



## Career Opportunity

FCNB is a leader in integrated financial and consumer services regulation. FCNB's mandate is to protect consumers and enhance public confidence in the financial and consumer marketplace through the provision of regulatory and educational services. With offices in both Fredericton and Saint John, it is responsible for the administration and enforcement of provincial legislation regulating mortgage brokers, payday lenders, real estate, securities, insurance, pensions, credit unions, trust and loan companies, co-operatives, and a wide range of consumer legislation. FCNB is an independent Crown corporation funded by the regulatory fees and assessments paid by its regulated sectors.

We offer opportunities for dedicated professionals to play a vital role in carrying out our mandate.

### **Compliance Officer, Financial Institutions – Fredericton office**

We are seeking an experienced professional to join our team as Compliance Officer in our Financial Institutions Division.

Reporting to the Senior Compliance Officer in Financial Institutions, the Compliance Officer will work with a team of professionals to examine, monitor, and assess the financial soundness, business strategies, and risk profile of financial institutions. This includes evaluating inherent risks of business functions, including credit risk, market risk, operational risk and strategic risk. As a Compliance Officer, your responsibilities include reviewing financial statements, regulatory reports, budget, business models, governance frameworks, macro-economic drivers, and significant activities of an institution in order to maintain updated and accurate risk profiles and verify compliance with both the *Loan and Trust Companies Act* and the *Credit Unions Act*. For Credit Unions this includes manage/mitigate risk to depositor/member funds and the guarantee/insurance fund.

The position is responsible for verifying compliance with applicable legislation and contributing to the maintenance of leading-edge supervisory review programs through research and development.

You possess excellent oral and written communications skills and are able to work independently as well as in a team environment and have.

Other qualifications include:

- Bachelor's degree in Business Administration, Commerce or a related field;
- A professional accounting designation is considered an asset;
- 3+ years of relevant experience in corporate governance, credit risk management, capital and liquidity management, market risk, operational/compliance risk, financial and business analysis, interpretation and application of legislation or experience within the credit union system is considered an asset;
- Experience in conducting compliance reviews would be an asset;
- Capable of taking the lead on complex assignments;
- Experience preparing professional documentation including reports, briefing notes and presentations to senior management and Boards.
- Ability to communicate in both official languages is an asset
- Strong analytical skills;

- Excellent interpersonal skills;
- Detail oriented;
- Proficient in MS Office;
- Skilled at expressing issues in plain language;
- Willingness to travel throughout the province.

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The Financial and Consumer Services Commission offers a competitive salary and benefit package as well as excellent opportunities for personal growth and challenge. If you are interested in being a key part of this team, please forward your résumé and covering letter to:

**Financial and Consumer Services Commission**  
**ATTN: Human Resources Officer**  
**Email: [Information@fcnb.ca](mailto:Information@fcnb.ca)**

For more information about this position please visit our website at [www.fcnb.ca](http://www.fcnb.ca) or call the Human Resources Officer at (506) 643-7858.