

## Reporting Issuer List / Liste des Émetteurs Assujettis

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**Every effort is made to ensure the accuracy of this list. A reporting issuer that does not appear on this list or that has inappropriately been noted in default should contact the Financial and Consumer Services Commission (New Brunswick) promptly.**

### Categories of default

The circumstances under which the securities regulatory authorities will consider a reporting issuer to be in default are:

1. The reporting issuer has failed to file the following continuous disclosure prescribed by securities laws:

- (a) annual financial statements;
- (b) interim financial statements;
- (c) annual or interim management's discussion and analysis (MD&A) or annual or interim management report of fund performance (MRFP);
- (d) annual information form (AIF);
- (e) certification of annual or interim filings under Multilateral Instrument 52-109 Certification of Disclosure in Issuers' Annual and Interim Filings (MI 52-109);
- (f) proxy materials or a required information circular;
- (g) issuer profile supplement on the System for Electronic Disclosure By Insiders (SEDI);
- (h) material change report;
- (i) written update as required after filing a confidential report of a material change;
- (j) business acquisition report;
- (k) annual oil and gas disclosure prescribed by National Instrument 51-101 Standards of Disclosure for Oil and Gas Activities (NI 51-101) or technical reports for a mineral project required under National Instrument 43-101 Standards of Disclosure for Mineral Projects (NI 43-101);
- (l) mandatory news release;
- (m) corporate governance disclosure as required by National Instrument 58-101 Disclosure of Corporate Governance Practices;
- (n) audit committee disclosure as required by Multilateral Instrument 52-110 Audit Committees or BC Instrument 52-509 Audit Committees; or
- (o) disclosure in an issuer's MD&A relating to disclosure controls and procedures and their effectiveness that is referred to in a certificate filed under MI 52-109.

2. The reporting issuer's continuous disclosure is deficient because:

- (a) the financial statements of the reporting issuer, or the auditor's report accompanying the financial statements, do not comply with the requirements of National Instrument 51-102 Continuous Disclosure Obligations (NI 51-102), National Instrument 81-106 Investment Fund Continuous Disclosure (NI 81-106) or National Instrument 52-107 Acceptable Accounting Principles, Auditing Standards and Reporting Currency;
- (b) the reporting issuer has acknowledged that its financial statements, or the auditor's report accompanying the financial statements, may no longer be relied upon;
- (c) the reporting issuer's AIF, MD&A, MRFP, information circular or business acquisition reports do not contain information for each of the content items required by NI 51-102 or NI 81-106; or
- (d) the reporting issuer's technical disclosure or other reports do not comply with the disclosure requirements of NI 43-101 or NI 51-101.

3. The reporting issuer has failed to pay a fee required by New Brunswick securities laws.

4. The reporting issuer has failed to comply with any other requirement related to continuous disclosure.

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**Tout effort est exercé afin d'assurer l'exactitude de cette liste. Un émetteur assujetti qui n'apparaît pas sur cette liste ou qui est inscrit par erreur en défaut devrait contacter la Commission des services financiers et des services aux consommateurs (Nouveau-Brunswick) dans les plus brefs délais.**

### Catégories de manquements

Les circonstances dans lesquelles les autorités en valeurs mobilières considèrent qu'un émetteur assujetti est en défaut sont les suivantes :

1. Omission de déposer l'un ou l'autre des documents d'information continue suivants prévus par la législation en valeurs mobilières:

- (a) les états financiers annuels;
- (b) les états financiers intermédiaires;
- (c) un rapport de gestion annuel ou intermédiaire, ou un rapport annuel ou intermédiaire de la direction sur le rendement du fonds;
- (d) la notice annuelle;
- (e) l'attestation des documents annuels ou intermédiaires déposés conformément à la Règle 52-109 sur l'attestation de l'information présentée dans les documents annuels et intermédiaires des émetteurs (la « Règle 52-109 »);
- (f) les documents reliés aux procurations ou la circulaire de sollicitation de procurations requise;
- (g) le supplément de profil d'émetteur déposé au moyen du Système électronique de déclaration des initiés (SEDI);
- (h) une déclaration de changement important;
- (i) une mise à jour écrite après le dépôt d'une déclaration de changement important confidentielle;
- (j) une déclaration d'acquisition d'entreprise;
- (k) l'information annuelle concernant le pétrole et le gaz prévue par la Règle 51-101 sur l'information concernant les activités pétrolières et gazières (la « Règle 51-101 ») ou des rapports techniques sur un projet minier prévu par la Règle 43-101 sur l'information concernant les projets miniers (la « Règle 43-101 »);
- (l) un communiqué prévu par la législation en valeurs mobilières;
- (m) l'information relative à la gouvernance prévue par la Règle 58-101 sur l'information concernant les pratiques en matière de gouvernance;
- (n) l'information sur le comité de vérification prévue par la Règle 52-110 sur le comité de vérification ou le BC Instrument 52-509 Audit Committees;
- (o) l'information relative aux contrôles et procédures visés dans une attestation déposée en vertu de la Règle 52-109, ainsi que l'information sur leur efficacité, dans le rapport de gestion de l'émetteur.

2. Lacune dans des documents d'information continue pour l'une des raisons suivantes:

- (a) les états financiers de l'émetteur assujetti ou le rapport de vérification s'y rapportant ne sont pas conformes aux obligations prévues par la Règle 51-102 sur les obligations d'information continue (la « Règle 51-102 »), la Règle 81-106 sur l'information continue des fonds d'investissement (la « Règle 81-106 ») ou la Règle 52-107 sur les principes comptables, normes de vérification et monnaies de présentation acceptables;
- (b) l'émetteur assujetti a reconnu que ses états financiers ou le rapport de vérification s'y rapportant ne sont plus fiables;
- (c) la notice annuelle, le rapport de gestion, le rapport de la direction sur le rendement du fonds, la circulaire de sollicitation de procurations ou la déclaration d'acquisition d'entreprise de l'émetteur assujetti ne présente pas l'information exigée à chacune des rubriques des annexes pertinentes de la Règle 51-102 ou de la Règle 81-106;
- (d) le rapport technique ou d'autres rapports de l'émetteur assujetti ne respectent pas les obligations d'information prévues par la Règle 43-101 ou la Règle 51-101.

3. Omission de payer des droits exigibles en vertu de la législation en valeurs mobilières du Nouveau-Brunswick.

4. Omission de se conformer à toute autre obligation en matière d'information continue.

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| Name / Dénomination                                           | Principal/ Principale | Default / Défaut                   | CTO/ IOV |
|---------------------------------------------------------------|-----------------------|------------------------------------|----------|
| @rgentum Canadian Equity Portfolio                            | QC                    | 1(a), 1(b), 1(c), 1(d)             |          |
| @rgentum Canadian L/S Equity Portfolio                        | QC                    | 1(a), 1(b), 1(c), 1(d)             |          |
| @rgentum Canadian Performance Portfolio                       | QC                    | 1(a), 1(b), 1(c), 1(d)             |          |
| @rgentum Discovery Portfolio                                  | QC                    | 1(a), 1(b), 1(c), 1(d)             |          |
| @rgentum Income Porfolio                                      | QC                    | 1(a), 1(b), 1(c), 1(d)             |          |
| @rgentum International Master Portfolio                       | QC                    | 1(a), 1(b), 1(c), 1(d)             |          |
| @rgentum Short Term Asset Portfolio                           | QC                    | 1(a), 1(b), 1(c), 1(d)             |          |
| @rgentum U.S. Market Neutral Portfolio                        | QC                    | 1(a), 1(b), 1(c), 1(d)             |          |
| @rgentum U.S. Master Portfolio                                | QC                    | 1(a), 1(b), 1(c), 1(d)             |          |
| 01 Communique Laboratory Inc.                                 | ON                    |                                    |          |
| 1317774 B.C. Ltd.                                             | ON                    |                                    |          |
| 1608557 Ontario Inc.                                          | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| 1832 AM Emerging Markets Equity Pool                          | ON                    |                                    |          |
| 1832 AM Fundamental Canadian Equity Pool                      | ON                    |                                    |          |
| 1832 AM Global Credit Pool                                    | ON                    |                                    |          |
| 1832 AM International Growth Equity Pool                      | ON                    |                                    |          |
| 1832 AM Investment Grade Canadian Corporate Bond Pool         | ON                    |                                    |          |
| 1832 AM Quantitative Canadian All Cap Equity Pool             | ON                    |                                    |          |
| 1832 AM Quantitative Global Mega Cap Equity Pool              | ON                    |                                    |          |
| 1832 AM Tactical Asset Allocation PLUS Pool                   | ON                    |                                    |          |
| 1832 AM U.S. \$ Investment Grade U.S. Corporate Bond Pool     | ON                    |                                    |          |
| 1832 AM U.S. Core Equity Pool                                 | ON                    |                                    |          |
| 1911 Gold Corporation                                         | BC                    |                                    |          |
| 2028 Investment Grade Bond Trust                              | ON                    |                                    |          |
| 2242749 Ontario Limited (formerly Armtec Infrastructure Inc.) | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| 360networks inc.                                              | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e), 1(g) |          |
| 3iQ Bitcoin ETF                                               | ON                    |                                    |          |
| 3iQ Ether Staking ETF                                         | ON                    |                                    |          |
| 3iQ Solana Staking ETF                                        | ON                    |                                    |          |
| 3iQ XRP ETF                                                   | ON                    |                                    |          |
| 407 International Inc.                                        | ON                    |                                    |          |
| 4504020 Canada Inc. (formerly Railpower Technologies Corp.)   | QC                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| 48North Cannabis Corp.                                        | ON                    |                                    |          |
| 49 North Resources Inc.                                       | SK                    |                                    |          |
| 4Front Ventures Corp.                                         | ON                    | 1(a), 1(b), 1(c), 1(e)             |          |

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| 5N Plus Inc.                                         | QC                    |                              |          |
| 7936567 Canada Inc.                                  | QC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| A&W Food Services of Canada Inc.                     | BC                    |                              |          |
| Abacus Mining and Exploration Corporation            | BC                    |                              |          |
| Abaxx Technologies Inc.                              | ON                    |                              |          |
| Abitibi Metals Corp.                                 | ON                    |                              |          |
| Abound Energy Inc.                                   | BC                    |                              |          |
| AbraSilver Resource Corp.                            | ON                    |                              |          |
| abrdn Asia-Pacific Income Fund VCC                   | ON                    |                              |          |
| Acadian Timber Corp.                                 | NB (NB-PR)            |                              |          |
| Accelerate Absolute Return Fund                      | AB                    |                              |          |
| Accelerate Arbitrage Fund                            | AB                    |                              |          |
| Accelerate Canadian Long Short Equity Fund           | AB                    |                              |          |
| Accelerate Diversified Credit Income Fund            | AB                    |                              |          |
| Accelerate OneChoice Alternative Multi-Asset Fund    | AB                    |                              |          |
| Accord Financial Corp.                               | ON                    |                              |          |
| Aclara Resources Inc.                                | ON                    |                              |          |
| ACT Energy Technologies Ltd.                         | AB                    |                              |          |
| Action Energy Inc.                                   | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Adaltis Inc.                                         | QC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| ADCORE Inc.                                          | ON                    |                              |          |
| Addenda Global Balanced Fund                         | QC                    |                              |          |
| Addenda Global Diversified Equity Fund               | QC                    |                              |          |
| Addenda Income Focus Fund                            | QC                    |                              |          |
| ADENTRA Inc. (formerly, Hardwoods Distribution Inc.) | BC                    |                              |          |
| Adex Mining Inc.                                     | ON                    |                              |          |
| ADF Group Inc.                                       | QC                    |                              |          |
| Advanced Education Savings Plan                      | ON                    |                              |          |
| Advanced Micro Devices, Inc.                         | ON                    |                              |          |
| Advantage Energy Ltd.                                | AB                    |                              |          |
| Adyton Resources Corporation                         | BC                    |                              |          |
| Aecon Group Inc.                                     | ON                    |                              |          |
| Aegis Brands Inc.                                    | ON                    |                              |          |
| Aero Energy Limited                                  | BC                    |                              |          |
| AFM Hospitality Corporation                          | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |

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| <b>African Copper PLC</b>                  | <b>ON</b>             | <b>1(a), 1(b), 1(c), 1(e)</b> |          |
| Ag Growth International Inc.               | MB                    |                               |          |
| AGF American Growth Class                  | ON                    |                               |          |
| AGF American Growth Fund                   | ON                    |                               |          |
| AGF Canadian All Cap Strategic Equity Fund | ON                    |                               |          |
| AGF Canadian Dividend Income Fund          | ON                    |                               |          |
| AGF Canadian Growth Equity Class           | ON                    |                               |          |
| AGF Canadian Growth Equity Fund            | ON                    |                               |          |
| AGF Canadian Money Market Fund             | ON                    |                               |          |
| AGF Canadian Small Cap Fund                | ON                    |                               |          |
| AGF Canadian Strategic Balanced Fund       | ON                    |                               |          |
| AGF Canadian Strategic Bond Fund           | ON                    |                               |          |
| AGF China Focus Class                      | ON                    |                               |          |
| AGF China Focus Fund                       | ON                    |                               |          |
| AGF Credit Opportunities Fund              | ON                    |                               |          |
| AGF Elements Balanced Portfolio            | ON                    |                               |          |
| AGF Elements Balanced Portfolio Class      | ON                    |                               |          |
| AGF Elements Conservative Portfolio        | ON                    |                               |          |
| AGF Elements Conservative Portfolio Class  | ON                    |                               |          |
| AGF Elements Global Portfolio              | ON                    |                               |          |
| AGF Elements Global Portfolio Class        | ON                    |                               |          |
| AGF Elements Growth Portfolio              | ON                    |                               |          |
| AGF Elements Growth Portfolio Class        | ON                    |                               |          |
| AGF Emerging Markets Class                 | ON                    |                               |          |
| AGF Emerging Markets ex China Fund         | ON                    |                               |          |
| AGF Emerging Markets Fund                  | ON                    |                               |          |
| AGF Emerging Markets Strategic Equity Fund | ON                    |                               |          |
| AGF Enhanced U.S. Equity Income Fund       | ON                    |                               |          |
| AGF Enhanced U.S. Income Plus Fund         | ON                    |                               |          |
| AGF Equity Income Fund                     | ON                    |                               |          |
| AGF European Equity Class                  | ON                    |                               |          |
| AGF European Equity Fund                   | ON                    |                               |          |
| AGF Fixed Income Plus Class                | ON                    |                               |          |
| AGF Fixed Income Plus Fund                 | ON                    |                               |          |
| AGF Floating Rate Income Fund              | ON                    |                               |          |

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|-----------------------------------------------|-----------------------|------------------|----------|
| AGF Global Alternatives Strategic Equity Fund | ON                    |                  |          |
| AGF Global Balanced Growth Portfolio Fund     | ON                    |                  |          |
| AGF Global Conservative Portfolio Fund        | ON                    |                  |          |
| AGF Global Convertible Bond Fund              | ON                    |                  |          |
| AGF Global Corporate Bond Fund                | ON                    |                  |          |
| AGF Global Defensive Portfolio Fund           | ON                    |                  |          |
| AGF Global Dividend Class                     | ON                    |                  |          |
| AGF Global Dividend Fund                      | ON                    |                  |          |
| AGF Global Dividend Strategic Equity Fund     | ON                    |                  |          |
| AGF Global Equity Class                       | ON                    |                  |          |
| AGF Global Equity Fund                        | ON                    |                  |          |
| AGF Global ESG Equity Fund                    | ON                    |                  |          |
| AGF Global Growth Balanced Fund               | ON                    |                  |          |
| AGF Global Growth Portfolio Fund              | ON                    |                  |          |
| AGF Global Income Portfolio Fund              | ON                    |                  |          |
| AGF Global Moderate Portfolio Fund            | ON                    |                  |          |
| AGF Global Real Assets Class                  | ON                    |                  |          |
| AGF Global Real Assets Fund                   | ON                    |                  |          |
| AGF Global Select Fund                        | ON                    |                  |          |
| AGF Global Strategic Equity Fund              | ON                    |                  |          |
| AGF Global Strategic Income Fund              | ON                    |                  |          |
| AGF Global Sustainable Balanced Class         | ON                    |                  |          |
| AGF Global Sustainable Balanced Fund          | ON                    |                  |          |
| AGF Global Sustainable Growth Equity ETF      | ON                    |                  |          |
| AGF Global Sustainable Growth Equity Fund     | ON                    |                  |          |
| AGF Global Unconstrained Strategic Bond Fund  | ON                    |                  |          |
| AGF Global Yield Class                        | ON                    |                  |          |
| AGF Global Yield Fund                         | ON                    |                  |          |
| AGF High Interest Savings Account Fund        | ON                    |                  |          |
| AGF Management Limited                        | ON                    |                  |          |
| AGF Monthly Canadian Dividend Income Fund     | ON                    |                  |          |
| AGF North American Dividend Income Class      | ON                    |                  |          |
| AGF North American Dividend Income Fund       | ON                    |                  |          |
| AGF North American Small-Mid Cap Fund         | ON                    |                  |          |
| AGF Short-Term Income Class                   | ON                    |                  |          |

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|----------------------------------------------------|-----------------------|------------------------------|----------|
| AGF Systematic Global ESG Factors ETF              | ON                    |                              |          |
| AGF Systematic Global Infrastructure ETF           | ON                    |                              |          |
| AGF Total Return Bond Class                        | ON                    |                              |          |
| AGF Total Return Bond Fund                         | ON                    |                              |          |
| AGF U.S. Sector Class                              | ON                    |                              |          |
| AGF U.S. Sector Fund                               | ON                    |                              |          |
| AGF U.S. Small-Mid Cap Fund                        | ON                    |                              |          |
| AGF US All Cap Growth Equity Fund                  | ON                    |                              |          |
| AGF US Market Neutral Anti-Beta CAD-Hedged ETF     | ON                    |                              |          |
| AGF US Sector Rotation Fund                        | ON                    |                              |          |
| Agnico Eagle Mines Limited                         | ON                    |                              |          |
| Agrinam Acquisition Corporation                    | ON                    |                              |          |
| AIM5 Ventures Inc.                                 | ON                    |                              |          |
| AIM6 Ventures Inc.                                 | ON                    |                              |          |
| Aimia Inc.                                         | ON                    |                              |          |
| Air Canada                                         | QC                    |                              |          |
| AIRBOSS OF AMERICA CORP.                           | ON                    |                              |          |
| Akita Drilling Ltd.                                | AB                    |                              |          |
| Alamos Gold Inc.                                   | ON                    |                              |          |
| Alaris Equity Partners Income Trust                | AB                    |                              |          |
| Alaska Energy Metals Corporation                   | BC                    |                              |          |
| Aleafia Health Inc. (formerly Canabo Medical Inc.) | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Algernon Pharmaceuticals Inc.                      | BC                    |                              |          |
| ALGO Group Inc.                                    | QC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Algoma Central Corporation                         | ON                    |                              |          |
| Algoma Steel Group Inc.                            | ON                    |                              |          |
| Algonquin Fixed Income 2.0 Fund                    | ON                    |                              |          |
| Algonquin Power & Utilities Corp.                  | ON                    |                              |          |
| Alimentation Couche-Tard Inc.                      | QC                    |                              |          |
| All in West! Capital Corporation                   | MB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Allegiant Gold Ltd.                                | BC                    |                              |          |
| Allied Gold Corporation                            | ON                    |                              |          |
| Allied Properties Real Estate Investment Trust     | ON                    |                              |          |
| Almaden Minerals Ltd.                              | BC                    |                              |          |
| Alpha Cognition Inc.                               | BC                    |                              |          |

