



Notice and Request for Comment – Publication of proposed Financial and Consumer Services Commission Rule TPA-001 *General* and Rule TPA-002 *Fees* (the “**Proposed Rules**”) under the *Financial Advisors and Financial Planners Title Protection Act*.

Introduction

On December 13, 2023, the Financial and Consumer Services Commission (“**Commission**”) approved publication to obtain comments on the two Proposed Rules to accompany the *Financial Advisors and Financial Planners Title Protection Act*.

Substance and Purpose of Proposed Rules

The purpose of the *Financial Advisors and Financial Planners Title Protection Act* (the “**Act**”) is to ensure that professionals using the titles financial advisor or financial planner hold minimum educational standards to be credentialed.

It is proposed that the framework of the *Act* will be supported by two rules: Rule TPA-001 *General* which sets out the criteria for credentialing bodies and their credentials to be approved, and Rule TPA-002 *Fees* which sets out the fees to be paid to the Commission under the framework.

Content of Annexes:

Annex A: [Proposed Rule TPA-001 General](#)

Annex B: [Proposed Rule TPA-002 Fees](#)

How to Provide your Comments

Comments are to be provided, in writing, by no later than 10 April 2024 to:

Securities
Financial and Consumer Services Commission
85 Charlotte Street, Suite 300
Saint John, N.B. E2L 2J2
Toll Free: 866-933-2222
E-mail: secretary@fcnb.ca

We cannot keep submissions confidential. A summary of the written comments received during the comment period may be published.



Questions

If you have any questions, please refer them to:

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