerals Company	ON		
Golden Share Resources Corporation	ON		
Golden Star Resources Ltd.	ON		
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	QC		
GOODWOOD CAPITAL FUND	ON		
Gran Colombia Gold Corp.	ON		
Gran Tierra Energy Inc.	AB		
Granite Real Estate Investment Trust	ON		
Granite REIT Holdings Limited Partnership	ON		
Granite REIT Inc.	ON		
Great Basin Gold Ltd.	BC	1(a), 1(b), 1(c), 1(d), 1(e)	
Great Canadian Gaming Corporation	ON		
Great Panther Mining Limited	BC		
Greater Toronto Airports Authority	ON		
Great-West Lifeco Inc.	MB		
Green Thumb Industries Inc. (formerly Bayswater Uranium Corporation)	BC		
Greenbrook TMS Inc.	ON		
Greenfields Petroleum Corporation	AB		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
GreenSpace Brands Inc. (formerly Aumento IV Capital Corporation)	ON		
GREENSTONE RESOURCES LTD.	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
GrowMax Resources Corp.	BC		
Growth & Income Class	ON		
Growth 100 Fund	ON		
Growth 80/20 Fund	ON		
Growth and Income Class (GWLIM)	ON		
Growth and Income Fund (GWLIM)	ON		
Growth Fund	ON		
GrowthWorks Atlantic Venture Fund Ltd.	NS		
GrowthWorks Canadian Fund Ltd. (formerly GrowthWorks WV Canadian Fund Inc.)	ON	1(a), 1(b), 1(c), 1(d)	
GT Group Telecom Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e), 1(g)	
Guardian Balanced Fund	ON		
Guardian Canadian Bond Fund	ON		
Guardian Canadian Equity Fund	ON		
Guardian Canadian Equity Income Fund (formerly, Guardian Equity Income Fund)	ON		
Guardian Canadian Equity Select Fund	ON		
Guardian Canadian Focused Equity Fund	ON		
Guardian Canadian Growth Equity Fund	ON		
Guardian Canadian Short-Term Investment Fund	ON		
Guardian Emerging Markets Equity Fund	ON		
Guardian Fixed Income Select Fund	ON		
Guardian Fundamental Global Equity Fund	ON		
Guardian Global Dividend Growth Fund	ON		
Guardian Global Equity Fund	ON		
Guardian High Yield Bond Fund	ON		
Guardian International Equity Fund	ON		
Guardian International Equity Select Fund	ON		
Guardian Investment Grade Corporate Bond Fund	ON		
Guardian Managed Growth Portfolio	ON		
Guardian Managed Income & Growth Portfolio	ON		
Guardian Managed Income Portfolio	ON		
Guardian Risk Managed Conservative Portfolio	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Guardian Short Duration Bond Fund	ON		
Guardian SteadyFlow Equity Fund	ON		
Guardian SteadyPace Equity Fund	ON		
Guardian Strategic Income Fund	ON		
Guardian U.S. Equity All Cap Growth Fund	ON		
Guardian U.S. Equity Fund	ON		
Guardian U.S. Equity Select Fund	ON		
Guyana Goldfields Inc.	ON		
GVIC Communications Corp.	BC		
H&R Real Estate Investment Trust	ON		
H2O INNOVATION INC.	QC		
Haemacure Corporation	QC	1(a), 1(b), 1(c), 1(d), 1(e), 3	
Halo Labs Inc. (formerly Apogee Opportunities Inc.)	ON		
Hamilton Australian Bank Equal-Weight Index ETF	ON		
Hamilton Australian Financials Yield ETF (formerly Hamilton Capital Australian Financials Yield ETF)	ON		
Hamilton Canadian Bank Mean Reversion Index ETF	ON		
Hamilton Canadian Bank Variable-Weight ETF (formerly Hamilton Capital Canadian Bank Variable-Weight ETF)	ON		
Hamilton Financials Innovation ETF	ON		
Hamilton Global Bank ETF (formerly Hamilton Capital Global Bank ETF)	ON		
Hamilton Global Financials ETF	ON		
Hamilton Global Financials Yield ETF (formerly Hamilton Capital Global Financials Yield ETF)	ON		
Hamilton U.S. Mid/Small-Cap Financials ETF	ON		
Hamilton U.S. Mid-Cap Financials ETF (USD) (formerly Hamilton Capital U.S. Mid-Cap Financials ETF (USD))	ON		
Hanfeng Evergreen Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
HANWEI ENERGY SERVICES CORP.	BC		
Hardwoods Distribution Inc.	BC		
Harmony Energy Technologies Corporation	QC		
Harte Gold Corp.	ON		
Harvest Banks & Buildings Income Fund	ON		
Harvest Brand Leaders Plus Income ETF	ON		
Harvest Canadian Income & Growth Fund	ON		
Harvest Energy Leaders Plus Income ETF	ON		
Harvest Equal Weight Global Utilities Income ETF	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Harvest Global Gold Giants Index ETF	ON		
Harvest Global REIT Leaders Income ETF	ON		
Harvest Global Resource Leaders ETF	ON		
Harvest Healthcare Leaders Income ETF	ON		
Harvest One Cannabis Inc.	BC		
Harvest Tech Achievers Growth & Income ETF	ON		
Harvest US Bank Leaders Income ETF	ON		
Harvest US Equity Plus Income ETF	ON		
Harvest US Investment Grade Bond Plus ETF	ON		
Headwater Exploration Inc. (formerly Corridor Resources Inc.)	AB		
Healthcare Special Opportunities Fund	ON		
Heating Oil Partners Income Fund	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Hecla Mining Company	BC		
Heliuss Medical Technologies, Inc.	BC		
Hemisphere Energy Corporation	BC		
Heritage Global Inc.	ON		
Heritage Plans (formerly Heritage Scholarship Trust Plans)	ON		
Heroux-Devtek Inc.	QC		
HEXO Corp.	ON		
High Interest Savings Account Fund	ON		
High Liner Foods Incorporated	NS		
HLS Therapeutics Inc.	ON		
HMZ Metals Inc.	ON	1(a), 1(b), 1(c), 1(e)	
Home Capital Group Inc.	ON		
Horizon North Logistics Inc.	AB		
Horizons Absolute Return Global Currency ETF	ON		
Horizons Active A.I. Global Equity ETF	ON		
Horizons Active Cdn Bond ETF	ON		
Horizons Active Cdn Dividend ETF	ON		
Horizons Active Cdn Municipal Bond ETF	ON		
Horizons Active Corporate Bond ETF	ON		
Horizons Active Emerging Markets Bond ETF	ON		
Horizons Active Emerging Markets Dividend ETF	ON		
Horizons Active Floating Rate Preferred Share ETF	ON		
Horizons Active Floating Rate Senior Loan ETF	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Horizons Active Global Dividend ETF	ON		
Horizons Active Global Fixed Income ETF	ON		
Horizons Active High Yield Bond ETF	ON		
Horizons Active Preferred Share ETF	ON		
Horizons Active Ultra-Short Term Investment Grade Bond ETF (formerly known as Horizons Active Floating Rate Bond ETF)	ON		
Horizons Active Ultra-Short Term US Investment Grade Bond ETF (formerly known as Horizons Active US Floating Rate Bond)	ON		
Horizons Active US Dividend ETF	ON		
Horizons Balanced TRI ETF Portfolio	ON		
Horizons Big Data & Hardware Index ETF (formerly Horizons Blockchain Technology & Hardware Index ETF)	ON		
Horizons Cash Maximizer ETF	ON		
Horizons Cdn Insider Index ETF	ON		
Horizons China High Dividend Yield Index ETF	ON		
Horizons Conservative TRI ETF Portfolio	ON		
Horizons Enhanced Income Energy ETF	ON		
Horizons Enhanced Income Equity ETF	ON		
Horizons Enhanced Income Financials ETF	ON		
Horizons Enhanced Income Gold Producers ETF	ON		
Horizons Enhanced Income International Equity ETF	ON		
Horizons Enhanced Income US Equity (USD) ETF	ON		
Horizons Global Risk Parity ETF	ON		
Horizons Global Sustainability Leaders Index ETF	ON		
Horizons Global Uranium Index ETF	ON		
Horizons Gold Yield ETF	ON		
Horizons Growth TRI ETF Portfolio	ON		
Horizons Industry 4.0 Index ETF	ON		
Horizons Inoventor Canadian Equity Index ETF	ON		
Horizons Managed Global Opportunities ETF	ON		
Horizons Marijuana Life Sciences Index ETF (formerly Horizons Medical Marijuana Life Sciences ETF)	ON		
Horizons Morningstar Hedge Fund Index ETF	ON		
Horizons Natural Gas Yield ETF	ON		
Horizons Pipelines & Energy Services Index ETF (formerly Horizons Canadian Midstream Oil & Gas Index ETF)	ON		
Horizons Robotics and Automation Index ETF	ON		
Horizons S&P Green Bond Index ETF	ON		

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Horizons S&P/TSX Capped Composite Index ETF	ON		
Horizons Seasonal Rotation ETF	ON		
Horizons US Dollar Currency ETF	ON		
Horizons US Large Cap Index ETF	ON		
Horizons US Marijuana Index ETF	ON		
HSBC AsiaPacific Fund	BC		
HSBC Bank Canada	BC		
HSBC BRIC Equity Fund	BC		
HSBC Canadian Balanced Fund	BC		
HSBC Canadian Bond Fund	BC		
HSBC Canadian Bond Pooled Fund	BC		
HSBC Canadian Dividend Pooled Fund	BC		
HSBC Canadian Equity Pooled Fund	BC		
HSBC Canadian Money Market Fund	BC		
HSBC Canadian Money Market Pooled Fund	BC		
HSBC Canadian Short/Mid Bond Fund	BC		
HSBC Canadian Small Cap Equity Pooled Fund	BC		
HSBC Chinese Equity Fund	BC		
HSBC Dividend Fund	BC		
HSBC Emerging Markets Debt Fund	BC		
HSBC Emerging Markets Debt Pooled Fund	BC		
HSBC Emerging Markets Fund	BC		
HSBC Emerging Markets Pooled Fund	BC		
HSBC Equity Fund	BC		
HSBC European Fund	BC		
HSBC Global Corporate Bond Fund	BC		
HSBC Global Equity Fund	BC		
HSBC Global Equity Volatility Focused Fund	BC		
HSBC Global High Yield Bond Pooled Fund	BC		
HSBC Global Inflation Linked Bond Pooled Fund	BC		
HSBC Global Real Estate Equity Pooled Fund	BC		
HSBC International Equity Pooled Fund	BC		
HSBC Monthly Income Fund	BC		
HSBC Mortgage Fund	BC		
HSBC Mortgage Pooled Fund	BC		

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HSBC Small Cap Growth Fund	BC		
HSBC U.S. Dollar Money Market Fund	BC		
HSBC U.S. Dollar Monthly Income Fund	BC		
HSBC U.S. Equity Fund	BC		
HSBC U.S. Equity Pooled Fund	BC		
HSBC Wealth Compass Aggressive Growth Fund	BC		
HSBC Wealth Compass Balanced Fund	BC		
HSBC Wealth Compass Conservative Fund	BC		
HSBC Wealth Compass Growth Fund	BC		
HSBC Wealth Compass Moderate Conservative Fund	BC		
HSBC World Selection Diversified Aggressive Growth Fund	BC		
HSBC World Selection Diversified Balanced Fund	BC		
HSBC World Selection Diversified Conservative Fund	BC		
HSBC World Selection Diversified Growth Fund	BC		
HSBC World Selection Diversified Moderate Conservative Fund	BC		
Hudbay Minerals Inc. (formerly HudBay Minerals Inc.)	ON		
HUSKY ENERGY INC.	AB		
Hydro One Inc.	ON		
Hydro One Limited	ON		
IA Clarington Bond Fund	QC		
IA Clarington Canadian Balanced Class	QC		
IA Clarington Canadian Balanced Fund	QC		
IA Clarington Canadian Conservative Equity Class	QC		
IA Clarington Canadian Conservative Equity Fund	QC		
IA Clarington Canadian Dividend Fund	QC		
IA Clarington Canadian Leaders Class	QC		
IA Clarington Canadian Small Cap Class	QC		
IA Clarington Canadian Small Cap Fund	QC		
IA Clarington Core Plus Bond Fund	QC		
IA Clarington Dividend Growth Class	QC		
IA Clarington Emerging Markets Bond Fund	QC		
IA Clarington Floating Rate Income Fund	QC		
IA Clarington Focused Balanced Class	QC		
IA Clarington Focused Balanced Fund	QC		
IA Clarington Focused Canadian Equity Class	QC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
IA Clarington Global Allocation Class	QC		
IA Clarington Global Allocation Fund	QC		
IA Clarington Global Bond Fund	QC		
IA Clarington Global Equity Exposure Fund	QC		
IA Clarington Global Equity Fund	QC		
IA Clarington Global Multi-Asset Fund	QC		
IA Clarington Global Opportunities Class	QC		
IA Clarington Global Opportunities Fund	QC		
IA Clarington Global Value Fund	QC		
IA Clarington Global Yield Opportunities Fund	QC		
IA Clarington Growth & Income Fund	QC		
IA Clarington Inhance Balanced SRI Portfolio	QC		
IA Clarington Inhance Bond SRI Fund	QC		
IA Clarington Inhance Canadian Equity SRI Class	QC		
IA Clarington Inhance Conservative SRI Portfolio	QC		
IA Clarington Inhance Global Equity SRI Class	QC		
IA Clarington Inhance Growth SRI Portfolio	QC		
IA Clarington Inhance Monthly Income SRI Fund	QC		
IA Clarington International Equity Fund	QC		
IA Clarington Loomis Global Equity Opportunities Fund	QC		
IA Clarington Money Market Fund	QC		
IA Clarington Monthly Income Balanced Fund	QC		
IA Clarington North American Opportunities Class	QC		
IA Clarington Real Return Bond Fund	QC		
IA Clarington Strategic Corporate Bond Fund	QC		
IA Clarington Strategic Equity Income Class	QC		
IA Clarington Strategic Equity Income Fund	QC		
IA Clarington Strategic Income Fund	QC		
IA Clarington Strategic U.S. Growth & Income Fund	QC		
IA Clarington Tactical Income Class	QC		
IA Clarington Target Click 2025 Fund	QC		
IA Clarington Target Click 2030 Fund	QC		
IA Clarington Thematic Innovation Class	QC		
IA Clarington U.S. Dividend Growth Fund	QC		
IA Clarington U.S. Dividend Growth Registered Fund	QC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
IA Clarington U.S. Dollar Floating Rate Income Fund	QC		
IA Clarington U.S. Equity Class	QC		
IA Clarington U.S. Equity Currency Neutral Fund	QC		
IA Clarington U.S. Equity Opportunities Fund	QC		
IA Clarington Yield Opportunities Fund	QC		
iA Financial Corporation Inc.	QC		
IA Wealth Balanced Portfolio	QC		
IA Wealth Conservative Portfolio	QC		
IA Wealth Growth Portfolio	QC		
IA Wealth High Growth Portfolio	QC		
IA Wealth Moderate Portfolio	QC		
IAMGOLD Corporation	ON		
iAnthus Capital Holdings, Inc.	ON		
IBEX TECHNOLOGIES INC.	QC		
IBI Group Inc.	ON		
ICL Global Equity Fund	ON		
IDS Intelligent Detection Systems Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e), 1(g)	
IG AGF Global Equity Class	MB		
IG AGF Global Equity Fund	MB		
IG AGF U.S. Growth Class	MB		
IG AGF U.S. Growth Fund	MB		
IG Aristotle U.S. Small Cap Class	MB		
IG Beutel Goodman Canadian Balanced Fund	MB		
IG Beutel Goodman Canadian Equity Class	MB		
IG Beutel Goodman Canadian Equity Fund	MB		
IG Beutel Goodman Canadian Small Cap Fund	MB		
IG BlackRock International Equity Fund	MB		
IG CI Canadian Balanced Fund	MB		
IG Core Portfolio - Balanced	MB		
IG Core Portfolio - Balanced Growth	MB		
IG Core Portfolio - Global Income	MB		
IG Core Portfolio - Growth	MB		
IG Core Portfolio - Income	MB		
IG Core Portfolio - Income Balanced	MB		
IG Core Portfolio - Income Focus	MB		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
IG Core Portfolio Class - Balanced	MB		
IG Core Portfolio Class - Balanced Growth	MB		
IG Core Portfolio Class - Balanced Growth II	MB		
IG Core Portfolio Class - Growth	MB		
IG Core Portfolio Class - Growth II	MB		
IG Core Portfolio Class - Income Balanced	MB		
IG FI Canadian Equity Class	MB		
IG FI Canadian Equity Fund	MB		
IG Fiera Canadian Small Cap Class (formerly IG AGF Canadian Diversified Growth Class)	MB		
IG Fiera Canadian Small Cap Fund	MB		
IG Franklin Bissett Canadian Equity Class	MB		
IG Franklin Bissett Canadian Equity Class II	MB		
IG Franklin Bissett Canadian Equity Fund	MB		
IG Irish Life Low Volatility Global Equity Class	MB		
IG Irish Life Low Volatility Global Equity Fund	MB		
IG JPMorgan Emerging Markets Class	MB		
IG JPMorgan Emerging Markets Fund	MB		
IG Mackenzie Canadian Corporate Bond Fund	MB		
IG Mackenzie Canadian Equity Income Fund	MB		
IG Mackenzie Canadian High Yield Income Fund	MB		
IG Mackenzie Canadian Money Market Class	MB		
IG Mackenzie Canadian Money Market Fund	MB		
IG Mackenzie Canadian Small/Mid Cap Class	MB		
IG Mackenzie Canadian Small/Mid Cap Class II	MB		
IG Mackenzie Canadian Small/Mid Cap Fund	MB		
IG Mackenzie Core U.S. Equity Class	MB		
IG Mackenzie Core U.S. Equity Class II	MB		
IG Mackenzie Core U.S. Equity Class III	MB		
IG Mackenzie Core U.S. Equity Fund	MB		
IG Mackenzie Dividend Class	MB		
IG Mackenzie Dividend Fund	MB		
IG Mackenzie Dividend Growth Fund	MB		
IG Mackenzie European Equity Class	MB		
IG Mackenzie European Equity Fund	MB		
IG Mackenzie European Mid-Cap Equity Class	MB		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
IG Mackenzie European Mid-Cap Equity Fund	MB		
IG Mackenzie Floating Rate Income Fund	MB		
IG Mackenzie Global Bond Fund	MB		
IG Mackenzie Global Class	MB		
IG Mackenzie Global Class II	MB		
IG Mackenzie Global Consumer Companies Class	MB		
IG Mackenzie Global Dividend Fund	MB		
IG Mackenzie Global Financial Services Class	MB		
IG Mackenzie Global Financial Services Fund	MB		
IG Mackenzie Global Fund	MB		
IG Mackenzie Global Health Care Class	MB		
IG Mackenzie Global Infrastructure Class	MB		
IG Mackenzie Global Natural Resources Class	MB		
IG Mackenzie Global Natural Resources Fund	MB		
IG Mackenzie Global Precious Metals Class	MB		
IG Mackenzie Global Science & Technology Class	MB		
IG Mackenzie Global Science & Technology Fund	MB		
IG Mackenzie Income Fund	MB		
IG Mackenzie International Small Cap Class	MB		
IG Mackenzie International Small Cap Fund	MB		
IG Mackenzie Ivy European Class	MB		
IG Mackenzie Ivy European Class II	MB		
IG Mackenzie Ivy European Class III	MB		
IG Mackenzie Ivy European Fund	MB		
IG Mackenzie Ivy Foreign Equity Class	MB		
IG Mackenzie Low Volatility Canadian Equity Class	MB		
IG Mackenzie Low Volatility Canadian Equity Fund	MB		
IG Mackenzie Mortgage and Short Term Income Fund	MB		
IG Mackenzie Mutual of Canada	MB		
IG Mackenzie North American Equity Class	MB		
IG Mackenzie North American Equity Class II	MB		
IG Mackenzie North American Equity Class III	MB		
IG Mackenzie North American Equity Class IV	MB		
IG Mackenzie North American Equity Class V	MB		
IG Mackenzie North American Equity Fund	MB		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
IG Mackenzie Pacific International Class	MB		
IG Mackenzie Pacific International Fund	MB		
IG Mackenzie Pan Asian Equity Class	MB		
IG Mackenzie Pan Asian Equity Class II	MB		
IG Mackenzie Pan Asian Equity Fund	MB		
IG Mackenzie Québec Enterprise Class	MB		
IG Mackenzie Quebec Enterprise Fund	MB		
IG Mackenzie Strategic Income Fund	MB		
IG Mackenzie Summa SRI Class	MB		
IG Mackenzie Summa SRI Fund	MB		
IG Mackenzie U.S. Dividend Registered Fund	MB		
IG Mackenzie U.S. Money Market Fund	MB		
IG Mackenzie U.S. Opportunities Class	MB		
IG Mackenzie U.S. Opportunities Fund	MB		
IG Managed Payout Portfolio	MB		
IG Managed Payout Portfolio with Enhanced Growth	MB		
IG Managed Payout Portfolio with Growth	MB		
IG Managed Risk Portfolio - Balanced	MB		
IG Managed Risk Portfolio - Growth Focus	MB		
IG Managed Risk Portfolio - Income Balanced	MB		
IG Managed Risk Portfolio - Income Focus	MB		
IG Managed Risk Portfolio Class - Balanced	MB		
IG Managed Risk Portfolio Class - Growth Focus	MB		
IG Managed Risk Portfolio Class - Income Balanced	MB		
IG PIMCO Global Bond Fund	MB		
IG Putnam U.S. Growth Class	MB		
IG Putnam U.S. Growth Fund	MB		
IG Putnam U.S. High Yield Income Fund	MB		
IG T. Rowe Price U.S. Large Cap Equity Class (formerly IG FI U.S. Large Cap Equity Class)	MB		
IG T. Rowe Price U.S. Large Cap Equity Fund	MB		
IGM Financial Inc.	MB		
iLOOKABOUT Corp.	ON		
IMAX Corporation	ON		
imaxx Canadian Bond Fund	QC		
imaxx Canadian Dividend Plus Fund	QC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
imaxx Canadian Fixed Pay Fund	QC		
imaxx Equity Growth Fund	QC		
imaxx Global Fixed Pay Fund (formerly imaxx Global Equity Growth Fund)	QC		
imaxx Short Term Bond Fund	QC		
Impax Energy Services Income Trust	AB	1(a), 1(b), 1(c), 1(e)	
Imperial Canadian Bond Pool	ON		
Imperial Canadian Diversified Income Pool	ON		
Imperial Canadian Dividend Income Pool	ON		
Imperial Canadian Equity Pool	ON		
Imperial Emerging Economies Pool	ON		
Imperial Equity High Income Pool	ON		
Imperial Global Equity Income Pool	ON		
Imperial International Bond Pool	ON		
Imperial International Equity Pool	ON		
Imperial Money Market Pool	ON		
Imperial Oil Limited	AB		
Imperial Overseas Equity Pool	ON		
Imperial Short-Term Bond Pool	ON		
Imperial U.S. Equity Pool	ON		
IMRIS Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Income 100 Fund	ON		
Income 20/80 Fund	ON		
Income 40/60 Fund	ON		
Income Financial Trust	ON		
Income Fund (Portico)	ON		
INDEXPLUS Income Fund	AB		