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|-----------------------------------------------------------------------------------------------------------|-----------------------|------------------------------|----------|
| Alphabet (GOOGL) Yield Shares Purpose ETF                                                                 | ON                    |                              |          |
| AlphaDelta Canadian Dividend Income Class (formerly, AlphaDelta Canadian Growth of Dividend Income Class) | BC                    |                              |          |
| AlphaDelta Global Dividend Income Class (formerly, AlphaDelta Growth of Dividend Income Class)            | BC                    |                              |          |
| AlphaDelta Tactical Growth Class                                                                          | BC                    |                              |          |
| ALSTOM                                                                                                    | BC                    |                              |          |
| Alt House Cannabis Inc.                                                                                   | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| AltaGas Ltd.                                                                                              | AB                    |                              |          |
| AltaLink, L.P.                                                                                            | AB                    |                              |          |
| Altius Minerals Corporation                                                                               | ON                    |                              |          |
| Altus Group Limited                                                                                       | ON                    |                              |          |
| Alvopetro Energy Ltd.                                                                                     | AB                    |                              |          |
| Amaroq Minerals Ltd.                                                                                      | ON                    |                              |          |
| Amazon (AMZN) Yield Shares Purpose ETF                                                                    | ON                    |                              |          |
| AMD (AMD) Yield Shares Purpose ETF                                                                        | ON                    |                              |          |
| American Hotel Income Properties REIT LP                                                                  | BC                    |                              |          |
| American Natural Energy Corporation                                                                       | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Americas Gold and Silver Corporation                                                                      | ON                    |                              |          |
| Anaergia Inc.                                                                                             | ON                    |                              |          |
| AnalytixInsight Inc.                                                                                      | ON                    |                              |          |
| ANB Canada Inc. (formerly Griffin Skye Corporation)                                                       | ON                    |                              |          |
| Andlauer Healthcare Group Inc.                                                                            | ON                    |                              |          |
| AngloGold Ashanti plc                                                                                     | ON                    |                              |          |
| Anthem Citizen Real Estate Development Trust                                                              | BC                    |                              |          |
| Appili Therapeutics Inc.                                                                                  | NS                    |                              |          |
| Apple (AAPL) Yield Shares Purpose ETF                                                                     | ON                    |                              |          |
| Aptose Biosciences Inc.                                                                                   | ON                    |                              |          |
| Arbutus Biopharma Corporation                                                                             | BC                    |                              |          |
| ARC Resources Ltd.                                                                                        | AB                    |                              |          |
| Ares Strategic Mining Inc.                                                                                | BC                    |                              |          |
| Aretto Wellness Inc.                                                                                      | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Argent Energy Trust                                                                                       | AB                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Argosy Energy Inc.                                                                                        | AB                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| ARHT Media Inc.                                                                                           | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Aris Mining Corporation                                                                                   | BC                    |                              |          |



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| Aris Mining Holdings Corp.                 | BC                    |                              |          |
| ARISE Technologies Corporation             | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Aristotle - IG U.S. Small Cap Equity Pool  | MB                    |                              |          |
| Aritzia Inc.                               | BC                    |                              |          |
| Arizona Metals Corp.                       | ON                    |                              |          |
| Arizona Sonoran Copper Company Inc.        | ON                    |                              |          |
| Arrow EC Equity Advantage Alternative Fund | ON                    |                              |          |
| Arrow EC Income Advantage Alternative Fund | ON                    |                              |          |
| Arrow Global Multi-Asset Alternative Fund  | ON                    |                              |          |
| Arrow Income Alternative Fund              | ON                    |                              |          |
| Arrow Long/Short Alternative Fund          | ON                    |                              |          |
| Arrow Opportunities Alternative Fund       | ON                    |                              |          |
| Artemis Gold Inc.                          | BC                    |                              |          |
| Artis Real Estate Investment Trust         | MB                    |                              |          |
| Arway Corporation                          | ON                    |                              |          |
| Ascalade Communications Inc.               | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Ascend Wellness Holdings, Inc.             | ON                    |                              |          |
| Ascot Resources Ltd.                       | BC                    |                              |          |
| ASEP Corporation                           | ON                    |                              |          |
| ASEP Medical Holdings Inc.                 | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| ATB Emerging Markets Equity Fund           | AB                    |                              |          |
| ATB Global Equity Pool                     | AB                    |                              |          |
| ATB International Disciplined Equity Fund  | AB                    |                              |          |
| ATB International Equity Income Fund       | AB                    |                              |          |
| ATB Monthly Income Portfolio               | AB                    |                              |          |
| ATB US Large Cap Equity Fund               | AB                    |                              |          |
| ATBIS Canadian Equity Pool                 | AB                    |                              |          |
| ATBIS Fixed Income Pool                    | AB                    |                              |          |
| ATBIS International Equity Pool            | AB                    |                              |          |
| ATBIS U.S. Equity Pool                     | AB                    |                              |          |
| ATCO LTD.                                  | AB                    |                              |          |
| Atco Mining Inc.                           | BC                    |                              |          |
| Athabasca Oil Corporation                  | AB                    |                              |          |
| Atico Mining Corporation                   | BC                    |                              |          |
| AtkinsRéalis Group Inc.                    | QC                    |                              |          |

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| Atlantic Systems Group Inc.                           | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e), 1(g) |          |
| Atlas Energy Corp.                                    | AB                    |                                    |          |
| Atmofizer Technologies Inc.                           | BC                    |                                    |          |
| Aton Resources Inc.                                   | BC                    |                                    |          |
| Atrium Mortgage Investment Corporation                | ON                    |                                    |          |
| ATS Corporation                                       | ON                    |                                    |          |
| Augusta Gold Corp.                                    | BC                    |                                    |          |
| Aura Minerals Inc.                                    | ON                    |                                    |          |
| Aurinia Pharmaceuticals Inc.                          | BC                    |                                    |          |
| Aurora Cannabis Inc.                                  | AB                    |                                    |          |
| Auspice Diversified Trust                             | AB                    |                                    |          |
| Auspice One Fund Trust                                | AB                    |                                    |          |
| Austral Gold Limited                                  | ON                    |                                    |          |
| Australis Capital Inc.                                | BC                    | 1(a), 1(b), 1(c), 1(e)             |          |
| AutoCanada Inc.                                       | AB                    |                                    |          |
| Automotive Finco Corp.                                | ON                    |                                    |          |
| Automotive Properties Real Estate Investment Trust    | ON                    |                                    |          |
| Auxly Cannabis Group Inc.                             | ON                    |                                    |          |
| Avalon Advanced Materials Inc.                        | ON                    |                                    |          |
| Avant Brands Inc. formerly GTEC Holdings Ltd.         | BC                    |                                    |          |
| Avanti Helium Corp.                                   | BC                    |                                    |          |
| AVINO SILVER AND GOLD MINES LTD.                      | BC                    |                                    |          |
| Avisa Diagnostics Inc. (formerly, FogChain Corp.)     | ON                    | 1(a), 1(b), 1(c), 1(e)             |          |
| Axcap Ventures Inc., formerly, Netcoins Holdings Inc. | BC                    |                                    |          |
| Axiom All Equity Portfolio                            | ON                    |                                    |          |
| Axiom Balanced Growth Portfolio                       | ON                    |                                    |          |
| Axiom Balanced Income Portfolio                       | ON                    |                                    |          |
| Axiom Canadian Growth Portfolio                       | ON                    |                                    |          |
| Axiom Diversified Monthly Income Portfolio            | ON                    |                                    |          |
| Axiom Foreign Growth Portfolio                        | ON                    |                                    |          |
| Axiom Global Growth Portfolio                         | ON                    |                                    |          |
| Axiom Long-Term Growth Portfolio                      | ON                    |                                    |          |
| AXO COPPER CORP.                                      | NS                    |                                    |          |
| Axolotl Capital Corp.                                 | ON                    |                                    |          |
| AYA GOLD & SILVER INC.                                | QC                    |                                    |          |

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|-------------------------------------------------------------------------------|-----------------------|------------------------------------|----------|
| Ayr Wellness Inc.                                                             | ON                    | 1(b), 1(c), 1(e)                   |          |
| Azure Dynamics Corporation                                                    | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| B2Gold Corp.                                                                  | BC                    |                                    |          |
| BAC Canada Finance Company                                                    | ON                    |                                    |          |
| Badger Infrastructure Solutions Ltd.                                          | AB                    |                                    |          |
| Balanced 60/40 Fund                                                           | ON                    |                                    |          |
| Balanced Income Portfolio                                                     | ON                    |                                    |          |
| Balanced Monthly Income Fund                                                  | ON                    |                                    |          |
| Ballard Power Systems Inc.                                                    | BC                    |                                    |          |
| Bank of America Corporation                                                   | ON                    |                                    |          |
| Bank of Montreal                                                              | ON                    |                                    |          |
| Bank of Nova Scotia, The                                                      | ON                    |                                    |          |
| Barclays Bank PLC                                                             | ON                    |                                    |          |
| Barometer Balanced Fund                                                       | ON                    |                                    |          |
| Barometer Global Equity Fund                                                  | ON                    |                                    |          |
| Barometer Tactical Income Growth Fund                                         | ON                    |                                    |          |
| Barrantagh Small Cap Canadian Equity Fund                                     | ON                    |                                    |          |
| Barrick Mining Corporation                                                    | ON                    |                                    |          |
| Battery Technologies Inc.                                                     | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e), 1(g) |          |
| Bausch + Lomb Corporation                                                     | ON                    |                                    |          |
| Bausch Health Companies Inc.                                                  | QC                    |                                    |          |
| Baylin Technologies Inc.                                                      | ON                    |                                    |          |
| Baytex Energy Corp.                                                           | AB                    |                                    |          |
| BCE Inc.                                                                      | QC                    |                                    |          |
| Bear Creek Mining Corporation                                                 | BC                    |                                    |          |
| Belgravia Hartford Capital Inc. formerly Belgravia Capital International Inc. | BC                    |                                    |          |
| Bell Canada                                                                   | QC                    |                                    |          |
| Bellatrix Exploration Ltd.                                                    | AB                    | 1(a), 1(b), 1(c), 1(e)             |          |
| Belo Sun Mining Corp.                                                         | ON                    |                                    |          |
| Bengal Energy Ltd.                                                            | AB                    |                                    |          |
| Berkshire Hathaway (BRK) Yield Shares Purpose ETF                             | ON                    |                                    |          |
| BetaPro -3x Nasdaq-100 Daily Leveraged Bear Alternative ETF                   | ON                    |                                    |          |
| BetaPro 3x Nasdaq-100 Daily Leveraged Bull Alternative ETF                    | ON                    |                                    |          |
| BetaPro -3x Russell 2000 Daily Leveraged Bear Alternative ETF                 | ON                    |                                    |          |

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| BetaPro 3x Russell 2000 Daily Leveraged Bull Alternative ETF | ON                    |                        |          |
| BetaPro -3x S&P 500 Daily Leveraged Bear Alternative ETF     | ON                    |                        |          |
| BetaPro 3x S&P 500 Daily Leveraged Bull Alternative ETF      | ON                    |                        |          |
| BetaPro Canadian Gold Miners -2x Daily Bear ETF              | ON                    |                        |          |
| BetaPro Canadian Gold Miners 2x Daily Bull ETF               | ON                    |                        |          |
| BetaPro Crude Oil Inverse Leveraged Daily Bear ETF           | ON                    |                        |          |
| BetaPro Crude Oil Leveraged Daily Bull ETF                   | ON                    |                        |          |
| BetaPro Equal Weight Canadian Bank -2x Daily Bear ETF        | ON                    |                        |          |
| BetaPro Equal Weight Canadian Bank 2x Daily Bull ETF         | ON                    |                        |          |
| BetaPro Equal Weight Canadian REIT -2x Daily Bear ETF        | ON                    |                        |          |
| BetaPro Equal Weight Canadian REIT 2x Daily Bull ETF         | ON                    |                        |          |
| BetaPro Gold Bullion -2x Daily Bear ETF                      | ON                    |                        |          |
| BetaPro Gold Bullion 2x Daily Bull ETF                       | ON                    |                        |          |
| BetaPro Inverse Bitcoin ETF                                  | ON                    |                        |          |
| BetaPro NASDAQ-100® -2x Daily Bear ETF                       | ON                    |                        |          |
| BetaPro NASDAQ-100® 2x Daily Bull ETF                        | ON                    |                        |          |
| BetaPro Natural Gas Inverse Leveraged Daily Bear ETF         | ON                    |                        |          |
| BetaPro Natural Gas Leveraged Daily Bull ETF                 | ON                    |                        |          |
| BetaPro S&P 500 VIX Short-Term Futures™ ETF                  | ON                    |                        |          |
| BetaPro S&P 500® -2x Daily Bear ETF                          | ON                    |                        |          |
| BetaPro S&P 500® 2x Daily Bull ETF                           | ON                    |                        |          |
| BetaPro S&P 500® Daily Inverse ETF                           | ON                    |                        |          |
| BetaPro S&P/TSX 60™ -2x Daily Bear ETF                       | ON                    |                        |          |
| BetaPro S&P/TSX 60™ 2x Daily Bull ETF                        | ON                    |                        |          |
| BetaPro S&P/TSX 60™ Daily Inverse ETF                        | ON                    |                        |          |
| BetaPro S&P/TSX Capped Energy™ -2x Daily Bear ETF            | ON                    |                        |          |
| BetaPro S&P/TSX Capped Energy™ 2x Daily Bull ETF             | ON                    |                        |          |
| BetaPro S&P/TSX Capped Financials™ -2x Daily Bear ETF        | ON                    |                        |          |
| BetaPro S&P/TSX Capped Financials™ 2x Daily Bull ETF         | ON                    |                        |          |
| BetaPro Silver -2x Daily Bear ETF                            | ON                    |                        |          |
| BetaPro Silver 2x Daily Bull ETF                             | ON                    |                        |          |
| Better Collective A/S                                        | ON                    |                        |          |
| Better Plant Sciences Inc.                                   | BC                    | 1(a), 1(b), 1(c), 1(e) |          |
| Beutel Goodman American Equity Fund                          | ON                    |                        |          |
| Beutel Goodman Balanced Fund                                 | ON                    |                        |          |

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|---------------------------------------------------------|-----------------------|------------------------------|----------|
| Beutel Goodman Canadian Dividend Fund                   | ON                    |                              |          |
| Beutel Goodman Canadian Equity Fund                     | ON                    |                              |          |
| Beutel Goodman Core Plus Bond Fund                      | ON                    |                              |          |
| Beutel Goodman Fundamental Canadian Equity Fund         | ON                    |                              |          |
| Beutel Goodman Global Dividend Fund                     | ON                    |                              |          |
| Beutel Goodman Global Equity Fund                       | ON                    |                              |          |
| Beutel Goodman Income Fund                              | ON                    |                              |          |
| Beutel Goodman International Equity Fund                | ON                    |                              |          |
| Beutel Goodman Long Term Bond Fund                      | ON                    |                              |          |
| Beutel Goodman Money Market Fund                        | ON                    |                              |          |
| Beutel Goodman North American Focused Equity Fund       | ON                    |                              |          |
| Beutel Goodman Short Term Bond Fund                     | ON                    |                              |          |
| Beutel Goodman Small Cap Fund                           | ON                    |                              |          |
| Beutel Goodman Total World Equity Fund                  | ON                    |                              |          |
| Beutel Goodman World Focus Equity Fund                  | ON                    |                              |          |
| Big Banc Split Corp.                                    | ON                    |                              |          |
| Big Pharma Split Corp.                                  | ON                    |                              |          |
| Big Rock Brewery Inc.                                   | AB                    |                              |          |
| BIGG Digital Assets Inc.                                | BC                    |                              |          |
| BioExx Specialty Proteins Ltd.                          | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Biomind Labs Inc.                                       | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Birchcliff Energy Ltd.                                  | AB                    |                              |          |
| Bird Construction Inc.                                  | ON                    |                              |          |
| Bitcoin Well Inc.                                       | AB                    |                              |          |
| Bitfarms Ltd.                                           | ON                    |                              |          |
| Black Diamond Group Limited                             | AB                    |                              |          |
| Black Iron Inc.                                         | ON                    |                              |          |
| BlackBerry Limited                                      | ON                    |                              |          |
| Blackline Safety Corp.                                  | AB                    |                              |          |
| BlackRock - IG Active Allocation Pool I                 | MB                    |                              |          |
| BlackRock - IG Active Allocation Pool II                | MB                    |                              |          |
| BlackRock - IG Active Allocation Pool III               | MB                    |                              |          |
| BlackRock - IG Active Allocation Pool IV                | MB                    |                              |          |
| BlackRock - IG International Equity Pool                | MB                    |                              |          |
| BlackRock - IG Low Volatility International Equity Pool | MB                    |                              |          |

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|-------------------------------------------------------------------------|-----------------------|------------------------|----------|
| Blackrock Silver Corp.                                                  | BC                    |                        |          |
| Blockchain Technologies ETF                                             | ON                    |                        |          |
| BlockchainK2 Corp                                                       | BC                    |                        |          |
| Blue Note Mining Inc.                                                   | QC                    | 1(a), 1(b), 1(c), 1(e) |          |
| Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust) | ON                    |                        |          |
| BlueBay \$U.S. Global High Yield Bond Fund (Canada)                     | ON                    |                        |          |
| BlueBay \$U.S. Global Investment Grade Corporate Bond Fund (Canada)     | ON                    |                        |          |
| BlueBay Emerging Markets Bond Fund (Canada)                             | ON                    |                        |          |
| BlueBay Emerging Markets Corporate Bond Fund                            | ON                    |                        |          |
| BlueBay Emerging Markets High Yield Corporate Bond Fund (Canada)        | ON                    |                        |          |
| BlueBay Emerging Markets Local Currency Bond Fund (Canada)              | ON                    |                        |          |
| BlueBay European High Yield Bond Fund (Canada)                          | ON                    |                        |          |
| BlueBay Global Alternative Bond Fund (Canada)                           | ON                    |                        |          |
| BlueBay Global Convertible Bond Fund (Canada)                           | ON                    |                        |          |
| BlueBay Global High Yield Bond Fund (Canada)                            | ON                    |                        |          |
| BlueBay Global Investment Grade Corporate Bond Fund (Canada)            | ON                    |                        |          |
| BlueBay Global Monthly Income Bond Fund                                 | ON                    |                        |          |
| BlueBay Global Sovereign Bond Fund (Canada)                             | ON                    |                        |          |
| BMG BullionFund                                                         | ON                    |                        |          |
| BMG Gold BullionFund                                                    | ON                    |                        |          |
| BMG Silver BullionFund                                                  | ON                    |                        |          |
| BMO AAA CLO ETF                                                         | ON                    |                        |          |
| BMO Aggregate Bond ETF Fund                                             | ON                    |                        |          |
| BMO Aggregate Bond Index ETF                                            | ON                    |                        |          |
| BMO All-Equity ETF                                                      | ON                    |                        |          |
| BMO ARK Genomic Revolution Fund                                         | ON                    |                        |          |
| BMO ARK Innovation Fund                                                 | ON                    |                        |          |
| BMO ARK Next Generation Internet Fund                                   | ON                    |                        |          |
| BMO Ascent™ Balanced Portfolio                                          | ON                    |                        |          |
| BMO Ascent™ Conservative Portfolio                                      | ON                    |                        |          |
| BMO Ascent™ Equity Growth Portfolio                                     | ON                    |                        |          |
| BMO Ascent™ Growth Portfolio                                            | ON                    |                        |          |
| BMO Ascent™ Income Portfolio                                            | ON                    |                        |          |
| BMO Asian Growth and Income Fund                                        | ON                    |                        |          |

