



CONFIDENTIAL

45-0105 (2017 Short Form Version)

(Report under subsection 11(2) of the *Regulations Implementing the United Nations Resolutions on the Democratic People's Republic of Korea or of the Regulations Implementing the United Nations Resolution on Iran*)
or subsection 6(2) of the *Special Economic Measures (Venezuela) Regulations*)

The Short Form version can be used by reporting institutions to file a NIL Report only. To file a POSITIVE Report please use the Long Form Version – Report 45-0104

REPORTING MONTH:

| | | |
|--|---|--|
| Name of Financial Institution: | Type of Financial Institution (<i>check one</i>): <input type="radio"/> Insurance Company <input type="radio"/> Credit Union/Caisse Populaire <input type="radio"/> Trust Company | Filing Options (<i>check one</i>): <input type="radio"/> FAX only <input type="radio"/> MAIL only <input type="radio"/> FAX and MAIL <input type="radio"/> E-mail (.pdf File) |
| If this is a joint Report, insert the names of the other <u>Provincially Regulated</u> Financial Institutions (PRFI) included in this Report (see Instruction 12): | Name of other PRFI(s): | |
| <p>No The above financial institution(s) do not have an account in the name of a Designated Person*, or have a contract with a Designated Person, or possess or control property that is owned or controlled by or on behalf of a Designated Person.</p> | | |
| Please complete the certificate below. | | |
| <p><i>Definition:</i></p> <ul style="list-style-type: none"> • “Property” includes assets under administration (both discretionary and non-discretionary). <p>* Please refer to #4 of the Instructions for the definition of “Designated Person”.</p> | | |

Certificate

The Undersigned certifies that, to the best of his/her knowledge, and after having made reasonable enquiries, the information contained in this Report is correct.

| Name | Signature | Title | Telephone | Date |
|------|-----------|-------|-----------|------|
|------|-----------|-------|-----------|------|

Reminder: Section 12 of the *Regulations Implementing the United Nations Resolution on the Democratic People's of Korea* or of the *Regulations Implementing the United Nations Resolution on Iran* requires every person in Canada and every Canadian outside Canada to disclose without delay to the Royal Canadian Mounted Police and the Canadian Security Intelligence Service

(a) the existence of property in their possession or control that is owned or controlled by or on behalf of a Designated Person, and

(b) information about a transaction or proposed transaction in respect of property in their possession or control that is owned or controlled by or on behalf of a Designated Person.