Indigo Books & Music Inc.	ON		
Indiva Limited	ON		
INDIVIDUAL Plan	QC		
Industrial Alliance Insurance and Financial Services inc.	QC		
Information Services Corporation	SK		
Infowave Software, Inc.	BC	1(a), 1(b), 1(c), 1(d), 1(e), 1(g)	
Inner Spirit Holdings Ltd.	AB		
Innergex Renewable Energy Inc.	QC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
InnoCan Pharma Corporation	AB		
Innovative Composites International Inc.	ON	1(a), 1(b), 1(c), 1(e)	
Inovalis Real Estate Investment Trust	ON		
InPlay Oil Corp.	AB		
Input Capital Corp.	SK		
INSCAPE Corporation	ON		
Intact Financial Corporation	ON		
Integra Balanced Fund	ON		
Integra Bond Fund	ON		
Integra Canadian Value Growth Fund	ON		
Integra International Equity Fund	ON		
Integra Resources Corp. (formerly, Mag Copper Limited)	BC		
Integra U.S. Value Growth Fund	ON		
INTELLIPHARMACEUTICS INTERNATIONAL INC.	ON		
Inter Pipeline Ltd.	AB		
Interfor Corporation	BC		
Intermap Technologies Corporation	AB		
International Bond Fund (CLI)	ON		
International Core Equity Class (JPMorgan)	ON		
International Core Equity Fund (JPMorgan)	ON		
International Equity Alpha Corporate Class	ON		
International Equity Alpha Pool	ON		
International Equity Fund (formerly EAFE Equity Fund)	ON		
International Equity Growth Corporate Class	ON		
International Equity Growth Pool	ON		
International Equity Value Corporate Class	ON		
International Equity Value Currency Hedged Corporate Class	ON		
International Equity Value Currency Hedged Pool	ON		
International Equity Value Pool	ON		
International Wallcoverings Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e), 1(g)	
InterRent Real Estate Investment Trust	ON		
Intertape Polymer Group Inc.	QC		
INV Metals Inc.	ON		
Invesco 1-10 Year Laddered Investment Grade Corporate Bond Index ETF (formerly, PS 1-10 Yr Laddered Invmt Gr Corp Bond)	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Invesco 1-3 Year Laddered Floating Rate Note Index ETF (formerly, PowerShares 1-3 Year Laddered Floating Rate Note Index	ON		
Invesco 1-5 Year Laddered All Government Bond Index ETF (formerly, PowerShares 1-5 Year Laddered All Government Bond Ind	ON		
Invesco 1-5 Year Laddered Corporate Bond Index ETF Fund (formerly, PS 1-5 Year Laddered Corporate Bond Index Fund)	ON		
Invesco 1-5 Year Laddered Investment Grade Corporate Bond Index ETF (formerly, PS 1-5 Yr Laddered Invmt Gr Corp Bond)	ON		
Invesco Active Multi-Sector Credit Fund (formerly, Invesco Advantage Bond Fund)	ON		
Invesco Allocation Fund	ON		
Invesco Balanced ETF Portfolio (formerly, Balanced Portfolio)	ON		
Invesco Balanced Portfolio	ON		
Invesco Canada Money Market Fund	ON		
Invesco Canadian Class (formerly, Trimark Canadian Class)	ON		
Invesco Canadian Core Plus Bond Class (formerly, Invesco Canadian Bond Class)	ON		
Invesco Canadian Core Plus Bond Fund (formerly, Invesco Canadian Bond Fund)	ON		
Invesco Canadian Dividend Index ETF (formerly, PowerShares Canadian Dividend Index ETF)	ON		
Invesco Canadian Dividend Index ETF Class (formerly, PowerShares Canadian Dividend Index Class)	ON		
Invesco Canadian Endeavour Fund (formerly, Trimark Canadian Endeavour Fund)	ON		
Invesco Canadian Fund (formerly, Trimark Canadian Fund)	ON		
Invesco Canadian Interest Fund (formerly, Trimark Interest Fund)	ON		
Invesco Canadian Opportunity Class (formerly, Trimark Canadian Opportunity Class)	ON		
Invesco Canadian Opportunity Fund (formerly, Trimark Canadian Opportunity Fund)	ON		
Invesco Canadian Plus Dividend Class (formerly, Trimark Canadian Plus Dividend Class)	ON		
Invesco Canadian Preferred Share Index ETF (formerly, PowerShares Canadian Preferred Share Index ETF)	ON		
Invesco Canadian Preferred Share Index ETF Class (formerly, PowerShares Canadian Preferred Share Index Class)	ON		
Invesco Canadian Premier Balanced Fund (formerly, Invesco Canadian Balanced Fund)	ON		
Invesco Canadian Premier Growth Class	ON		
Invesco Canadian Premier Growth Fund	ON		
Invesco Canadian Real Return Bond Index Fund (formerly, PowerShares Real Return Bond Index Fund)	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Invesco Canadian Short-Term Bond Fund (formerly, Invesco Short-Term Bond Fund)	ON		
Invesco Canadian Small Companies Fund (formerly, Trimark Canadian Small Companies Fund)	ON		
Invesco Conservative ETF Portfolio (formerly, Conservative Portfolio)	ON		
Invesco Conservative Portfolio	ON		
Invesco Core Canadian Balanced Class	ON		
Invesco Diversified Yield Class (formerly, Trimark Diversified Yield Class)	ON		
Invesco DWA Global Momentum Index ETF (formerly, PowerShares DWA Global Momentum Index ETF)	ON		
Invesco Emerging Markets Class (formerly, Trimark Emerging Markets Class)	ON		
Invesco Emerging Markets Select Pool	ON		
Invesco Energy Class (formerly, Trimark Energy Class)	ON		
Invesco European Growth Class	ON		
Invesco Europlus Fund (formerly, Trimark Europlus Fund)	ON		
Invesco Floating Rate Income Fund	ON		
Invesco FTSE RAFI Canadian Index ETF (formerly, PowerShares FTSE RAFI Canadian Fundamental Index ETF)	ON		
Invesco FTSE RAFI Canadian Index ETF Class (formerly, PowerShares FTSE RAFI® Canadian Fundamental Index Class)	ON		
Invesco FTSE RAFI Canadian Small-Mid Index ETF (formerly, PS FTSE RAFI Canadian Small-Mid Fundamental Index ETF)	ON		
Invesco FTSE RAFI Emerging Markets ETF Class (formerly, PowerShares FTSE RAFI® Emerging Markets Fundamental Class)	ON		
Invesco FTSE RAFI Global Small-Mid ETF (formerly, PowerShares FTSE RAFI Global Small-Mid Fundamental ETF)	ON		
Invesco FTSE RAFI Global+ ETF Fund (formerly, PowerShares FTSE RAFI® Global+ Fundamental Fund)	ON		
Invesco FTSE RAFI Global+ Index ETF (formerly, PowerShares FTSE RAFI Global+ Fundamental Index ETF)	ON		
Invesco FTSE RAFI U.S. ETF Fund (formerly, PowerShares FTSE RAFI® U.S. Fundamental Fund)	ON		
Invesco FTSE RAFI U.S. Index ETF (formerly, PowerShares FTSE RAFI U.S. Fundamental Index ETF)	ON		
Invesco FTSE RAFI U.S. Index ETF II (Formerly, PowerShares FTSE RAFI U.S. Fundamental Index ETF II)	ON		
Invesco Fundamental High Yield Corporate Bond Index ETF (formerly, PowerShares Fundamental High Yield Corporate Bond Ind	ON		
Invesco Global Balanced Class (formerly, Trimark Global Balanced Class)	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Invesco Global Balanced Fund (formerly, Trimark Global Balanced Fund)	ON		
Invesco Global Bond Fund	ON		
Invesco Global Companies Fund (formerly, Trimark Fund)	ON		
Invesco Global Diversified Companies Class (formerly, Trimark Global Fundamental Equity Class)	ON		
Invesco Global Diversified Companies Fund (formerly, Trimark Global Fundamental Equity Fund)	ON		
Invesco Global Diversified Income Fund (formerly, Trimark Global Diversified Income Fund)	ON		
Invesco Global Dividend Achievers ETF Fund (formerly, PowerShares Global Dividend Achievers Fund)	ON		
Invesco Global Dividend Class (formerly, Trimark Global Dividend Class)	ON		
Invesco Global Dividend Income Fund	ON		
Invesco Global Endeavour Class (formerly, Trimark Global Endeavour Class)	ON		
Invesco Global Endeavour Fund (formerly, Trimark Global Endeavour Fund)	ON		
Invesco Global Growth Class	ON		
Invesco Global High Yield Bond Fund	ON		
Invesco Global Monthly Income Fund	ON		
Invesco Global Real Estate Fund	ON		
Invesco Global Shareholder Yield ETF (formerly, PowerShares Global Shareholder Yield ETF)	ON		
Invesco Global Small Companies Class (formerly, Trimark Global Small Companies Class)	ON		
Invesco Growth ETF Portfolio (formerly, Growth Portfolio)	ON		
Invesco Growth Portfolio	ON		
Invesco High Growth ETF Portfolio (formerly, High Growth Portfolio)	ON		
Invesco High Growth Portfolio	ON		
Invesco Income Growth Fund (formerly, Trimark Income Growth Fund)	ON		
Invesco Indo-Pacific Fund	ON		
Invesco Intactive 2023 Portfolio	ON		
Invesco Intactive 2028 Portfolio	ON		
Invesco Intactive 2033 Portfolio	ON		
Invesco Intactive 2038 Portfolio	ON		
Invesco Intactive Balanced Growth Portfolio	ON		
Invesco Intactive Balanced Growth Portfolio Class	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Invesco Intactive Balanced Income Portfolio	ON		
Invesco Intactive Balanced Income Portfolio Class	ON		
Invesco Intactive Diversified Income Portfolio	ON		
Invesco Intactive Diversified Income Portfolio Class	ON		
Invesco Intactive Growth Portfolio	ON		
Invesco Intactive Growth Portfolio Class	ON		
Invesco Intactive Maximum Growth Portfolio	ON		
Invesco Intactive Maximum Growth Portfolio Class	ON		
Invesco International Companies Class (formerly, Trimark International Companies Class)	ON		
Invesco International Companies Fund (formerly, Trimark International Companies Fund)	ON		
Invesco International Growth Class	ON		
Invesco International Growth Fund	ON		
Invesco LadderRite U.S. 0-5 Year Corporate Bond Index ETF (formerly, PowerShares LadderRite U.S. 0-5 Yr Corp Bond Index)	ON		
Invesco Long Term Government Bond Index ETF (formerly, PowerShares Ultra Liquid Long Term Government Bond Index ETF)	ON		
Invesco Low Volatility Portfolio ETF (formerly, PowerShares Low Volatility Portfolio ETF)	ON		
Invesco Moderate ETF Portfolio (formerly, Moderate Portfolio)	ON		
Invesco Moderate Portfolio	ON		
Invesco Monthly Income ETF Portfolio (formerly, PowerShares Monthly Income Fund)	ON		
Invesco QQQ Index ETF (formerly, PowerShares QQQ Index ETF)	ON		
Invesco Resources Fund (formerly, Trimark Resources Fund)	ON		
Invesco S&P 500 Equal Weight Index ETF	ON		
Invesco S&P 500 ESG Index ETF	ON		
Invesco S&P 500 High Dividend Low Volatility Index ETF (formerly, PowerShares S&P 500 High Dividend Low Vol Index ETF)	ON		
Invesco S&P 500 Low Volatility Index ETF (formerly, PowerShares S&P 500 Low Volatility Index ETF)	ON		
Invesco S&P 500 Low Volatility Index ETF Fund (formerly, PowerShares U.S. Low Volatility Index Fund)	ON		
Invesco S&P Emerging Markets Low Volatility Index ETF (formerly, PowerShares S&P Emerging Markets Low Vol Index ETF)	ON		
Invesco S&P Europe 350 Equal Weight Index ETF	ON		
Invesco S&P Global ex. Canada High Dividend Low Volatility Index ETF (formerly, PowerShares S&P Global ex. Can High Div	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Invesco S&P International Developed Low Volatility Index ETF (formerly, PowerShares S&P International Dev Low Vol Index)	ON		
Invesco S&P/TSX Composite Low Volatility Index ETF (formerly, PowerShares S&P/TSX Composite Low Volatility Index ETF)	ON		
Invesco S&P/TSX Composite Low Volatility Index ETF Class (formerly, PowerShares Canadian Low Volatility Index Class)	ON		
Invesco S&P/TSX REIT Income Index ETF (formerly, PowerShares S&P/TSX REIT Income Index ETF)	ON		
Invesco Select Balanced Fund (formerly, Trimark Select Balanced Fund)	ON		
Invesco Select Canadian Equity Fund	ON		
Invesco Senior Loan Index ETF (formerly, PowerShares Senior Loan Index ETF)	ON		
Invesco Short-Term Income Class	ON		
Invesco Strategic Yield Fund	ON		
Invesco Tactical Bond ETF (formerly, PowerShares Tactical Bond ETF)	ON		
Invesco Tactical Bond ETF Fund (formerly, PowerShares Tactical Bond Fund)	ON		
Invesco U.S. Companies Class (formerly, Trimark U.S. Companies Class)	ON		
Invesco U.S. Companies Fund (formerly, Trimark U.S. Companies Fund)	ON		
Invesco U.S. High Yield Bond Index Fund (formerly, PowerShares High Yield Corporate Bond Index Fund)	ON		
Invesco U.S. Money Market Fund (formerly, Trimark U.S. Money Market Fund)	ON		
Invesco U.S. Small Companies Class (formerly, Trimark U.S. Small Companies Class)	ON		
Invesque Inc. (formerly, Mainstreet Health Investments Inc.)	ON		
Investment Grade Managed Duration Income Fund	ON		
Investors Cornerstone Portfolio	MB		
Investors Growth Plus Portfolio	MB		
Investors Growth Portfolio	MB		
Investors Income Plus Portfolio	MB		
Investors Real Property Fund	MB		
Investors Retirement Growth Portfolio	MB		
Investors Retirement Plus Portfolio	MB		
Invictus MD Strategies Corp.	BC		
Iona Energy Inc	AB	1(a), 1(b), 1(c), 1(e)	
IPC Balanced Essentials Portfolio	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
IPC ESG Balanced Essentials Portfolio (formerly, Counsel Balanced Growth Portfolio)	ON		
IPC Growth Essentials Portfolio	ON		
IPC Income Essentials Portfolio	ON		
IPC Multi-Factor Canadian Equity	ON		
IPC Multi-Factor International Equity	ON		
IPC Multi-Factor U.S. Equity	ON		
IPL Plastics Inc.	QC		
iProfile Canadian Equity Private Class	MB		
iProfile Canadian Equity Private Pool	MB		
iProfile Emerging Markets Private Class	MB		
iProfile Emerging Markets Private Pool	MB		
iProfile Fixed Income Private Pool	MB		
iProfile International Equity Private Class	MB		
iProfile International Equity Private Pool	MB		
iProfile U.S. Equity Private Class	MB		
iProfile U.S. Equity Private Pool	MB		
Irish Life - IG Low Volatility Global Equity Pool	MB		
Irving Resources Inc.	BC		
ISE Limited	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
iShares 1-10 Year Laddered Corporate Bond Index ETF	ON		
iShares 1-10 Year Laddered Government Bond Index ETF	ON		
iShares 1-5 Year Laddered Corporate Bond Index ETF	ON		
iShares 1-5 year Laddered Government Bond Index ETF	ON		
iShares Canadian Corporate Bond Index ETF	ON		
iShares Canadian Financial Monthly Income ETF	ON		
iShares Canadian Fundamental Index ETF	ON		
iShares Canadian Government Bond Index ETF	ON		
iShares Canadian Growth Index ETF	ON		
iShares Canadian HYBrid Corporate Bond Index ETF	ON		
iShares Canadian Real Return Bond Index ETF	ON		
iShares Canadian Select Dividend Index ETF	ON		
iShares Canadian Value Index ETF	ON		
iShares China Index ETF	ON		
iShares Conservative Short Term Strategic Fixed Income ETF	ON		
iShares Conservative Strategic Fixed Income ETF	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
iShares Convertible Bond Index ETF	ON		
iShares Core Balanced ETF Portfolio (formerly iShares Balanced Income CorePortfolio™ Index ETF)	ON		
iShares Core Canadian Long Term Bond Index ETF	ON		
iShares Core Canadian Short Term Bond Index ETF	ON		
iShares Core Canadian Short Term Corporate + Maple Bond Index ETF	ON		
iShares Core Canadian Universe Bond Index ETF	ON		
iShares Core Conservative Balanced ETF Portfolio	ON		
iShares Core Equity ETF Portfolio	ON		
iShares Core Growth ETF Portfolio (formerly iShares Balanced Growth CorePortfolio™ Index ETF)	ON		
iShares Core Income Balanced ETF Portfolio	ON		
iShares Core MSCI All Country World ex Canada Index ETF	ON		
iShares Core MSCI Canadian Quality Dividend Index ETF	ON		
iShares Core MSCI EAFE IMI Index ETF	ON		
iShares Core MSCI EAFE IMI Index ETF (CAD-Hedged)	ON		
iShares Core MSCI Emerging Markets IMI Index ETF	ON		
iShares Core MSCI Global Quality Dividend Index ETF	ON		
iShares Core MSCI Global Quality Dividend Index ETF (CAD-Hedged)	ON		
iShares Core MSCI US Quality Dividend Index ETF	ON		
iShares Core MSCI US Quality Dividend Index ETF (CAD-Hedged)	ON		
iShares Core S&P 500 Index ETF	ON		
iShares Core S&P 500 Index ETF (CAD-Hedged)	ON		
iShares Core S&P U.S. Total Market Index ETF	ON		
iShares Core S&P U.S. Total Market Index ETF (CAD-Hedged)	ON		
iShares Core S&P/TSX Capped Composite Index ETF	ON		
iShares Diversified Monthly Income ETF	ON		
iShares Edge MSCI Min Vol Canada Index ETF	ON		
iShares Edge MSCI Min Vol EAFE Index ETF	ON		
iShares Edge MSCI Min Vol EAFE Index ETF (CAD-Hedged)	ON		
iShares Edge MSCI Min Vol Emerging Markets Index ETF	ON		
iShares Edge MSCI Min Vol Global Index ETF	ON		
iShares Edge MSCI Min Vol Global Index ETF (CAD-Hedged)	ON		
iShares Edge MSCI Min Vol USA Index ETF	ON		
iShares Edge MSCI Min Vol USA Index ETF (CAD-Hedged)	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
iShares Edge MSCI Multifactor Canada Index ETF	ON		
iShares Edge MSCI Multifactor EAFE Index ETF	ON		
iShares Edge MSCI Multifactor EAFE Index ETF (CAD-Hedged)	ON		
iShares Edge MSCI Multifactor USA Index ETF	ON		
iShares Edge MSCI Multifactor USA Index ETF (CAD-Hedged)	ON		
iShares Edge MSCI USA Momentum Factor Index ETF	ON		
iShares Edge MSCI USA Quality Factor Index ETF	ON		
iShares Edge MSCI USA Value Factor Index ETF	ON		
iShares Emerging Markets Fundamental Index ETF	ON		
iShares Equal Weight Banc & Lifeco ETF	ON		
iShares ESG Advanced MSCI Canada Index ETF	ON		
iShares ESG Advanced MSCI EAFE Index ETF	ON		
iShares ESG Advanced MSCI USA Index ETF	ON		
iShares ESG Aware MSCI Canada Index ETF (formerly iShares ESG MSCI Canada Index ETF)	ON		
iShares ESG Aware MSCI EAFE Index ETF (formerly iShares ESG MSCI EAFE Index ETF)	ON		
iShares ESG Aware MSCI Emerging Markets Index ETF (formerly iShares ESG MSCI Emerging Markets Index ETF)	ON		
iShares ESG Aware MSCI USA Index ETF (formerly iShares ESG MSCI USA Index ETF)	ON		
iShares ESG Canadian Aggregate Bond Index ETF	ON		
iShares ESG Canadian Short Term Bond Index ETF	ON		
iShares Floating Rate Index ETF	ON		
iShares Global Agriculture Index ETF	ON		
iShares Global Government Bond Index ETF (CAD-Hedged) (formerly RBC Global Government Bond (CAD Hedged) Index ETF)	ON		
iShares Global Healthcare Index ETF (CAD-Hedged)	ON		
iShares Global Infrastructure Index ETF	ON		
iShares Global Monthly Dividend Index ETF (CAD-Hedged)	ON		
iShares Global Real Estate Index ETF	ON		
iShares Global Water Index ETF	ON		
iShares Gold Bullion ETF	ON		
iShares High Quality Canadian Bond Index ETF	ON		
iShares India Index ETF	ON		
iShares International Fundamental Index ETF	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
iShares J.P. Morgan USD Emerging Markets Bond Index ETF (CAD-Hedged)	ON		
iShares Jantzi Social Index ETF	ON		
iShares Japan Fundamental Index ETF (CAD-Hedged)	ON		
iShares MSCI EAFE Index ETF (CAD-Hedged)	ON		
iShares MSCI Emerging Markets Index ETF	ON		
iShares MSCI Europe IMI Index ETF	ON		
iShares MSCI Europe IMI Index ETF (CAD-Hedged)	ON		
iShares MSCI World Index ETF	ON		
iShares NASDAQ 100 Index ETF (CAD-Hedged)	ON		
iShares Premium Money Market ETF	ON		
iShares S&P Global Consumer Discretionary Index ETF (CAD-Hedged)	ON		
iShares S&P Global Industrials Index ETF (CAD-Hedged)	ON		
iShares S&P U.S. Mid-Cap Index ETF	ON		
iShares S&P U.S. Mid-Cap Index ETF (CAD-Hedged)	ON		
iShares S&P U.S. Small-Cap Index ETF	ON		
iShares S&P U.S. Small-Cap Index ETF (CAD-Hedged)	ON		
iShares S&P/TSX 60 Index ETF	ON		
iShares S&P/TSX Canadian Dividend Aristocrats Index ETF	ON		
iShares S&P/TSX Canadian Preferred Share Index ETF	ON		
iShares S&P/TSX Capped Consumer Staples Index ETF	ON		
iShares S&P/TSX Capped Energy Index ETF	ON		
iShares S&P/TSX Capped Financials Index ETF	ON		
iShares S&P/TSX Capped Information Technology Index ETF	ON		
iShares S&P/TSX Capped Materials Index ETF	ON		
iShares S&P/TSX Capped REIT Index ETF	ON		
iShares S&P/TSX Capped Utilities Index ETF	ON		
iShares S&P/TSX Completion Index ETF	ON		
iShares S&P/TSX Composite High Dividend Index ETF	ON		
iShares S&P/TSX Global Base Metals Index ETF	ON		
iShares S&P/TSX Global Gold Index ETF	ON		
iShares S&P/TSX North American Preferred Stock Index ETF (CAD-Hedged)	ON		
iShares S&P/TSX SmallCap Index ETF	ON		