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|-----------------------------------------------------------------------------------|-----------------------|------------------|----------|
| BMO Asset Allocation Fund                                                         | ON                    |                  |          |
| BMO Balanced ESG ETF                                                              | ON                    |                  |          |
| BMO Balanced ETF                                                                  | ON                    |                  |          |
| BMO Balanced ETF Portfolio                                                        | ON                    |                  |          |
| BMO BBB Corporate Bond Index ETF                                                  | ON                    |                  |          |
| BMO Brookfield Global Real Estate Tech Fund                                       | ON                    |                  |          |
| BMO Brookfield Global Renewables Infrastructure Fund                              | ON                    |                  |          |
| BMO Canadian Bank Income Index ETF                                                | ON                    |                  |          |
| BMO Canadian Banks ETF Fund                                                       | ON                    |                  |          |
| BMO Canadian Core Plus US Balanced ETF                                            | ON                    |                  |          |
| BMO Canadian Dividend ETF                                                         | ON                    |                  |          |
| BMO Canadian Equity ETF Fund                                                      | ON                    |                  |          |
| BMO Canadian Equity Fund                                                          | ON                    |                  |          |
| BMO Canadian Equity Plus ETF                                                      | ON                    |                  |          |
| BMO Canadian High Dividend Covered Call ETF                                       | ON                    |                  |          |
| BMO Canadian Income & Growth Fund                                                 | ON                    |                  |          |
| BMO Canadian MBS Index ETF                                                        | ON                    |                  |          |
| BMO Canadian Small Cap Equity Fund                                                | ON                    |                  |          |
| BMO Canadian Smart Alpha Equity Fund formerly, BMO Canadian Large Cap Equity Fund | ON                    |                  |          |
| BMO Canadian Stock Selection Fund                                                 | ON                    |                  |          |
| BMO Clean Energy ETF Fund                                                         | ON                    |                  |          |
| BMO Clean Energy Index ETF                                                        | ON                    |                  |          |
| BMO Concentrated Global Balanced Fund                                             | ON                    |                  |          |
| BMO Concentrated Global Equity Fund                                               | ON                    |                  |          |
| BMO Conservative ETF                                                              | ON                    |                  |          |
| BMO Conservative ETF Portfolio                                                    | ON                    |                  |          |
| BMO Core Bond Fund                                                                | ON                    |                  |          |
| BMO Core Plus Bond Fund                                                           | ON                    |                  |          |
| BMO Corporate Bond ETF Fund                                                       | ON                    |                  |          |
| BMO Corporate Bond Index ETF                                                      | ON                    |                  |          |
| BMO Corporate Discount Bond ETF                                                   | ON                    |                  |          |
| BMO Covered Call Canada High Dividend ETF Fund                                    | ON                    |                  |          |
| BMO Covered Call Canadian Banks ETF                                               | ON                    |                  |          |
| BMO Covered Call Canadian Banks ETF Fund                                          | ON                    |                  |          |

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|-----------------------------------------------------------------|-----------------------|------------------|----------|
| BMO Covered Call Dow Jones Industrial Average Hedged to CAD ETF | ON                    |                  |          |
| BMO Covered Call Energy ETF                                     | ON                    |                  |          |
| BMO Covered Call Energy ETF Fund                                | ON                    |                  |          |
| BMO Covered Call Europe High Dividend ETF Fund                  | ON                    |                  |          |
| BMO Covered Call Health Care ETF                                | ON                    |                  |          |
| BMO Covered Call Spread Gold Bullion ETF                        | ON                    |                  |          |
| BMO Covered Call Spread Gold Bullion ETF Fund                   | ON                    |                  |          |
| BMO Covered Call Technology ETF                                 | ON                    |                  |          |
| BMO Covered Call Technology ETF Fund                            | ON                    |                  |          |
| BMO Covered Call U.S. High Dividend ETF Fund                    | ON                    |                  |          |
| BMO Covered Call US Banks ETF                                   | ON                    |                  |          |
| BMO Covered Call Utilities ETF                                  | ON                    |                  |          |
| BMO Covered Call Utilities ETF Fund                             | ON                    |                  |          |
| BMO Crossover Bond Fund                                         | ON                    |                  |          |
| BMO Discount Bond Index ETF                                     | ON                    |                  |          |
| BMO Diversified Income Portfolio                                | ON                    |                  |          |
| BMO Dividend Fund                                               | ON                    |                  |          |
| BMO Dow Jones Industrial Average Hedged to CAD Index ETF        | ON                    |                  |          |
| BMO Emerging Markets Bond Fund                                  | ON                    |                  |          |
| BMO Emerging Markets Bond Hedged to CAD Index ETF               | ON                    |                  |          |
| BMO Emerging Markets Fund                                       | ON                    |                  |          |
| BMO Enterprise Fund formerly BMO Guardian Enterprise Fund       | ON                    |                  |          |
| BMO Equal Weight Banks Index ETF                                | ON                    |                  |          |
| BMO Equal Weight Global Base Metals Hedged to CAD Index ETF     | ON                    |                  |          |
| BMO Equal Weight Global Gold Index ETF                          | ON                    |                  |          |
| BMO Equal Weight Industrials Index ETF                          | ON                    |                  |          |
| BMO Equal Weight Oil & Gas Index ETF                            | ON                    |                  |          |
| BMO Equal Weight REITs Index ETF                                | ON                    |                  |          |
| BMO Equal Weight US Banks Hedged to CAD Index ETF               | ON                    |                  |          |
| BMO Equal Weight US Banks Index ETF                             | ON                    |                  |          |
| BMO Equal Weight US Health Care Hedged to CAD Index ETF         | ON                    |                  |          |
| BMO Equal Weight US Health Care Index ETF                       | ON                    |                  |          |
| BMO Equal Weight Utilities Index ETF                            | ON                    |                  |          |
| BMO Equity Growth ETF Portfolio                                 | ON                    |                  |          |
| BMO ESG Corporate Bond Index ETF                                | ON                    |                  |          |



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|-----------------------------------------------------------|-----------------------|------------------|----------|
| BMO ESG US Corporate Bond Hedged to CAD Index ETF         | ON                    |                  |          |
| BMO Europe High Dividend Covered Call ETF                 | ON                    |                  |          |
| BMO Europe High Dividend Covered Call Hedged to CAD ETF   | ON                    |                  |          |
| BMO European Fund                                         | ON                    |                  |          |
| BMO Fixed Income ETF Portfolio                            | ON                    |                  |          |
| BMO Floating Rate High Yield ETF                          | ON                    |                  |          |
| BMO Global Agriculture ETF                                | ON                    |                  |          |
| BMO Global Climate Transition Fund                        | ON                    |                  |          |
| BMO Global Communications Index ETF                       | ON                    |                  |          |
| BMO Global Consumer Discretionary Hedged to CAD Index ETF | ON                    |                  |          |
| BMO Global Consumer Staples Hedged to CAD Index ETF       | ON                    |                  |          |
| BMO Global Dividend Fund                                  | ON                    |                  |          |
| BMO Global Dividend Opportunities Fund                    | ON                    |                  |          |
| BMO Global Energy Fund                                    | ON                    |                  |          |
| BMO Global Enhanced Income Fund                           | ON                    |                  |          |
| BMO Global Equity Fund                                    | ON                    |                  |          |
| BMO Global Health Care Fund                               | ON                    |                  |          |
| BMO Global High Dividend Covered Call ETF                 | ON                    |                  |          |
| BMO Global Income & Growth Fund                           | ON                    |                  |          |
| BMO Global Infrastructure Fund                            | ON                    |                  |          |
| BMO Global Infrastructure Index ETF                       | ON                    |                  |          |
| BMO Global Innovators Fund                                | ON                    |                  |          |
| BMO Global Low Volatility ETF Fund                        | ON                    |                  |          |
| BMO Global Monthly Income Fund                            | ON                    |                  |          |
| BMO Global Quality ETF Fund                               | ON                    |                  |          |
| BMO Global REIT Fund                                      | ON                    |                  |          |
| BMO Global Small Cap Fund                                 | ON                    |                  |          |
| BMO Global Strategic Bond Fund                            | ON                    |                  |          |
| BMO Gold Bullion ETF                                      | ON                    |                  |          |
| BMO Gold Bullion ETF Fund                                 | ON                    |                  |          |
| BMO Gold Bullion Hedged to CAD ETF                        | ON                    |                  |          |
| BMO Government Bond Index ETF                             | ON                    |                  |          |
| BMO Greater China Fund                                    | ON                    |                  |          |
| BMO Growth & Income Fund                                  | ON                    |                  |          |
| BMO Growth ETF                                            | ON                    |                  |          |

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|-----------------------------------------------------------|-----------------------|------------------|----------|
| BMO Growth ETF Portfolio                                  | ON                    |                  |          |
| BMO Growth Opportunities Fund                             | ON                    |                  |          |
| BMO High Quality Corporate Bond Index ETF                 | ON                    |                  |          |
| BMO High Yield US Corporate Bond Hedged to CAD Index ETF  | ON                    |                  |          |
| BMO High Yield US Corporate Bond Index ETF                | ON                    |                  |          |
| BMO Human Capital Factor US Equity ETF                    | ON                    |                  |          |
| BMO Income ETF Portfolio                                  | ON                    |                  |          |
| BMO Inflation Opportunities Fund                          | ON                    |                  |          |
| BMO International Dividend ETF                            | ON                    |                  |          |
| BMO International Dividend Hedged to CAD ETF              | ON                    |                  |          |
| BMO International Equity ETF Fund                         | ON                    |                  |          |
| BMO International Equity Fund                             | ON                    |                  |          |
| BMO International Value Fund                              | ON                    |                  |          |
| BMO Japan Fund                                            | ON                    |                  |          |
| BMO Japan Index ETF                                       | ON                    |                  |          |
| BMO Junior Gold Index ETF                                 | ON                    |                  |          |
| BMO Laddered Preferred Share Index ETF                    | ON                    |                  |          |
| BMO LifeStage Plus 2025 Fund                              | ON                    |                  |          |
| BMO LifeStage Plus 2026 Fund                              | ON                    |                  |          |
| BMO LifeStage Plus 2030 Fund                              | ON                    |                  |          |
| BMO Long Corporate Bond Index ETF                         | ON                    |                  |          |
| BMO Long Federal Bond Index ETF                           | ON                    |                  |          |
| BMO Long Provincial Bond Index ETF                        | ON                    |                  |          |
| BMO Long Short Canadian Equity ETF                        | ON                    |                  |          |
| BMO Long Short U.S. Equity ETF Fund                       | ON                    |                  |          |
| BMO Long Short US Equity ETF                              | ON                    |                  |          |
| BMO Long-Term US Treasury Bond Index ETF                  | ON                    |                  |          |
| BMO Low Volatility Canadian Equity ETF                    | ON                    |                  |          |
| BMO Low Volatility Canadian Equity ETF Fund               | ON                    |                  |          |
| BMO Low Volatility Emerging Markets Equity ETF            | ON                    |                  |          |
| BMO Low Volatility International Equity ETF               | ON                    |                  |          |
| BMO Low Volatility International Equity Hedged to CAD ETF | ON                    |                  |          |
| BMO Low Volatility U.S. Equity ETF Fund                   | ON                    |                  |          |
| BMO Low Volatility US Equity ETF                          | ON                    |                  |          |
| BMO Low Volatility US Equity Hedged to CAD ETF            | ON                    |                  |          |

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|----------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| BMO Managed Balanced Portfolio (formerly, BMO Fundselect Balanced Portfolio)           | ON                    |                  |          |
| BMO Managed Conservative Portfolio (formerly, BMO Fundselect Conservative Portfolio)   | ON                    |                  |          |
| BMO Managed Equity Growth Portfolio (formerly, BMO Fundselect Equity Growth Portfolio) | ON                    |                  |          |
| BMO Managed Growth Portfolio (formerly, BMO Fundselect Growth Portfolio)               | ON                    |                  |          |
| BMO Managed Income Portfolio (formerly, BMO Fundselect Income Portfolio)               | ON                    |                  |          |
| BMO Mid Corporate Bond Index ETF                                                       | ON                    |                  |          |
| BMO Mid Federal Bond Index ETF                                                         | ON                    |                  |          |
| BMO Mid Provincial Bond Index ETF                                                      | ON                    |                  |          |
| BMO Mid-Term US IG Corporate Bond Hedged to CAD Index ETF                              | ON                    |                  |          |
| BMO Mid-Term US IG Corporate Bond Index ETF                                            | ON                    |                  |          |
| BMO Mid-Term US Treasury Bond Index ETF                                                | ON                    |                  |          |
| BMO Money Market Fund                                                                  | ON                    |                  |          |
| BMO Monthly Dividend Fund Ltd.                                                         | ON                    |                  |          |
| BMO Monthly High Income Fund II                                                        | ON                    |                  |          |
| BMO Monthly Income ETF                                                                 | ON                    |                  |          |
| BMO Monthly Income Fund                                                                | ON                    |                  |          |
| BMO Mortgage and Short-Term Income Fund                                                | ON                    |                  |          |
| BMO MSCI ACWI Paris Aligned Climate Equity Index ETF                                   | ON                    |                  |          |
| BMO MSCI All Country World High Quality Index ETF                                      | ON                    |                  |          |
| BMO MSCI Canada Selection Equity Index ETF                                             | ON                    |                  |          |
| BMO MSCI Canada Value Index ETF                                                        | ON                    |                  |          |
| BMO MSCI China Selection Equity Index ETF                                              | ON                    |                  |          |
| BMO MSCI EAFE Hedged to CAD Index ETF                                                  | ON                    |                  |          |
| BMO MSCI EAFE High Quality Index ETF                                                   | ON                    |                  |          |
| BMO MSCI EAFE Index ETF                                                                | ON                    |                  |          |
| BMO MSCI EAFE Selection Equity Index ETF                                               | ON                    |                  |          |
| BMO MSCI Emerging Markets Index ETF                                                    | ON                    |                  |          |
| BMO MSCI Europe High Quality Hedged to CAD Index ETF                                   | ON                    |                  |          |
| BMO MSCI Global Selection Equity Index ETF                                             | ON                    |                  |          |
| BMO MSCI India Selection Equity Index ETF                                              | ON                    |                  |          |
| BMO MSCI USA High Quality Index ETF                                                    | ON                    |                  |          |
| BMO MSCI USA Selection Equity Index ETF                                                | ON                    |                  |          |
| BMO MSCI USA Value Index ETF                                                           | ON                    |                  |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                           | Principal/ Principale | Default / Défaut | CTO/ IOV |
|-----------------------------------------------|-----------------------|------------------|----------|
| BMO Multi-Factor Equity Fund                  | ON                    |                  |          |
| BMO Nasdaq 100 Equity ETF Fund                | ON                    |                  |          |
| BMO Nasdaq 100 Equity Hedged to CAD Index ETF | ON                    |                  |          |
| BMO Nasdaq 100 Equity Index ETF               | ON                    |                  |          |
| BMO North American Dividend Fund              | ON                    |                  |          |
| BMO Precious Metals Fund                      | ON                    |                  |          |
| BMO Premium Yield ETF                         | ON                    |                  |          |
| BMO Premium Yield ETF Fund                    | ON                    |                  |          |
| BMO Private Canadian Bond Portfolio           | ON                    |                  |          |
| BMO Private Canadian Core Equity Portfolio    | ON                    |                  |          |
| BMO Private Canadian Income Equity Portfolio  | ON                    |                  |          |
| BMO Private Canadian Money Market Portfolio   | ON                    |                  |          |
| BMO Private Canadian Special Equity Portfolio | ON                    |                  |          |
| BMO Private Diversified Yield Portfolio       | ON                    |                  |          |
| BMO Private Emerging Markets Equity Portfolio | ON                    |                  |          |
| BMO Private International Equity Portfolio    | ON                    |                  |          |
| BMO Private Strategic Rate Fund I             | ON                    |                  |          |
| BMO Private U.S. Equity Portfolio             | ON                    |                  |          |
| BMO Private U.S. Growth Equity Portfolio      | ON                    |                  |          |
| BMO Private U.S. Special Equity Portfolio     | ON                    |                  |          |
| BMO Real Return Bond Index ETF                | ON                    |                  |          |
| BMO Resource Fund                             | ON                    |                  |          |
| BMO Retirement Balanced Portfolio             | ON                    |                  |          |
| BMO Retirement Conservative Portfolio         | ON                    |                  |          |
| BMO Retirement Income Portfolio               | ON                    |                  |          |
| BMO Risk Reduction Equity Fund                | ON                    |                  |          |
| BMO Risk Reduction Fixed Income Fund          | ON                    |                  |          |
| BMO S&P 500 Hedged to CAD Index ETF           | ON                    |                  |          |
| BMO S&P 500 Index ETF                         | ON                    |                  |          |
| BMO S&P US Mid Cap Index ETF                  | ON                    |                  |          |
| BMO S&P US Small Cap Index ETF                | ON                    |                  |          |
| BMO S&P/TSX 60 Index ETF                      | ON                    |                  |          |
| BMO S&P/TSX Capped Composite Index ETF        | ON                    |                  |          |
| BMO SelectTrust® Balanced Portfolio           | ON                    |                  |          |
| BMO SelectTrust® Conservative Portfolio       | ON                    |                  |          |

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| Name / Dénomination                                                                         | Principal/ Principale | Default / Défaut | CTO/ IOV |
|---------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| BMO SelectTrust® Equity Growth Portfolio                                                    | ON                    |                  |          |
| BMO SelectTrust® Fixed Income Portfolio                                                     | ON                    |                  |          |
| BMO SelectTrust® Growth Portfolio                                                           | ON                    |                  |          |
| BMO SelectTrust® Income Portfolio                                                           | ON                    |                  |          |
| BMO Short Corporate Bond Index ETF                                                          | ON                    |                  |          |
| BMO Short Federal Bond Index ETF                                                            | ON                    |                  |          |
| BMO Short Provincial Bond Index ETF                                                         | ON                    |                  |          |
| BMO Short-Term Bond Index ETF                                                               | ON                    |                  |          |
| BMO Short-Term Discount Bond ETF                                                            | ON                    |                  |          |
| BMO Short-Term US IG Corporate Bond Hedged to CAD Index ETF                                 | ON                    |                  |          |
| BMO Short-Term US TIPS Index ETF                                                            | ON                    |                  |          |
| BMO Short-Term US Treasury Bond Index ETF                                                   | ON                    |                  |          |
| BMO SIA Focused Canadian Equity Fund                                                        | ON                    |                  |          |
| BMO SIA Focused North American Equity Fund                                                  | ON                    |                  |          |
| BMO SPDR Communication Services Select Sector Index ETF                                     | ON                    |                  |          |
| BMO SPDR Consumer Discretionary Select Sector Index ETF                                     | ON                    |                  |          |
| BMO SPDR Consumer Staples Select Sector Index ETF                                           | ON                    |                  |          |
| BMO SPDR Energy Select Sector Index ETF                                                     | ON                    |                  |          |
| BMO SPDR Financials Select Sector Index ETF                                                 | ON                    |                  |          |
| BMO SPDR Health Care Select Sector Index ETF                                                | ON                    |                  |          |
| BMO SPDR Industrials Select Sector Index ETF                                                | ON                    |                  |          |
| BMO SPDR Materials Select Sector Index ETF                                                  | ON                    |                  |          |
| BMO SPDR Real Estate Select Sector Index ETF                                                | ON                    |                  |          |
| BMO SPDR Technology Select Sector Index ETF                                                 | ON                    |                  |          |
| BMO SPDR Utilities Select Sector Index ETF                                                  | ON                    |                  |          |
| BMO Strategic Fixed Income Yield Fund                                                       | ON                    |                  |          |
| BMO Sustainable Balanced Portfolio (formerly, BMO Principle Balanced Portfolio)             | ON                    |                  |          |
| BMO Sustainable Bond Fund                                                                   | ON                    |                  |          |
| BMO Sustainable Conservative Portfolio (formerly, BMO Principle Conservative Portfolio)     | ON                    |                  |          |
| BMO Sustainable Equity Growth Portfolio                                                     | ON                    |                  |          |
| BMO Sustainable Global Balanced Fund (formerly, BMO Global Balanced Fund)                   | ON                    |                  |          |
| BMO Sustainable Global Multi-Sector Bond Fund (formerly, BMO Global Multi-Sector Bond Fund) | ON                    |                  |          |

