FINANCIAL AND CONSUMER SERVICES COMMISSION OF NEW BRUNSWICK

COMMISSION DES SERVICES FINANCIERS ET DES SERVICES AUX CONSOMMATEURS DU NOUVEAU-BRUNSWICK

**CONFIDENTIAL**

# 45-0103 (2006 Short Form Version)

**(Report under section 83.11 of the *Criminal Code* and under section 7 of the *Regulations Implementing the United Nations Resolutions on the Suppression of Terrorism* and section 5.1 of the *United Nations Al-Qaïda and Taliban Regulations*)**

## (Credit Unions and Caisses Populaires, Insurance Companies, Trust Companies)

**The Short Form version can be used by financial institutions to file a NIL Report only. To file a POSITIVE Report please use the Long Form Version of the Report – 45-0102**

**REPORTING MONTH:**

| **Name of Financial Institution**: | **Type of Financial Institution** ***(check one)***: | **Filing Options** ***(check one)***: |
|---|---|---|
| | c Insurance Company<br>c Credit Union/Caisse Populaire<br>c Trust Company | c FAX only<br>c MAIL only<br>c FAX and MAIL<br>c E-mail (.pdf File) |
| If this is a joint Report, insert the names of the other Provincially Regulated Financial Institutions (PRFI) included in this Report (see Instruction 12): | **Name of other PRFI(s)**: | |

**No** The above financial institution(s) do not have an account in the name of a Designated Person*, or have a contract with a Designated Person, or possess or control property that is owned or controlled by or on behalf of a Designated Person.

Please complete the certificate below.

*Definition:*

- *"Property" includes assets under administration (both discretionary and non-discretionary).*
- * **Please refer to #4 of the Instructions for the definition of "Designated Person".**

Certificate

**The Undersigned certifies that, to the best of his/her knowledge, and after having made reasonable enquiries, the information contained in this Report is correct.**

| **Name** | **Signature** | **Title** | **Telephone** | **Date:** |
|---|---|---|---|---|
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