iShares Short Duration High Income ETF (CAD-Hedged)	ON		
iShares Short Term High Quality Canadian Bond Index ETF	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
iShares Short Term Strategic Fixed Income ETF	ON		
iShares Silver Bullion ETF	ON		
iShares U.S. High Dividend Equity Index ETF	ON		
iShares U.S. High Dividend Equity Index ETF (CAD-Hedged)	ON		
iShares U.S. High Yield Bond Index ETF (CAD-Hedged)	ON		
iShares U.S. High Yield Fixed Income Index ETF (CAD-Hedged)	ON		
iShares U.S. IG Corporate Bond Index ETF (CAD-Hedged)	ON		
iShares U.S. Small Cap Index ETF (CAD-Hedged)	ON		
iShares US Dividend Growers Index ETF (CAD-Hedged)	ON		
iShares US Fundamental Index ETF	ON		
Itafos (formerly, MBAC Fertilizer Corp.)	ON		
itemus inc.	BC	1(a), 1(b), 1(c), 1(d), 1(e), 1(g)	
IVACO INC	QC	1(a), 1(b), 1(c), 1(d), 1(e)	
Ivanhoe Energy Inc.	BC	1(a), 1(b), 1(c), 1(d), 1(e)	
Ivanhoe Mines Ltd.	BC		
Jade Power Trust (formerly Blockchain Power Trust)	ON		
Jaguar Mining Inc.	ON		
Jamieson Wellness Inc.	ON		
Jayden Resources Inc.	BC		
Jeotex Inc.	ON	1(a), 1(b), 1(c), 1(e)	
Jervois Mining Limited	BC		
JFT Strategies Fund	ON		
John Deere Canada Funding Inc.	ON		
Journey Energy Inc.	AB		
JPMorgan - IG Emerging Markets Pool	MB		
JPMorgan - IG U.S. Equity Long/Short Pool	MB		
JUMBO PETROLEUM CORPORATION	ON	1(a), 1(b), 1(c), 1(e)	
June 2020 Corporate Bond Trust	QC		
June 2021 Investment Grade Bond Pool	ON		
Jura Energy Corporation	AB		
Just Energy Group Inc.	ON		
Karnalyte Resources Inc.	SK		
Katanga Mining Limited	ON		
K-Bro Linen Inc.	AB		
Kelt Exploration Ltd.	AB		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Kerr Mines Inc.	ON		
Kew Media Group Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Keyera Corp.	AB		
Khiron Life Sciences Corp.	ON		
Killam Apartment Limited Partnership	NS		
Killam Apartment Real Estate Investment Trust	NS		
Kinaxis Inc.	ON		
Kingsway Financial Services Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Kinross Gold Corporation	ON		
Kirkland Lake Gold Ltd.	ON		
kneat.com, inc.	NS		
Knight Therapeutics Inc.	QC		
KOLOMBO TECHNOLOGIES LTD.	QC	1(a), 1(b), 1(c), 1(e)	
KP Tissue Inc.	ON		
Kraken Robotics Inc.	BC		
Kure Technologies, Inc.	ON		
LAB Research Inc.	QC	1(a), 1(b), 1(c), 1(d), 1(e)	
Labrador Iron Mines Holdings Limited	ON		
Labrador Iron Ore Royalty Corporation	ON		
Lakeview Hotel Investment Corp.	MB		
Landdrill International Inc.	NB	1(a), 1(b), 1(c), 1(e)	Yes
Landmark Global Financial Corporation	ON	1(a), 1(b), 1(c), 1(e)	
Lanesborough Real Estate Investment Trust	MB		
Lantronix, Inc.	BC		
Laramide Resources Ltd.	ON		
LAURENTIAN BANK OF CANADA	QC		
Lazard Defensive Global Dividend Fund (formerly, Lazard Global Managed Volatility Fund)	ON		
Lazard Global Balanced Income Fund	ON		
Lazard Global Compounders Fund (formerly, Greystone Global Equity Fund)	ON		
LDIC North American Infrastructure Fund	ON		
LDIC North American Small Business Fund (Corporate Class)	ON		
Legacy Education Savings Plan (formerly, Global Educational Trust Plan)	ON		
Legend Power Systems Inc.	BC		
LeoNovus Inc.	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Leon's Furniture Limited	ON		
Leucrotta Exploration Inc.	AB		
LexaGene Holdings Inc. (formerly, Wolfeye Resource Corp.)	BC		
LGC Skyrota Wind Energy Corp.	BC	1(a), 1(b), 1(c), 1(e)	
Liberty Defense Holdings, Ltd. (formerly, Gulfstream Acquisition 1 Corp.)	BC		
Liberty Gold Corp. (formerly Pilot Gold Inc.)	BC		
Life & Banc Split Corp.	ON		
Lightspeed POS Inc.	QC		
Lightstream Resources Ltd.	AB	1(a), 1(b), 1(c), 1(e)	
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	QC		
Lincluden Balanced Fund	ON		
Lions Petroleum Inc.	ON	1(a), 1(b), 1(c), 1(e)	
Lithium Americas Corp.	BC		
Livent Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e), 1(g)	
Loblaw Companies Limited	ON		
Logistec Corporation	QC		
Loncor Resources Inc.	ON		
Long Duration Bond Fund	ON		
Long Duration Credit Bond Fund	ON		
Long Term Bond Fund (Portico)	ON		
Loomis Sayles Global Diversified Corporate Bond Class	ON		
Loomis Sayles Global Diversified Corporate Bond Fund	ON		
Loomis Sayles Strategic Monthly Income Fund	ON		
Loop Insights Inc.	BC		
Lorica Canadian Fixed Income Fund (formerly Marquest Canadian Fixed Income Fund)	ON		
LSC Communications, Inc.	ON		
Lucky Minerals Inc.	BC		
lululemon athletica inc.	BC		
Lundin Gold Inc.	BC		
Lundin Mining Corporation	ON		
Lupaka Gold Corp.	BC		
LWP Capital Inc. (formerly Legumex Walker Inc.)	MB	1(a), 1(b), 1(c), 1(e)	
LXRandCo, Inc. (formerly Gibraltar Growth Corporation)	QC		
Lydian International Limited	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Lysander Balanced Income Fund (formerly Lysander-Triasima Balanced Income Fund)	ON		
Lysander TDV Fund	ON		
Lysander-18 Asset Management Canadian Equity Fund	ON		
Lysander-Canso Balanced Fund	ON		
Lysander-Canso Bond Fund	ON		
Lysander-Canso Broad Corporate Bond Fund	ON		
Lysander-Canso Corporate Value Bond Fund	ON		
Lysander-Canso Credit Opportunities Fund	ON		
Lysander-Canso Equity Fund	ON		
Lysander-Canso Short Term and Floating Rate Fund	ON		
Lysander-Canso U.S. Credit Fund	ON		
Lysander-Crusader Equity Income Fund	ON		
Lysander-Fulcra Corporate Securities Fund	ON		
Lysander-Seamark Balanced Fund	ON		
Lysander-Seamark Total Equity Fund	ON		
Lysander-Slater Preferred Share ActivETF	ON		
Lysander-Slater Preferred Share Dividend Fund	ON		
Lysander-Triasima All Country Equity Fund	ON		
Lysander-Triasima All Country Long/Short Equity Fund	ON		
M Split Corp.	ON		
M8 Entertainment Inc	QC	1(a), 1(b), 1(c), 1(d), 1(e)	
MacDonald Mines Exploration Ltd.	ON		
Mackenzie - IG Canadian Bond Pool	MB		
Mackenzie - IG Canadian Corporate Bond Pool	MB		
Mackenzie - IG Canadian Equity Income Pool	MB		
Mackenzie - IG Canadian Equity Pool	MB		
Mackenzie - IG Equity Pool	MB		
Mackenzie - IG Global Bond Pool	MB		
Mackenzie - IG Global Credit Opportunities Pool	MB		
Mackenzie - IG Global Inflation-Linked Pool	MB		
Mackenzie - IG Income Pool	MB		
Mackenzie - IG International Equity Pool	MB		
Mackenzie - IG Low Volatility Canadian Equity Pool	MB		
Mackenzie - IG Low Volatility Emerging Markets Equity Pool	MB		
Mackenzie - IG Low Volatility U.S. Equity Pool	MB		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Mackenzie - IG U.S. Equity Pool	MB		
Mackenzie All China Equity Fund	ON		
Mackenzie Alternative Enhanced Yield Fund	ON		
Mackenzie Anti-Benchmark Global Investment Grade Fund	ON		
Mackenzie Asian Small-Mid Cap Fund	ON		
Mackenzie Balanced ETF Portfolio	ON		
Mackenzie Broad Risk Premia Collection Fund	ON		
Mackenzie Canadian Aggregate Bond Index ETF	ON		
Mackenzie Canadian All Corporate Bond Index ETF	ON		
Mackenzie Canadian Bond Fund	ON		
Mackenzie Canadian Dividend Class	ON		
Mackenzie Canadian Dividend Fund	ON		
Mackenzie Canadian Equity Class	ON		
Mackenzie Canadian Equity Fund	ON		
Mackenzie Canadian Equity Index ETF	ON		
Mackenzie Canadian Growth Balanced Class	ON		
Mackenzie Canadian Growth Balanced Fund	ON		
Mackenzie Canadian Growth Class	ON		
Mackenzie Canadian Growth Fund	ON		
Mackenzie Canadian Large Cap Equity Index ETF	ON		
Mackenzie Canadian Money Market Fund	ON		
Mackenzie Canadian Short Term Fixed Income ETF	ON		
Mackenzie Canadian Short Term Income Fund	ON		
Mackenzie Canadian Short-Term Bond Index ETF	ON		
Mackenzie Canadian Small Cap Class	ON		
Mackenzie Canadian Small Cap Fund	ON		
Mackenzie China A-Shares CSI 300 Index ETF	ON		
Mackenzie China Bond Fund	ON		
Mackenzie Conservative ETF Portfolio	ON		
Mackenzie Conservative Income ETF Portfolio	ON		
Mackenzie Core Plus Canadian Fixed Income ETF	ON		
Mackenzie Core Plus Global Fixed Income ETF	ON		
Mackenzie Corporate Bond Fund	ON		
Mackenzie Credit Absolute Return Fund	ON		
Mackenzie Cundill Canadian Balanced Fund	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Mackenzie Cundill Canadian Security Class	ON		
Mackenzie Cundill Canadian Security Fund	ON		
Mackenzie Cundill Value Class	ON		
Mackenzie Cundill Value Fund	ON		
Mackenzie Diversified Alternatives Fund	ON		
Mackenzie Emerging Markets Bond Index ETF (CAD-Hedged)	ON		
Mackenzie Emerging Markets Class	ON		
Mackenzie Emerging Markets Fund	ON		
Mackenzie Emerging Markets Large Cap Fund	ON		
Mackenzie Emerging Markets Local Currency Bond Index ETF	ON		
Mackenzie Emerging Markets Opportunities Fund	ON		
Mackenzie Emerging Markets Small Cap Fund	ON		
Mackenzie Enhanced Equity Risk Premia Fund	ON		
Mackenzie Enhanced Fixed Income Risk Premia Fund	ON		
Mackenzie European Small-Mid Cap Fund	ON		
Mackenzie Floating Rate Income ETF	ON		
Mackenzie Floating Rate Income Fund	ON		
Mackenzie Global Credit Opportunities Fund	ON		
Mackenzie Global Dividend Fund	ON		
Mackenzie Global Energy Opportunities Long/Short Fund	ON		
Mackenzie Global Environmental Equity Fund	ON		
Mackenzie Global Equity Fund	ON		
Mackenzie Global Equity Income Fund	ON		
Mackenzie Global Growth Balanced Fund	ON		
Mackenzie Global Growth Class	ON		
Mackenzie Global High Yield Fixed Income ETF	ON		
Mackenzie Global Inflation-Linked Fund	ON		
Mackenzie Global Leadership Impact ETF	ON		
Mackenzie Global Leadership Impact Fund	ON		
Mackenzie Global Long/Short Equity Alpha Fund	ON		
Mackenzie Global Macro Fund	ON		
Mackenzie Global Resource Class	ON		
Mackenzie Global Resource Fund	ON		
Mackenzie Global Small Mid-Cap Fund	ON		
Mackenzie Global Small-Mid Cap Equity Class	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Mackenzie Global Small-Mid Cap Equity Fund	ON		
Mackenzie Global Strategic Income Fund	ON		
Mackenzie Global Sustainability and Impact Balanced Fund	ON		
Mackenzie Global Tactical Bond Fund	ON		
Mackenzie Global Tactical Investment Grade Bond Fund	ON		
Mackenzie Gold Bullion Class	ON		
Mackenzie Growth ETF Portfolio	ON		
Mackenzie Growth Fund	ON		
Mackenzie High Diversification Canadian Equity Class	ON		
Mackenzie High Diversification Emerging Markets Equity Fund	ON		
Mackenzie High Diversification European Equity Fund	ON		
Mackenzie High Diversification Global Equity Fund	ON		
Mackenzie High Diversification International Equity Fund	ON		
Mackenzie High Diversification US Equity Fund	ON		
Mackenzie Income Fund	ON		
Mackenzie International Dividend Fund	ON		
Mackenzie International Equity Index ETF	ON		
Mackenzie International Equity Index ETF (CAD-Hedged)	ON		
Mackenzie International Quantitative Large Cap Fund	ON		
Mackenzie International Quantitative Small Cap Fund	ON		
Mackenzie Investment Grade Floating Rate Fund	ON		
Mackenzie Ivy Canadian Balanced Class	ON		
Mackenzie Ivy Canadian Balanced Fund	ON		
Mackenzie Ivy Canadian Fund	ON		
Mackenzie Ivy European Class	ON		
Mackenzie Ivy Foreign Equity Class	ON		
Mackenzie Ivy Foreign Equity Currency Neutral Class	ON		
Mackenzie Ivy Foreign Equity Fund	ON		
Mackenzie Ivy Global Balanced Class	ON		
Mackenzie Ivy Global Balanced Fund	ON		
Mackenzie Ivy Global Equity ETF	ON		
Mackenzie Ivy International Class	ON		
Mackenzie Ivy International Fund	ON		
Mackenzie Master Limited Partnership	ON		
Mackenzie Maximum Diversification All World Developed ex North America Index ETF	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Mackenzie Maximum Diversification All World Developed Index ETF	ON		
Mackenzie Maximum Diversification Canada Index ETF	ON		
Mackenzie Maximum Diversification Developed Europe Index ETF	ON		
Mackenzie Maximum Diversification Emerging Markets Index ETF	ON		
Mackenzie Maximum Diversification US Index ETF	ON		
Mackenzie Moderate Growth ETF Portfolio	ON		
Mackenzie Monthly Income Balanced Portfolio	ON		
Mackenzie Monthly Income Conservative Portfolio	ON		
Mackenzie Multi-Strategy Absolute Return Fund	ON		
Mackenzie North American Corporate Bond Fund	ON		
Mackenzie Portfolio Completion ETF	ON		
Mackenzie Precious Metals Class	ON		
Mackenzie Private Canadian Focused Equity Pool	ON		
Mackenzie Private Canadian Focused Equity Pool Class	ON		
Mackenzie Private Global Conservative Income Balanced Pool	ON		
Mackenzie Private Global Equity Pool	ON		
Mackenzie Private Global Equity Pool Class	ON		
Mackenzie Private Global Fixed Income Pool	ON		
Mackenzie Private Global Income Balanced Pool	ON		
Mackenzie Private Income Balanced Pool	ON		
Mackenzie Private Income Balanced Pool Class	ON		
Mackenzie Private US Equity Pool	ON		
Mackenzie Private US Equity Pool Class	ON		
Mackenzie Sovereign Bond Fund	ON		
Mackenzie Strategic Bond Fund	ON		
Mackenzie Strategic Income Class	ON		
Mackenzie Strategic Income Fund	ON		
Mackenzie Unconstrained Bond ETF	ON		
Mackenzie Unconstrained Fixed Income Fund	ON		
Mackenzie US All Cap Growth Fund	ON		
Mackenzie US Dividend Fund	ON		
Mackenzie US Growth Class	ON		
Mackenzie US High Yield Bond Index ETF (CAD-Hedged)	ON		
Mackenzie US Investment Grade Corporate Bond Index ETF (CAD-Hedged)	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Mackenzie US Large Cap Equity Index ETF	ON		
Mackenzie US Large Cap Equity Index ETF (CAD-Hedged)	ON		
Mackenzie US Mid Cap Growth Class	ON		
Mackenzie US Mid Cap Growth Currency Neutral Class	ON		
Mackenzie US Mid Cap Opportunities Fund	ON		
Mackenzie US Quantitative Large Cap Fund	ON		
Mackenzie US Quantitative Small Cap Fund	ON		
Mackenzie US Small Cap Fund	ON		
Mackenzie US TIPS Index ETF (CAD-Hedged)	ON		
Mackenzie USD Global Strategic Income Fund	ON		
Mackenzie USD Global Tactical Bond Fund	ON		
Mackenzie USD Ultra Short Duration Income Fund	ON		
MAG Silver Corp.	BC		
Magellan Aerospace Corporation	ON		
MagIndustries Corp.	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Magna Entertainment Corp.	ON	1(a), 1(b), 1(c), 1(e)	
Magna International Inc.	ON		
Magnum Hunter Resources Corporation	AB	1(a), 1(b), 1(c), 1(d), 1(e)	
Major Drilling Group International Inc.	NB		
Mandalay Resources Corporation	ON		
Manitok Energy Inc.	AB	1(a), 1(b), 1(c), 1(e)	
Manulife Asia Equity Class	ON		
Manulife Balanced Equity Private Pool	ON		
Manulife Balanced Income Private Trust	ON		
Manulife Balanced Portfolio	ON		
Manulife Bond Fund	ON		
Manulife Canadian Balanced Fund	ON		
Manulife Canadian Balanced Private Pool	ON		
Manulife Canadian Bond Fund	ON		
Manulife Canadian Dividend Growth Class	ON		
Manulife Canadian Dividend Growth Fund	ON		
Manulife Canadian Equity Class (formerly Manulife Fundamental Equity Class)	ON		
Manulife Canadian Equity Private Pool	ON		
Manulife Canadian Growth and Income Private Trust	ON		
Manulife Canadian Investment Class	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Manulife Canadian Investment Fund	ON		
Manulife Canadian Unconstrained Bond Fund	ON		
Manulife Canadian Universe Bond Fund	ON		
Manulife China Class	ON		
Manulife Conservative Portfolio	ON		
Manulife Corporate Bond Fund	ON		
Manulife Corporate Fixed Income Private Trust	ON		
Manulife Covered Call U.S. Equity Class	ON		
Manulife Covered Call U.S. Equity Fund	ON		
Manulife Diversified Alpha Portfolio	ON		
Manulife Diversified Investment Fund	ON		
Manulife Dividend Income Class	ON		
Manulife Dividend Income Fund	ON		
Manulife Dividend Income Plus Class	ON		
Manulife Dividend Income Plus Fund	ON		
Manulife Dividend Income Private Pool	ON		
Manulife Dollar-Cost Averaging Fund	ON		
Manulife EAFE Equity Fund (formerly Manulife International Focused Fund)	ON		
Manulife Emerging Markets Fund	ON		
Manulife Finance (Delaware), L.P.	ON		
Manulife Financial Corporation	ON		
Manulife Floating Rate Income Fund	ON		
Manulife Floating Rate Senior Loan Fund	ON		
Manulife Fundamental Balanced Class	ON		
Manulife Fundamental Dividend Class	ON		
Manulife Fundamental Dividend Fund	ON		
Manulife Fundamental Equity Fund	ON		
Manulife Fundamental Income Class	ON		
Manulife Fundamental Income Fund	ON		
Manulife Global All Cap Focused Fund	ON		
Manulife Global Balanced Fund	ON		
Manulife Global Balanced Private Trust	ON		
Manulife Global Core Plus Bond Fund	ON		
Manulife Global Dividend Class	ON		
Manulife Global Dividend Fund	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Manulife Global Dividend Growth Class	ON		
Manulife Global Dividend Growth Fund	ON		
Manulife Global Equity Class	ON		
Manulife Global Equity Private Pool	ON		
Manulife Global Fixed Income Private Trust	ON		
Manulife Global Franchise Class	ON		
Manulife Global Franchise Fund	ON		
Manulife Global Listed Infrastructure Class (formerly Manulife Global Infrastructure Class)	ON		
Manulife Global Listed Infrastructure Fund (formerly Manulife Global Infrastructure Fund)	ON		
Manulife Global Managed Volatility Portfolio	ON		
Manulife Global Real Estate Unconstrained Fund	ON		
Manulife Global Small Cap Balanced Fund	ON		
Manulife Global Small Cap Fund	ON		
Manulife Global Strategic Balanced Yield Fund	ON		
Manulife Global Thematic Opportunities Class	ON		
Manulife Global Thematic Opportunities Fund	ON		
Manulife Global Unconstrained Bond Fund	ON		
Manulife Growth Opportunities Class	ON		
Manulife Growth Opportunities Fund	ON		
Manulife Growth Portfolio	ON		
Manulife Income Fund 2022	ON		
Manulife Income Fund 2027	ON		
Manulife Income Fund 2032	ON		
Manulife Income Fund 2037	ON		
Manulife Income Fund 2042	ON		
Manulife Income Fund 2047	ON		
Manulife Income Fund 2052	ON		
Manulife Income Fund 2057	ON		
Manulife Income Fund 2062	ON		
Manulife Income Fund 2067	ON		
Manulife International Equity Private Trust	ON		
Manulife Moderate Portfolio	ON		
Manulife Money Market Fund	ON		
Manulife Monthly High Income Class	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Manulife Monthly High Income Fund	ON		
Manulife Multifactor Canadian Large Cap Index ETF	ON		
Manulife Multifactor Canadian SMID Cap Index ETF	ON		
Manulife Multifactor Developed International Index ETF	ON		
Manulife Multifactor Emerging Markets Index ETF	ON		
Manulife Multifactor U.S. Large Cap Index ETF	ON		
Manulife Multifactor U.S. Mid Cap Index ETF	ON		
Manulife Multifactor U.S. Small Cap Index ETF	ON		
Manulife Quantitative Fixed Income Fund 2022	ON		
Manulife Quantitative Fixed Income Fund 2027	ON		
Manulife Quantitative Fixed Income Fund 2032	ON		
Manulife Quantitative Fixed Income Fund 2037	ON		
Manulife Quantitative Fixed Income Fund 2042	ON		
Manulife Short Term Yield Class	ON		
Manulife Simplicity Balanced Portfolio	ON		
Manulife Simplicity Conservative Portfolio	ON		
Manulife Simplicity Global Balanced Portfolio	ON		
Manulife Simplicity Growth Portfolio	ON		
Manulife Simplicity Moderate Portfolio	ON		
Manulife Strategic Balanced Yield Fund	ON		
Manulife Strategic Dividend Bundle	ON		
Manulife Strategic Income Fund	ON		
Manulife Strategic Investment Grade Global Bond Fund	ON		
Manulife Tactical Income Fund	ON		
Manulife Tax-Managed Growth Fund	ON		
Manulife U.S. All Cap Equity Class	ON		
Manulife U.S. All Cap Equity Fund	ON		
Manulife U.S. Balanced Private Trust	ON		
Manulife U.S. Balanced Value Private Trust	ON		
Manulife U.S. Dividend Income Class	ON		
Manulife U.S. Dividend Income Fund	ON		
Manulife U.S. Dollar Strategic Balanced Yield Fund	ON		
Manulife U.S. Dollar Strategic Income Fund	ON		
Manulife U.S. Dollar U.S. All Cap Equity Fund	ON		