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| Name / Dénomination                                                         | Principal/ Principale | Default / Défaut | CTO/ IOV |
|-----------------------------------------------------------------------------|-----------------------|------------------|----------|
| BMO Sustainable Growth Portfolio (formerly, BMO Principle Growth Portfolio) | ON                    |                  |          |
| BMO Sustainable Income Portfolio (formerly BMO Principle Income Portfolio)  | ON                    |                  |          |
| BMO Sustainable Opportunities Canadian Equity Fund                          | ON                    |                  |          |
| BMO Sustainable Opportunities Global Equity Fund                            | ON                    |                  |          |
| BMO Tactical Balanced ETF Fund                                              | ON                    |                  |          |
| BMO Tactical Dividend ETF Fund                                              | ON                    |                  |          |
| BMO Tactical Global Asset Allocation ETF Fund                               | ON                    |                  |          |
| BMO Tactical Global Equity ETF Fund                                         | ON                    |                  |          |
| BMO Tactical Global Growth ETF Fund                                         | ON                    |                  |          |
| BMO Target 2027 Canadian Corporate Bond ETF                                 | ON                    |                  |          |
| BMO Target 2028 Canadian Corporate Bond ETF                                 | ON                    |                  |          |
| BMO Target 2029 Canadian Corporate Bond ETF                                 | ON                    |                  |          |
| BMO Target Education 2025 Portfolio                                         | ON                    |                  |          |
| BMO Target Education 2030 Portfolio                                         | ON                    |                  |          |
| BMO Target Education 2035 Portfolio                                         | ON                    |                  |          |
| BMO Target Education 2040 Portfolio                                         | ON                    |                  |          |
| BMO Target Education 2045 Portfolio                                         | ON                    |                  |          |
| BMO Target Education Income Portfolio                                       | ON                    |                  |          |
| BMO U.S. All Cap Equity Fund                                                | ON                    |                  |          |
| BMO U.S. Corporate Bond Fund                                                | ON                    |                  |          |
| BMO U.S. Dividend Fund                                                      | ON                    |                  |          |
| BMO U.S. Dollar Balanced Fund                                               | ON                    |                  |          |
| BMO U.S. Dollar Dividend Fund                                               | ON                    |                  |          |
| BMO U.S. Dollar Equity Index Fund                                           | ON                    |                  |          |
| BMO U.S. Dollar Money Market Fund                                           | ON                    |                  |          |
| BMO U.S. Dollar Monthly Income Fund                                         | ON                    |                  |          |
| BMO U.S. Equity ETF Fund                                                    | ON                    |                  |          |
| BMO U.S. Equity Fund                                                        | ON                    |                  |          |
| BMO U.S. Equity Plus Fund                                                   | ON                    |                  |          |
| BMO U.S. High Yield Bond Fund                                               | ON                    |                  |          |
| BMO U.S. Small Cap Fund                                                     | ON                    |                  |          |
| BMO Ultra Short-Term Bond ETF                                               | ON                    |                  |          |
| BMO Ultra Short-Term Bond ETF Fund                                          | ON                    |                  |          |
| BMO Ultra Short-Term US Bond ETF                                            | ON                    |                  |          |

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| Name / Dénomination                                           | Principal/ Principale | Default / Défaut                   | CTO/ IOV |
|---------------------------------------------------------------|-----------------------|------------------------------------|----------|
| BMO US Aggregate Bond Index ETF                               | ON                    |                                    |          |
| BMO US Dividend ETF                                           | ON                    |                                    |          |
| BMO US Dividend Growth ETF                                    | ON                    |                                    |          |
| BMO US Dividend Hedged to CAD ETF                             | ON                    |                                    |          |
| BMO US Equity Buffer Hedged to CAD ETF – April                | ON                    |                                    |          |
| BMO US Equity Buffer Hedged to CAD ETF – January              | ON                    |                                    |          |
| BMO US Equity Buffer Hedged to CAD ETF – July                 | ON                    |                                    |          |
| BMO US Equity Buffer Hedged to CAD ETF – October              | ON                    |                                    |          |
| BMO US Equity Focused ETF                                     | ON                    |                                    |          |
| BMO US High Dividend Covered Call ETF                         | ON                    |                                    |          |
| BMO US High Dividend Covered Call Hedged to CAD ETF           | ON                    |                                    |          |
| BMO US Large Cap Disciplined Value ETF                        | ON                    |                                    |          |
| BMO US Preferred Share Hedged to CAD Index ETF                | ON                    |                                    |          |
| BMO US Preferred Share Index ETF                              | ON                    |                                    |          |
| BMO US Put Write ETF                                          | ON                    |                                    |          |
| BMO US Put Write Hedged to CAD ETF                            | ON                    |                                    |          |
| BMO USD Balanced ETF Portfolio                                | ON                    |                                    |          |
| BMO USD Cash Management ETF                                   | ON                    |                                    |          |
| BMO USD Conservative ETF Portfolio                            | ON                    |                                    |          |
| BMO USD Income ETF Portfolio                                  | ON                    |                                    |          |
| BMO Women in Leadership Fund                                  | ON                    |                                    |          |
| BMO World Bond Fund                                           | ON                    |                                    |          |
| Boardwalk Real Estate Investment Trust                        | AB                    |                                    |          |
| Boat Rocker Media Inc.                                        | ON                    |                                    |          |
| Bombardier Inc.                                               | QC                    |                                    |          |
| Bonterra Energy Corp.                                         | AB                    |                                    |          |
| Boralex Inc.                                                  | QC                    |                                    |          |
| Border Petroleum Limited (formerly Border Petroleum Corp.)    | AB                    | 1(a), 1(b), 1(c), 1(e)             |          |
| Borealis Mining Company Limited (formerly, 1329300 B.C. Ltd.) | BC                    |                                    |          |
| Boston Pizza Royalties Income Fund                            | BC                    |                                    |          |
| Boyd Group Services Inc.                                      | MB                    |                                    |          |
| BQE Water Inc.                                                | BC                    |                                    |          |
| Bracknell Corporation                                         | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e), 1(g) |          |
| Bragg Gaming Group Inc. (formerly Breaking Data Corp.)        | ON                    |                                    |          |
| Brandes Canadian Equity Fund                                  | ON                    |                                    |          |

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| Name / Dénomination                                                                  | Principal/ Principale | Default / Défaut              | CTO/ IOV |
|--------------------------------------------------------------------------------------|-----------------------|-------------------------------|----------|
| Brandes Canadian Money Market Fund                                                   | ON                    |                               |          |
| Brandes Corporate Focus Bond Fund                                                    | ON                    |                               |          |
| Brandes Emerging Markets Value Fund                                                  | ON                    |                               |          |
| Brandes Global Equity Fund                                                           | ON                    |                               |          |
| Brandes Global Opportunities Fund                                                    | ON                    |                               |          |
| Brandes Global Small Cap Equity Fund                                                 | ON                    |                               |          |
| Brandes International Equity Fund                                                    | ON                    |                               |          |
| Brandes U.S. Equity Fund                                                             | ON                    |                               |          |
| Bravo Mining Corp.                                                                   | ON                    |                               |          |
| Brazil Potash Corp                                                                   | ON                    |                               |          |
| BriaCell Therapeutics Corp.                                                          | BC                    |                               |          |
| Bri-Chem Corp.                                                                       | AB                    |                               |          |
| Bridgehouse Canadian Bond Fund                                                       | ON                    |                               |          |
| Bridgemarq Real Estate Services Inc. (Formerly Brookfield Real Estate Services Inc.) | ON                    |                               |          |
| Bright Minds Biosciences Inc.                                                        | BC                    |                               |          |
| <b>Brighter Minds Media Inc.</b>                                                     | <b>ON</b>             | <b>1(a), 1(b), 1(c), 1(e)</b> |          |
| Bristol Gate Concentrated Canadian Equity ETF                                        | ON                    |                               |          |
| Bristol Gate Concentrated US Equity ETF                                              | ON                    |                               |          |
| British Columbia Ferry Services Inc.                                                 | BC                    |                               |          |
| Brixton Metals Corporation                                                           | BC                    |                               |          |
| Broadcom (AVGO) Yield Shares Purpose ETF                                             | ON                    |                               |          |
| Bromac Resources Ltd.                                                                | BC                    |                               |          |
| Brompton Canadian Cash Flow Kings ETF                                                | ON                    |                               |          |
| Brompton Energy Split Corp. (formerly named, Brompton Oil Split Corp.)               | ON                    |                               |          |
| Brompton Enhanced Multi-Asset Income ETF                                             | ON                    |                               |          |
| Brompton European Dividend Growth ETF                                                | ON                    |                               |          |
| Brompton Flaherty & Crumrine Enhanced Investment Grade Preferred ETF                 | ON                    |                               |          |
| Brompton Flaherty & Crumrine Investment Grade Preferred ETF                          | ON                    |                               |          |
| Brompton Global Dividend Growth ETF                                                  | ON                    |                               |          |
| Brompton Global Healthcare Income & Growth ETF                                       | ON                    |                               |          |
| Brompton Global Infrastructure ETF                                                   | ON                    |                               |          |
| Brompton International Cash Flow Kings ETF                                           | ON                    |                               |          |
| Brompton Lifeco Split Corp.                                                          | ON                    |                               |          |
| Brompton North American Financials Dividend ETF                                      | ON                    |                               |          |



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| Name / Dénomination                                                | Principal/ Principale | Default / Défaut | CTO/ IOV |
|--------------------------------------------------------------------|-----------------------|------------------|----------|
| Brompton North American Low Volatility Dividend ETF                | ON                    |                  |          |
| Brompton Split Banc Corp.                                          | ON                    |                  |          |
| Brompton Split Corp. Class A Share ETF                             | ON                    |                  |          |
| Brompton Split Corp. Preferred Share ETF                           | ON                    |                  |          |
| Brompton Tech Leaders Income ETF                                   | ON                    |                  |          |
| Brompton U.S. Cash Flow Kings ETF                                  | ON                    |                  |          |
| Brompton Wellington Square AAA CLO ETF                             | ON                    |                  |          |
| Brookfield Asset Management Ltd.                                   | ON                    |                  |          |
| Brookfield Business Corporation                                    | ON                    |                  |          |
| Brookfield Business Partners L.P.                                  | ON                    |                  |          |
| Brookfield Capital Finance LLC                                     | ON                    |                  |          |
| Brookfield Corporation                                             | ON                    |                  |          |
| Brookfield Finance (Australia) Pty Ltd                             | ON                    |                  |          |
| Brookfield Finance I (UK) PLC                                      | ON                    |                  |          |
| Brookfield Finance II Inc.                                         | ON                    |                  |          |
| Brookfield Finance II LLC                                          | ON                    |                  |          |
| Brookfield Finance Inc.                                            | ON                    |                  |          |
| Brookfield Finance LLC                                             | ON                    |                  |          |
| Brookfield Global Infrastructure Securities Income Fund            | ON                    |                  |          |
| Brookfield Infrastructure Corporation                              | ON                    |                  |          |
| Brookfield Infrastructure Corporation Exchange Limited Partnership | ON                    |                  |          |
| Brookfield Infrastructure Finance Limited                          | ON                    |                  |          |
| Brookfield Infrastructure Finance LLC                              | ON                    |                  |          |
| Brookfield Infrastructure Finance Pty Ltd                          | ON                    |                  |          |
| Brookfield Infrastructure Finance ULC                              | ON                    |                  |          |
| Brookfield Infrastructure Partners Exchange LP                     | ON                    |                  |          |
| Brookfield Infrastructure Partners L.P.                            | ON                    |                  |          |
| Brookfield Infrastructure Preferred Equity Inc.                    | ON                    |                  |          |
| Brookfield Investments Corporation                                 | ON                    |                  |          |
| Brookfield Office Properties Inc.                                  | ON                    |                  |          |
| Brookfield Property Finance ULC                                    | ON                    |                  |          |
| Brookfield Property Partners L.P.                                  | ON                    |                  |          |
| Brookfield Property Preferred Equity Inc.                          | ON                    |                  |          |
| Brookfield Property Preferred L.P.                                 | ON                    |                  |          |
| Brookfield Property Split Corp.                                    | ON                    |                  |          |

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| Name / Dénomination                                                        | Principal/ Principale | Default / Défaut                   | CTO/ IOV |
|----------------------------------------------------------------------------|-----------------------|------------------------------------|----------|
| Brookfield Renewable Corporation (formerly, 1505127 B.C. Ltd.)             | ON                    |                                    |          |
| Brookfield Renewable Partners L.P.                                         | ON                    |                                    |          |
| Brookfield Renewable Partners ULC                                          | ON                    |                                    |          |
| Brookfield Renewable Power Preferred Equity Inc.                           | ON                    |                                    |          |
| Brookfield Wealth Solutions Ltd.                                           | ON                    |                                    |          |
| BRP Inc.                                                                   | QC                    |                                    |          |
| BSR Real Estate Investment Trust                                           | ON                    |                                    |          |
| BTB Real Estate Investment Trust                                           | QC                    |                                    |          |
| BTQ Technologies Corp.                                                     | BC                    |                                    |          |
| BURCON NUTRASCIENCE CORPORATION                                            | BC                    |                                    |          |
| BZAM LTD. (formerly, The Green Organic Dutchman Holdings Ltd.)             | ON                    | 1(a), 1(b), 1(c), 1(e)             |          |
| Cabral Gold Inc.                                                           | BC                    |                                    |          |
| CAE Inc.                                                                   | QC                    |                                    |          |
| Caldwell Canadian Value Momentum Fund                                      | ON                    |                                    |          |
| Caldwell North American Fund                                               | ON                    |                                    |          |
| Caldwell U.S. Dividend Advantage Fund                                      | ON                    |                                    |          |
| Caldwell-Lazard CorePlus Infrastructure Fund                               | ON                    |                                    |          |
| Calfrac Well Services Ltd.                                                 | AB                    |                                    |          |
| Calian Group Ltd.                                                          | ON                    |                                    |          |
| Calibre Mining Corp.                                                       | BC                    |                                    |          |
| Callinex Mines Inc.                                                        | BC                    |                                    |          |
| Callitas Health Inc.                                                       | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| Calmena Energy Services Inc.                                               | AB                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| Calyx Ventures Inc.                                                        | BC                    | 1(a), 1(b), 1(c), 1(e)             |          |
| Cameco Corporation                                                         | SK                    |                                    |          |
| Campbell Resources Inc.                                                    | QC                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| Canaccord Genuity Group Inc.                                               | BC                    |                                    |          |
| Canacol Energy Ltd.                                                        | AB                    |                                    |          |
| Canada 3000 Inc.                                                           | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e), 1(g) |          |
| Canada Goose Holdings Inc.                                                 | ON                    |                                    |          |
| Canada Jetlines Operations Ltd.                                            | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| Canada Life Advanced Portfolio (formerly, Canada Life Advanced Folio Fund) | ON                    |                                    |          |
| Canada Life Aggressive Portfolio                                           | ON                    |                                    |          |

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| Name / Dénomination                                                                                   | Principal/ Principale | Default / Défaut | CTO/ IOV |
|-------------------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| Canada Life Balanced Portfolio (formerly, Canada Life Balanced Folio Fund)                            | ON                    |                  |          |
| Canada Life Canadian Core Bond Fund                                                                   | ON                    |                  |          |
| Canada Life Canadian Core Fixed Income Fund                                                           | ON                    |                  |          |
| Canada Life Canadian Core Plus Bond Fund                                                              | ON                    |                  |          |
| Canada Life Canadian Corporate Bond Fund                                                              | ON                    |                  |          |
| Canada Life Canadian Dividend Fund                                                                    | ON                    |                  |          |
| Canada Life Canadian Fixed Income Balanced Fund                                                       | ON                    |                  |          |
| Canada Life Canadian Focused Growth Fund                                                              | ON                    |                  |          |
| Canada Life Canadian Focused Small-Mid Cap Fund (formerly Canada Life Canadian Small-Mid Cap Fund)    | ON                    |                  |          |
| Canada Life Canadian Focused Value Fund (formerly, Canada Life Canadian Equity Fund (Beutel Goodman)) | ON                    |                  |          |
| Canada Life Canadian Fundamental Equity Fund                                                          | ON                    |                  |          |
| Canada Life Canadian Growth Balanced Fund (formerly, Canada Life Equity/Bond Fund (GLC))              | ON                    |                  |          |
| Canada Life Canadian Growth Fund (formerly, Canada Life Canadian Growth Fund (GWLIM))                 | ON                    |                  |          |
| Canada Life Canadian Value Balanced Fund (formerly, Canada Life Balanced Fund (Beutel Goodman))       | ON                    |                  |          |
| Canada Life Canadian Value Fund                                                                       | ON                    |                  |          |
| Canada Life Capital Trust                                                                             | ON                    |                  |          |
| Canada Life Conservative Portfolio                                                                    | ON                    |                  |          |
| Canada Life Diversified Fixed Income Portfolio                                                        | ON                    |                  |          |
| Canada Life Diversified Real Assets Fund                                                              | ON                    |                  |          |
| Canada Life Emerging Markets Concentrated Equity Fund                                                 | ON                    |                  |          |
| Canada Life Emerging Markets Large Cap Equity Fund                                                    | ON                    |                  |          |
| Canada Life ESG U.S. Equity Fund                                                                      | ON                    |                  |          |
| Canada Life Fixed Income Fund                                                                         | ON                    |                  |          |
| Canada Life Floating Rate Income Fund                                                                 | ON                    |                  |          |
| Canada Life Foreign Equity Fund                                                                       | ON                    |                  |          |
| Canada Life Global All Cap Equity Fund (formerly, Canada Life Global All Cap Equity Fund (Setanta))   | ON                    |                  |          |
| Canada Life Global Balanced Fund                                                                      | ON                    |                  |          |
| Canada Life Global Core Plus Bond Fund                                                                | ON                    |                  |          |
| Canada Life Global Equity and Income Fund                                                             | ON                    |                  |          |
| Canada Life Global Equity Fund (formerly Canada Life Global Low Volatility Fund)                      | ON                    |                  |          |
| Canada Life Global Growth Equity Fund                                                                 | ON                    |                  |          |

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| Name / Dénomination                                                                         | Principal/ Principale | Default / Défaut | CTO/ IOV |
|---------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| Canada Life Global Growth Opportunities Fund                                                | ON                    |                  |          |
| Canada Life Global High Yield Fixed Income Fund                                             | ON                    |                  |          |
| Canada Life Global Inflation-Linked Fixed Income Fund                                       | ON                    |                  |          |
| Canada Life Global Multi-Sector Bond Fund                                                   | ON                    |                  |          |
| Canada Life Global Resources Fund                                                           | ON                    |                  |          |
| Canada Life Global Small-Mid Cap Equity Fund                                                | ON                    |                  |          |
| Canada Life Global Strategic Income Fund (formerly, Canada Life Global Monthly Income Fund) | ON                    |                  |          |
| Canada Life Global Tactical Fund                                                            | ON                    |                  |          |
| Canada Life International Concentrated Equity Fund                                          | ON                    |                  |          |
| Canada Life International Equity Fund                                                       | ON                    |                  |          |
| Canada Life International Value Fund                                                        | ON                    |                  |          |
| Canada Life Moderate Portfolio                                                              | ON                    |                  |          |
| Canada Life Money Market Fund                                                               | ON                    |                  |          |
| Canada Life Precious Metals Fund                                                            | ON                    |                  |          |
| Canada Life Risk Reduction Pool                                                             | ON                    |                  |          |
| Canada Life Risk-Managed Balanced Portfolio                                                 | ON                    |                  |          |
| Canada Life Risk-Managed Conservative Income Portfolio                                      | ON                    |                  |          |
| Canada Life Risk-Managed Growth Portfolio                                                   | ON                    |                  |          |
| Canada Life Strategic Income Fund                                                           | ON                    |                  |          |
| Canada Life Sustainable Balanced Portfolio                                                  | ON                    |                  |          |
| Canada Life Sustainable Conservative Portfolio                                              | ON                    |                  |          |
| Canada Life Sustainable Emerging Markets Equity Fund                                        | ON                    |                  |          |
| Canada Life Sustainable Global Bond Fund                                                    | ON                    |                  |          |
| Canada Life Sustainable Global Equity Fund                                                  | ON                    |                  |          |
| Canada Life Sustainable Growth Portfolio                                                    | ON                    |                  |          |
| Canada Life U.S. All Cap Growth Fund (formerly, Canada Life US All Cap Growth Fund)         | ON                    |                  |          |
| Canada Life U.S. Carbon Transition Equity Fund                                              | ON                    |                  |          |
| Canada Life U.S. Concentrated Equity Fund                                                   | ON                    |                  |          |
| Canada Life U.S. Dividend Fund (formerly, Canada Life US Dividend Fund (GWLIM))             | ON                    |                  |          |
| Canada Life U.S. Small-Mid Cap Growth Fund                                                  | ON                    |                  |          |
| Canada Life U.S. Value Fund (formerly, Canada Life US Value Fund (Putnam))                  | ON                    |                  |          |
| Canada Life Unconstrained Fixed Income Fund                                                 | ON                    |                  |          |
| Canadian Apartment Properties Real Estate Investment Trust                                  | ON                    |                  |          |

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| Name / Dénomination                                            | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|----------------------------------------------------------------|-----------------------|------------------------------|----------|
| Canadian Banc Corp.                                            | ON                    |                              |          |
| Canadian Copper Inc.                                           | ON                    |                              |          |
| Canadian Diversified Resource Investment Listed Liquidity Fund | ON                    | 1(a), 1(b), 1(c), 1(d)       |          |
| Canadian Dollar Cash Management Fund                           | ON                    |                              |          |
| Canadian Equity Alpha Corporate Class                          | ON                    |                              |          |
| Canadian Equity Alpha Pool                                     | ON                    |                              |          |
| Canadian Equity Fund                                           | ON                    |                              |          |
| Canadian Equity Growth Corporate Class                         | ON                    |                              |          |
| Canadian Equity Growth Pool                                    | ON                    |                              |          |
| Canadian Equity Managed Class                                  | ON                    |                              |          |
| Canadian Equity Managed Pool                                   | ON                    |                              |          |
| Canadian Equity Small Cap Corporate Class                      | ON                    |                              |          |
| Canadian Equity Small Cap Pool                                 | ON                    |                              |          |
| Canadian Equity Value Corporate Class                          | ON                    |                              |          |
| Canadian Equity Value Pool                                     | ON                    |                              |          |
| Canadian Fixed Income Corporate Class                          | ON                    |                              |          |
| Canadian Fixed Income Fund                                     | ON                    |                              |          |
| Canadian Fixed Income Pool                                     | ON                    |                              |          |
| Canadian General Investments, Limited                          | ON                    |                              |          |
| Canadian Imperial Bank of Commerce                             | ON                    |                              |          |
| Canadian Large Cap Leaders Split Corp.                         | ON                    |                              |          |
| Canadian Life Companies Split Corp.                            | ON                    |                              |          |
| Canadian National Railway Company                              | QC                    |                              |          |
| Canadian Natural Resources Limited                             | AB                    |                              |          |
| Canadian Net Real Estate Investment Trust                      | QC                    |                              |          |
| Canadian North Resources Inc.                                  | ON                    |                              |          |
| Canadian Overseas Petroleum Limited                            | AB                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Canadian Pacific Kansas City Limited                           | AB                    |                              |          |
| Canadian Pacific Railway Company                               | AB                    |                              |          |
| Canadian Scholarship Trust CST™ Advantage Plan                 | ON                    |                              |          |
| Canadian Scholarship Trust Family Savings Plan                 | ON                    |                              |          |
| Canadian Scholarship Trust Founders' Plan                      | ON                    |                              |          |
| Canadian Scholarship Trust Group Savings Plan                  | ON                    |                              |          |
| Canadian Scholarship Trust Individual Savings Plan             | ON                    |                              |          |
| Canadian Small Company Equity Fund                             | ON                    |                              |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                                                                     | Principal/ Principale | Default / Défaut                    | CTO/ IOV |
|---------------------------------------------------------------------------------------------------------|-----------------------|-------------------------------------|----------|
| Canadian Tire Corporation, Limited                                                                      | ON                    |                                     |          |
| Canadian Utilities Limited                                                                              | AB                    |                                     |          |
| Canfor Corporation                                                                                      | BC                    |                                     |          |
| Canfor Pulp Products Inc.                                                                               | BC                    |                                     |          |
| Canlan Ice Sports Corp.                                                                                 | BC                    |                                     |          |
| <b>CannTrust Holdings Inc.</b>                                                                          | <b>ON</b>             | <b>1(a), 1(b), 1(c), 1(d), 1(e)</b> |          |
| Canoe Asset Allocation Portfolio Class                                                                  | AB                    |                                     |          |
| Canoe Bond Advantage Fund                                                                               | AB                    |                                     |          |
| Canoe Bond Advantage Portfolio Class                                                                    | AB                    |                                     |          |
| Canoe Defensive Global Equity Fund                                                                      | AB                    |                                     |          |
| Canoe Defensive International Equity Fund                                                               | AB                    |                                     |          |
| Canoe Defensive U.S. Equity Portfolio Class                                                             | AB                    |                                     |          |
| Canoe EIT Income Fund                                                                                   | AB                    |                                     |          |
| Canoe Energy Income Portfolio Class                                                                     | AB                    |                                     |          |
| Canoe Energy Plus Fund                                                                                  | AB                    |                                     |          |
| Canoe Energy Portfolio Class                                                                            | AB                    |                                     |          |
| Canoe Enhanced Income Fund                                                                              | AB                    |                                     |          |
| Canoe Enhanced Income Portfolio Class                                                                   | AB                    |                                     |          |
| Canoe Equity Plus Fund                                                                                  | AB                    |                                     |          |
| Canoe Equity Portfolio Class                                                                            | AB                    |                                     |          |
| Canoe Fundamental Global Balanced Fund (formerly, Canoe Defensive Global Balanced Fund)                 | AB                    |                                     |          |
| Canoe Fundamental Global Equity Portfolio Class                                                         | AB                    |                                     |          |
| Canoe Fundamental Small Mid Cap Portfolio Class (formerly Canoe Canadian Small Mid Cap Portfolio Class) | AB                    |                                     |          |
| Canoe Global Equity Fund                                                                                | AB                    |                                     |          |
| Canoe Global Income Fund                                                                                | AB                    |                                     |          |
| Canoe Global Income Portfolio Class                                                                     | AB                    |                                     |          |
| Canoe International Equity Portfolio Class                                                              | AB                    |                                     |          |
| Canoe North American Monthly Income Portfolio Class                                                     | AB                    |                                     |          |
| Canoe Preferred Share Portfolio Class                                                                   | AB                    |                                     |          |
| Canoe Premium Yield Fund (formerly Canoe Premium Income Fund)                                           | AB                    |                                     |          |
| Canoe Trust Fund                                                                                        | AB                    |                                     |          |
| Canoe Unconstrained Bond Fund                                                                           | AB                    |                                     |          |
| Canoe Unconstrained Bond Portfolio Class                                                                | AB                    |                                     |          |
| Canopy Growth Corporation                                                                               | ON                    |                                     |          |

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|-------------------------------------------------------------------|-----------------------|------------------------------|----------|
| Canso Credit Income Fund                                          | ON                    |                              |          |
| Canso Select Opportunities Corporation                            | ON                    |                              |          |
| Canuc Resources Corporation                                       | ON                    |                              |          |
| Capital DGM Inc.                                                  | QC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Capital Group Canadian Core Plus Fixed Income Fund™ (Canada)      | ON                    |                              |          |
| Capital Group Canadian Focused Equity Fund™ (Canada)              | ON                    |                              |          |
| Capital Group Canadian Money Market Fund™ (Canada)                | ON                    |                              |          |
| Capital Group Capital Income Builder™ (Canada)                    | ON                    |                              |          |
| Capital Group Emerging Markets Total Opportunities Fund™ (Canada) | ON                    |                              |          |
| Capital Group Global Balanced Fund™ (Canada)                      | ON                    |                              |          |
| Capital Group Global Equity Fund™ (Canada)                        | ON                    |                              |          |
| Capital Group Global Equity Select ETF™ (Canada)                  | ON                    |                              |          |
| Capital Group International Equity Fund™ (Canada)                 | ON                    |                              |          |
| Capital Group International Equity Select ETF™ (Canada)           | ON                    |                              |          |
| Capital Group Monthly Income Portfolio™ (Canada)                  | ON                    |                              |          |
| Capital Group Multi-Sector Income Fund™ (Canada)                  | ON                    |                              |          |
| Capital Group Multi-Sector Income Select ETF™ (Canada)            | ON                    |                              |          |
| Capital Group U.S. Equity Fund™ (Canada)                          | ON                    |                              |          |
| Capital Group World Bond Fund™ (Canada)                           | ON                    |                              |          |
| Capital Group World Bond Select ETF™ (Canada)                     | ON                    |                              |          |
| Capital Power Corporation                                         | AB                    |                              |          |
| Capstone Copper Corp.                                             | BC                    |                              |          |
| Capstone Infrastructure Corporation                               | ON                    |                              |          |
| Carbiz Inc.                                                       | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Cardinal Energy Ltd.                                              | AB                    |                              |          |
| Cardiol Therapeutics Inc.                                         | ON                    |                              |          |
| CARDS II Trust                                                    | ON                    |                              |          |
| CareRx Corporation                                                | ON                    |                              |          |
| Cargojet Inc.                                                     | ON                    |                              |          |
| Caribbean Utilities Company, Ltd.                                 | ON                    |                              |          |
| Carmanah Resources Ltd                                            | AB                    | 1(a), 1(b), 1(c), 1(e), 1(g) |          |
| CARS and PARS Programme                                           | ON                    |                              |          |
| Cascada Silver Corp.                                              | ON                    |                              |          |
| Cascades Inc.                                                     | QC                    |                              |          |
| Cash Management Pool                                              | ON                    |                              |          |

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|------------------------------------------------------------------------------------|-----------------------|------------------------------|----------|
| Catalyst Metals Limited                                                            | ON                    |                              |          |
| Caterpillar Financial Services Limited                                             | ON                    |                              |          |
| Cathay Forest Products Corp.                                                       | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Cathedra Bitcoin Inc.                                                              | BC                    |                              |          |
| Cavvy Energy Ltd.                                                                  | AB                    |                              |          |
| CC&L Absolute Return Bond Fund                                                     | ON                    |                              |          |
| CC&L Core Income and Growth Fund                                                   | ON                    |                              |          |
| CC&L Diversified Income Fund                                                       | ON                    |                              |          |
| CC&L Equity Income and Growth Fund                                                 | ON                    |                              |          |
| CC&L Global Alpha Fund                                                             | ON                    |                              |          |
| CC&L Global Long Short Fund (formerly, CC&L Alternative Canadian Equity Fund)      | ON                    |                              |          |
| CC&L Global Market Neutral II Fund (formerly, CC&L Alternative Global Equity Fund) | ON                    |                              |          |
| CC&L High Yield Bond Fund                                                          | ON                    |                              |          |
| CCL Industries Inc.                                                                | ON                    |                              |          |
| Celestica Inc.                                                                     | ON                    |                              |          |
| Celtic Tiger Minerals Exploration Inc.                                             | NS                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Cenovus Energy Inc.                                                                | AB                    |                              |          |
| Centaurus Energy Inc.                                                              | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Centerra Gold Inc.                                                                 | ON                    |                              |          |
| CENTR Brands Corp.                                                                 | BC                    |                              |          |
| Century Lithium Corp.                                                              | BC                    |                              |          |
| Ceres Global Ag Corp.                                                              | ON                    |                              |          |
| Cerrado Gold Inc., formerly BB1 Acquisition Corp.                                  | ON                    |                              |          |
| CES Energy Solutions Corp.                                                         | AB                    |                              |          |
| CGI Inc.                                                                           | QC                    |                              |          |
| CGX Energy Inc.                                                                    | ON                    |                              |          |
| Champion Iron Limited                                                              | ON                    |                              |          |
| Charlotte Resources Ltd.                                                           | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Charlotte's Web Holdings, Inc.                                                     | ON                    |                              |          |
| Chartwell Retirement Residences                                                    | ON                    |                              |          |
| Chemokine Therapeutics Corp.                                                       | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Chemtrade Logistics Income Fund                                                    | ON                    |                              |          |
| Chesapeake Gold Corp.                                                              | BC                    |                              |          |
| Chesswood Group Limited                                                            | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |



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|------------------------------------------------------|-----------------------|------------------------|----------|
| Cheyenne Energy Inc.                                 | AB                    | 1(a), 1(b), 1(c), 1(e) |          |
| CHIP Mortgage Trust                                  | ON                    |                        |          |
| CHITOGEX INC                                         | QC                    | 1(a), 1(c), 1(e)       |          |
| Choice Properties Real Estate Investment Trust       | ON                    |                        |          |
| Choom Holdings Inc.                                  | BC                    | 1(a), 1(b), 1(c), 1(e) |          |
| Chord Energy Corporation                             | AB                    |                        |          |
| Chorus Aviation Inc.                                 | NS                    |                        |          |
| Chorus II 100 per cent Equity Growth Portfolio       | QC                    |                        |          |
| Chorus II Aggressive Growth Portfolio                | QC                    |                        |          |
| Chorus II Balanced Low Volatility Portfolio          | QC                    |                        |          |
| Chorus II Conservative Low Volatility Portfolio      | QC                    |                        |          |
| Chorus II Growth Portfolio                           | QC                    |                        |          |
| Chorus II Maximum Growth Portfolio                   | QC                    |                        |          |
| Chorus II Moderate Low Volatility Portfolio          | QC                    |                        |          |
| Chou Asia Fund                                       | ON                    |                        |          |
| Chou Associates Fund                                 | ON                    |                        |          |
| Chou Bond Fund                                       | ON                    |                        |          |
| Chou Europe Fund                                     | ON                    |                        |          |
| Chou RRSP Fund                                       | ON                    |                        |          |
| CI 1-5 Year Laddered Government Strip Bond Index ETF | ON                    |                        |          |
| CI Alternative Credit Opportunities Fund             | ON                    |                        |          |
| CI Alternative Diversified Opportunities Fund        | ON                    |                        |          |
| CI Alternative Equity Premium Yield Fund             | ON                    |                        |          |
| CI Alternative Investment Grade Credit Fund          | ON                    |                        |          |
| CI Alternative Multi-Strategy Fund                   | ON                    |                        |          |
| CI Alternative North American Opportunities Fund     | ON                    |                        |          |
| CI Auspice Alternative Diversified Corporate Class   | ON                    |                        |          |
| CI Auspice Broad Commodity Fund                      | ON                    |                        |          |
| CI Balanced Asset Allocation ETF                     | ON                    |                        |          |
| CI Balanced Asset Allocation Fund                    | ON                    |                        |          |
| CI Balanced Growth Asset Allocation ETF              | ON                    |                        |          |
| CI Balanced Growth Asset Allocation Fund             | ON                    |                        |          |
| CI Balanced Income Asset Allocation ETF              | ON                    |                        |          |
| CI Balanced Income Asset Allocation Fund             | ON                    |                        |          |
| CI Bitcoin Fund                                      | ON                    |                        |          |

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| Name / Dénomination                                                             | Principal/ Principale | Default / Défaut | CTO/ IOV |
|---------------------------------------------------------------------------------|-----------------------|------------------|----------|
| CI Canada Quality Dividend Growth Index ETF                                     | ON                    |                  |          |
| CI Canada Quality Dividend Growth Index Fund                                    | ON                    |                  |          |
| CI Canadian Aggregate Bond Index ETF                                            | ON                    |                  |          |
| CI Canadian All Cap Equity Income Class (formerly, Sentry All Cap Income Class) | ON                    |                  |          |
| CI Canadian All Cap Equity Income Fund                                          | ON                    |                  |          |
| CI Canadian Asset Allocation Corporate Class                                    | ON                    |                  |          |
| CI Canadian Asset Allocation Fund                                               | ON                    |                  |          |
| CI Canadian Balanced Corporate Class                                            | ON                    |                  |          |
| CI Canadian Balanced Fund                                                       | ON                    |                  |          |
| CI Canadian Banks Covered Call Income Class ETF                                 | ON                    |                  |          |
| CI Canadian Banks Covered Call Income Corporate Class                           | ON                    |                  |          |
| CI Canadian Bond Corporate Class                                                | ON                    |                  |          |
| CI Canadian Bond Fund                                                           | ON                    |                  |          |
| CI Canadian Bond Private Pool                                                   | ON                    |                  |          |
| CI Canadian Convertible Bond ETF                                                | ON                    |                  |          |
| CI Canadian Convertible Bond Fund                                               | ON                    |                  |          |
| CI Canadian Dividend Corporate Class                                            | ON                    |                  |          |
| CI Canadian Dividend Fund                                                       | ON                    |                  |          |
| CI Canadian Dividend Private Pool                                               | ON                    |                  |          |
| CI Canadian Equity Growth Corporate Class                                       | ON                    |                  |          |
| CI Canadian Equity Growth Fund                                                  | ON                    |                  |          |
| CI Canadian Equity Growth Private Pool                                          | ON                    |                  |          |
| CI Canadian Equity Income Private Trust                                         | ON                    |                  |          |
| CI Canadian Equity Index ETF                                                    | ON                    |                  |          |
| CI Canadian Income & Growth Corporate Class                                     | ON                    |                  |          |
| CI Canadian Income & Growth Fund                                                | ON                    |                  |          |
| CI Canadian Investment Corporate Class                                          | ON                    |                  |          |
| CI Canadian Investment Fund                                                     | ON                    |                  |          |
| CI Canadian Long-Term Bond Pool                                                 | ON                    |                  |          |
| CI Canadian REIT ETF                                                            | ON                    |                  |          |
| CI Canadian REIT Fund                                                           | ON                    |                  |          |
| CI Canadian Short-Term Aggregate Bond Index ETF                                 | ON                    |                  |          |
| CI Canadian Short-Term Bond Pool                                                | ON                    |                  |          |
| CI Canadian Small/Mid Cap Equity Corporate Class                                | ON                    |                  |          |
| CI Canadian Small/Mid Cap Equity Fund                                           | ON                    |                  |          |