Manulife U.S. Equity Fund	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Manulife U.S. Equity Private Pool	ON		
Manulife U.S. Monthly High Income Fund	ON		
Manulife U.S. Opportunities Fund	ON		
Manulife U.S. Regional Bank Trust	ON		
Manulife U.S. Unconstrained Bond Fund	ON		
Manulife Value Balanced Class	ON		
Manulife Value Balanced Fund	ON		
Manulife World Investment Class	ON		
Manulife World Investment Fund	ON		
Manulife Yield Opportunities Fund	ON		
Maple Gold Mines Ltd. (formerly Aurvista Gold Corporation)	BC		
Maple Leaf 2013 Oil & Gas Income Limited Partnership	BC		
Maple Leaf Foods Inc.	ON		
Maple Leaf Income Class	BC		
Maple Leaf Resource Class	BC		
Maple Leaf Short Duration 2019-II Flow-Through Limited Partnership - National Class	BC		
Maple Leaf Short Duration 2019-II Flow-Through Limited Partnership - Quebec Class	BC		
Maple Leaf Short Duration 2020 Flow-Through Limited Partnership - National Class	BC		
Maple Leaf Short Duration 2020 Flow-Through Limited Partnership - Quebec Class	BC		
Marathon Gold Corporation	ON		
Marathon Oil Corporation	AB		
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	ON		
Marquest Mutual Funds Inc. - Explorer Series Fund	ON		
Marquest Mutual Funds Inc. - Flex Dividend and Income Growth Series Fund	ON		
Marquis Balanced Class Portfolio	ON		
Marquis Balanced Growth Class Portfolio	ON		
Marquis Balanced Growth Portfolio	ON		
Marquis Balanced Income Portfolio	ON		
Marquis Balanced Portfolio	ON		
Marquis Equity Portfolio	ON		
Marquis Growth Portfolio	ON		
Marquis Institutional Balanced Growth Portfolio	ON		
Marquis Institutional Balanced Portfolio	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Marquis Institutional Bond Portfolio	ON		
Marquis Institutional Canadian Equity Portfolio	ON		
Marquis Institutional Equity Portfolio	ON		
Marquis Institutional Global Equity Portfolio	ON		
Marquis Institutional Growth Portfolio	ON		
Marret High Yield Strategies Fund	ON		
Marret Multi-Strategy Income Fund	ON		
Marret Short Duration High Yield Fund	ON		
Matrix 2013 Short Duration National Class	ON	1(a), 1(b), 1(c), 1(d)	
Matrix 2013 Short Duration Québec Class	ON	1(a), 1(b), 1(c), 1(d)	
Matrix Asset Management Inc.	BC	1(a), 1(b), 1(c), 1(e)	
Maudore Minerals Ltd	QC	1(a), 1(b), 1(c), 1(e)	
MAV Beauty Brands Inc.	ON		
Maverix Metals Inc.	BC		
Mawer Balanced Fund	AB		
Mawer Canadian Bond Fund	AB		
Mawer Canadian Equity Fund	AB		
Mawer Canadian Money Market Fund	AB		
Mawer EAFE Large Cap Fund	AB		
Mawer Emerging Markets Equity Fund	AB		
Mawer Global Balanced Fund	AB		
Mawer Global Bond Fund	AB		
Mawer Global Equity Fund	AB		
Mawer Global Small Cap Fund	AB		
Mawer International Equity Fund	AB		
Mawer New Canada Fund	AB		
Mawer Tax Effective Balanced Fund	AB		
Mawer U.S. Equity Fund	AB		
Maxar Technologies Inc.	ON		
MBN Corporation	AB		
MCAN Mortgage Corporation	ON		
McEwen Mining Inc. (formerly US Gold Corporation)	ON		
mCloud Technologies Corp. (formerly Universal mCloud Corp.)	BC		
MD American Growth Fund	ON		
MD American Value Fund	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
MD Bond Fund	ON		
MD Canadian Equity Fund (formerly MD Select Fund)	ON		
MD Dividend Growth Fund	ON		
MD Equity Fund	ON		
MD Fossil Fuel Free Bond Fund	ON		
MD Fossil Fuel Free Equity Fund	ON		
MD Growth Investments Limited	ON		
MD International Growth Fund	ON		
MD International Value Fund	ON		
MD Money Fund	ON		
MD Precision Balanced Growth Portfolio	ON		
MD Precision Balanced Income Portfolio	ON		
MD Precision Canadian Balanced Growth Fund (formerly, MD Balanced Fund)	ON		
MD Precision Canadian Moderate Growth Fund (formerly, MD Dividend Income Fund)	ON		
MD Precision Conservative Portfolio	ON		
MD Precision Maximum Growth Portfolio	ON		
MD Precision Moderate Balanced Portfolio	ON		
MD Precision Moderate Growth Portfolio	ON		
MD Short-Term Bond Fund	ON		
MD Strategic Opportunities Fund	ON		
MD Strategic Yield Fund	ON		
MDC Partners Inc.	ON		
MDPIM Bond Pool (formerly MDPIM Canadian Long Term Bond Pool)	ON		
MDPIM Canadian Equity Pool	ON		
MDPIM Dividend Pool	ON		
MDPIM Emerging Markets Equity Pool	ON		
MDPIM International Equity Index Pool	ON		
MDPIM International Equity Pool	ON		
MDPIM S&P 500 Index Pool	ON		
MDPIM S&P/TSX Capped Composite Index Pool	ON		
MDPIM Short-Term Bond Pool (formerly MDPIM Canadian Bond Pool)	ON		
MDPIM Strategic Opportunities Pool	ON		
MDPIM Strategic Yield Pool	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
MDPIM US Equity Pool	ON		
Mediagrif Interactive Technologies Inc.	QC		
Medical Facilities Corporation	ON		
Medicare Inc.	MB		
MediPharm Labs Corp. (formerly POCML 4 Inc.)	ON		
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	BC		
MedX Health Corp.	ON		
MEG Energy Corp.	AB		
Mega Uranium Ltd.	ON		
Melcor Real Estate Investment Trust	AB		
Melodia 100 Percent Equity Growth Portfolio	QC		
Melodia Aggressive Growth Portfolio (formerly the Diapason High Growth Portfolio)	QC		
Melodia Balanced Growth Portfolio (formerly the Diapason Growth Portfolio)	QC		
Melodia Conservative Income Portfolio (formerly the Diapason Conservative Portfolio)	QC		
Melodia Diversified Growth Portfolio (formerly the Diapason Balanced Growth Portfolio)	QC		
Melodia Diversified Income Portfolio (formerly the Diapason Retirement Portfolio F (Growth))	QC		
Melodia Maximum Growth Portfolio (formerly the Diapason Maximum Growth Portfolio)	QC		
Melodia Moderate Growth Portfolio (formerly the Diapason Balanced Income Portfolio)	QC		
Melodia Moderate Income Portfolio (formerly the Diapason Retirement Portfolio D (Balanced Income))	QC		
Melodia Very Conservative Income Portfolio (formerly the Diapason Retirement Portfolio B (Conservative))	QC		
Mene Inc.	ON		
Mercer Park Brand Acquisition Corp.	ON		
Merida Merger Corp. I	ON		
Meritage American Equity Portfolio	QC		
Meritage Balanced Income Portfolio	QC		
Meritage Balanced Portfolio	QC		
Meritage Canadian Equity Class Portfolio	QC		
Meritage Canadian Equity Portfolio	QC		
Meritage Conservative Income Portfolio	QC		
Meritage Conservative Portfolio	QC		
Meritage Diversified Fixed Income Portfolio	QC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Meritage Global Balanced Portfolio	QC		
Meritage Global Conservative Portfolio	QC		
Meritage Global Dynamic Growth Class Portfolio	QC		
Meritage Global Dynamic Growth Portfolio	QC		
Meritage Global Equity Class Portfolio	QC		
Meritage Global Equity Portfolio	QC		
Meritage Global Growth Class Portfolio	QC		
Meritage Global Growth Portfolio	QC		
Meritage Global Moderate Portfolio	QC		
Meritage Growth Class Portfolio	QC		
Meritage Growth Income Portfolio	QC		
Meritage Growth Plus Class Portfolio	QC		
Meritage Growth Plus Income Portfolio	QC		
Meritage Growth Plus Portfolio	QC		
Meritage Growth Portfolio	QC		
Meritage International Equity Portfolio	QC		
Meritage Moderate Income Portfolio	QC		
Meritage Moderate Portfolio	QC		
Meritage Tactical ETF Balanced Portfolio	QC		
Meritage Tactical ETF Equity Portfolio	QC		
Meritage Tactical ETF Fixed Income Portfolio	QC		
Meritage Tactical ETF Growth Portfolio	QC		
Meritage Tactical ETF Moderate Portfolio	QC		
Merrill Lynch Financial Assets Inc.	ON		
Meta Growth Corp.	ON		
Metalla Royalty & Streaming Ltd.	BC		
Methanex Corporation	BC		
Metro inc.	QC		
Mid Cap Canada Fund (GWLIM)	ON		
Midas Gold Corp.	BC		
Middlefield American Core Dividend ETF	AB		
Middlefield Canadian Dividend Growers Class	AB		
Middlefield Can-Global REIT Income Fund	AB		
Middlefield Global Agriculture Class	AB		
Middlefield Global Dividend Growers Class	AB		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Middlefield Global Energy Class	AB		
Middlefield Global Infrastructure Fund	AB		
Middlefield Global Real Asset Fund	AB		
Middlefield Global Real Estate Class (formerly Middlefield Real Estate Class)	AB		
Middlefield Health & Wellness ETF	AB		
Middlefield Healthcare & Life Sciences ETF	AB		
Middlefield High Yield Class	AB		
Middlefield Income Plus Class	AB		
Middlefield REIT INDEXPLUS ETF	AB		
Middlefield Short-Term Income Class	AB		
Middlefield U.S. Dividend Growers Class	AB		
Millennial Lithium Corp.	BC		
MINCO SILVER CORPORATION	BC		
Mind Medicine (MindMed) Inc. (formerly Broadway Gold Mining Ltd.)	ON		
Mindready Solutions Inc.	QC	1(a), 1(b), 1(c), 1(d), 1(e)	
Minera IRL Limited	BC		
MINT Income Fund	AB		
Minto Apartment Real Estate Investment Trust	ON		
Mirabela Nickel Limited	BC	1(a), 1(b), 1(c), 1(d), 1(e)	
Mirati Therapeutics, Inc.	QC		
MLD Core Fund	ON		
MNP Petroleum Corporation	BC	1(a), 1(b), 1(c), 1(e)	
Moderate Folio Fund	ON		
Moderate Fund	ON		
Mogo Inc. (formerly, Difference Capital Financial Inc.)	BC		
Molson Coors Beverage Company	QC		
Molson Coors Canada Inc.	QC		
Mondias Natural Products Inc.	QC		
Moneda LatAm Corporate Bond Fund	ON		
Money Market Fund	ON		
Money Market Fund	ON		
MonoGen, Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Monthly Income Fund (London Capital)	ON		
Morguard Corporation	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Morguard North American Residential Real Estate Investment Trust	ON		
Morguard Real Estate Investment Trust	ON		
Morien Resources Corp.	NS		
Morneau Shepell Inc.	ON		
Morningstar Aggressive Portfolio	ON		
Morningstar Balanced Portfolio	ON		
Morningstar Conservative Portfolio	ON		
Morningstar Growth Portfolio	ON		
Morningstar Moderate Portfolio	ON		
Morningstar Strategic Canadian Equity Fund	ON		
Mosaic Capital Corporation	AB		
Mosaic Group Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Mount Logan Capital Inc. (formerly, Marret Resource Corp.)	ON		
Mountain Province Diamonds Inc.	ON		
MRF 2019 Resource Limited Partnership	AB		
MRF 2020 Resource Limited Partnership	AB		
MTY Food Group Inc.	QC		
Mullen Group Ltd.	AB		
Multi-Asset Fixed Income	ON		
Multi-Asset Fixed Income Class	ON		
Multi-Asset Growth & Income Strategy	ON		
Multi-Asset Growth & Income Strategy Class	ON		
Multi-Asset Growth Strategy	ON		
Multi-Asset Growth Strategy Class	ON		
Multi-Asset Income Strategy	ON		
Multi-Asset Income Strategy Class	ON		
Multi-Asset International Equity	ON		
Mulvihill Premium Yield Fund	ON		
Munro Global Growth Equity Fund	ON		
Murchison Minerals Ltd.	ON		
MYM Nutraceuticals Inc.	BC		
Nabors Industries Ltd.	AB		
NADG NNN Real Estate Investment Trust	ON		
Namaste Technologies Inc. (formerly Next Gen Metals Inc.)	BC		
Namibia Critical Metals Inc. (formerly Namibia Rare Earths Inc.)	NS		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
National Bank Balanced Diversified Fund	QC		
National Bank Conservative Diversified Fund	QC		
National Bank Growth Diversified Fund	QC		
National Bank Moderate Diversified Fund	QC		
National Bank of Canada	QC		
National Bank Secure Diversified Fund	QC		
Nautilus Minerals Inc.	BC	1(a), 1(b), 1(c), 1(e)	
NAV CANADA	ON		
NBC Asset Trust	QC		
NBI Active Canadian Preferred Shares ETF	QC		
NBI Balanced Portfolio	QC		
NBI Bond Fund	QC		
NBI Canadian All Cap Equity Fund	QC		
NBI Canadian Bond Index Fund	QC		
NBI Canadian Bond Private Portfolio	QC		
NBI Canadian Diversified Bond Private Portfolio	QC		
NBI Canadian Equity Fund	QC		
NBI Canadian Equity Growth Fund	QC		
NBI Canadian Equity Index Fund	QC		
NBI Canadian Equity Private Portfolio	QC		
NBI Canadian Family Business ETF	QC		
NBI Canadian High Conviction Equity Private Portfolio	QC		
NBI Canadian Index Fund	QC		
NBI Canadian Preferred Equity Private Portfolio	QC		
NBI Canadian Small Cap Equity Private Portfolio	QC		
NBI Conservative Portfolio	QC		
NBI Corporate Bond Fund	QC		
NBI Corporate Bond Private Portfolio	QC		
NBI Diversified Emerging Markets Equity Fund (formerly, NBI Emerging Markets Equity Private Portfolio)	QC		
NBI Dividend Fund	QC		
NBI Equity Income Private Portfolio	QC		
NBI Equity Portfolio	QC		
NBI Floating Rate Income Fund	QC		
NBI Global Bond Fund	QC		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
NBI Global Diversified Equity Fund (formerly, National Bank Global Diversified Equity Fund)	QC		
NBI Global Equity Fund	QC		
NBI Global Private Equity ETF	QC		
NBI Global Real Assets Income ETF	QC		
NBI Global Real Assets Income Fund	QC		
NBI Global Tactical Bond Fund	QC		
NBI Growth Portfolio	QC		
NBI High Yield Bond ETF	QC		
NBI High Yield Bond Fund	QC		
NBI High Yield Bond Private Portfolio	QC		
NBI Income Fund	QC		
NBI International Currency Neutral Index Fund	QC		
NBI International Equity Index Fund	QC		
NBI International Equity Private Portfolio	QC		
NBI International High Conviction Equity Private Portfolio	QC		
NBI International Index Fund	QC		
NBI Jarislowsky Fraser Select Balanced Fund	QC		
NBI Jarislowsky Fraser Select Canadian Equity Fund	QC		
NBI Jarislowsky Fraser Select Income Fund	QC		
NBI Liquid Alternatives ETF	QC		
NBI Moderate Portfolio	QC		
NBI Money Market Fund	QC		
NBI Multiple Asset Class Private Portfolio	QC		
NBI Municipal Bond Plus Private Portfolio	QC		
NBI Non-Traditional Capital Appreciation Private Portfolio	QC		
NBI Non-Traditional Fixed Income Private Portfolio	QC		
NBI North American Dividend Private Portfolio	QC		
NBI Precious Metals Fund	QC		
NBI Preferred Equity Fund	QC		
NBI Preferred Equity Income Fund	QC		
NBI Presumed Sound Investments Fund	QC		
NBI Quebec Growth Fund	QC		
NBI Real Assets Private Portfolio	QC		
NBI Resource Fund	QC		
NBI Science and Technology Fund	QC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
NBI Secure Portfolio	QC		
NBI Small Cap Fund	QC		
NBI SmartBeta Canadian Equity Fund	QC		
NBI SmartBeta Global Equity Fund	QC		
NBI SmartData International Equity Fund	QC		
NBI SmartData U.S. Equity Fund	QC		
NBI Strategic U.S. Income and Growth Fund	QC		
NBI Sustainable Canadian Bond ETF	QC		
NBI Sustainable Canadian Equity ETF	QC		
NBI Sustainable Global Equity ETF	QC		
NBI Tactical Asset Allocation Fund	QC		
NBI Tactical Equity Private Portfolio	QC		
NBI Tactical Mortgage & Income Fund	QC		
NBI U.S. Bond Private Portfolio	QC		
NBI U.S. Currency Neutral Index Fund	QC		
NBI U.S. Dividend Fund	QC		
NBI U.S. Equity Fund	QC		
NBI U.S. Equity Index Fund	QC		
NBI U.S. Equity Private Portfolio	QC		
NBI U.S. High Conviction Equity Private Portfolio	QC		
NBI U.S. Index Fund	QC		
NBI Unconstrained Fixed Income ETF	QC		
NBI Unconstrained Fixed Income Fund	QC		
NBI Westwood Emerging Markets Fund	QC		
NCM Balanced Income Portfolio	AB		
NCM Conservative Income Portfolio	AB		
NCM Core American (formerly, NCM US Dividend Class)	AB		
NCM Core Canadian	AB		
NCM Core Global	AB		
NCM Energy Plus Class	AB		
NCM Entrepreneurs Class	AB		
NCM Global Income Growth Class	AB		
NCM Growth and Income Portfolio	AB		
NCM Income Growth Class	AB		
NCM Norrep Fund	AB		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
NCM Short Term Income Fund	AB		
NCM Small Companies Class	AB		
NEI Balanced Private Portfolio	ON		
NEI Balanced Yield Portfolio (formerly NEI Global Strategic Yield Fund)	ON		
NEI Canadian Bond Fund	ON		
NEI Canadian Dividend Fund (formerly NEI Northwest Canadian Dividend Fund)	ON		
NEI Canadian Equity Fund (formerly NEI Northwest Canadian Equity Fund)	ON		
NEI Canadian Equity Pool	ON		
NEI Canadian Equity RS Fund (formerly NEI Ethical Canadian Equity Fund)	ON		
NEI Canadian Small Cap Equity Fund (formerly NEI Northwest Specialty Equity Fund)	ON		
NEI Canadian Small Cap Equity RS Fund (formerly NEI Ethical Special Equity Fund)	ON		
NEI Conservative Yield Portfolio	ON		
NEI Emerging Markets Fund (formerly NEI Northwest Emerging Markets Fund)	ON		
NEI Environmental Leaders Fund	ON		
NEI ESG Canadian Enhanced Index Fund (formerly NEI Jantzi Social Index® Fund)	ON		
NEI Fixed Income Pool	ON		
NEI Global Dividend RS Fund (formerly NEI Ethical Global Dividend Fund)	ON		
NEI Global Equity Fund (formerly NEI Northwest Global Equity Fund)	ON		
NEI Global Equity Pool	ON		
NEI Global Equity RS Fund (formerly NEI Ethical Global Equity Fund)	ON		
NEI Global High Yield Bond Fund (formerly NEI Northwest Specialty Global High Yield Bond Fund)	ON		
NEI Global Sustainable Balanced Fund (formerly NEI Balanced RS Fund)	ON		
NEI Global Total Return Bond Fund	ON		
NEI Global Value Fund	ON		
NEI Growth & Income Fund (formerly NEI Northwest Growth and Income Fund)	ON		
NEI Growth Private Portfolio	ON		
NEI Income & Growth Private Portfolio	ON		
NEI Income Private Portfolio	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
NEI International Equity Fund (formerly Meritas International Equity Fund)	ON		
NEI International Equity RS Fund (formerly NEI Ethical International Equity Fund)	ON		
NEI Managed Asset Allocation Pool	ON		
NEI Money Market Fund	ON		
NEI Select Balanced Portfolio	ON		
NEI Select Balanced RS Portfolio (formerly NEI Ethical Select Balanced Portfolio)	ON		
NEI Select Growth & Income Portfolio (formerly OceanRock Growth & Income Portfolio)	ON		
NEI Select Growth & Income RS Portfolio (formerly Meritas Growth & Income Portfolio)	ON		
NEI Select Growth Portfolio	ON		
NEI Select Growth RS Portfolio (formerly NEI Ethical Select Growth Portfolio)	ON		
NEI Select Income & Growth Portfolio (formerly NEI Select Conservative Portfolio)	ON		
NEI Select Income & Growth RS Portfolio (formerly NEI Ethical Select Conservative Portfolio)	ON		
NEI Select Income Portfolio (formerly OceanRock Income Portfolio)	ON		
NEI Select Income RS Portfolio (formerly NEI Ethical Select Income Portfolio)	ON		
NEI Select Maximum Growth Portfolio (formerly NEI Select Global Maximum Growth Portfolio)	ON		
NEI Select Maximum Growth RS Portfolio (formerly Meritas Maximum Growth Portfolio)	ON		
NEI Tactical Yield Portfolio (formerly NEI Northwest Tactical Yield Fund)	ON		
NEI U.S. Dividend Fund (formerly NEI Northwest U.S. Dividend Fund)	ON		
NEI U.S. Equity Fund (formerly OceanRock U.S. Equity Fund)	ON		
NEI U.S. Equity RS Fund (formerly NEI Ethical U.S. Equity Fund)	ON		
Nemaska Lithium Inc.	QC		
Neo Performance Materials Inc.	ON		
Neptune Wellness Solutions Inc. (formerly Neptune Technologies & Bioresources Inc.)	QC		
Nevada Copper Corp.	BC		
New Commerce Split Fund	ON		
New Gold Inc.	ON		
New Leaders Class	ON		
New Millennium Iron Corp.	AB		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
New Pacific Metals Corp.	BC		
New Zealand Energy Corp.	BC		
Newfoundland Power Inc.	QC		
NewGen Alternative Income Fund	ON		
Newmont Corporation (formerly, Newmont Goldcorp Corporation)	ON		
Newstrike Brands Ltd. (formerly, Newstrike Resources Ltd.)	ON		
Nexa Resources S.A. (formerly, VM Holding S.A.)	ON		
Nexera Energy Inc.	AB		
NexGen Energy Ltd.	BC		
Nexient Learning Inc.	NS	1(a), 1(b), 1(c), 1(e)	
NexJ Health Holdings Inc.	ON		
NexJ Systems Inc.	ON		
NexPoint Hospitality Trust	ON		
Next Edge Bio-Tech Plus Fund	ON		
Nexus Real Estate Investment Trust	ON		
NFI Group Inc. (formerly New Flyer Industries Inc.)	ON		
NHC Communications Inc.	QC	1(a), 1(b), 1(c), 1(d), 1(e)	
Niko Resources Ltd.	AB		
Ninepoint 2019 Flow-Through Limited Partnership	ON		
Ninepoint 2019 Short Duration Flow-Through Limited Partnership	ON		
Ninepoint 2020 Flow-Through Limited Partnership - National Class	ON		