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| Name / Dénomination                         | Principal/ Principale | Default / Défaut | CTO/ IOV |
|---------------------------------------------|-----------------------|------------------|----------|
| CI Conservative Asset Allocation ETF        | ON                    |                  |          |
| CI Conservative Asset Allocation Fund       | ON                    |                  |          |
| CI Corporate Bond Class                     | ON                    |                  |          |
| CI Corporate Bond Corporate Class           | ON                    |                  |          |
| CI Corporate Bond Fund                      | ON                    |                  |          |
| CI Digital Security Index ETF               | ON                    |                  |          |
| CI Diversified Yield Corporate Class        | ON                    |                  |          |
| CI Diversified Yield Fund                   | ON                    |                  |          |
| CI Dividend Income & Growth Corporate Class | ON                    |                  |          |
| CI Dividend Income & Growth Fund            | ON                    |                  |          |
| CI DoubleLine Total Return Bond US\$ Fund   | ON                    |                  |          |
| CI Emerging Markets Alpha ETF               | ON                    |                  |          |
| CI Emerging Markets Bond Fund               | ON                    |                  |          |
| CI Emerging Markets Corporate Class         | ON                    |                  |          |
| CI Emerging Markets Dividend Index ETF      | ON                    |                  |          |
| CI Emerging Markets Fund                    | ON                    |                  |          |
| CI Energy Giants Covered Call ETF           | ON                    |                  |          |
| CI Energy Giants Covered Call Fund          | ON                    |                  |          |
| CI Enhanced Government Bond ETF             | ON                    |                  |          |
| CI Enhanced Short Duration Bond Fund        | ON                    |                  |          |
| CI Equity Asset Allocation ETF              | ON                    |                  |          |
| CI Equity Asset Allocation Fund             | ON                    |                  |          |
| CI Equity Premium Yield Fund                | ON                    |                  |          |
| CI Ethereum Fund                            | ON                    |                  |          |
| CI Europe Hedged Equity Index ETF           | ON                    |                  |          |
| CI Financial Corp.                          | ON                    |                  |          |
| CI Floating Rate Income Fund                | ON                    |                  |          |
| CI G5 20 2038 Q3 Fund                       | ON                    |                  |          |
| CI G5 20 2038 Q4 Fund                       | ON                    |                  |          |
| CI G5 20 2039 Q2 Fund                       | ON                    |                  |          |
| CI G5 20 2039 Q3 Fund                       | ON                    |                  |          |
| CI G5 20 2040 Q1 Fund                       | ON                    |                  |          |
| CI G5 20 2040 Q4 Fund                       | ON                    |                  |          |
| CI G5 20i 2035 Q1 Fund                      | ON                    |                  |          |
| CI G5 20i 2035 Q2 Fund                      | ON                    |                  |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                              | Principal/ Principale | Default / Défaut | CTO/ IOV |
|--------------------------------------------------|-----------------------|------------------|----------|
| CI G5 20i 2036 Q1 Fund                           | ON                    |                  |          |
| CI G5 20i 2036 Q2 Fund                           | ON                    |                  |          |
| CI Galaxy Bitcoin ETF                            | ON                    |                  |          |
| CI Galaxy Blockchain Index ETF                   | ON                    |                  |          |
| CI Galaxy Ethereum ETF                           | ON                    |                  |          |
| CI Galaxy Multi-Crypto ETF                       | ON                    |                  |          |
| CI Galaxy Solana ETF                             | ON                    |                  |          |
| CI Global Alpha Innovation ETF                   | ON                    |                  |          |
| CI Global Alpha Innovators Corporate Class       | ON                    |                  |          |
| CI Global Artificial Intelligence Fund           | ON                    |                  |          |
| CI Global Asset Allocation Private Pool          | ON                    |                  |          |
| CI Global Balanced Corporate Class               | ON                    |                  |          |
| CI Global Balanced Fund                          | ON                    |                  |          |
| CI Global Bond Corporate Class                   | ON                    |                  |          |
| CI Global Bond Currency Neutral Fund             | ON                    |                  |          |
| CI Global Bond Fund                              | ON                    |                  |          |
| CI Global Climate Leaders Fund                   | ON                    |                  |          |
| CI Global Core Plus Bond Fund                    | ON                    |                  |          |
| CI Global Dividend Corporate Class               | ON                    |                  |          |
| CI Global Dividend Fund                          | ON                    |                  |          |
| CI Global Dividend Opportunities Corporate Class | ON                    |                  |          |
| CI Global Dividend Opportunities Fund            | ON                    |                  |          |
| CI Global Energy Corporate Class                 | ON                    |                  |          |
| CI Global Enhanced Government Bond Private Pool  | ON                    |                  |          |
| CI Global Equity Alpha Private Pool              | ON                    |                  |          |
| CI Global Equity Corporate Class                 | ON                    |                  |          |
| CI Global Equity Fund                            | ON                    |                  |          |
| CI Global Financial Sector ETF                   | ON                    |                  |          |
| CI Global Green Bond Fund                        | ON                    |                  |          |
| CI Global Health Sciences Corporate Class        | ON                    |                  |          |
| CI Global Healthcare Leaders Index ETF           | ON                    |                  |          |
| CI Global Income & Growth Corporate Class        | ON                    |                  |          |
| CI Global Income & Growth Fund                   | ON                    |                  |          |
| CI Global Infrastructure Fund                    | ON                    |                  |          |
| CI Global Infrastructure Private Pool            | ON                    |                  |          |

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| Name / Dénomination                              | Principal/ Principale | Default / Défaut | CTO/ IOV |
|--------------------------------------------------|-----------------------|------------------|----------|
| CI Global Investment Grade Class                 | ON                    |                  |          |
| CI Global Investment Grade ETF                   | ON                    |                  |          |
| CI Global Investment Grade Fund                  | ON                    |                  |          |
| CI Global Leaders Corporate Class                | ON                    |                  |          |
| CI Global Leaders Fund                           | ON                    |                  |          |
| CI Global Longevity Economy Fund                 | ON                    |                  |          |
| CI Global Minimum Downside Volatility Index Fund | ON                    |                  |          |
| CI Global Quality Dividend Growth Index ETF      | ON                    |                  |          |
| CI Global Quality Dividend Growth Index Fund     | ON                    |                  |          |
| CI Global Real Asset Private Pool                | ON                    |                  |          |
| CI Global REIT Class                             | ON                    |                  |          |
| CI Global REIT Fund                              | ON                    |                  |          |
| CI Global REIT Private Pool                      | ON                    |                  |          |
| CI Global Resource Corporate Class               | ON                    |                  |          |
| CI Global Resource Fund                          | ON                    |                  |          |
| CI Global Short-Term Bond Fund                   | ON                    |                  |          |
| CI Global Small/Mid Cap Equity Fund              | ON                    |                  |          |
| CI Global Small/Mid Cap Equity Private Pool      | ON                    |                  |          |
| CI Global Stock Selection Fund                   | ON                    |                  |          |
| CI Global Sustainable Infrastructure Fund        | ON                    |                  |          |
| CI Global Unconstrained Bond Fund                | ON                    |                  |          |
| CI Global Value Corporate Class                  | ON                    |                  |          |
| CI Global Value Fund                             | ON                    |                  |          |
| CI Gold Bullion Fund                             | ON                    |                  |          |
| CI Gold Corporate Class                          | ON                    |                  |          |
| CI Gold+ Giants Covered Call ETF                 | ON                    |                  |          |
| CI Gold+ Giants Covered Call Fund                | ON                    |                  |          |
| CI Growth Asset Allocation ETF                   | ON                    |                  |          |
| CI Growth Asset Allocation Fund                  | ON                    |                  |          |
| CI Health Care Giants Covered Call ETF           | ON                    |                  |          |
| CI High Income Corporate Class                   | ON                    |                  |          |
| CI High Income Fund                              | ON                    |                  |          |
| CI High Interest Savings ETF                     | ON                    |                  |          |
| CI High Interest Savings Fund                    | ON                    |                  |          |
| CI High Yield Bond Corporate Class               | ON                    |                  |          |

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|------------------------------------------------------------|-----------------------|------------------|----------|
| CI High Yield Bond Fund                                    | ON                    |                  |          |
| CI High Yield Bond Private Pool                            | ON                    |                  |          |
| CI ICBCCS S&P China 500 Index ETF                          | ON                    |                  |          |
| CI Income Fund                                             | ON                    |                  |          |
| CI International Equity Alpha Private Pool                 | ON                    |                  |          |
| CI International Equity Corporate Class                    | ON                    |                  |          |
| CI International Equity Fund                               | ON                    |                  |          |
| CI International Equity Growth Private Pool                | ON                    |                  |          |
| CI International Quality Dividend Growth Index ETF         | ON                    |                  |          |
| CI International Quality Dividend Growth Index Hedged Fund | ON                    |                  |          |
| CI International Value Corporate Class                     | ON                    |                  |          |
| CI International Value Fund                                | ON                    |                  |          |
| CI Investment Grade Bond ETF                               | ON                    |                  |          |
| CI Investment Grade Bond Fund                              | ON                    |                  |          |
| CI Investment Grade Bond Private Pool                      | ON                    |                  |          |
| CI Japan Equity Index ETF                                  | ON                    |                  |          |
| CI Marret Alternative Absolute Return Bond Fund            | ON                    |                  |          |
| CI Marret Alternative Enhanced Yield Fund                  | ON                    |                  |          |
| CI Money Market Class                                      | ON                    |                  |          |
| CI Money Market Corporate Class                            | ON                    |                  |          |
| CI Money Market ETF                                        | ON                    |                  |          |
| CI Money Market Fund                                       | ON                    |                  |          |
| CI Morningstar Canada Momentum Index ETF                   | ON                    |                  |          |
| CI Morningstar Canada Value Index ETF                      | ON                    |                  |          |
| CI Morningstar International Momentum Index ETF            | ON                    |                  |          |
| CI Morningstar International Value Index ETF               | ON                    |                  |          |
| CI Morningstar National Bank Québec Index ETF              | ON                    |                  |          |
| CI Mosaic Balanced ETF Portfolio                           | ON                    |                  |          |
| CI Mosaic Balanced ETF Portfolio Class                     | ON                    |                  |          |
| CI Mosaic Balanced Growth ETF Portfolio                    | ON                    |                  |          |
| CI Mosaic Balanced Growth ETF Portfolio Class              | ON                    |                  |          |
| CI Mosaic Balanced Income ETF Portfolio                    | ON                    |                  |          |
| CI Mosaic Balanced Income ETF Portfolio Class              | ON                    |                  |          |
| CI Mosaic ESG Balanced ETF Portfolio                       | ON                    |                  |          |
| CI Mosaic Growth ETF Portfolio                             | ON                    |                  |          |

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|----------------------------------------------------|-----------------------|------------------|----------|
| CI Mosaic Growth ETF Portfolio Class               | ON                    |                  |          |
| CI Mosaic Income ETF Portfolio                     | ON                    |                  |          |
| CI Mosaic Income ETF Portfolio Class               | ON                    |                  |          |
| CI MSCI World ESG Impact Index ETF                 | ON                    |                  |          |
| CI MSCI World ESG Impact Index Fund                | ON                    |                  |          |
| CI Multi-Sector Covered Call Fund                  | ON                    |                  |          |
| CI Munro Alternative Global Growth Fund            | ON                    |                  |          |
| CI Munro Global Growth Equity Fund                 | ON                    |                  |          |
| CI North American Dividend Fund                    | ON                    |                  |          |
| CI North American Small/Mid Cap Equity Class       | ON                    |                  |          |
| CI North American Small/Mid Cap Equity Fund        | ON                    |                  |          |
| CI ONE Global Equity ETF                           | ON                    |                  |          |
| CI ONE North American Core Plus Bond ETF           | ON                    |                  |          |
| CI Portfolio Series Balanced Fund                  | ON                    |                  |          |
| CI Portfolio Series Balanced Growth Fund           | ON                    |                  |          |
| CI Portfolio Series Conservative Balanced Fund     | ON                    |                  |          |
| CI Portfolio Series Conservative Fund              | ON                    |                  |          |
| CI Portfolio Series Growth Fund                    | ON                    |                  |          |
| CI Portfolio Series Income Fund                    | ON                    |                  |          |
| CI Portfolio Series Maximum Growth Fund            | ON                    |                  |          |
| CI Precious Metals Class                           | ON                    |                  |          |
| CI Precious Metals Fund                            | ON                    |                  |          |
| CI Preferred Share ETF                             | ON                    |                  |          |
| CI Preferred Share Fund                            | ON                    |                  |          |
| CI Select 100e Managed Portfolio Corporate Class   | ON                    |                  |          |
| CI Select 20i80e Managed Portfolio Corporate Class | ON                    |                  |          |
| CI Select 30i70e Managed Portfolio Corporate Class | ON                    |                  |          |
| CI Select 40i60e Managed Portfolio Corporate Class | ON                    |                  |          |
| CI Select 50i50e Managed Portfolio Corporate Class | ON                    |                  |          |
| CI Select 60i40e Managed Portfolio Corporate Class | ON                    |                  |          |
| CI Select 70i30e Managed Portfolio Corporate Class | ON                    |                  |          |
| CI Select 80i20e Managed Portfolio Corporate Class | ON                    |                  |          |
| CI Select Canadian Equity Corporate Class          | ON                    |                  |          |
| CI Select Canadian Equity Fund                     | ON                    |                  |          |
| CI Select Canadian Equity Managed Corporate Class  | ON                    |                  |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                    | Principal/ Principale | Default / Défaut | CTO/ IOV |
|--------------------------------------------------------|-----------------------|------------------|----------|
| CI Select Canadian Equity Managed Fund                 | ON                    |                  |          |
| CI Select Global Equity Corporate Class                | ON                    |                  |          |
| CI Select Global Equity Fund                           | ON                    |                  |          |
| CI Select Global Equity Private Pool                   | ON                    |                  |          |
| CI Select Income Managed Corporate Class               | ON                    |                  |          |
| CI Select International Equity Managed Corporate Class | ON                    |                  |          |
| CI Select International Equity Managed Fund            | ON                    |                  |          |
| CI Select Staging Fund                                 | ON                    |                  |          |
| CI Select U.S. Equity Managed Corporate Class          | ON                    |                  |          |
| CI Select U.S. Equity Managed Fund                     | ON                    |                  |          |
| CI Structured Premium Yield Fund                       | ON                    |                  |          |
| CI Synergy American Corporate Class                    | ON                    |                  |          |
| CI Synergy American Fund                               | ON                    |                  |          |
| CI Synergy Canadian Corporate Class                    | ON                    |                  |          |
| CI Synergy Global Corporate Class                      | ON                    |                  |          |
| CI Tech Giants Covered Call ETF                        | ON                    |                  |          |
| CI Tech Giants Covered Call Fund                       | ON                    |                  |          |
| CI U.S. & Canada Lifeco Covered Call ETF               | ON                    |                  |          |
| CI U.S. 1000 Index ETF                                 | ON                    |                  |          |
| CI U.S. 500 Index ETF                                  | ON                    |                  |          |
| CI U.S. Aggregate Bond Covered Call ETF                | ON                    |                  |          |
| CI U.S. Aggregate Bond Covered Call Fund               | ON                    |                  |          |
| CI U.S. Dividend Fund                                  | ON                    |                  |          |
| CI U.S. Dividend Registered Fund                       | ON                    |                  |          |
| CI U.S. Dividend US\$ Fund                             | ON                    |                  |          |
| CI U.S. Enhanced Momentum Index ETF                    | ON                    |                  |          |
| CI U.S. Enhanced Value Index Fund                      | ON                    |                  |          |
| CI U.S. Equity & Income Fund                           | ON                    |                  |          |
| CI U.S. Equity Class                                   | ON                    |                  |          |
| CI U.S. Equity Currency Neutral Class                  | ON                    |                  |          |
| CI U.S. Equity Fund                                    | ON                    |                  |          |
| CI U.S. Equity Private Pool                            | ON                    |                  |          |
| CI U.S. Equity Private Trust                           | ON                    |                  |          |
| CI U.S. Income US\$ Fund                               | ON                    |                  |          |
| CI U.S. MidCap Dividend Index ETF                      | ON                    |                  |          |



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| Name / Dénomination                                                                   | Principal/ Principale | Default / Défaut | CTO/ IOV |
|---------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| CI U.S. Minimum Downside Volatility Index ETF                                         | ON                    |                  |          |
| CI U.S. Money Market Corporate Class                                                  | ON                    |                  |          |
| CI U.S. Money Market ETF                                                              | ON                    |                  |          |
| CI U.S. Money Market Fund                                                             | ON                    |                  |          |
| CI U.S. Quality Dividend Growth Index ETF                                             | ON                    |                  |          |
| CI U.S. Quality Dividend Growth Index Fund                                            | ON                    |                  |          |
| CI U.S. Small/Mid Cap Equity Corporate Class                                          | ON                    |                  |          |
| CI U.S. Small/Mid Cap Equity Fund                                                     | ON                    |                  |          |
| CI U.S. Stock Selection Corporate Class                                               | ON                    |                  |          |
| CI U.S. Stock Selection Fund                                                          | ON                    |                  |          |
| CI U.S. Treasury Inflation-Linked Bond Index ETF (CAD Hedged)                         | ON                    |                  |          |
| CI Utilities Giants Covered Call ETF                                                  | ON                    |                  |          |
| CIBC 2025 Investment Grade Bond Fund                                                  | ON                    |                  |          |
| CIBC 2025 U.S. Investment Grade Bond Fund                                             | ON                    |                  |          |
| CIBC 2026 Investment Grade Bond Fund                                                  | ON                    |                  |          |
| CIBC 2026 U.S. Investment Grade Bond Fund                                             | ON                    |                  |          |
| CIBC 2027 Investment Grade Bond Fund                                                  | ON                    |                  |          |
| CIBC 2027 U.S. Investment Grade Bond Fund                                             | ON                    |                  |          |
| CIBC 2028 Investment Grade Bond Fund                                                  | ON                    |                  |          |
| CIBC 2029 Investment Grade Bond Fund                                                  | ON                    |                  |          |
| CIBC 2030 Investment Grade Bond Fund                                                  | ON                    |                  |          |
| CIBC Active Investment Grade Corporate Bond ETF                                       | ON                    |                  |          |
| CIBC Active Investment Grade Floating Rate Bond ETF                                   | ON                    |                  |          |
| CIBC Alternative Credit Strategy                                                      | ON                    |                  |          |
| CIBC Asia Pacific Fund                                                                | ON                    |                  |          |
| CIBC Asia Pacific Index Fund                                                          | ON                    |                  |          |
| CIBC Balanced ETF Portfolio (formerly, CIBC Balanced Passive Portfolio)               | ON                    |                  |          |
| CIBC Balanced Fund                                                                    | ON                    |                  |          |
| CIBC Balanced Growth ETF Portfolio (formerly, CIBC Balanced Growth Passive Portfolio) | ON                    |                  |          |
| CIBC Balanced Index Fund                                                              | ON                    |                  |          |
| CIBC Canadian Bond Fund                                                               | ON                    |                  |          |
| CIBC Canadian Bond Index ETF                                                          | ON                    |                  |          |
| CIBC Canadian Bond Index Fund                                                         | ON                    |                  |          |
| CIBC Canadian Equity Fund                                                             | ON                    |                  |          |

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| Name / Dénomination                                                                                    | Principal/ Principale | Default / Défaut | CTO/ IOV |
|--------------------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| CIBC Canadian Equity Index ETF                                                                         | ON                    |                  |          |
| CIBC Canadian Equity Private Pool (formerly, Renaissance Canadian Equity Private Pool)                 | ON                    |                  |          |
| CIBC Canadian Equity Value Fund                                                                        | ON                    |                  |          |
| CIBC Canadian Fixed Income Private Pool (formerly, Renaissance Canadian Fixed Income Private Pool)     | ON                    |                  |          |
| CIBC Canadian Index Fund                                                                               | ON                    |                  |          |
| CIBC Canadian Real Estate Fund                                                                         | ON                    |                  |          |
| CIBC Canadian Resources Fund                                                                           | ON                    |                  |          |
| CIBC Canadian Short-Term Bond Index ETF                                                                | ON                    |                  |          |
| CIBC Canadian Short-Term Bond Index Fund                                                               | ON                    |                  |          |
| CIBC Canadian Small-Cap Fund                                                                           | ON                    |                  |          |
| CIBC Canadian T-Bill Fund                                                                              | ON                    |                  |          |
| CIBC Clean Energy Index ETF                                                                            | ON                    |                  |          |
| CIBC Conservative ETF Portfolio (formerly, CIBC Conservative Passive Portfolio)                        | ON                    |                  |          |
| CIBC Conservative Fixed Income Pool                                                                    | ON                    |                  |          |
| CIBC Core Fixed Income Pool                                                                            | ON                    |                  |          |
| CIBC Core Plus Fixed Income Pool                                                                       | ON                    |                  |          |
| CIBC Diversified Fixed Income Fund                                                                     | ON                    |                  |          |
| CIBC Dividend Growth Fund                                                                              | ON                    |                  |          |
| CIBC Dividend Income Fund                                                                              | ON                    |                  |          |
| CIBC Emerging Markets Equity Index ETF                                                                 | ON                    |                  |          |
| CIBC Emerging Markets Equity Private Pool (formerly, Renaissance Emerging Markets Equity Private Pool) | ON                    |                  |          |
| CIBC Emerging Markets Fund                                                                             | ON                    |                  |          |
| CIBC Emerging Markets Index Fund                                                                       | ON                    |                  |          |
| CIBC Emerging Markets Local Currency Bond Fund                                                         | ON                    |                  |          |
| CIBC Energy Fund                                                                                       | ON                    |                  |          |
| CIBC Equity Income Private Pool (formerly, Renaissance Equity Income Private Pool)                     | ON                    |                  |          |
| CIBC European Equity Fund                                                                              | ON                    |                  |          |
| CIBC European Index Fund                                                                               | ON                    |                  |          |
| CIBC Financial Companies Fund                                                                          | ON                    |                  |          |
| CIBC Flexible Yield ETF (CAD-Hedged)                                                                   | ON                    |                  |          |
| CIBC Global Bond ex-Canada Index ETF (CAD-Hedged)                                                      | ON                    |                  |          |
| CIBC Global Bond Fund                                                                                  | ON                    |                  |          |
| CIBC Global Bond Index Fund                                                                            | ON                    |                  |          |

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| Name / Dénomination                                                                                                     | Principal/ Principale | Default / Défaut | CTO/ IOV |
|-------------------------------------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| CIBC Global Bond Private Pool (formerly, Renaissance Global Bond Private Pool)                                          | ON                    |                  |          |
| CIBC Global Credit Fund                                                                                                 | ON                    |                  |          |
| CIBC Global Equity Fund                                                                                                 | ON                    |                  |          |
| CIBC Global Equity Private Pool (formerly, Renaissance Global Equity Private Pool)                                      | ON                    |                  |          |
| CIBC Global Growth Balanced Fund                                                                                        | ON                    |                  |          |
| CIBC Global Growth ETF                                                                                                  | ON                    |                  |          |
| CIBC Global Monthly Income Fund                                                                                         | ON                    |                  |          |
| CIBC Graduation Portfolio                                                                                               | ON                    |                  |          |
| CIBC Income Advantage Fund                                                                                              | ON                    |                  |          |
| CIBC International Equity ETF                                                                                           | ON                    |                  |          |
| CIBC International Equity Fund                                                                                          | ON                    |                  |          |
| CIBC International Equity Index ETF                                                                                     | ON                    |                  |          |
| CIBC International Equity Index ETF (CAD-Hedged)                                                                        | ON                    |                  |          |
| CIBC International Equity Private Pool (formerly, Renaissance International Equity Private Pool)                        | ON                    |                  |          |
| CIBC International Index Fund                                                                                           | ON                    |                  |          |
| CIBC International Small Companies Fund                                                                                 | ON                    |                  |          |
| CIBC Managed Balanced Growth Portfolio                                                                                  | ON                    |                  |          |
| CIBC Managed Balanced Portfolio                                                                                         | ON                    |                  |          |
| CIBC Managed Growth Plus Portfolio (formerly, CIBC Managed Aggressive Growth Portfolio)                                 | ON                    |                  |          |
| CIBC Managed Growth Portfolio                                                                                           | ON                    |                  |          |
| CIBC Managed Income Plus Portfolio                                                                                      | ON                    |                  |          |
| CIBC Managed Income Portfolio                                                                                           | ON                    |                  |          |
| CIBC Managed Monthly Income Balanced Portfolio                                                                          | ON                    |                  |          |
| CIBC Money Market Fund                                                                                                  | ON                    |                  |          |
| CIBC Monthly Income Fund                                                                                                | ON                    |                  |          |
| CIBC Multi-Asset Absolute Return Strategy                                                                               | ON                    |                  |          |
| CIBC Multi-Asset Global Balanced Income Private Pool (formerly, Renaissance Multi-Asset Global Balanced Income Private) | ON                    |                  |          |
| CIBC Multi-Asset Global Balanced Private Pool (formerly, Renaissance Multi-Asset Global Balanced Private Pool)          | ON                    |                  |          |
| CIBC Multi-Sector Fixed Income Private Pool (formerly, Renaissance Multi-Sector Fixed Income Private Pool)              | ON                    |                  |          |
| CIBC Nasdaq Index Fund                                                                                                  | ON                    |                  |          |
| CIBC Precious Metals Fund                                                                                               | ON                    |                  |          |
| CIBC Private Wealth Canadian Core Equity Pool                                                                           | ON                    |                  |          |

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| Name / Dénomination                                                                                         | Principal/ Principale | Default / Défaut | CTO/ IOV |
|-------------------------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| CIBC Private Wealth Canadian Core Pool                                                                      | ON                    |                  |          |
| CIBC Private Wealth Canadian Dividend Growth Pool                                                           | ON                    |                  |          |
| CIBC Private Wealth North American Yield Equity Pool                                                        | ON                    |                  |          |
| CIBC Private Wealth North American Yield Pool                                                               | ON                    |                  |          |
| CIBC Private Wealth U.S. Core Equity Pool                                                                   | ON                    |                  |          |
| CIBC Qx Canadian Low Volatility Dividend ETF                                                                | ON                    |                  |          |
| CIBC Qx International Low Volatility Dividend ETF                                                           | ON                    |                  |          |
| CIBC Qx U.S. Low Volatility Dividend ETF                                                                    | ON                    |                  |          |
| CIBC Real Assets Private Pool (formerly, Renaissance Real Assets Private Pool)                              | ON                    |                  |          |
| CIBC Short-Term Income Fund                                                                                 | ON                    |                  |          |
| CIBC Smart Balanced Growth Solution                                                                         | ON                    |                  |          |
| CIBC Smart Balanced Income Solution                                                                         | ON                    |                  |          |
| CIBC Smart Balanced Solution                                                                                | ON                    |                  |          |
| CIBC Smart Growth Solution                                                                                  | ON                    |                  |          |
| CIBC Smart Income Solution                                                                                  | ON                    |                  |          |
| CIBC Sustainable Balanced Growth Solution                                                                   | ON                    |                  |          |
| CIBC Sustainable Balanced Solution                                                                          | ON                    |                  |          |
| CIBC Sustainable Canadian Core Plus Bond Fund (formerly, CIBC ex. Fossil Fuel Canadian Core Plus Bond Fund) | ON                    |                  |          |
| CIBC Sustainable Canadian Equity Fund (formerly, CIBC ex. Fossil Fuel Canadian Equity Fund)                 | ON                    |                  |          |
| CIBC Sustainable Conservative Balanced Solution                                                             | ON                    |                  |          |
| CIBC Sustainable Global Equity Fund (formerly, CIBC ex. Fossil Fuel Global Equity Fund)                     | ON                    |                  |          |
| CIBC Target 2030 Education Portfolio                                                                        | ON                    |                  |          |
| CIBC Target 2035 Education Portfolio                                                                        | ON                    |                  |          |
| CIBC Target 2040 Education Portfolio                                                                        | ON                    |                  |          |
| CIBC Target 2045 Education Portfolio                                                                        | ON                    |                  |          |
| CIBC Technology Innovation Fund (formerly CIBC Global Technology Fund)                                      | ON                    |                  |          |
| CIBC U.S. Broad Market Index Fund                                                                           | ON                    |                  |          |
| CIBC U.S. Dollar Income Advantage Fund                                                                      | ON                    |                  |          |
| CIBC U.S. Dollar Managed Balanced Portfolio                                                                 | ON                    |                  |          |
| CIBC U.S. Dollar Managed Growth Portfolio                                                                   | ON                    |                  |          |
| CIBC U.S. Dollar Managed Income Portfolio                                                                   | ON                    |                  |          |
| CIBC U.S. Dollar Money Market Fund                                                                          | ON                    |                  |          |

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|------------------------------------------------------------------------------------------------------------------|-----------------------|---------------------------------|----------|
| CIBC U.S. Equity Currency Neutral Private Pool (formerly, Renaissance U.S. Equity Currency Neutral Private Pool) | ON                    |                                 |          |
| CIBC U.S. Equity Fund                                                                                            | ON                    |                                 |          |
| CIBC U.S. Equity Index ETF                                                                                       | ON                    |                                 |          |
| CIBC U.S. Equity Index ETF (CAD-Hedged)                                                                          | ON                    |                                 |          |
| CIBC U.S. Equity Private Pool (formerly, Renaissance U.S. Equity Private Pool)                                   | ON                    |                                 |          |
| CIBC U.S. Index Fund                                                                                             | ON                    |                                 |          |
| CIBC U.S. Small Companies Fund                                                                                   | ON                    |                                 |          |
| Cineplex Inc.                                                                                                    | ON                    |                                 |          |
| Cipher Pharmaceuticals Inc.                                                                                      | ON                    |                                 |          |
| Citadel Income Fund                                                                                              | ON                    |                                 |          |
| Clarke Inc.                                                                                                      | NS                    |                                 |          |
| Clean Air Metals Inc.                                                                                            | ON                    |                                 |          |
| Clean Harbors, Inc.                                                                                              | AB                    |                                 |          |
| CleanTech Vanadium Mining Corp.                                                                                  | BC                    |                                 |          |
| Clear Sky Land Lease Communities Fund I                                                                          | ON                    |                                 |          |
| Cleveland-Cliffs Inc.                                                                                            | ON                    |                                 |          |
| CLS Holdings USA, Inc.                                                                                           | ON                    |                                 |          |
| CMP 2023 Resource Limited Partnership                                                                            | ON                    |                                 |          |
| CMP Next Edge Resource Class                                                                                     | ON                    |                                 |          |
| CNH Capital Canada Receivables Trust                                                                             | ON                    |                                 |          |
| Coelacanth Energy Inc.                                                                                           | AB                    |                                 |          |
| Coeur Mining, Inc.                                                                                               | ON                    |                                 |          |
| Cogeco Communications Inc.                                                                                       | QC                    |                                 |          |
| Cogeco Inc                                                                                                       | QC                    |                                 |          |
| CohBar, Inc.                                                                                                     | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e)    |          |
| Coinbase (COIN) Yield Shares Purpose ETF                                                                         | ON                    |                                 |          |
| Colabor Group Inc.                                                                                               | QC                    |                                 |          |
| Colibri Resource Corporation                                                                                     | NB (NB-PR)            |                                 |          |
| Collective Mining Ltd.                                                                                           | ON                    |                                 |          |
| COLLIERS INTERNATIONAL GROUP INC.                                                                                | ON                    |                                 |          |
| Colossus Minerals Inc.                                                                                           | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e), 3 |          |
| Coloured Ties Capital Inc.                                                                                       | BC                    |                                 |          |
| Cominar Real Estate Investment Trust                                                                             | QC                    |                                 |          |
| Compass Balanced Growth Portfolio                                                                                | AB                    |                                 |          |

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|-----------------------------------------|-----------------------|------------------------------|----------|
| Compass Balanced Portfolio              | AB                    |                              |          |
| Compass Conservative Balanced Portfolio | AB                    |                              |          |
| Compass Conservative Portfolio          | AB                    |                              |          |
| Compass Growth Portfolio                | AB                    |                              |          |
| Compass Maximum Growth Portfolio        | AB                    |                              |          |
| Concert Industries Ltd.                 | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Condor Energies Inc.                    | AB                    |                              |          |
| Conifex Timber Inc.                     | BC                    |                              |          |
| ConjuChem Biotechnologies Inc.          | QC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| ConocoPhillips                          | AB                    |                              |          |
| Conservative Income Portfolio           | ON                    |                              |          |
| Conservative Monthly Income Fund        | ON                    |                              |          |
| CONSTELLATION COPPER CORPORATION        | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Constellation Software Inc.             | ON                    |                              |          |
| Co-operators General Insurance Company  | ON                    |                              |          |
| CopAur Minerals Inc.                    | BC                    |                              |          |
| Copper Giant Resources Corp.            | BC                    |                              |          |
| Corus Entertainment Inc.                | ON                    |                              |          |
| COSCIENS Biopharma Inc.                 | ON                    |                              |          |
| Costco (COST) Yield Shares Purpose ETF  | ON                    |                              |          |
| Counsel All Equity Portfolio            | ON                    |                              |          |
| Counsel Balanced Portfolio              | ON                    |                              |          |
| Counsel Canadian Core Fixed Income      | ON                    |                              |          |
| Counsel Canadian Dividend               | ON                    |                              |          |
| Counsel Canadian Growth                 | ON                    |                              |          |
| Counsel Canadian Value                  | ON                    |                              |          |
| Counsel Conservative Income Portfolio   | ON                    |                              |          |
| Counsel Conservative Portfolio          | ON                    |                              |          |
| Counsel Essentials Balanced Portfolio   | ON                    |                              |          |
| Counsel Essentials Growth Portfolio     | ON                    |                              |          |
| Counsel Essentials Income Portfolio     | ON                    |                              |          |
| Counsel Fixed Income                    | ON                    |                              |          |
| Counsel Focus Balanced Portfolio        | ON                    |                              |          |
| Counsel Focus Conservative Portfolio    | ON                    |                              |          |
| Counsel Focus Equity Portfolio          | ON                    |                              |          |

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|-------------------------------------------------------------------|-----------------------|------------------------------|----------|
| Counsel Focus Growth Portfolio                                    | ON                    |                              |          |
| Counsel Global Dividend                                           | ON                    |                              |          |
| Counsel Global Fixed Income                                       | ON                    |                              |          |
| Counsel Global Income & Growth Portfolio                          | ON                    |                              |          |
| Counsel Global Real Estate                                        | ON                    |                              |          |
| Counsel Global Small Cap                                          | ON                    |                              |          |
| Counsel Growth Portfolio                                          | ON                    |                              |          |
| Counsel High Interest Savings Fund                                | ON                    |                              |          |
| Counsel High Yield Fixed Income                                   | ON                    |                              |          |
| Counsel International Growth                                      | ON                    |                              |          |
| Counsel Money Market                                              | ON                    |                              |          |
| Counsel Monthly Income Portfolio                                  | ON                    |                              |          |
| Counsel Multi-Factor Canadian Equity                              | ON                    |                              |          |
| Counsel Multi-Factor International Equity                         | ON                    |                              |          |
| Counsel Multi-Factor U.S. Equity                                  | ON                    |                              |          |
| Counsel North American High Yield Bond                            | ON                    |                              |          |
| Counsel Short Term Bond                                           | ON                    |                              |          |
| Counsel U.S. Growth                                               | ON                    |                              |          |
| Counsel U.S. Growth Equity                                        | ON                    |                              |          |
| Coveo Solutions Inc.                                              | QC                    |                              |          |
| CPI Card Group Inc.                                               | BC                    |                              |          |
| CRAFT 1861 Global Holdings Inc. (formerly, BGP Acquisition Corp.) | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Crailar Technologies Inc.                                         | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Crescita Therapeutics Inc.                                        | QC                    |                              |          |
| Cresco Labs Inc.                                                  | BC                    |                              |          |
| Criterium Energy Ltd.                                             | AB                    |                              |          |
| Critical Elements Lithium Corporation                             | QC                    |                              |          |
| Crombie Real Estate Investment Trust                              | NS                    |                              |          |
| Cronos Group Inc.                                                 | ON                    |                              |          |
| Crown Capital Partners Inc.                                       | AB                    |                              |          |
| Crown Point Energy Inc.                                           | AB                    |                              |          |
| CST Spark 2026 Education Portfolio                                | ON                    |                              |          |
| CST Spark 2029 Education Portfolio                                | ON                    |                              |          |
| CST Spark 2032 Education Portfolio                                | ON                    |                              |          |
| CST Spark 2035 Education Portfolio                                | ON                    |                              |          |

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|--------------------------------------|-----------------------|------------------------------|----------|
| CST Spark 2038 Education Portfolio   | ON                    |                              |          |
| CST Spark 2041 Education Portfolio   | ON                    |                              |          |
| CST Spark 2044 Education Portfolio   | ON                    |                              |          |
| CST Spark Graduation Portfolio       | ON                    |                              |          |
| CT Real Estate Investment Trust      | ON                    |                              |          |
| CU Inc.                              | AB                    |                              |          |
| CubicFarm Systems Corp.              | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Culico Metals Inc.                   | ON                    |                              |          |
| Curaleaf Holdings, Inc.              | BC                    |                              |          |
| CWB Onyx Balanced Solution           | AB                    |                              |          |
| CWB Onyx Canadian Equity Fund        | AB                    |                              |          |
| CWB Onyx Conservative Solution       | AB                    |                              |          |
| CWB Onyx Diversified Income Fund     | AB                    |                              |          |
| CWB Onyx Global Equity Fund          | AB                    |                              |          |
| CWB Onyx Growth Solution             | AB                    |                              |          |
| CWB Onyx North American Equity Fund  | AB                    |                              |          |
| Cybin Inc.                           | ON                    |                              |          |
| Cymat Technologies Ltd.              | ON                    |                              |          |
| Cymbria Corporation                  | ON                    |                              |          |
| D2L Inc.                             | ON                    |                              |          |
| DANIER LEATHER INC.                  | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| DATA Communications Management Corp. | ON                    |                              |          |
| Davie Yards Inc.                     | QC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Dayforce, Inc.                       | ON                    |                              |          |
| D-BOX Technologies Inc.              | QC                    |                              |          |
| Decibel Cannabis Company Inc.        | AB                    |                              |          |
| DECISIVE DIVIDEND CORPORATION        | BC                    |                              |          |
| Decklar Resources Inc.               | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Deepspatial Inc.                     | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Defense Metals Corp.                 | BC                    |                              |          |
| Defiance Silver Corp.                | BC                    |                              |          |
| Definity Financial Corporation       | ON                    |                              |          |
| Delivra Health Brands Inc.           | BC                    |                              |          |
| Denison Mines Corp.                  | ON                    |                              |          |
| DENNINGHOUSE INC.                    | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |



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|-----------------------------------------------------------------|-----------------------|------------------|----------|
| dentalcorp Holdings Ltd.                                        | ON                    |                  |          |
| Desert Mountain Energy Corp.                                    | BC                    |                  |          |
| Desjardins 1-5 year Laddered Canadian Corporate Bond Index ETF  | QC                    |                  |          |
| Desjardins 1-5 year Laddered Canadian Government Bond Index ETF | QC                    |                  |          |
| Desjardins Absolute Return Global Equity Markets ETF            | QC                    |                  |          |
| Desjardins Aggressive ETF Portfolio                             | QC                    |                  |          |
| Desjardins American Equity Growth Currency Neutral Fund         | QC                    |                  |          |
| Desjardins American Equity Growth Fund                          | QC                    |                  |          |
| Desjardins American Equity Index ETF                            | QC                    |                  |          |
| Desjardins American Equity Value Fund                           | QC                    |                  |          |
| Desjardins American Mid Cap Equity Index ETF                    | QC                    |                  |          |
| Desjardins Balanced ETF Portfolio                               | QC                    |                  |          |
| Desjardins Canadian Bond Fund                                   | QC                    |                  |          |
| Desjardins Canadian Corporate Bond Fund                         | QC                    |                  |          |
| Desjardins Canadian Corporate Bond Index ETF                    | QC                    |                  |          |
| Desjardins Canadian Equity Focused Fund                         | QC                    |                  |          |
| Desjardins Canadian Equity Fund                                 | QC                    |                  |          |
| Desjardins Canadian Equity Income Fund                          | QC                    |                  |          |
| Desjardins Canadian Equity Index ETF                            | QC                    |                  |          |
| Desjardins Canadian Preferred Share Fund                        | QC                    |                  |          |
| Desjardins Canadian Preferred Share Index ETF                   | QC                    |                  |          |
| Desjardins Canadian Short Term Bond Index ETF                   | QC                    |                  |          |
| Desjardins Canadian Small Cap Equity Fund                       | QC                    |                  |          |
| Desjardins Canadian Universe Bond Index ETF                     | QC                    |                  |          |
| Desjardins Conservative ETF Portfolio                           | QC                    |                  |          |
| Desjardins Dividend Balanced Fund                               | QC                    |                  |          |
| Desjardins Dividend Growth Fund                                 | QC                    |                  |          |
| Desjardins Emerging Markets Bond Fund                           | QC                    |                  |          |
| Desjardins Emerging Markets Equity Index ETF                    | QC                    |                  |          |
| Desjardins Emerging Markets Fund                                | QC                    |                  |          |
| Desjardins Emerging Markets Opportunities Fund                  | QC                    |                  |          |
| Desjardins Enhanced Bond Fund                                   | QC                    |                  |          |
| Desjardins Floating Rate Income Fund                            | QC                    |                  |          |
| Desjardins Fundamental Global Equity Fund                       | QC                    |                  |          |
| Desjardins Global Balanced Growth Fund                          | QC                    |                  |          |