Ninepoint 2020 Flow-Through Limited Partnership - Quebec Class	ON		
Ninepoint Alternative Credit Opportunities Fund	ON		
Ninepoint Alternative Health Fund (formerly Ninepoint UIT Alternative Health Fund)	ON		
Ninepoint Concentrated Canadian Equity Fund	ON		
Ninepoint Diversified Bond Class	ON		
Ninepoint Diversified Bond Fund	ON		
Ninepoint Energy Fund	ON		
Ninepoint Enhanced Balanced Fund	ON		
Ninepoint Enhanced Equity Class	ON		
Ninepoint Enhanced U.S. Equity Class	ON		
Ninepoint Focused Global Dividend Class	ON		
Ninepoint FX Strategy Fund	ON		
Ninepoint Global Infrastructure Fund	ON		
Ninepoint Global Real Estate Fund	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Ninepoint Gold and Precious Minerals Fund	ON		
Ninepoint Gold Bullion Fund	ON		
Ninepoint High Interest Savings Fund (formerly, Ninepoint Short-Term Bond Fund)	ON		
Ninepoint International Small Cap Fund	ON		
Ninepoint Resource Class	ON		
Ninepoint Silver Bullion Fund	ON		
Ninepoint Silver Equities Class	ON		
NioCorp Developments Ltd.	ON		
Nobilis Health Corp. (formerly Northstar Healthcare Inc.)	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Nomad Royalty Company Ltd.	QC		
Noranda Income Fund	ON		
Norbord Inc.	ON		
Norbourg Balanced Fund	QC	1(a), 1(b), 1(c), 1(d)	
Norbourg Canadian Tactical Asset Allocation Fund	QC	1(a), 1(b), 1(c), 1(d)	
Norbourg Convertible Debentures Fund	QC	1(a), 1(b), 1(c), 1(d)	
Norbourg Emerging Growth Companies Fund	QC	1(a), 1(b), 1(c), 1(d)	
Norbourg Equity-Special Situations Fund	QC	1(a), 1(b), 1(c), 1(d)	
Norbourg Fixed Income Fund	QC	1(a), 1(b), 1(c), 1(d)	
Norbourg International Balanced Fund	QC	1(a), 1(b), 1(c), 1(d)	
Norbourg Money Market Fund	QC	1(a), 1(b), 1(c), 1(d)	
Noront Resources Ltd.	ON		
Nortel Networks Corporation	ON	1(a), 1(b), 1(c), 1(e)	
Nortel Networks Limited	ON	1(a), 1(b), 1(c), 1(e)	
North American Construction Group Ltd.	AB		
North American Financial 15 Split Corp.	ON		
North American High Yield Bond Fund	ON		
North American Specialty Class	ON		
North American Tungsten Corporation Ltd.	BC	1(a), 1(b), 1(c), 1(e)	
North Growth Canadian Equity Fund	BC		
North Growth U.S. Equity Advisor Fund	BC		
Northern Dynasty Minerals Ltd.	BC		
NorthIsle Copper and Gold Inc.	BC		
Northland Power Inc.	ON		
Northland Resources SE (formerly Northland Resources S.A.)	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Northstar Aerospace, Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e)	

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Northstar Gold Corp.	ON		
Northview Apartment Real Estate Investment Trust	AB		
NorthWest Healthcare Properties Real Estate Investment Trust	ON		
NORZINC LTD.	BC		
Nouveau Monde Graphite Inc. (auparavant Nouveau Monde Mining Enterprises Inc.)	QC		
NOVA Gas Transmission Ltd.	AB		
Nova Leap Health Corp.	NS		
Nova Scotia Power Incorporated	NS		
NOVAGOLD RESOURCES INC.	BC		
Novanta Inc.	NB		
Novelion Therapeutics Inc.	BC	1(a), 1(b), 1(c), 1(e)	
Nutrien Ltd.	SK		
NUVISTA ENERGY LTD.	AB		
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	ON		
Oakmark International Equity Class (formerly Oakmark International Natixis Class)	ON		
Oakmark International Equity Registered Fund (formerly Oakmark International Natixis Registered Fund)	ON		
Oakmark U.S. Equity Class (formerly Oakmark Natixis Class)	ON		
Oakmark U.S. Equity Registered Fund (formerly Oakmark Natixis Registered Fund)	ON		
Obsidian Energy Ltd.	AB		
OceanaGold Corporation	ON		
OCP Senior Credit Fund	ON		
Oil Optimization Inc.	AB	1(a), 1(b), 1(c), 1(e)	
Oilsands Quest Inc.	AB	1(a), 1(b), 1(c), 1(d), 1(e), 3	
Old API Wind-down Ltd. (formerly, Aralez Pharmaceuticals Inc.)	BC	1(a), 1(b), 1(c), 1(d), 1(e)	
Old PSG Wind-down Ltd. (formerly, Performance Sports Group Ltd.)	ON	1(a), 1(b), 1(c), 1(e)	
Oncolytics Biotech Inc.	AB		
ONE Financial All-Weather Profit Canada Fund	ON	1(a), 1(b), 1(c), 1(d)	
ONE Financial All-Weather Profit Commodities Fund	ON	1(a), 1(b), 1(c), 1(d)	
ONE Financial All-Weather Profit Conservative Growth 2022 Protected Portfolio	ON	1(a), 1(b), 1(c), 1(d)	
ONE Financial All-Weather Profit Emerging Markets Fund	ON	1(a), 1(b), 1(c), 1(d)	
ONE Financial All-Weather Profit Europe & Asia Fund	ON	1(a), 1(b), 1(c), 1(d)	
ONE Financial All-Weather Profit Global Diversified Fund	ON	1(a), 1(b), 1(c), 1(d)	

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
ONE Financial All-Weather Profit Global Diversified Growth Fund	ON	1(a), 1(b), 1(c), 1(d)	
ONE Financial All-Weather Profit Growth & Income Balanced Fund	ON	1(a), 1(b), 1(c), 1(d)	
ONE Financial All-Weather Profit Monthly ROC Income 2022 Protected Portfolio	ON	1(a), 1(b), 1(c), 1(d)	
ONE Financial All-Weather Profit Monthly Tax-Efficient Bond Fund	ON	1(a), 1(b), 1(c), 1(d)	
ONE Financial All-Weather Profit Tax-Efficient Short-term Savings Fund	ON	1(a), 1(b), 1(c), 1(d)	
ONE Financial All-Weather Profit U.S. Fund	ON	1(a), 1(b), 1(c), 1(d)	
ONE Financial Real Property Development Trust (2008-1)	ON	1(a), 1(b), 1(c), 1(e)	
ONE Financial Real Property Income Fund (2008-1)	ON	1(a), 1(b), 1(c), 1(e)	
ONEnergy Inc.	ON	1(a), 1(b), 1(c), 1(e)	
OneSoft Solutions Inc.	AB		
ONEX CORPORATION	ON		
Ontario Power Generation Inc.	ON		
Open Text Corporation	ON		
Optiva Inc. (formerly Redknee Solutions Inc.)	ON		
Orange	QC		
Orbit Garant Drilling Inc.	QC	1(b), 1(c), 1(e)	
Orbite Technologies Inc.	QC	1(a), 1(b), 1(c), 1(e)	
Orca Exploration Group Inc. (formerly EastCoast Energy Corporation)	AB		
Orca Gold Inc.	BC		
Orezone Gold Corporation	BC		
Organigram Holdings Inc.	NB		
Orla Mining Ltd.	BC		
Orocobre Limited	ON		
ORTHO REGENERATIVE TECHNOLOGIES INC.	QC		
Orvana Minerals Corp.	ON		
Oryx Petroleum Corporation Limited	AB		
Osino Resources Corp. (formerly Romulus Resources Ltd.)	BC		
OSISKO GOLD ROYALTIES LTD	QC		
OutdoorPartner Media Corporation	ON		
Ovintiv Inc.	AB		
Painted Pony Energy Ltd.	AB		
Palos Equity Income Fund	QC		
Pan American Silver Corp.	BC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Parallel Energy Trust	AB	1(a), 1(b), 1(c), 1(d), 1(e)	
Paramount Resources Ltd.	AB		
Parex Resources Inc.	AB		
Park Lawn Corporation	ON		
Parkland Corporation	AB		
Partners Value Split Corp.	ON		
Patagonia Gold Corp.	BC		
Pathfinder Income Fund	AB		
PEEKS SOCIAL LTD.	ON		
Pembina Pipeline Corporation	AB		
PEMBROKE AMERICAN GROWTH FUND (formerly THE GBC AMERICAN GROWTH FUND INC.)	QC		
PEMBROKE CANADIAN BOND FUND (formerly THE GBC CANADIAN BOND FUND)	QC		
PEMBROKE CANADIAN GROWTH FUND (formerly THE GBC CANADIAN GROWTH FUND)	QC		
PEMBROKE CORPORATE BOND FUND (formerly THE GBC CORPORATE BOND FUND)	QC		
PEMBROKE GLOBAL BALANCED FUND (formerly THE GBC GLOBAL BALANCED FUND)	QC		
PEMBROKE GROWTH AND INCOME FUND (formerly THE GBC GROWTH AND INCOME FUND)	QC		
PEMBROKE INTERNATIONAL GROWTH FUND (formerly THE GBC INTERNATIONAL GROWTH FUND)	QC		
PEMBROKE MONEY MARKET FUND (formerly THE GBC MONEY MARKET FUND)	QC		
Pender Bond Universe Fund	BC		
Pender Corporate Bond Fund	BC		
Pender Enhanced Income Fund	BC		
Pender Small Cap Opportunities Fund	BC		
Pender Small/Mid Cap Dividend Fund	BC		
Pender Strategic Growth and Income Fund	BC		
Pender US All Cap Equity Fund	BC		
Pender Value Fund	BC		
Pender Value Fund II	BC		
Perpetual Energy Inc.	AB		
PERSEUS MINING LIMITED	BC		
PESA Corporation	ON		
Petro Rio S.A.	AB		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
PetroCorp Group Inc.	AB		
PetroTal Corp. (formerly Sterling Resources Ltd.)	AB		
Petro-Victory Energy Corp.	AB		
Petrowest Corporation	AB	1(a), 1(b), 1(c), 1(d), 1(e)	
Peyto Exploration & Development Corp.	AB		
PHILIP SERVICES CORP.	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Phillips, Hager & North \$U.S. Money Market Fund	ON		
Phillips, Hager & North Balanced Fund	ON		
Phillips, Hager & North Balanced Pension Trust	ON		
Phillips, Hager & North Bond Fund	ON		
Phillips, Hager & North Canadian Equity Fund	ON		
Phillips, Hager & North Canadian Equity Pension Trust	ON		
Phillips, Hager & North Canadian Equity Plus Pension Trust	ON		
Phillips, Hager & North Canadian Equity Underlying Fund	ON		
Phillips, Hager & North Canadian Equity Underlying Fund II	ON		
Phillips, Hager & North Canadian Equity Value Class	ON		
Phillips, Hager & North Canadian Equity Value Fund	ON		
Phillips, Hager & North Canadian Growth Fund	ON		
Phillips, Hager & North Canadian Income Fund	ON		
Phillips, Hager & North Canadian Money Market Fund	ON		
Phillips, Hager & North Conservative Equity Income Fund	ON		
Phillips, Hager & North Conservative Pension Trust	ON		
Phillips, Hager & North Currency-Hedged Overseas Equity Fund	ON		
Phillips, Hager & North Currency-Hedged U.S. Equity Fund	ON		
Phillips, Hager & North Dividend Income Fund	ON		
Phillips, Hager & North Global Equity Fund	ON		
Phillips, Hager & North Growth Pension Trust	ON		
Phillips, Hager & North High Yield Bond Fund	ON		
Phillips, Hager & North Inflation-Linked Bond Fund	ON		
Phillips, Hager & North LifeTime 2015 Fund	ON		
Phillips, Hager & North LifeTime 2020 Fund	ON		
Phillips, Hager & North LifeTime 2025 Fund	ON		
Phillips, Hager & North LifeTime 2030 Fund	ON		
Phillips, Hager & North LifeTime 2035 Fund	ON		
Phillips, Hager & North LifeTime 2040 Fund	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Phillips, Hager & North LifeTime 2045 Fund	ON		
Phillips, Hager & North LifeTime 2050 Fund	ON		
Phillips, Hager & North LifeTime 2055 Fund	ON		
Phillips, Hager & North Long Inflation-linked Bond Fund	ON		
Phillips, Hager & North Monthly Income Class	ON		
Phillips, Hager & North Monthly Income Fund	ON		
Phillips, Hager & North Overseas Equity Class	ON		
Phillips, Hager & North Overseas Equity Fund	ON		
Phillips, Hager & North Short Term Bond & Mortgage Fund	ON		
Phillips, Hager & North Small Float Fund	ON		
Phillips, Hager & North Total Return Bond Fund	ON		
Phillips, Hager & North U.S. Dividend Income Fund	ON		
Phillips, Hager & North U.S. Equity Fund	ON		
Phillips, Hager & North U.S. Growth Fund	ON		
Phillips, Hager & North U.S. Multi-Style All-Cap Equity Class	ON		
Phillips, Hager & North U.S. Multi-Style All-Cap Equity Fund	ON		
Phillips, Hager & North Vintage Fund	ON		
Phivida Holdings Inc.	BC		
PHX Energy Services Corp.	AB		
Picton Mahoney Fortified Active Extension Alternative Fund	ON		
Picton Mahoney Fortified Arbitrage Alternative Fund (formerly, Vertex Liquid Alternative Fund)	ON		
Picton Mahoney Fortified Arbitrage Plus Alternative Fund (formerly, Vertex Liquid Alternative Fund Plus)	ON		
Picton Mahoney Fortified Equity Fund	ON		
Picton Mahoney Fortified Income Alternative Fund	ON		
Picton Mahoney Fortified Income Fund	ON		
Picton Mahoney Fortified Market Neutral Alternative Fund	ON		
Picton Mahoney Fortified Multi-Asset Fund	ON		
Picton Mahoney Fortified Multi-Strategy Alternative Fund	ON		
Picton Mahoney Tactical Income Fund	ON		
Pieridae Energy Limited	AB		
PIMCO - IG Global Bond Pool	MB		
PIMCO Balanced Income Fund (Canada)	ON		
PIMCO Canadian Total Return Bond Fund	ON		
PIMCO Flexible Global Bond Fund (Canada) (formerly, PIMCO Global Advantage Strategy Bond Fund (Canada))	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
PIMCO Global Income Opportunities Fund	ON		
PIMCO Global Short Maturity Fund (Canada)	ON		
PIMCO Investment Grade Credit Fund (Canada)	ON		
PIMCO Low Duration Monthly Income Fund (Canada)	ON		
PIMCO Managed Conservative Bond Pool	ON		
PIMCO Managed Core Bond Pool	ON		
PIMCO Monthly Income Fund (Canada)	ON		
PIMCO Unconstrained Bond Fund (Canada)	ON		
Pine Cliff Energy Ltd.	AB		
Pine Trail Real Estate Investment Trust (formerly, Pine Trail Capital Trust)	AB		
Pinnacle Balanced Portfolio (formerly Pinnacle Conservative Balanced Growth Portfolio)	ON		
Pinnacle Renewable Energy Inc.	BC		
Piper Resources Ltd.	AB	1(a), 1(b), 1(c), 1(e)	
Pipestone Energy Corp. (formerly, Blackbird Energy Inc.)	AB		
Pizza Pizza Royalty Corp.	ON		
PK Core Fund	ON		
Planet 13 Holdings Inc.	ON		
Platinum Group Metals Ltd.	BC		
Plaza Retail REIT	NB		
Poda Technologies Ltd.	BC		
POET Technologies Inc.	ON		
Point Loma Resources Ltd.	AB	1(b), 1(c), 1(e)	
Points International Ltd.	ON		
Polaris Infrastructure Inc.	ON		
Pollard Banknote Limited	MB		
Polymet Mining Corp.	ON		
Ponderosa Fund	BC	1(a), 1(b), 1(c), 1(e)	
Portex Minerals Inc.	ON	1(a), 1(b), 1(c), 1(e)	
Portfolio Series Balanced Fund	ON		
Portfolio Series Balanced Growth Fund	ON		
Portfolio Series Conservative Balanced Fund	ON		
Portfolio Series Conservative Fund	ON		
Portfolio Series Growth Fund	ON		
Portfolio Series Income Fund	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Portfolio Series Maximum Growth Fund	ON		
Portland 15 of 15 Alternative Fund (formerly Portland Global Dividend Fund)	ON		
Portland Canadian Balanced Fund	ON		
Portland Energy Opportunities Alternative Fund	ON		
Portland Global Alternative Fund (formerly Portland Global Banks Fund)	ON		
Portland Global Balanced Fund (formerly Portland Global Income Fund)	ON		
Portland North American Alternative Fund	ON		
Poseidon Concepts Corp. (formerly Open Range Energy Corp.)	AB	1(a), 1(b), 1(c), 1(d), 1(e)	
Postmedia Network Canada Corp.	ON		
Power Corporation of Canada	QC		
Power Financial Corporation	QC		
Poynt Corporation	AB	1(a), 1(b), 1(c), 1(e)	
Prairie Provident Resources Inc.	AB		
PrairieSky Royalty Ltd.	AB		
Precious Metals and Mining Trust	ON		
Precision Drilling Corporation	AB		
Premier Gold Mines Limited	ON		
Premium Brands Holdings Corporation	BC		
Premium Income Corporation	ON		
Preo Software Inc.	AB	1(a), 1(b), 1(c), 1(e)	
Pretium Resources Inc.	BC		
Prime Dividend Corp.	ON		
Primerica Balanced Yield Fund (formerly Primerica Conservative Growth Fund)	ON		
Primerica Canadian Balanced Growth Fund (formerly Primerica Growth Fund)	ON		
Primerica Canadian Money Market Fund	ON		
Primerica Global Balanced Growth Fund (formerly Primerica Moderate Growth Fund)	ON		
Primerica Global Equity Fund (formerly Primerica Aggressive Growth Fund)	ON		
Primerica Income Fund	ON		
Primo Water Corporation (formerly, Cott Corporation)	ON		
Priszm Income Fund	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Priviti Oil & Gas Opportunities Limited Partnership 2013	AB		
Pro Real Estate Investment Trust	QC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Probity Mining 2019 Short Duration Flow-Through Limited Partnership - British Columbia Class	BC		
Probity Mining 2019 Short Duration Flow-Through Limited Partnership - National Class	BC		
Probity Mining 2019 Short Duration Flow-Through Limited Partnership - Quebec Class	BC		
Probity Mining 2019-II Short Duration Flow-Through Limited Partnership - British Columbia Class	BC		
Probity Mining 2019-II Short Duration Flow-Through Limited Partnership - National Class	BC		
Probity Mining 2019-II Short Duration Flow-Through Limited Partnership - Quebec Class	BC		
Probity Mining 2020 Short Duration Flow-Through Limited Partnership - British Columbia	BC		
Probity Mining 2020 Short Duration Flow-Through Limited Partnership - National Class	BC		
Probity Mining 2020 Short Duration Flow-Through Limited Partnership - Quebec	BC		
Production Enhancement Group, Inc.	AB	1(a), 1(b), 1(c), 1(e)	
Profound Medical Corp. (formerly Mira IV Acquisition Corp.)	ON		
Purpose Behavioural Opportunities Fund	ON		
Purpose Best Ideas Fund	ON		
Purpose Canadian Equity Growth Fund (formerly, Redwood Equity Growth Fund)	ON		
Purpose Canadian Financial Income Fund	ON		
Purpose Canadian Income Growth Fund (formerly, Redwood Income Growth Fund)	ON		
Purpose Canadian Preferred Share Fund	ON		
Purpose Cash Management Portfolio	ON		
Purpose Conservative Income Fund	ON		
Purpose Core Dividend Fund	ON		
Purpose Core Equity Income Fund	ON		
Purpose Credit Opportunities Fund	ON		
Purpose Diversified Real Asset Fund	ON		
Purpose Duration Hedged Real Estate Fund	ON		
Purpose Emerging Markets Dividend Fund	ON		
Purpose Enhanced Dividend Fund	ON		
Purpose Enhanced Premium Yield Fund (formerly, Purpose MLP & Infrastructure Income Fund)	ON		
Purpose Floating Rate Income Fund (formerly Redwood Floating Rate Income Fund)	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Purpose Global Bond Class (formerly, Purpose Managed Duration Investment Grade Bond Fund)	ON		
Purpose Global Bond Fund (formerly, Purpose Tactical Investment Grade Bond Fund)	ON		
Purpose Global Diversified ETF Fund	ON		
Purpose Global Innovators Fund	ON		
Purpose Global Resource Fund	ON		
Purpose Gold Bullion Fund	ON		
Purpose High Interest Savings ETF	ON		
Purpose International Dividend Fund	ON		
Purpose International Tactical Hedged Equity Fund	ON		
Purpose Marijuana Opportunities Fund	ON		
Purpose Money Market Fund	ON		
Purpose Monthly Income Fund	ON		
Purpose Multi-Asset Income Fund	ON		
Purpose Multi-Strategy Market Neutral Fund	ON		
Purpose Pension Portfolio Fund (formerly, Redwood Pension Class)	ON		
Purpose Premium Money Market Fund	ON		
Purpose Premium Yield Fund	ON		
Purpose Silver Bullion Fund (formerly, Silver Bullion Trust)	ON		
Purpose Special Opportunities Fund	ON		
Purpose Strategic Yield Fund	ON		
Purpose Structured Equity Yield Portfolio	ON		
Purpose Structured Equity Yield Portfolio II	ON		
Purpose Tactical Asset Allocation Fund (formerly, Redwood Tactical Asset Allocation Fund)	ON		
Purpose Tactical Bond Fund	ON		
Purpose Tactical Hedged Equity Fund	ON		
Purpose Total Return Bond Fund	ON		
Purpose U.S. Preferred Share Fund	ON		
Purpose US Cash ETF	ON		
Purpose US Dividend Fund	ON		
Putnam - IG High Yield Income Pool	MB		
QMX Gold Corporation (formerly Alexis Minerals Corporation)	ON		
Quarterhill Inc. (formerly, Wi-LAN Inc.)	ON		
Quebecor Inc.	QC		
Queen's Road Capital Investment Ltd.	BC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Queensway Financial Holdings Limited	ON	1(a), 1(b), 1(c), 1(d), 1(e), 1(g)	
Questerre Energy Corporation	AB		
Quorum Expansion Capital Fund Inc.	ON	1(a), 1(b), 1(c), 1(d)	
Qwest Energy Canadian Resource Class	BC		
Radiant Technologies Inc.	AB		
Rare Element Resources Ltd.	BC		
RavenSource Fund (formerly The First Asia Income Fund)	ON		
Rayonier Advanced Materials Inc.	QC		
RB Energy Inc. (formerly Canada Lithium Corp.)	BC	1(a), 1(b), 1(c), 1(e)	
RBC \$U.S. High Yield Bond Fund	ON		
RBC \$U.S. Investment Grade Corporate Bond Fund	ON		
RBC \$U.S. Money Market Fund	ON		
RBC \$U.S. Short Term Income Class	ON		
RBC \$U.S. Short-Term Corporate Bond Fund	ON		
RBC \$U.S. Strategic Income Bond Fund	ON		
RBC 1-5 Year Laddered Canadian Bond ETF	ON		
RBC 1-5 Year Laddered Corporate Bond ETF	ON		
RBC 6-10 Year Laddered Canadian Corporate Bond ETF	ON		
RBC Asia Pacific ex-Japan Equity Fund	ON		
RBC Asian Equity Fund	ON		
RBC Balanced Fund	ON		
RBC Balanced Growth & Income Class	ON		
RBC Balanced Growth & Income Fund	ON		
RBC BlueBay Global Diversified Income (CAD Hedged) ETF	ON		
RBC Bond Fund	ON		
RBC Canadian Bank Yield Index ETF	ON		
RBC Canadian Bond Index Fund	ON		
RBC Canadian Discount Bond ETF	ON		
RBC Canadian Dividend Class	ON		
RBC Canadian Dividend Fund	ON		
RBC Canadian Equity Class	ON		
RBC Canadian Equity Fund	ON		
RBC Canadian Equity Income Class	ON		
RBC Canadian Equity Income Fund	ON		
RBC Canadian Government Bond Index Fund	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
RBC Canadian Index Fund	ON		
RBC Canadian Mid-Cap Equity Class	ON		
RBC Canadian Money Market Fund	ON		
RBC Canadian Preferred Share ETF	ON		
RBC Canadian Short-Term Income Fund	ON		
RBC Canadian Small & Mid-Cap Resources Fund	ON		
RBC Canadian T-Bill Fund	ON		
RBC China Equity Fund	ON		
RBC Conservative Bond Pool	ON		
RBC Conservative Growth & Income Fund	ON		
RBC Core Bond Pool	ON		
RBC Core Plus Bond Pool	ON		
RBC Emerging Markets Balanced Fund	ON		
RBC Emerging Markets Bond Fund	ON		
RBC Emerging Markets Bond Fund (CAD Hedged)	ON		
RBC Emerging Markets Dividend Fund	ON		
RBC Emerging Markets Equity Class	ON		
RBC Emerging Markets Equity Focus Fund	ON		
RBC Emerging Markets Equity Fund	ON		
RBC Emerging Markets Foreign Exchange Fund	ON		
RBC Emerging Markets Multi-Strategy Equity Fund	ON		
RBC Emerging Markets Small-Cap Equity Fund	ON		
RBC European Dividend Fund	ON		
RBC European Equity Class	ON		
RBC European Equity Fund	ON		
RBC European Mid-Cap Equity Fund	ON		
RBC Global All-Equity Portfolio	ON		
RBC Global Balanced Fund	ON		
RBC Global Balanced Portfolio	ON		
RBC Global Bond & Currency Fund	ON		
RBC Global Bond Fund	ON		
RBC Global Conservative Portfolio	ON		
RBC Global Corporate Bond Fund	ON		
RBC Global Dividend Growth Currency Neutral Fund	ON		
RBC Global Dividend Growth Fund	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
RBC Global Energy Fund	ON		
RBC Global Equity Class	ON		
RBC Global Equity Focus Currency Neutral Fund	ON		
RBC Global Equity Focus Fund	ON		
RBC Global Equity Fund	ON		
RBC Global Growth & Income Fund	ON		
RBC Global Growth Portfolio	ON		
RBC Global High Yield Bond Fund	ON		
RBC Global Precious Metals Fund	ON		
RBC Global Resources Class	ON		
RBC Global Resources Fund	ON		
RBC Global Technology Fund	ON		
RBC Global Very Conservative Portfolio	ON		
RBC High Yield Bond Fund	ON		
RBC International Dividend Growth Fund	ON		
RBC International Equity Class	ON		
RBC International Equity Currency Neutral Fund	ON		
RBC International Equity Fund	ON		
RBC International Index Currency Neutral Fund	ON		
RBC Japanese Equity Fund	ON		
RBC Life Science and Technology Fund	ON		
RBC Managed Payout Solution	ON		
RBC Managed Payout Solution - Enhanced	ON		
RBC Managed Payout Solution - Enhanced Plus	ON		
RBC Monthly Income Bond Fund	ON		
RBC Monthly Income Fund	ON		
RBC North American Growth Fund	ON		
RBC North American Value Class	ON		
RBC North American Value Fund	ON		
RBC O'Shaughnessy All-Canadian Equity Fund	ON		
RBC O'Shaughnessy Canadian Equity Fund	ON		
RBC O'Shaughnessy Global Equity Fund	ON		
RBC O'Shaughnessy International Equity Fund	ON		
RBC O'Shaughnessy U.S. Growth Fund	ON		
RBC O'Shaughnessy U.S. Growth Fund II	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
RBC O'Shaughnessy U.S. Value Fund	ON		
RBC O'Shaughnessy U.S. Value Fund (Unhedged)	ON		
RBC PH&N Short Term Canadian Bond ETF	ON		
RBC Premium \$U.S. Money Market Fund	ON		
RBC Premium Money Market Fund	ON		
RBC Private Canadian Corporate Bond Pool	ON		
RBC Private Canadian Dividend Pool	ON		
RBC Private Canadian Equity Pool	ON		
RBC Private Canadian Mid-Cap Equity Pool	ON		
RBC Private EAFE Equity Pool	ON		
RBC Private Fundamental Canadian Equity Pool	ON		
RBC Private Income Pool	ON		
RBC Private Overseas Equity Pool	ON		
RBC Private Short-Term Income Pool	ON		
RBC Private U.S. Growth Equity Pool	ON		
RBC Private U.S. Large-Cap Core Equity Currency Neutral Pool	ON		
RBC Private U.S. Large-Cap Core Equity Pool	ON		
RBC Private U.S. Large-Cap Value Equity Currency Neutral Pool	ON		
RBC Private U.S. Large-Cap Value Equity Pool	ON		
RBC Private U.S. Small-Cap Equity Pool	ON		
RBC Private World Equity Pool	ON		
RBC Quant Canadian Dividend Leaders ETF	ON		
RBC Quant Canadian Equity Leaders ETF	ON		
RBC Quant EAFE Dividend Leaders (CAD Hedged) ETF	ON		
RBC Quant EAFE Dividend Leaders ETF	ON		
RBC Quant EAFE Equity Leaders (CAD Hedged) ETF	ON		
RBC Quant EAFE Equity Leaders ETF	ON		
RBC Quant Emerging Markets Dividend Leaders ETF	ON		
RBC Quant Emerging Markets Equity Leaders ETF	ON		
RBC Quant European Dividend Leaders (CAD Hedged) ETF	ON		
RBC Quant European Dividend Leaders ETF	ON		
RBC Quant Global Infrastructure Leaders ETF	ON		
RBC Quant Global Real Estate Leaders ETF	ON		
RBC Quant U.S. Dividend Leaders (CAD Hedged) ETF	ON		
RBC Quant U.S. Dividend Leaders ETF	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
RBC Quant U.S. Equity Leaders (CAD Hedged) ETF	ON		
RBC Quant U.S. Equity Leaders ETF	ON		
RBC QUBE All Country World Equity Fund	ON		
RBC QUBE Canadian Equity Fund	ON		
RBC QUBE Global Equity Fund	ON		
RBC QUBE Low Volatility All Country World Equity Fund	ON		
RBC QUBE Low Volatility Canadian Equity Class	ON		
RBC QUBE Low Volatility Canadian Equity Fund	ON		
RBC QUBE Low Volatility Emerging Markets Equity Fund	ON		
RBC QUBE Low Volatility Global Equity Class	ON		
RBC QUBE Low Volatility Global Equity Currency Neutral Fund	ON		
RBC QUBE Low Volatility Global Equity Fund	ON		
RBC QUBE Low Volatility U.S. Equity Class	ON		
RBC QUBE Low Volatility U.S. Equity Currency Neutral Fund	ON		
RBC QUBE Low Volatility U.S. Equity Fund	ON		
RBC QUBE U.S. Equity Fund	ON		
RBC Retirement 2020 Portfolio	ON		
RBC Retirement 2025 Portfolio	ON		
RBC Retirement 2030 Portfolio	ON		
RBC Retirement 2035 Portfolio	ON		
RBC Retirement 2040 Portfolio	ON		
RBC Retirement 2045 Portfolio	ON		
RBC Retirement 2050 Portfolio	ON		
RBC Retirement Income Solution	ON		
RBC Select Aggressive Growth Portfolio	ON		
RBC Select Balanced Portfolio	ON		
RBC Select Choices Aggressive Growth Portfolio	ON		
RBC Select Choices Balanced Portfolio	ON		
RBC Select Choices Conservative Portfolio	ON		
RBC Select Choices Growth Portfolio	ON		
RBC Select Conservative Portfolio	ON		
RBC Select Growth Portfolio	ON		
RBC Select Very Conservative Portfolio	ON		
RBC Short Term Income Class	ON		
RBC Short Term U.S. Corporate Bond ETF	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
RBC Strategic Global Dividend Leaders ETF	ON		
RBC Strategic Global Equity Leaders ETF	ON		
RBC Strategic Income Bond Fund	ON		
RBC Target 2020 Corporate Bond Index ETF	ON		
RBC Target 2020 Education Fund	ON		
RBC Target 2021 Corporate Bond Index ETF	ON		
RBC Target 2022 Corporate Bond Index ETF	ON		
RBC Target 2023 Corporate Bond Index ETF	ON		
RBC Target 2024 Corporate Bond Index ETF	ON		
RBC Target 2025 Corporate Bond Index ETF	ON		
RBC Target 2025 Education Fund	ON		
RBC Target 2030 Education Fund	ON		
RBC Target 2035 Education Fund	ON		
RBC Trend Canadian Equity Fund	ON		
RBC U.S. Banks Yield (CAD Hedged) Index ETF	ON		
RBC U.S. Banks Yield Index ETF	ON		
RBC U.S. Dividend Class	ON		
RBC U.S. Dividend Currency Neutral Fund	ON		
RBC U.S. Dividend Fund	ON		
RBC U.S. Equity Class	ON		
RBC U.S. Equity Currency Neutral Fund	ON		
RBC U.S. Equity Fund	ON		
RBC U.S. Equity Value Class	ON		
RBC U.S. Equity Value Fund	ON		
RBC U.S. Index Currency Neutral Fund	ON		
RBC U.S. Index Fund	ON		
RBC U.S. Mid-Cap Growth Equity Currency Neutral Fund	ON		
RBC U.S. Mid-Cap Growth Equity Fund	ON		
RBC U.S. Mid-Cap Value Equity Class	ON		
RBC U.S. Mid-Cap Value Equity Fund	ON		
RBC U.S. Monthly Income Fund	ON		
RBC U.S. Small-Cap Core Equity Class	ON		
RBC U.S. Small-Cap Core Equity Fund	ON		
RBC U.S. Small-Cap Value Equity Fund	ON		
RBC Vision Balanced Fund	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
RBC Vision Bond Fund	ON		
RBC Vision Canadian Equity Fund	ON		
RBC Vision Fossil Fuel Free Global Equity Fund	ON		
RBC Vision Global Equity Fund	ON		
RBC Vision Women's Leadership MSCI Canada Index ETF	ON		
RDX Technologies Corporation	AB	1(a), 1(b), 1(c), 1(e)	
Real Estate Investment Corporate Class	ON		
Real Estate Investment Pool	ON		
Real Matters Inc.	ON		
Real Return Bond Fund	ON		
Realia Properties Inc.	BC		
REALnorth Opportunities Fund	BC		
Recipe Unlimited Corporation (formerly Cara Operations Limited)	ON		
Red Eagle Mining Corporation	BC	1(a), 1(b), 1(c), 1(d), 1(e)	
Red Light Holland Corp. (formerly, Added Capital Inc.)	ON		
RediShred Capital Corp.	ON		
Redline Communications Group Inc.	ON		
REFLEX Plan	QC		
Reko International Group Inc.	ON		
Relevium Technologies Inc.	QC		
Renaissance Canadian All-Cap Equity Fund	ON		
Renaissance Canadian Balanced Fund	ON		
Renaissance Canadian Bond Fund	ON		
Renaissance Canadian Core Value Fund	ON		
Renaissance Canadian Dividend Fund	ON		
Renaissance Canadian Equity Private Pool	ON		
Renaissance Canadian Fixed Income Private Pool	ON		
Renaissance Canadian Growth Fund	ON		
Renaissance Canadian Monthly Income Fund	ON		
Renaissance Canadian Small-Cap Fund	ON		
Renaissance Canadian T-Bill Fund	ON		
Renaissance China Plus Fund	ON		
Renaissance Corporate Bond Fund	ON		
Renaissance Diversified Income Fund	ON		
Renaissance Emerging Markets Equity Private Pool	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Renaissance Emerging Markets Fund	ON		
Renaissance Equity Income Private Pool	ON		
Renaissance Flexible Yield Fund	ON		
Renaissance Floating Rate Income Fund	ON		
Renaissance Global Bond Fund	ON		
Renaissance Global Bond Private Pool	ON		
Renaissance Global Equity Private Pool	ON		
Renaissance Global Focus Currency Neutral Fund	ON		
Renaissance Global Focus Fund	ON		
Renaissance Global Growth Currency Neutral Fund	ON		
Renaissance Global Growth Fund	ON		
Renaissance Global Health Care Fund	ON		
Renaissance Global Infrastructure Currency Neutral Fund	ON		
Renaissance Global Infrastructure Fund	ON		
Renaissance Global Markets Fund	ON		
Renaissance Global Real Estate Currency Neutral Fund	ON		
Renaissance Global Real Estate Fund	ON		
Renaissance Global Science & Technology Fund	ON		
Renaissance Global Small-Cap Fund	ON		
Renaissance Global Value Fund	ON		
Renaissance High Income Fund	ON		
Renaissance High-Yield Bond Fund	ON		
Renaissance International Dividend Fund	ON		
Renaissance International Equity Currency Neutral Fund	ON		
Renaissance International Equity Fund	ON		
Renaissance International Equity Private Pool	ON		
Renaissance Money Market Fund	ON		
Renaissance Multi-Asset Global Balanced Income Private Pool	ON		
Renaissance Multi-Asset Global Balanced Private Pool	ON		
Renaissance Multi-Sector Fixed Income Private Pool	ON		
Renaissance Optimal Conservative Income Portfolio	ON		
Renaissance Optimal Global Equity Currency Neutral Portfolio	ON		
Renaissance Optimal Global Equity Portfolio	ON		
Renaissance Optimal Growth & Income Portfolio	ON		
Renaissance Optimal Income Portfolio	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Renaissance Optimal Inflation Opportunities Portfolio	ON		
Renaissance Real Assets Private Pool	ON		
Renaissance Real Return Bond Fund	ON		
Renaissance Short-Term Income Fund	ON		
Renaissance U.S. Dollar Corporate Bond Fund	ON		
Renaissance U.S. Dollar Diversified Income Fund	ON		
Renaissance U.S. Equity Currency Neutral Private Pool	ON		
Renaissance U.S. Equity Fund	ON		
Renaissance U.S. Equity Growth Currency Neutral Fund	ON		
Renaissance U.S. Equity Growth Fund	ON		
Renaissance U.S. Equity Income Fund	ON		
Renaissance U.S. Equity Private Pool	ON		
Renaissance U.S. Equity Value Fund	ON		
Renaissance U.S. Money Market Fund	ON		
Renaissance Ultra Short-Term Income Private Pool	ON		
Resolute Forest Products Inc.	QC		
Restaurant Brands International Inc.	ON		
Restaurant Brands International Limited Partnership	ON		
Resverlogix Corp.	AB		
Retrocom Growth Fund Inc.	ON	1(a), 1(b), 1(c), 1(d)	
Revive Therapeutics Ltd.	ON		
RGP Global Sector Class (formerly R.E.G.A.R. Investment Management Global Equity Class)	QC		
RGP Global Sector Fund (formerly R.E.G.A.R. Investment Management Global Equity Fund)	QC		
Richards Packaging Income Fund	ON		
Richelieu Hardware Ltd.	QC		
Ridgewood Canadian Bond Fund	ON		
Ridgewood Canadian Investment Grade Bond Fund	ON		
Ridgewood Tactical Yield Fund	ON		
Rio2 Limited	BC		
RIOCAN REAL ESTATE INVESTMENT TRUST	ON		
Ritchie Bros. Auctioneers Incorporated	BC		
Rocky Mountain Dealerships Inc.	AB		
Rocky Mountain Liquor Inc.	AB		
Rodinia Oil Corp.	AB	1(a), 1(b), 1(c), 1(e)	

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Rogers Communications Inc.	ON		
Rogers Sugar Inc.	BC		
Roman Corporation Limited	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Roots Corporation	ON		
Roxgold Inc.	ON		
Royal Bank of Canada	QC		
Royal Gold, Inc.	ON		
Royal Nickel Corporation dba as RNC Minerals	ON		
RP Alternative Global Bond Fund	ON		
RP Strategic Income Plus Fund	ON		
Rubicon Minerals Corporation	ON		
Rubicon Organics Inc.	BC		
Russel Metals Inc.	ON		
Russell Investments Balanced	ON		
Russell Investments Balanced Class	ON		
Russell Investments Balanced Growth	ON		
Russell Investments Balanced Growth Class	ON		
Russell Investments Canadian Cash Fund	ON		
Russell Investments Canadian Dividend Class	ON		
Russell Investments Canadian Dividend Pool	ON		
Russell Investments Canadian Equity Class	ON		
Russell Investments Canadian Equity Pool	ON		
Russell Investments Canadian Fixed Income Fund	ON		
Russell Investments Conservative Income	ON		
Russell Investments Conservative Income Class	ON		
Russell Investments Diversified Monthly Income	ON		
Russell Investments Diversified Monthly Income Class	ON		
Russell Investments Emerging Markets Equity Class	ON		
Russell Investments Emerging Markets Equity Pool	ON		
Russell Investments ESG Global Equity Pool	ON		
Russell Investments Fixed Income Class	ON		
Russell Investments Fixed Income Pool	ON		
Russell Investments Focused Canadian Equity Class	ON		
Russell Investments Focused Canadian Equity Pool	ON		
Russell Investments Focused Global Equity Class	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Russell Investments Focused Global Equity Pool	ON		
Russell Investments Focused US Equity Class	ON		
Russell Investments Focused US Equity Pool	ON		
Russell Investments Global Credit Class	ON		
Russell Investments Global Credit Pool	ON		
Russell Investments Global Equity Class	ON		
Russell Investments Global Equity Pool	ON		
Russell Investments Global Infrastructure Class	ON		
Russell Investments Global Infrastructure Pool	ON		
Russell Investments Global Real Estate Pool	ON		
Russell Investments Global Smaller Companies Class	ON		
Russell Investments Global Smaller Companies Pool	ON		
Russell Investments Global Unconstrained Bond Class	ON		
Russell Investments Global Unconstrained Bond Pool	ON		
Russell Investments Income Essentials	ON		
Russell Investments Income Essentials Class	ON		
Russell Investments Inflation Linked Bond Fund	ON		
Russell Investments Long-Term Growth	ON		
Russell Investments Long-Term Growth Class	ON		
Russell Investments Money Market Class	ON		
Russell Investments Money Market Pool	ON		
Russell Investments Multi-Factor Canadian Equity Pool	ON		
Russell Investments Multi-Factor Global Balanced	ON		
Russell Investments Multi-Factor International Equity Pool	ON		
Russell Investments Multi-Factor US Equity Pool	ON		
Russell Investments Overseas Equity Class	ON		
Russell Investments Overseas Equity Fund	ON		
Russell Investments Overseas Equity Pool	ON		
Russell Investments Real Assets	ON		
Russell Investments Short Term Income Class	ON		
Russell Investments Short Term Income Pool	ON		
Russell Investments US Equity Class	ON		
Russell Investments US Equity Pool	ON		
Russell Investments Yield Opportunities Pool	ON		
RYU Apparel Inc.	BC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
S Split Corp.	ON		
Sabina Gold & Silver Corp.	BC		
Sagcor Financial Company Ltd. (formerly Alignvest Acquisition II Corporation)	ON		
Samco Gold Limited	ON		
San Gold Corporation	MB	1(a), 1(b), 1(c), 1(e)	
Sanatana Resources Inc.	BC		
SANDSTORM GOLD LTD.	BC		
Sangoma Technologies Corporation	ON		
Santacruz Silver Mining Ltd.	BC		
Saputo Inc.	QC		
Sarama Resources Ltd.	BC		
Savaria Corporation	QC		
Score Media and Gaming Inc. (formerly theScore, Inc.)	ON		
Scotia Aria Conservative Build Portfolio	ON		
Scotia Aria Conservative Defend Portfolio (formerly Scotia Aria Conservative Core Portfolio)	ON		
Scotia Aria Conservative Pay Portfolio	ON		
Scotia Aria Equity Build Portfolio	ON		
Scotia Aria Equity Defend Portfolio	ON		
Scotia Aria Equity Pay Portfolio	ON		
Scotia Aria Moderate Build Portfolio	ON		
Scotia Aria Moderate Defend Portfolio (formerly Scotia Aria Moderate Core Portfolio)	ON		
Scotia Aria Moderate Pay Portfolio	ON		
Scotia Aria Progressive Build Portfolio	ON		
Scotia Aria Progressive Defend Portfolio (formerly Scotia Aria Progressive Core Portfolio)	ON		
Scotia Aria Progressive Pay Portfolio	ON		
Scotia Balanced Opportunities Fund (formerly Scotia Canadian Tactical Asset Allocation Fund)	ON		
Scotia Bond Fund	ON		
Scotia Canadian Balanced Fund	ON		
Scotia Canadian Bond Index Fund	ON		
Scotia Canadian Dividend Class	ON		
Scotia Canadian Dividend Fund	ON		
Scotia Canadian Equity Blend Class	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Scotia Canadian Equity Fund (formerly Scotia Canadian Blue Chip Fund)	ON		
Scotia Canadian Growth Fund	ON		
Scotia Canadian Income Fund	ON		
Scotia Canadian Index Fund	ON		
Scotia Canadian Small Cap Fund	ON		
Scotia CanAm Index Fund	ON		
Scotia Conservative Fixed Income Portfolio (formerly Scotia Conservative Income Fund)	ON		
Scotia Diversified Monthly Income Fund	ON		
Scotia Dividend Balanced Fund (formerly Scotia Canadian Dividend Income Fund)	ON		
Scotia Emerging Markets Equity Fund	ON		
Scotia European Fund	ON		
Scotia Global Balanced Fund	ON		
Scotia Global Bond Fund	ON		
Scotia Global Dividend Class	ON		
Scotia Global Dividend Fund	ON		
Scotia Global Equity Fund (formerly Scotia Global Opportunities Fund)	ON		
Scotia Global Growth Fund	ON		
Scotia Global Small Cap Fund	ON		
Scotia Income Advantage Fund	ON		
Scotia INNOVA Balanced Growth Portfolio	ON		
Scotia INNOVA Balanced Growth Portfolio Class	ON		
Scotia INNOVA Balanced Income Portfolio	ON		
Scotia INNOVA Balanced Income Portfolio Class	ON		
Scotia INNOVA Growth Portfolio	ON		
Scotia INNOVA Growth Portfolio Class	ON		
Scotia INNOVA Income Portfolio	ON		
Scotia INNOVA Income Portfolio Class	ON		
Scotia INNOVA Maximum Growth Portfolio	ON		
Scotia INNOVA Maximum Growth Portfolio Class	ON		
Scotia International Equity Blend Class	ON		
Scotia International Equity Fund (formerly Scotia International Value Fund)	ON		
Scotia International Index Fund	ON		
Scotia Money Market Fund	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Scotia Mortgage Income Fund	ON		
Scotia Nasdaq Index Fund	ON		
Scotia Partners Balanced Growth Portfolio (formerly Scotia Partners Balanced Income & Growth Portfolio)	ON		
Scotia Partners Balanced Growth Portfolio Class	ON		
Scotia Partners Balanced Income Portfolio (formerly Scotia Partners Income & Modest Growth Portfolio)	ON		
Scotia Partners Balanced Income Portfolio Class	ON		
Scotia Partners Growth Portfolio (formerly Scotia Partners Moderate Growth Portfolio)	ON		
Scotia Partners Growth Portfolio Class	ON		
Scotia Partners Income Portfolio (formerly Scotia Partners Diversified Income Portfolio)	ON		
Scotia Partners Maximum Growth Portfolio (formerly Scotia Partners Aggressive Growth Portfolio)	ON		
Scotia Partners Maximum Growth Portfolio Class	ON		
Scotia Premium T-Bill Fund	ON		
Scotia Private American Core-Plus Bond Pool	ON		
Scotia Private Canadian All Cap Equity Pool	ON		
Scotia Private Canadian Core Bond Pool	ON		
Scotia Private Canadian Corporate Bond Pool	ON		
Scotia Private Canadian Equity Pool	ON		
Scotia Private Canadian Growth Pool	ON		
Scotia Private Canadian Mid Cap Pool	ON		
Scotia Private Canadian Preferred Share Pool	ON		
Scotia Private Canadian Small Cap Pool	ON		
Scotia Private Canadian Value Pool	ON		
Scotia Private Diversified International Equity Pool	ON		
Scotia Private Emerging Markets Pool	ON		
Scotia Private Floating Rate Income Pool (formerly Scotia Floating Rate Income Fund)	ON		
Scotia Private Fundamental Canadian Equity Pool	ON		
Scotia Private Global Credit Pool	ON		
Scotia Private Global Equity Pool	ON		
Scotia Private Global High Yield Pool	ON		
Scotia Private Global Infrastructure Pool	ON		
Scotia Private Global Low Volatility Equity Pool	ON		
Scotia Private Global Real Estate Pool	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Scotia Private High Yield Bond Pool	ON		
Scotia Private High Yield Income Pool	ON		
Scotia Private Income Pool	ON		
Scotia Private International Core Equity Pool	ON		
Scotia Private International Equity Pool	ON		
Scotia Private International Growth Equity Pool	ON		
Scotia Private International Small to Mid Cap Value Pool	ON		
Scotia Private North American Dividend Pool (formerly Scotia Private North American Equity Pool)	ON		
Scotia Private Premium Payout Pool (formerly Scotia Private Options Income Pool)	ON		
Scotia Private Real Estate Income Pool	ON		
Scotia Private Short Term Bond Pool (formerly Scotia Short Term Bond Fund)	ON		
Scotia Private Short-Mid Government Bond Pool	ON		
Scotia Private Strategic Balanced Pool	ON		
Scotia Private Total Return Bond Pool	ON		
Scotia Private U.S. Dividend Pool	ON		
Scotia Private U.S. Large Cap Growth Pool	ON		
Scotia Private U.S. Mid Cap Value Pool	ON		
Scotia Private U.S. Value Pool	ON		
Scotia Private World Infrastructure Pool	ON		
Scotia Resource Fund	ON		
Scotia Schools Trust	NS	1(a), 1(b), 1(c), 1(e)	
Scotia Selected Balanced Growth Portfolio (formerly Scotia Selected Balanced Income & Growth Portfolio)	ON		
Scotia Selected Balanced Income Portfolio (formerly Scotia Selected Income & Modest Growth Portfolio)	ON		
Scotia Selected Growth Portfolio (formerly Scotia Selected Moderate Growth Portfolio)	ON		
Scotia Selected Income Portfolio	ON		
Scotia Selected Maximum Growth Portfolio (formerly Scotia Selected Aggressive Growth Portfolio)	ON		
Scotia Strategic Canadian Equity ETF Portfolio	ON		
Scotia Strategic Fixed Income ETF Portfolio	ON		
Scotia Strategic International Equity ETF Portfolio	ON		
Scotia Strategic U.S. Equity ETF Portfolio	ON		
Scotia T-Bill Fund	ON		
Scotia U.S. \$ Balanced Fund	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Scotia U.S. \$ Bond Fund	ON		
Scotia U.S. \$ Money Market Fund	ON		
Scotia U.S. Dividend Fund	ON		
Scotia U.S. Equity Blend Class	ON		
Scotia U.S. Equity Fund (formerly Scotia U.S. Blue Chip Fund)	ON		
Scotia U.S. Index Fund	ON		
Scotia U.S. Opportunities Fund (formerly Scotia U.S. Value Fund)	ON		
Scotiabank Capital Trust	ON		
SDX Energy plc	AB		
Sears Canada Inc.	ON	1(a), 1(b), 1(c), 1(e)	
Sectorwise Balanced Portfolio	QC		
Sectorwise Conservative Portfolio	QC		
Sectorwise Growth Portfolio	QC		
Secure Energy Services Inc.	AB		
Sedibelo Platinum Mines Limited (formerly, Platmin Limited)	ON	1(b), 1(c), 1(e)	
Select 100e Managed Portfolio Corporate Class	ON		
Select 20i80e Managed Portfolio Corporate Class	ON		
Select 30i70e Managed Portfolio Corporate Class	ON		
Select 40i60e Managed Portfolio Corporate Class	ON		
Select 50i50e Managed Portfolio Corporate Class	ON		
Select 60i40e Managed Portfolio Corporate Class	ON		
Select 70i30e Managed Portfolio Corporate Class	ON		
Select 80i20e Managed Portfolio Corporate Class	ON		
Select Canadian Equity Managed Corporate Class	ON		
Select Canadian Equity Managed Fund	ON		
Select Income Managed Corporate Class	ON		
Select International Equity Managed Corporate Class	ON		
Select International Equity Managed Fund	ON		
Select Staging Fund	ON		
Select U.S Equity Managed Fund	ON		
Select U.S. Equity Managed Corporate Class	ON		
SemBioSys Genetics Inc.	AB	1(a), 1(b), 1(c), 1(d), 1(e)	
Senior Secured Floating Rate Loan Fund	QC		
Sentry All Cap Income Class (formerly Sentry Diversified Equity Class)	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Sentry All Cap Income Fund (formerly, Sentry Diversified Income Fund)	ON		
Sentry Balanced Income Portfolio (formerly, Sentry Income Portfolio)	ON		
Sentry Balanced Yield Private Pool Class	ON		
Sentry Canadian Core Fixed Income Private Trust	ON		
Sentry Canadian Equity Income Private Pool Class	ON		
Sentry Canadian Equity Income Private Trust	ON		
Sentry Canadian Income Class (formerly Sentry Select Canadian Income Class)	ON		
Sentry Canadian Income Fund (formerly Sentry Select Canadian Income Fund)	ON		
Sentry Conservative Balanced Income Class	ON		
Sentry Conservative Balanced Income Fund (formerly Sentry Select Conservative Income Fund)	ON		
Sentry Conservative Income Portfolio	ON		
Sentry Defensive Income Portfolio	ON		
Sentry Energy Private Trust	ON		
Sentry Global Balanced Yield Private Pool Class	ON		
Sentry Global Core Fixed Income Private Trust	ON		
Sentry Global Equity Income Private Pool Class	ON		
Sentry Global High Yield Fixed Income Private Trust	ON		
Sentry Global Infrastructure Private Trust	ON		
Sentry Global Investment Grade Private Pool Class	ON		
Sentry Global Real Estate Private Trust	ON		
Sentry Growth and Income Portfolio	ON		
Sentry Growth Portfolio	ON		
Sentry International Equity Income Private Pool Class	ON		
Sentry International Equity Income Private Trust	ON		
Sentry Precious Metals Class (formerly, Sentry Precious Metals Growth Class)	ON		
Sentry Precious Metals Fund (formerly, Sentry Precious Metals Growth Fund)	ON		
Sentry Precious Metals Private Trust	ON		
Sentry Real Growth Pool Class	ON		
Sentry Real Income 1941-45 Class	ON		
Sentry Real Income 1946-50 Class	ON		
Sentry Real Income 1951-55 Class	ON		
Sentry Real Long Term Income Pool Class	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Sentry Real Long Term Income Trust	ON		
Sentry Real Mid Term Income Pool Class	ON		
Sentry Real Mid Term Income Trust	ON		
Sentry Real Short Term Income Pool Class	ON		
Sentry Real Short Term Income Trust	ON		
Sentry Resource Opportunities Class (formerly Sentry Canadian Resource Class)	ON		
Sentry Select Primary Metals Corp.	ON		
Sentry Small/Mid Cap Income Class	ON		
Sentry Small/Mid Cap Income Fund (formerly Sentry Small Cap Income Fund)	ON		
Sentry U.S. Equity Income Currency Neutral Private Pool Class	ON		
Sentry U.S. Equity Income Private Pool Class	ON		
Sentry U.S. Equity Income Private Trust	ON		
Sentry U.S. Growth and Income Class	ON		
Sentry U.S. Growth and Income Corporate Class (formerly CI American Equity Corporate Class)	ON		
Sentry U.S. Growth and Income Currency Neutral Class	ON		
Sentry U.S. Growth and Income Fund	ON		
Sentry U.S. Monthly Income Fund (formerly, Sentry U.S. Balanced Income Fund)	ON		
Serinus Energy plc	AB		
Seven Aces Limited	ON		
Seven Generations Energy Ltd.	AB		
SHAW COMMUNICATIONS INC.	AB		
Shawcor Ltd.	ON		
Sherritt International Corporation	ON		
Shopify Inc.	ON		
Shoreline Energy Corp.	AB	1(a), 1(b), 1(c), 1(e)	
Short Term Bond Fund	ON		
Short Term Bond Fund (Portico)	ON		
Short Term Income Corporate Class	ON		
Short Term Income Pool	ON		
Short Term Investment Fund	ON		
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	ON		
Sierra Wireless, Inc.	BC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Signature Asian Opportunities Corporate Class (formerly CI Pacific Corporate Class)	ON		
Signature Asian Opportunities Fund (formerly CI Pacific Fund)	ON		
Signature Canadian Balanced Corporate Class (formerly Harbour Growth & Income Corporate Class)	ON		
Signature Canadian Balanced Fund	ON		
Signature Canadian Bond Corporate Class	ON		
Signature Canadian Bond Fund	ON		
Signature Cash Management Fund	ON		
Signature Core Bond Plus Fund (formerly Signature Tactical Bond Pool)	ON		
Signature Corporate Bond Class (formerly Sentry Corporate Bond Class)	ON		
Signature Corporate Bond Corporate Class	ON		
Signature Corporate Bond Fund	ON		
Signature Diversified Yield Corporate Class	ON		
Signature Diversified Yield Fund) (formerly Signature Diversified Yield II Fund)	ON		
Signature Dividend Corporate Class	ON		
Signature Dividend Fund	ON		
Signature Emerging Markets Corporate Class	ON		
Signature Emerging Markets Fund	ON		
Signature Floating Rate Income Fund (formerly Signature Floating Rate Income Pool)	ON		
Signature Global Bond Corporate Class	ON		
Signature Global Bond Fund	ON		
Signature Global Dividend Corporate Class	ON		
Signature Global Dividend Fund	ON		
Signature Global Energy Corporate Class	ON		
Signature Global Equity Corporate Class	ON		
Signature Global Equity Fund	ON		
Signature Global Income & Growth Corporate Class	ON		
Signature Global Income & Growth Fund	ON		
Signature Global Infrastructure Fund (formerly Sentry Global Infrastructure Fund)	ON		
Signature Global REIT Class (formerly Sentry Global REIT Class)	ON		
Signature Global REIT Fund (formerly Sentry Global REIT Fund)	ON		
Signature Global Resource Corporate Class	ON		
Signature Global Resource Fund	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Signature Global Technology Corporate Class	ON		
Signature Gold Corporate Class	ON		
Signature High Income Corporate Class	ON		
Signature High Income Fund	ON		
Signature High Yield Bond Class (formerly Sentry Global High Yield Bond Class)	ON		
Signature High Yield Bond Corporate Class	ON		
Signature High Yield Bond Fund (formerly Signature High Yield Bond II Fund)	ON		
Signature Income & Growth Corporate Class	ON		
Signature Income & Growth Fund	ON		
Signature Preferred Share Fund (formerly Signature Preferred Share Pool)	ON		
Signature Select Canadian Corporate Class	ON		
Signature Select Canadian Fund	ON		
Signature Short-Term Bond Fund	ON		
Signature Systematic Yield Pool	ON		
Silver Bear Resources Plc	ON		
Silver Elephant Mining Corp.	BC		
SILVER SPRUCE RESOURCES INC.	NS		
Silver Tiger Metals Inc. (formerly Oceanus Resources Corp)	NS		
SILVERCORP METALS INC.	BC		
SilverCrest Metals Inc.	BC		
Sintana Energy Inc.	ON		
Sionna Canadian Equity Fund	ON		
Sionna Opportunities Fund	ON		
Sionna Strategic Income Fund (formerly, Sionna Canadian Balanced Fund)	ON		
SIR Royalty Income Fund	ON		
Sirius XM Holdings Inc.	ON		
Slam Exploration Ltd.	NB		
Slate Office REIT	ON		
Slate Retail REIT	ON		
Slater Steel Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Sleep Country Canada Holdings Inc.	ON		
SmartBe Global Value Momentum Trend Index ETF	AB		
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
SNC-Lavalin Group Inc.	QC		
Sobeys Inc.	NS		
Societerra 100 per cent Equity Portfolio	QC		
SocieTerra Balanced Portfolio	QC		
SocieTerra Conservative Portfolio (formerly SocieTerra Secure Market Porfolio)	QC		
SocieTerra Growth Portfolio	QC		
SocieTerra Maximum Growth Portfolio (formerly SocieTerra Growth Plus Portfolio)	QC		
SocieTerra Moderate Portfolio	QC		
Solaris Resources Inc.	BC		
SOLITARIO ZINC CORP.	ON		
Sona Nanotech Inc.	NS		
SOPerior Fertilizer Corp.	ON	1(a), 1(c), 1(d), 1(e)	
Source Energy Services Ltd.	AB		
Southern Pacific Resource Corp.	AB	1(a), 1(b), 1(c), 1(d), 1(e)	
SouthGobi Resources Ltd.	BC	1(a), 1(b), 1(c), 1(d), 1(e)	
Spackman Equities Group Inc. (formerly, Centiva Capital Inc.)	ON		
Spark Power Group Inc. (formerly Canaccord Genuity Acquisition Corp.)	ON		
Specialty Foods Group Income Fund	ON	1(a), 1(b), 1(c), 1(e)	
Spectra7 Microsystems Inc.	ON		
Spectral Medical Inc.	ON		
Sphere FTSE Emerging Markets Sustainable Yield Index ETF	ON		
Spin Master Corp.	ON		
Sprott Inc.	ON		
Sprott Physical Gold and Silver Trust	ON		
Sprott Physical Gold Trust	ON		
Sprott Physical Platinum and Palladium Trust	ON		
Sprott Physical Silver Trust	ON		
Sprott Resource Holdings Inc. (formerly Adriana Resources Inc.)	ON		
Spyglass Resources Corp.	AB	1(a), 1(b), 1(c), 1(d), 1(e)	
SRAI Capital Corp.	BC		
SRG Mining Inc.	QC		
SSR Mining Inc. (Silver Standard Resources Inc.)	BC		
St. Augustine Gold and Copper Limited	ON		
Stage Holdco Ltd.	BC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Standard Lithium Ltd.	BC		
Standard Uranium Ltd.	BC		
Stantec Inc.	AB		
Star Diamond Corporation	SK		
Starlight Global Infrastructure Fund	ON		
Starlight Global Real Estate Fund	ON		
Starlight Hybrid Global Real Assets Trust	ON		
Starlight U.S. Multi-Family (No. 1) Core Plus Fund	ON		
Stelco Holdings Inc.	ON		
Stella-Jones Inc.	QC		
STEP Energy Services Ltd.	AB		
Steppe Gold Ltd.	ON		
Sterling Shoes Inc.	BC	1(a), 1(b), 1(c), 1(e)	
Stingray Group Inc.	QC		
Stone American Dividend Growth Fund	ON		
Stone Covered Call Canadian Bank Plus Fund	ON		
Stone Dividend Growth Class	ON		
Stone Dividend Yield Hog Fund (formerly Stone Monthly Pay Fund)	ON		
Stone Global Balanced Fund	ON		
Stone Global ESG Strategy Fund (formerly Stone Global Strategy Fund)	ON		
Stone Global Growth Fund	ON		
Stone Global Sustainability Fund (formerly Stone EuroPlus Fund)	ON		
Stone Growth Fund	ON		
Stone Investment Group Limited	ON		
Stone Mountain Holdings Inc.	BC	1(a), 1(b), 1(c), 1(e)	
StorageVault Canada Inc.	ON		
Storm Resources Ltd.	AB		
Stornoway Diamond Corporation	QC	1(a), 1(b), 1(c), 1(d), 1(e)	
Strategic Oil & Gas Ltd.	AB	1(a), 1(b), 1(c), 1(e)	
Stuart Olson Inc.	AB		
Subversive Capital Acquisition Corp.	ON		
Subversive Real Estate Acquisition REIT LP	ON		
Sulliden Mining Capital Inc.	ON		
Summit Industrial Income REIT	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Sun Life Assurance Company of Canada	ON		
Sun Life BlackRock Canadian Balanced Class	ON		
Sun Life BlackRock Canadian Balanced Fund	ON		
Sun Life BlackRock Canadian Composite Equity Class	ON		
Sun Life BlackRock Canadian Composite Equity Fund	ON		
Sun Life BlackRock Canadian Equity Class	ON		
Sun Life BlackRock Canadian Equity Fund	ON		
Sun Life BlackRock Canadian Universe Bond Fund	ON		
Sun Life Capital Trust	ON		
Sun Life Core Advantage Credit Private Pool	ON		
Sun Life Dynamic American Fund (formerly, Sun Life Dynamic American Value Fund)	ON		
Sun Life Dynamic Energy Fund	ON		
Sun Life Dynamic Equity Income Class	ON		
Sun Life Dynamic Equity Income Fund	ON		
Sun Life Dynamic Strategic Yield Class	ON		
Sun Life Dynamic Strategic Yield Fund	ON		
Sun Life Excel China Fund	ON		
Sun Life Excel Emerging Markets Balanced Fund	ON		
Sun Life Excel Emerging Markets Fund (formerly, Sun Life Schroder Emerging Markets Fund)	ON		
Sun Life Excel High Income Fund	ON		
Sun Life Excel India Balanced Fund	ON		
Sun Life Excel India Fund	ON		
Sun Life Excel New India Leaders Fund	ON		
Sun Life Financial Inc.	ON		
Sun Life Franklin Bissett Canadian Equity Class	ON		
Sun Life Global Dividend Private Pool	ON		
Sun Life Global Tactical Yield Private Pool	ON		
Sun Life Granite Balanced Class	ON		
Sun Life Granite Balanced Growth Class	ON		
Sun Life Granite Balanced Growth Portfolio	ON		
Sun Life Granite Balanced Portfolio	ON		
Sun Life Granite Conservative Class	ON		
Sun Life Granite Conservative Portfolio	ON		
Sun Life Granite Enhanced Income Portfolio	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Sun Life Granite Growth Class	ON		
Sun Life Granite Growth Portfolio	ON		
Sun Life Granite Income Portfolio	ON		
Sun Life Granite Moderate Class	ON		
Sun Life Granite Moderate Portfolio	ON		
Sun Life Granite Tactical Completion Fund	ON		
Sun Life Invesco Canadian Class (formerly Sun Life Trimark Canadian Class)	ON		
Sun Life JPMorgan International Equity Fund	ON		
Sun Life MFS Canadian Bond Fund	ON		
Sun Life MFS Canadian Equity Fund (formerly, Sun Life MFS Canadian Equity Growth Fund)	ON		
Sun Life MFS Canadian Equity Growth Class (formerly, Sun Life MFS Canadian Equity Class)	ON		
Sun Life MFS Dividend Income Class	ON		
Sun Life MFS Dividend Income Fund	ON		
Sun Life MFS Global Growth Class	ON		
Sun Life MFS Global Growth Fund	ON		
Sun Life MFS Global Total Return Fund	ON		
Sun Life MFS Global Value Fund	ON		
Sun Life MFS International Opportunities Class (formerly, Sun Life MFS International Growth Class)	ON		
Sun Life MFS International Opportunities Fund (formerly, Sun Life MFS International Growth Fund)	ON		
Sun Life MFS International Value Fund	ON		
Sun Life MFS Low Volatility Global Equity Fund	ON		
Sun Life MFS Low Volatility International Equity Fund	ON		
Sun Life MFS Monthly Income Fund	ON		
Sun Life MFS U.S. Equity Fund	ON		
Sun Life MFS U.S. Growth Class	ON		
Sun Life MFS U.S. Growth Fund	ON		
Sun Life MFS U.S. Value Fund	ON		
Sun Life Milestone 2020 Fund	ON		
Sun Life Milestone 2025 Fund	ON		
Sun Life Milestone 2030 Fund	ON		
Sun Life Milestone 2035 Fund	ON		
Sun Life Milestone Global Equity Fund	ON		
Sun Life Money Market Class	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Sun Life Money Market Fund	ON		
Sun Life Multi-Strategy Bond Fund	ON		
Sun Life NWQ Flexible Income Fund	ON		
Sun Life Opportunistic Fixed Income Private Pool (formerly, Sun Life Opportunistic Fixed Income Fund)	ON		
Sun Life Real Assets Private Pool (formerly, Sun Life Real Assets Fund)	ON		
Sun Life Schroder Global Mid Cap Fund (formerly, Sun Life Sentry Global Mid Cap Fund)	ON		
Sun Life Sentry Value Class	ON		
Sun Life Sentry Value Fund	ON		
Sun Life Tactical Balanced ETF Portfolio	ON		
Sun Life Tactical Conservative ETF Portfolio	ON		
Sun Life Tactical Equity ETF Portfolio	ON		
Sun Life Tactical Fixed Income ETF Portfolio	ON		
Sun Life Tactical Growth ETF Portfolio	ON		
Sun Life Templeton Global Bond Fund	ON		
Sun Life U.S. Core Fixed Income Fund (formerly, Sun Life Ryan Labs U.S. Core Fixed Income Fund)	ON		
Sun Residential Real Estate Investment Trust	ON		
Suncor Energy Inc.	AB		
Sundial Growers Inc.	AB		
Sunstone Opportunity (2006) Debenture Fund	BC		
Sunstone Opportunity (2006) Realty Trust	BC		
Sunstone Opportunity (2007) Mortgage Fund	BC		
Sunstone Opportunity (2007) Realty Trust	BC		
Sunstone Opportunity Fund (2005) Limited Partnership	BC		
Superior Gold Inc.	ON		
Superior Plus Corp.	ON		
Supremex Inc.	QC		
Surge Energy Inc.	AB		
Sustainable Infrastructure Dividend Fund	AB		
Swiss Water Decaffeinated Coffee Inc.	BC		
Symmetry Balanced Portfolio	ON		
Symmetry Balanced Portfolio Class	ON		
Symmetry Canadian Bond Fund	ON		
Symmetry Canadian Equity Fund	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Symmetry Comprehensive Equity Fund	ON		
Symmetry Conservative Income Portfolio	ON		
Symmetry Conservative Income Portfolio Class	ON		
Symmetry Conservative Portfolio	ON		
Symmetry Conservative Portfolio Class	ON		
Symmetry Equity Portfolio Class	ON		
Symmetry Fixed Income Portfolio	ON		
Symmetry Global Bond Fund	ON		
Symmetry Growth Portfolio	ON		
Symmetry Growth Portfolio Class	ON		
Symmetry Low Volatility Fund	ON		
Symmetry Moderate Growth Portfolio	ON		
Symmetry Moderate Growth Portfolio Class	ON		
Symmetry US Equity Fund	ON		
Symphony Floating Rate Senior Loan Fund	ON		
Synergy American Corporate Class	ON		
Synergy American Fund	ON		
Synergy Canadian Corporate Class	ON		
Synergy Global Corporate Class	ON		
Synodon Inc.	AB	1(a), 1(b), 1(c), 1(e)	
T. Rowe Price - IG U.S. Equity Pool	MB		
Tactical Sovereign Bond Fund	ON		
TAG Oil Ltd	BC		
Tahera Diamond Corporation (formerly Tahera Corporation)	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Taiga Building Products Ltd.	BC		
Talon Metals Corp.	ON		
Tamarack Valley Energy Ltd.	AB		
Tangerine Balanced Growth Portfolio	ON		
Tangerine Balanced Income Portfolio	ON		
Tangerine Balanced Portfolio	ON		
Tangerine Dividend Portfolio	ON		
Tangerine Equity Growth Portfolio	ON		
Taseko Mines Limited	BC		
TC Energy Corporation	AB		
TD Active Global Enhanced Dividend ETF	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
TD Active Global Equity Growth ETF	ON		
TD Active Global Income ETF	ON		
TD Active Global Infrastructure Equity ETF	ON		
TD Active Global Real Estate Equity ETF	ON		
TD Active Preferred Share ETF	ON		
TD Active U.S. Enhanced Dividend ETF	ON		
TD Active U.S. High Yield Bond ETF	ON		
TD Advantage Balanced Growth Portfolio	ON		
TD Advantage Balanced Income Portfolio	ON		
TD Advantage Balanced Portfolio	ON		
TD Balanced Growth Fund	ON		
TD Balanced Index Fund	ON		
TD Canadian Aggregate Bond Index ETF	ON		
TD Canadian Blue Chip Dividend Fund	ON		
TD Canadian Bond Fund	ON		
TD Canadian Bond Index Fund	ON		
TD Canadian Core Plus Bond Fund	ON		
TD Canadian Corporate Bond Fund	ON		
TD Canadian Diversified Yield Fund	ON		
TD Canadian Equity Class	ON		
TD Canadian Equity Fund	ON		
TD Canadian Equity Index ETF	ON		
TD Canadian Equity Pool	ON		
TD Canadian Equity Pool Class	ON		
TD Canadian Index Fund	ON		
TD Canadian Large-Cap Equity Fund	ON		
TD Canadian Long Term Federal Bond ETF	ON		
TD Canadian Low Volatility Class	ON		
TD Canadian Low Volatility Fund	ON		
TD Canadian Low Volatility Pool	ON		
TD Canadian Money Market Fund	ON		
TD Canadian Small-Cap Equity Class	ON		
TD Canadian Small-Cap Equity Fund	ON		
TD Capital Trust IV	ON		
TD China Income & Growth Fund (formerly TD Asian Growth Fund)	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
TD Comfort Aggressive Growth Portfolio	ON		
TD Comfort Balanced Growth Portfolio	ON		
TD Comfort Balanced Income Portfolio	ON		
TD Comfort Balanced Portfolio	ON		
TD Comfort Conservative Income Portfolio	ON		
TD Comfort Growth Portfolio	ON		
TD Corporate Bond Plus Fund	ON		
TD Diversified Monthly Income Fund	ON		
TD Dividend Growth Class	ON		
TD Dividend Growth Fund	ON		
TD Dividend Income Class	ON		
TD Dividend Income Fund	ON		
TD Dow Jones Industrial Average Index Fund	ON		
TD Emerald Balanced Fund	ON		
TD Emerald Canadian Bond Index Fund	ON		
TD Emerald Canadian Equity Index Fund	ON		
TD Emerald Canadian Short Term Investment Fund	ON		
TD Emerald Canadian Treasury Management - Government of Canada Fund	ON		
TD Emerald Canadian Treasury Management Fund	ON		
TD Emerald International Equity Index Fund	ON		
TD Emerald U.S. Market Index Fund	ON		
TD Emerging Markets Class	ON		
TD Emerging Markets Fund	ON		
TD Emerging Markets Low Volatility Fund	ON		
TD European Index Fund	ON		
TD Fixed Income Pool	ON		
TD FundSmart Managed Aggressive Growth Portfolio	ON		
TD FundSmart Managed Balanced Growth Portfolio	ON		
TD FundSmart Managed Income & Moderate Growth Portfolio	ON		
TD Global Balanced Opportunities Fund	ON		
TD Global Conservative Opportunities Fund	ON		
TD Global Core Plus Bond Fund	ON		
TD Global Entertainment & Communications Fund (formerly TD Entertainment & Communications Fund)	ON		
TD Global Equity Focused Fund	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
TD Global Equity Pool	ON		
TD Global Equity Pool Class	ON		
TD Global Income Fund	ON		
TD Global Low Volatility Class	ON		
TD Global Low Volatility Fund	ON		
TD Global Risk Managed Equity Class	ON		
TD Global Risk Managed Equity Fund	ON		
TD Global Tactical Monthly Income Fund (formerly TD Strategic Yield Fund)	ON		
TD Global Technology Leaders Index ETF	ON		
TD Global Unconstrained Bond Fund	ON		
TD Health Sciences Fund	ON		
TD High Yield Bond Fund	ON		
TD Income Advantage Portfolio	ON		
TD Income Builder ETF	ON		
TD Income Opportunities Pool	ON		
TD International Equity CAD Hedged Index ETF	ON		
TD International Equity Index ETF	ON		
TD International Growth Class	ON		
TD International Growth Fund	ON		
TD International Index Currency Neutral Fund	ON		
TD International Index Fund	ON		
TD International Stock Fund	ON		
TD Managed Aggressive Growth ETF Portfolio	ON		
TD Managed Aggressive Growth Portfolio	ON		
TD Managed Balanced Growth ETF Portfolio	ON		
TD Managed Balanced Growth Portfolio	ON		
TD Managed Income & Moderate Growth ETF Portfolio	ON		
TD Managed Income & Moderate Growth Portfolio	ON		
TD Managed Income ETF Portfolio	ON		
TD Managed Income Portfolio	ON		
TD Managed Index Aggressive Growth Portfolio	ON		
TD Managed Index Balanced Growth Portfolio	ON		
TD Managed Index Income & Moderate Growth Portfolio	ON		
TD Managed Index Income Portfolio	ON		
TD Managed Index Maximum Equity Growth Portfolio	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
TD Managed Maximum Equity Growth ETF Portfolio	ON		
TD Managed Maximum Equity Growth Portfolio	ON		
TD Monthly Income Fund	ON		
TD Nasdaq Index Fund	ON		
TD North American Dividend Fund	ON		
TD North American Small-Cap Equity Fund	ON		
TD Opportunities Pool	ON		
TD Precious Metals Fund	ON		
TD Premium Money Market Fund	ON		
TD Q Canadian Dividend ETF	ON		
TD Q Canadian Low Volatility ETF	ON		
TD Q Global Dividend ETF	ON		
TD Q Global Multifactor ETF	ON		
TD Q International Low Volatility ETF (formerly TD Systematic International Equity Low Volatility ETF)	ON		
TD Q U.S. Low Volatility ETF	ON		
TD Q U.S. Small-Mid-Cap Equity ETF	ON		
TD Real Return Bond Fund	ON		
TD Resource Fund	ON		
TD Retirement Balanced Portfolio	ON		
TD Retirement Conservative Portfolio	ON		
TD Risk Management Pool	ON		
TD Risk Reduction Pool	ON		
TD Risk Reduction Pool - US\$	ON		
TD Science & Technology Fund	ON		
TD Select Short Term Corporate Bond Ladder ETF	ON		
TD Select U.S. Short Term Corporate Bond Ladder ETF	ON		
TD Short Term Bond Fund	ON		
TD Short Term Investment Class	ON		
TD Tactical Monthly Income Class	ON		
TD Tactical Monthly Income Fund	ON		
TD Tactical Pool	ON		
TD Tactical Pool Class	ON		
TD U.S. Blue Chip Equity Fund	ON		
TD U.S. Corporate Bond Fund	ON		
TD U.S. Dividend Growth Fund	ON		

Reporting Issuer List / Liste des Émetteurs Assujettis

Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
TD U.S. Equity CAD Hedged Index ETF	ON		
TD U.S. Equity Index ETF	ON		
TD U.S. Equity Portfolio	ON		
TD U.S. Index Currency Neutral Fund	ON		
TD U.S. Index Fund	ON		
TD U.S. Long Term Treasury Bond ETF	ON		
TD U.S. Low Volatility Fund	ON		
TD U.S. Mid-Cap Growth Class	ON		
TD U.S. Mid-Cap Growth Fund	ON		
TD U.S. Money Market Fund	ON		
TD U.S. Monthly Income Fund	ON		
TD U.S. Monthly Income Fund - C\$	ON		
TD U.S. Quantitative Equity Fund	ON		
TD U.S. Risk Managed Equity Class	ON		
TD U.S. Risk Managed Equity Fund	ON		
TD U.S. Small-Cap Equity Fund	ON		
TD Ultra Short Term Bond Fund	ON		
TD US\$ Retirement Portfolio	ON		
TDb Split Corp.	ON		
TearLab Corporation	ON		
Teck Resources Limited	BC		
Tecsys Inc.	QC		
Telescene Film Group Inc.	QC	1(a), 1(b), 1(c), 1(d), 1(e), 1(g)	
TELUS Communications Inc.	BC		
TELUS Corporation	BC		
Templeton EAFE Developed Markets Fund	ON		
Templeton Emerging Markets Corporate Class	ON		
Templeton Emerging Markets Fund	ON		
Templeton Global Balanced Fund	ON		
Templeton Global Bond Fund	ON		
Templeton Global Bond Fund (Hedged)	ON		
Templeton Global Smaller Companies Corporate Class	ON		
Templeton Global Smaller Companies Fund	ON		
Templeton Growth Corporate Class	ON		
Templeton Growth Fund, Ltd.	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Templeton International Stock Corporate Class	ON		
Templeton International Stock Fund	ON		
TeraGo Inc.	ON		
Teranga Gold Corporation	ON		
Terra Energy Corp.	AB	1(a), 1(b), 1(c), 1(d), 1(e)	
Terra Firma Capital Corporation	ON		
TerraVest Industries Inc. (formerly TerraVest Capital Inc.)	AB		
Tervita Corporation	AB		
Tethys Petroleum Limited	AB		
Tetra Bio-Pharma Inc.	ON		
TFI International Inc.	QC		
TGOD Acquisition Corporation	BC		
The Bitcoin Fund	ON		
The Canada Life Assurance Company	MB		
The Children's Educational Foundation of Canada	ON		
The Descartes Systems Group Inc.	ON		
The Empire Life Insurance Company	ON		
The Flowr Corporation (formerly The Needle Capital Corp.)	ON		
The Green Organic Dutchman Holdings Ltd.	ON		
The Keg Royalties Income Fund	BC		
The Loewen Group Inc	BC	1(a), 1(b), 1(c), 1(d), 1(e), 1(g)	
The Manufacturers Life Insurance Company	ON		
The Medipattern Corporation	ON	1(a), 1(b), 1(c), 1(e)	
The North West Company Inc.	MB		
The Pembroke Concentrated Fund	QC		
The Second Cup Ltd.	ON		
The Supreme Cannabis Company, Inc.	ON		
The TDL Group Corp.	ON		
The Toronto-Dominion Bank	ON		
The Very Good Food Company Inc. (formerly The Very Good Butchers Inc.)	BC		
The Westaim Corporation	ON		
The Western Investment Company of Canada Limited	AB		
The Yield Growth Corp.	BC		
Theralase Technologies Inc.	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Theratechnologies Inc.	QC		
Thomson Reuters Corporation	ON		
Threegold Resources Inc.	QC	1(a), 1(b), 1(c), 1(e)	
THUNDER RIVER ENTERPRISES INC.	ON		
Tidewater Midstream and Infrastructure Ltd.	AB		
Tilray, Inc.	BC		
Timbercreek Financial Corp.	ON		
Timbercreek Global Real Estate Income Fund	ON		
Times Three Wireless Inc.	AB	1(a), 1(b), 1(c), 1(e)	
Tinka Resources Limited	BC		
Titan Mining Corporation	BC		
TLC Vision Corporation	ON	1(a), 1(b), 1(c), 1(e)	
TMAC Resources Inc.	ON		
TMX Group Limited	ON		
Top 10 Canadian Financial Trust	ON		
Top 10 Split Trust	ON		
Top 20 U.S. Dividend Trust	ON		
TORC Oil & Gas Ltd.	AB		
Torex Gold Resources Inc.	ON		
Toromont Industries Ltd.	ON		
Toronto Hydro Corporation	ON		
Torstar Corporation	ON		
Toscana Energy Income Corporation	AB		
Total Energy Services Inc.	AB		
Touchstone Exploration Inc.	AB		
Tourmaline Oil Corp.	AB		
Tradex Bond Fund	ON		
Tradex Equity Fund Limited	ON		
Tradex Global Equity Fund	ON		
TransAlta Corporation	AB		
TransAlta Renewables Inc.	AB		
Transat A.T. inc.	QC		
TransCanada PipeLines Limited	AB		
TransCanada Trust	AB		
Transcontinental Inc.	QC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
TransGlobe Energy Corporation	AB		
Tree Island Steel Ltd.	BC		
Trevali Mining Corporation	BC		
Trez Capital Senior Mortgage Investment Corporation	BC		
Trican Well Service Ltd.	AB		
Tricon Capital Group Inc.	ON		
Trilogy International Partners Inc.	BC		
Trilogy Metals Inc. (formerly NovaCopper Inc.)	BC		
TriSummit Utilities Inc. (formerly AltaGas Canada Inc.)	AB		
Trisura Group Ltd.	ON		
Trius Investments Inc.	NB		
Troilus Gold Corp.	ON		
True North Commercial Real Estate Investment Trust	ON		
Trulieve Cannabis Corp. (formerly Schyan Exploration Inc.)	ON		
Tucows Inc.	ON		
TURNKEY E&P INC.	AB	1(a), 1(b), 1(c), 1(e)	
Turquoise Hill Resources Ltd.	QC		
TVA Group Inc.	QC		
TWC Enterprises Limited	ON		
Twin Butte Energy Ltd.	AB	1(a), 1(b), 1(c), 1(d), 1(e)	
U.S. Banks Income & Growth Fund	ON		
U.S. Dividend Class (GWLIM)	ON		
U.S. Dividend Fund (GWLIM)	ON		
U.S. Dollar Cash Management Fund	ON		
U.S. Financials Income Fund	ON		
U.S. High Yield Bond Fund	ON		
U.S. Large Cap Index Fund	ON		
U.S. Large Company Equity Fund	ON		
U.S. Low Volatility Fund (Putnam)	ON		
U.S. Small Company Equity Fund	ON		
U.S. Value Class (Putnam)	ON		
U.S. Value Fund (Putnam)	ON		
U308 Corp.	ON		
Ucore Rare Metals Inc.	NS		
Uni-Select Inc.	QC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
UNIVERSITAS Plan	QC		
Uranium Energy Corp.	BC		
Uranium Participation Corporation	ON		
Uranium Royalty Corp.	BC		
Urbana Corporation	ON		
Ur-Energy Inc.	ON		
UrtheCast Corp.	BC		
US Equity Alpha Corporate Class	ON		
US Equity Alpha Pool	ON		
US Equity Growth Corporate Class	ON		
US Equity Growth Pool	ON		
US Equity Small Cap Corporate Class	ON		
US Equity Small Cap Pool	ON		
US Equity Value Corporate Class	ON		
US Equity Value Currency Hedged Corporate Class	ON		
US Equity Value Currency Hedged Pool	ON		
US Equity Value Pool	ON		
US Financial 15 Split Corp.	ON		
Vail Resorts, Inc.	BC		
Valens Groworks Corp. (formerly Genovation Capital Corp.)	BC		
VALEO PHARMA INC.	QC		
Valeura Energy Inc.	AB		
Vanguard All-Equity ETF Portfolio	ON		
Vanguard Balanced ETF Portfolio	ON		
Vanguard Canadian Aggregate Bond Index ETF	ON		
Vanguard Canadian Corporate Bond Index ETF	ON		
Vanguard Canadian Government Bond Index ETF	ON		
Vanguard Canadian Long-Term Bond Index ETF	ON		
Vanguard Canadian Short-Term Bond Index ETF	ON		
Vanguard Canadian Short-Term Corporate Bond Index ETF	ON		
Vanguard Canadian Short-Term Government Bond Index ETF	ON		
Vanguard Conservative ETF Portfolio	ON		
Vanguard Conservative Income ETF Portfolio	ON		
Vanguard FTSE Canada All Cap Index ETF	ON		
Vanguard FTSE Canada Index ETF	ON		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Vanguard FTSE Canadian Capped REIT Index ETF	ON		
Vanguard FTSE Canadian High Dividend Yield Index ETF	ON		
Vanguard FTSE Developed All Cap ex North America Index ETF	ON		
Vanguard FTSE Developed All Cap ex North America Index ETF (CAD-hedged)	ON		
Vanguard FTSE Developed All Cap ex U.S. Index ETF	ON		
Vanguard FTSE Developed All Cap ex U.S. Index ETF (CAD hedged)	ON		
Vanguard FTSE Developed Asia Pacific All Cap Index ETF	ON		
Vanguard FTSE Developed Asia Pacific All Cap Index ETF (CAD-hedged)	ON		
Vanguard FTSE Developed Europe All Cap Index ETF	ON		
Vanguard FTSE Developed Europe All Cap Index ETF (CAD-hedged)	ON		
Vanguard FTSE Developed ex North America High Dividend Yield Index ETF	ON		
Vanguard FTSE Emerging Markets All Cap Index ETF	ON		
Vanguard FTSE Global All Cap ex Canada Index ETF	ON		
Vanguard Global Aggregate Bond Index ETF (CAD-hedged)	ON		
Vanguard Global Balanced Fund	ON		
Vanguard Global Dividend Fund	ON		
Vanguard Global ex-U.S. Aggregate Bond Index ETF (CAD-hedged)	ON		
Vanguard Global Liquidity Factor ETF	ON		
Vanguard Global Minimum Volatility ETF	ON		
Vanguard Global Momentum Factor ETF	ON		
Vanguard Global Value Factor ETF	ON		
Vanguard Growth ETF Portfolio	ON		
Vanguard International Growth Fund	ON		
Vanguard S&P 500 Index ETF	ON		
Vanguard S&P 500 Index ETF (CAD-hedged)	ON		
Vanguard U.S. Aggregate Bond Index ETF (CAD-hedged)	ON		
Vanguard U.S. Dividend Appreciation Index ETF	ON		
Vanguard U.S. Dividend Appreciation Index ETF (CAD-hedged)	ON		
Vanguard U.S. Total Market Index ETF	ON		
Vanguard U.S. Total Market Index ETF (CAD-hedged)	ON		
Vanguard Windsor U.S. Value Fund	ON		
VBI Vaccines Inc.	BC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Vecima Networks Inc.	BC		
Velan Inc.	QC		
Venator Alternative Income Fund	ON		
VentureLink Innovation Fund Inc.	ON		
Verde AgriTech Plc	ON		
Veritas Absolute Return Fund	ON		
Veritas Canadian Equity Fund	ON		
Vermilion Energy Inc.	AB		
VersaBank	ON		
Viavi Solutions Inc.	BC		
Victoria Gold Corp.	ON		
Village Farms International, Inc.	BC		
ViRexx Medical Corp.	AB	1(a), 1(b), 1(c), 1(d), 1(e)	
Virginia Hills Oil Corp.	AB	1(a), 1(b), 1(c), 1(e)	
Visa Inc.	ON		
Visible Gold Mines Inc.	QC		
Vision Alternative Income Fund	ON		
Vision Market Neutral Alternative Fund	ON		
Vista Gold Corp.	BC		
ViveRE Communities Inc.	NS		
VIVO Cannabis Inc.	ON		
Voice Mobility International, Inc.	BC		
Voleo Trading Systems Inc.	BC		
VOTI Detection Inc. (formerly Steamsand Capital Corp.)	QC		
Vox Royalty Corp. (formerly AIM3 Ventures Inc.)	ON		
VPI Canadian Balanced Pool	MB		
VPI Canadian Equity Pool	MB		
VPI Dividend Growth Pool	MB		
VPI Foreign Equity Pool	MB		
VPI Income Pool	MB		
VPI Mortgage Pool	MB		
VPI Value Pool	MB		
Vuzix Corporation	ON		
Wajax Corporation	ON		
Walter Energy, Inc.	BC	1(a), 1(b), 1(c), 1(d), 1(e)	

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Walton Edgemont Development Corporation	AB	1(a), 1(b), 1(c), 1(e)	
Walton Ontario Land L.P. 1	AB	1(a), 1(b), 1(c), 1(e)	
Walton Westphalia Development Corporation	AB		
Waratah Alternative Equity Income Fund	ON		
Waste Connections, Inc. (formerly Progressive Waste Solutions Ltd.)	ON		
Wavefront Global Diversified Investment Class (formerly, Exemplar Diversified Portfolio)	ON		
WaveRider Communications Inc.	ON	1(a), 1(b), 1(c), 1(d), 1(e)	
Wayland Group Corp. (formerly, Maricann Group Inc.)	ON	1(a), 1(b), 1(c), 1(e)	
Waypoint All Weather Alternative Fund	ON		
Wealthsimple Developed Markets ex North America Socially Responsible Index ETF	ON		
Wealthsimple North America Socially Responsible Index ETF	ON		
WeedMD Inc.	ON		
WELL Health Technologies Corp.	BC		
Wellington - IG Global Equity Hedge Pool	MB		
Wells Fargo Canada Corporation	ON		
Wesdome Gold Mines Ltd.	ON		
West Fraser Timber Co. Ltd.	BC		
West Kirkland Mining Inc.	BC		
Westcoast Energy Inc.	AB		
Western Copper and Gold Corporation	BC		
Western Energy Services Corp.	AB		
Western Forest Products Inc.	BC		
Westport Fuel Systems Inc.	BC		
Westshore Terminals Investment Corporation	BC		
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	BC		
Whistler Blackcomb Holdings Inc. (formerly 1068877 B.C. Ltd.)	BC	1(g)	
Whitecap Resources Inc.	AB		
WildBrain Ltd. (formerly, DHX Media Ltd.)	NS		
Willoughby Investment Pool	BC		
Wise 100 per cent Equity ETF Portfolio	QC		
Wise Balanced ETF Portfolio	QC		
Wise Conservative ETF Portfolio	QC		
Wise Fixed Income ETF Portfolio	QC		
Wise Growth ETF Portfolio	QC		

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Name / Dénomination	Principal/ Principale	Default / Défaut	CTO/ IOV
Wise Maximum Growth ETF Portfolio	QC		
Wolfden Resources Corporation	ON		
Wolverine Energy and Infrastructure Inc.	AB		
Woodfine Professional Centres Limited Partnership	BC	1(a), 1(b), 1(c), 1(e)	
World Financial Split Corp.	ON		
WPT Industrial Real Estate Investment Trust	ON		
WSP Global Inc.	QC		
Xebec Adsorption Inc.	QC		
XIB I Capital Corp.	BC		
Yamana Gold Inc.	ON		
Yellow Pages Digital & Media Solutions Limited	QC		
Ynvisible Interactive Inc.	BC		
Young Australian Mines Ltd. (formerly, Moly Mines Limited)	ON		
YTM Capital Fixed Income Alternative Fund	ON		
Zargon Oil & Gas Ltd.	AB		
ZEN Graphene Solutions Ltd.	ON		
Zenabis Global Inc.	BC		
Zenith Capital Corp.	AB		
Zungui Haixi Corporation	ON	1(a), 1(b), 1(c), 1(e)	
Zymeworks Inc.	BC		