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| Name / Dénomination                                                                   | Principal/ Principale | Default / Défaut | CTO/ IOV |
|---------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| Desjardins Global Balanced Strategic Income Fund                                      | QC                    |                  |          |
| Desjardins Global Corporate Bond Fund                                                 | QC                    |                  |          |
| Desjardins Global Dividend Fund                                                       | QC                    |                  |          |
| Desjardins Global Equity ETF Portfolio                                                | QC                    |                  |          |
| Desjardins Global Equity Fund                                                         | QC                    |                  |          |
| Desjardins Global Equity Growth Fund                                                  | QC                    |                  |          |
| Desjardins Global Government Bond Index Fund                                          | QC                    |                  |          |
| Desjardins Global High Yield Bond Fund                                                | QC                    |                  |          |
| Desjardins Global Infrastructure Fund                                                 | QC                    |                  |          |
| Desjardins Global Managed Bond Fund                                                   | QC                    |                  |          |
| Desjardins Global Opportunities Fund                                                  | QC                    |                  |          |
| Desjardins Global Small Cap Equity Fund                                               | QC                    |                  |          |
| Desjardins Global Tactical Bond Fund                                                  | QC                    |                  |          |
| Desjardins Global Total Return Bond Fund                                              | QC                    |                  |          |
| Desjardins Growth ETF Portfolio                                                       | QC                    |                  |          |
| Desjardins International Equity Index ETF                                             | QC                    |                  |          |
| Desjardins International Equity Value Fund                                            | QC                    |                  |          |
| Desjardins Low Volatility Canadian Equity Fund                                        | QC                    |                  |          |
| Desjardins Low Volatility Global Equity Fund                                          | QC                    |                  |          |
| Desjardins Market Neutral ETF                                                         | QC                    |                  |          |
| Desjardins Market Neutral ETF Fund                                                    | QC                    |                  |          |
| Desjardins Moderate ETF Portfolio                                                     | QC                    |                  |          |
| Desjardins Money Market Fund                                                          | QC                    |                  |          |
| Desjardins Overseas Equity Fund                                                       | QC                    |                  |          |
| Desjardins Overseas Equity Growth Fund                                                | QC                    |                  |          |
| Desjardins Québec Balanced Fund                                                       | QC                    |                  |          |
| Desjardins Quebec Equity ETF                                                          | QC                    |                  |          |
| Desjardins RI Active Canadian Bond - Net-Zero Emissions Pathway ETF                   | QC                    |                  |          |
| Desjardins RI Canada - Net-Zero Emissions Pathway ETF                                 | QC                    |                  |          |
| Desjardins RI Canada Multifactor - Net-Zero Emissions Pathway ETF                     | QC                    |                  |          |
| Desjardins RI Developed ex-USA ex-Canada - Net-Zero Emissions Pathway ETF             | QC                    |                  |          |
| Desjardins RI Developed ex-USA ex-Canada Multifactor - Net-Zero Emissions Pathway ETF | QC                    |                  |          |
| Desjardins RI Emerging Markets - Net-Zero Emissions Pathway ETF                       | QC                    |                  |          |

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|-----------------------------------------------------------------------------|-----------------------|------------------|----------|
| Desjardins RI Emerging Markets Multifactor - Net-Zero Emissions Pathway ETF | QC                    |                  |          |
| Desjardins RI Global Multifactor - Fossil Fuel Reserves Free ETF            | QC                    |                  |          |
| Desjardins RI USA - Net-Zero Emissions Pathway ETF                          | QC                    |                  |          |
| Desjardins RI USA Multifactor - Net-Zero Emissions Pathway ETF              | QC                    |                  |          |
| Desjardins Short-Term Income Fund                                           | QC                    |                  |          |
| Desjardins Sustainable 100 % Equity Portfolio                               | QC                    |                  |          |
| Desjardins Sustainable American Equity ETF                                  | QC                    |                  |          |
| Desjardins Sustainable American Equity Fund                                 | QC                    |                  |          |
| Desjardins Sustainable American Small Cap Equity Fund                       | QC                    |                  |          |
| Desjardins Sustainable Balanced Portfolio                                   | QC                    |                  |          |
| Desjardins Sustainable Canadian Bond Fund                                   | QC                    |                  |          |
| Desjardins Sustainable Canadian Corporate Bond Fund                         | QC                    |                  |          |
| Desjardins Sustainable Canadian Equity Fund                                 | QC                    |                  |          |
| Desjardins Sustainable Canadian Equity Income Fund                          | QC                    |                  |          |
| Desjardins Sustainable Cleantech Fund                                       | QC                    |                  |          |
| Desjardins Sustainable Conservative Portfolio                               | QC                    |                  |          |
| Desjardins Sustainable Diversity Fund                                       | QC                    |                  |          |
| Desjardins Sustainable Emerging Markets Bond Fund                           | QC                    |                  |          |
| Desjardins Sustainable Emerging Markets Equity Fund                         | QC                    |                  |          |
| Desjardins Sustainable Environmental Bond Fund                              | QC                    |                  |          |
| Desjardins Sustainable Fixed Income Portfolio                               | QC                    |                  |          |
| Desjardins Sustainable Global Balanced Fund                                 | QC                    |                  |          |
| Desjardins Sustainable Global Bond Fund                                     | QC                    |                  |          |
| Desjardins Sustainable Global Corporate Bond Fund                           | QC                    |                  |          |
| Desjardins Sustainable Global Dividend Fund                                 | QC                    |                  |          |
| Desjardins Sustainable Global Managed Bond Fund                             | QC                    |                  |          |
| Desjardins Sustainable Global Opportunities Fund                            | QC                    |                  |          |
| Desjardins Sustainable Growth Portfolio                                     | QC                    |                  |          |
| Desjardins Sustainable International Equity Fund                            | QC                    |                  |          |
| Desjardins Sustainable International Small Cap Equity Fund                  | QC                    |                  |          |
| Desjardins Sustainable Low Volatility Global Equity Fund                    | QC                    |                  |          |
| Desjardins Sustainable Maximum Growth Portfolio                             | QC                    |                  |          |
| Desjardins Sustainable Moderate Portfolio                                   | QC                    |                  |          |
| Desjardins Sustainable Positive Change Fund                                 | QC                    |                  |          |
| Desjardins Sustainable Short-Term Income Fund                               | QC                    |                  |          |

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| Name / Dénomination                                                         | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|-----------------------------------------------------------------------------|-----------------------|------------------------------|----------|
| Desjardins Tactical Asset Allocation Fund                                   | QC                    |                              |          |
| Desjardins Target 2025 Investment Grade Bond Fund                           | QC                    |                              |          |
| Desjardins Target 2026 Investment Grade Bond Fund                           | QC                    |                              |          |
| Desjardins Target 2027 Investment Grade Bond Fund                           | QC                    |                              |          |
| Deutsche Bank Aktiengesellschaft                                            | ON                    |                              |          |
| Deveron Corp.                                                               | ON                    |                              |          |
| Devonian Health Group Inc.                                                  | QC                    |                              |          |
| Dexterra Group Inc.                                                         | ON                    |                              |          |
| DFA Canadian Core Equity Fund                                               | BC                    |                              |          |
| DFA Canadian Vector Equity Fund                                             | BC                    |                              |          |
| DFA Five-Year Global Fixed Income Fund                                      | BC                    |                              |          |
| DFA Global 40EQ-60FI Portfolio                                              | BC                    |                              |          |
| DFA Global 50EQ-50FI Portfolio                                              | BC                    |                              |          |
| DFA Global 60EQ-40FI Portfolio                                              | BC                    |                              |          |
| DFA Global 70EQ-30FI Portfolio                                              | BC                    |                              |          |
| DFA Global 80EQ-20FI Portfolio                                              | BC                    |                              |          |
| DFA Global Equity Portfolio                                                 | BC                    |                              |          |
| DFA Global Fixed Income Portfolio                                           | BC                    |                              |          |
| DFA Global Investment Grade Fixed Income Fund                               | BC                    |                              |          |
| DFA Global Real Estate Securities Fund                                      | BC                    |                              |          |
| DFA Global Sustainability Core Equity Fund                                  | BC                    |                              |          |
| DFA Global Targeted Credit Fund                                             | BC                    |                              |          |
| DFA International Core Equity Fund                                          | BC                    |                              |          |
| DFA International Vector Equity Fund                                        | BC                    |                              |          |
| DFA U.S. Core Equity Fund                                                   | BC                    |                              |          |
| DFA U.S. Vector Equity Fund                                                 | BC                    |                              |          |
| DFA World Equity Portfolio                                                  | BC                    |                              |          |
| DGTL Holdings Inc. (formerly Conscience Capital Inc.)                       | BC                    |                              |          |
| Digi Power X Inc.                                                           | ON                    |                              |          |
| Digital Asset Technologies Inc., formerly Eat & Beyond Global Holdings Inc. | BC                    |                              |          |
| DIRTT Environmental Solutions Ltd.                                          | AB                    |                              |          |
| Discovery 2024 Short Duration LP                                            | ON                    |                              |          |
| Discovery Air Inc.                                                          | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Discovery Silver Corp.                                                      | ON                    |                              |          |
| Distinct Infrastructure Group Inc. (formerly QE2 Acquisition Corp.)         | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |

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| Name / Dénomination                                  | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|------------------------------------------------------|-----------------------|------------------------------|----------|
| Diversified Royalty Corp.                            | BC                    |                              |          |
| Divestco Inc.                                        | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Dividend 15 Split Corp.                              | ON                    |                              |          |
| Dividend 15 Split Corp. II                           | ON                    |                              |          |
| Dividend Growth Split Corp.                          | ON                    |                              |          |
| Dividend Select 15 Corp.                             | ON                    |                              |          |
| DMG Blockchain Solutions Inc.                        | BC                    |                              |          |
| Docebo Inc.                                          | ON                    |                              |          |
| Dollarama Inc.                                       | QC                    |                              |          |
| Dolly Varden Silver Corporation                      | BC                    |                              |          |
| Doman Building Materials Group Ltd.                  | BC                    |                              |          |
| Donnelley Financial Solutions, Inc.                  | ON                    |                              |          |
| Dorel Industries Inc.                                | QC                    |                              |          |
| DragonWave Inc.                                      | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Dream Impact Trust                                   | ON                    |                              |          |
| Dream Industrial Real Estate Investment Trust        | ON                    |                              |          |
| Dream Office Real Estate Investment Trust            | ON                    |                              |          |
| Dream Residential Real Estate Investment Trust       | ON                    |                              |          |
| Dream Summit Industrial LP                           | NS                    |                              |          |
| DREAM Unlimited Corp.                                | ON                    |                              |          |
| DRI Healthcare Trust                                 | ON                    |                              |          |
| Dundee Corporation                                   | ON                    |                              |          |
| Dundee Energy Limited (formerly Eurogas Corporation) | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Dundee Precious Metals Inc.                          | ON                    |                              |          |
| Dye & Durham Limited                                 | ON                    |                              |          |
| dynaCERT Inc.                                        | ON                    |                              |          |
| Dynacor Group Inc.                                   | QC                    |                              |          |
| Dynamic Active Balanced ETF Portfolio                | ON                    |                              |          |
| Dynamic Active Bond ETF                              | ON                    |                              |          |
| Dynamic Active Canadian Bond ETF                     | ON                    |                              |          |
| Dynamic Active Canadian Dividend ETF                 | ON                    |                              |          |
| Dynamic Active Conservative ETF Portfolio            | ON                    |                              |          |
| Dynamic Active Core Bond Private Pool                | ON                    |                              |          |
| Dynamic Active Corporate Bond ETF                    | ON                    |                              |          |
| Dynamic Active Credit Strategies Private Pool        | ON                    |                              |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                     | Principal/ Principale | Default / Défaut | CTO/ IOV |
|---------------------------------------------------------|-----------------------|------------------|----------|
| Dynamic Active Crossover Bond ETF                       | ON                    |                  |          |
| Dynamic Active Discount Bond ETF                        | ON                    |                  |          |
| Dynamic Active Emerging Markets ETF                     | ON                    |                  |          |
| Dynamic Active Energy Evolution ETF                     | ON                    |                  |          |
| Dynamic Active Enhanced Yield Covered Options ETF       | ON                    |                  |          |
| Dynamic Active Global Dividend ETF                      | ON                    |                  |          |
| Dynamic Active Global Equity Income ETF                 | ON                    |                  |          |
| Dynamic Active Global Financial Services ETF            | ON                    |                  |          |
| Dynamic Active Global Gold ETF                          | ON                    |                  |          |
| Dynamic Active Global Infrastructure ETF                | ON                    |                  |          |
| Dynamic Active Growth ETF Portfolio                     | ON                    |                  |          |
| Dynamic Active Income ETF Portfolio                     | ON                    |                  |          |
| Dynamic Active Innovation and Disruption ETF            | ON                    |                  |          |
| Dynamic Active International Dividend ETF               | ON                    |                  |          |
| Dynamic Active International ETF                        | ON                    |                  |          |
| Dynamic Active Mining Opportunities ETF                 | ON                    |                  |          |
| Dynamic Active Preferred Shares ETF                     | ON                    |                  |          |
| Dynamic Active Real Estate ETF                          | ON                    |                  |          |
| Dynamic Active Retirement Income ETF                    | ON                    |                  |          |
| Dynamic Active Tactical Bond ETF                        | ON                    |                  |          |
| Dynamic Active U.S. Dividend ETF                        | ON                    |                  |          |
| Dynamic Active U.S. Equity ETF                          | ON                    |                  |          |
| Dynamic Active U.S. Investment Grade Corporate Bond ETF | ON                    |                  |          |
| Dynamic Active U.S. Mid-Cap ETF                         | ON                    |                  |          |
| Dynamic Active Ultra Short Term Bond ETF                | ON                    |                  |          |
| Dynamic Advantage Bond Class                            | ON                    |                  |          |
| Dynamic Advantage Bond Fund                             | ON                    |                  |          |
| Dynamic Alpha Performance II Fund                       | ON                    |                  |          |
| Dynamic Alternative Yield Class                         | ON                    |                  |          |
| Dynamic Alternative Yield Fund                          | ON                    |                  |          |
| Dynamic American Class                                  | ON                    |                  |          |
| Dynamic American Fund                                   | ON                    |                  |          |
| Dynamic Asia Pacific Equity Fund                        | ON                    |                  |          |
| Dynamic Asset Allocation Private Pool                   | ON                    |                  |          |
| Dynamic Blue Chip Balanced Fund                         | ON                    |                  |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                           | Principal/ Principale | Default / Défaut | CTO/ IOV |
|-----------------------------------------------|-----------------------|------------------|----------|
| Dynamic Blue Chip Equity Fund                 | ON                    |                  |          |
| Dynamic Canadian Bond Fund                    | ON                    |                  |          |
| Dynamic Canadian Dividend Fund                | ON                    |                  |          |
| Dynamic Canadian Equity Private Pool Class    | ON                    |                  |          |
| Dynamic Canadian Value Class                  | ON                    |                  |          |
| Dynamic Conservative Yield Private Pool       | ON                    |                  |          |
| Dynamic Conservative Yield Private Pool Class | ON                    |                  |          |
| Dynamic Corporate Bond Strategies Class       | ON                    |                  |          |
| Dynamic Corporate Bond Strategies Fund        | ON                    |                  |          |
| Dynamic Credit Absolute Return Fund           | ON                    |                  |          |
| Dynamic Credit Opportunities Fund             | ON                    |                  |          |
| Dynamic Credit Spectrum Fund                  | ON                    |                  |          |
| Dynamic Diversified Inflation Focused Fund    | ON                    |                  |          |
| Dynamic Dividend Advantage Class              | ON                    |                  |          |
| Dynamic Dividend Advantage Fund               | ON                    |                  |          |
| Dynamic Dividend Fund                         | ON                    |                  |          |
| Dynamic Dividend Income Class                 | ON                    |                  |          |
| Dynamic Dollar-Cost Averaging Fund            | ON                    |                  |          |
| Dynamic Emerging Markets Equity Fund          | ON                    |                  |          |
| Dynamic Energy Evolution Fund                 | ON                    |                  |          |
| Dynamic Energy Income Fund                    | ON                    |                  |          |
| Dynamic Equity Income Fund                    | ON                    |                  |          |
| Dynamic European Equity Fund                  | ON                    |                  |          |
| Dynamic Financial Services Fund               | ON                    |                  |          |
| Dynamic Global Asset Allocation Class         | ON                    |                  |          |
| Dynamic Global Asset Allocation Fund          | ON                    |                  |          |
| Dynamic Global Balanced Fund                  | ON                    |                  |          |
| Dynamic Global Discovery Class                | ON                    |                  |          |
| Dynamic Global Discovery Fund                 | ON                    |                  |          |
| Dynamic Global Dividend Class                 | ON                    |                  |          |
| Dynamic Global Dividend Fund                  | ON                    |                  |          |
| Dynamic Global Equity Fund                    | ON                    |                  |          |
| Dynamic Global Equity Income Fund             | ON                    |                  |          |
| Dynamic Global Equity Private Pool Class      | ON                    |                  |          |
| Dynamic Global Fixed Income Fund              | ON                    |                  |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                                        | Principal/ Principale | Default / Défaut | CTO/ IOV |
|----------------------------------------------------------------------------|-----------------------|------------------|----------|
| Dynamic Global Growth Opportunities Fund                                   | ON                    |                  |          |
| Dynamic Global Infrastructure Class                                        | ON                    |                  |          |
| Dynamic Global Infrastructure Fund                                         | ON                    |                  |          |
| Dynamic Global Real Estate Fund (formerly Dynamic Focus+ Real Estate Fund) | ON                    |                  |          |
| Dynamic Global Small Cap Fund                                              | ON                    |                  |          |
| Dynamic Global Strategic Yield Fund                                        | ON                    |                  |          |
| Dynamic Global Yield Private Pool                                          | ON                    |                  |          |
| Dynamic Global Yield Private Pool Class                                    | ON                    |                  |          |
| Dynamic High Yield Bond Fund                                               | ON                    |                  |          |
| Dynamic International Discovery Fund                                       | ON                    |                  |          |
| Dynamic International Dividend Private Pool                                | ON                    |                  |          |
| Dynamic International Equity Fund                                          | ON                    |                  |          |
| Dynamic Liquid Alternatives Private Pool                                   | ON                    |                  |          |
| Dynamic Money Market Class                                                 | ON                    |                  |          |
| Dynamic Money Market Fund                                                  | ON                    |                  |          |
| Dynamic North American Dividend Private Pool                               | ON                    |                  |          |
| Dynamic Power American Growth Class                                        | ON                    |                  |          |
| Dynamic Power American Growth Fund                                         | ON                    |                  |          |
| Dynamic Power Balanced Fund                                                | ON                    |                  |          |
| Dynamic Power Canadian Growth Fund                                         | ON                    |                  |          |
| Dynamic Power Global Balanced Class                                        | ON                    |                  |          |
| Dynamic Power Global Growth Class                                          | ON                    |                  |          |
| Dynamic Power Global Growth Fund                                           | ON                    |                  |          |
| Dynamic Power Small Cap Fund                                               | ON                    |                  |          |
| Dynamic Precious Metals Fund                                               | ON                    |                  |          |
| Dynamic Preferred Yield Class                                              | ON                    |                  |          |
| Dynamic Premium Balanced Private Pool Class                                | ON                    |                  |          |
| Dynamic Premium Bond Private Pool                                          | ON                    |                  |          |
| Dynamic Premium Bond Private Pool Class                                    | ON                    |                  |          |
| Dynamic Premium Yield Class                                                | ON                    |                  |          |
| Dynamic Premium Yield Fund                                                 | ON                    |                  |          |
| Dynamic Premium Yield PLUS Fund                                            | ON                    |                  |          |
| Dynamic Real Estate & Infrastructure Income II Fund                        | ON                    |                  |          |
| Dynamic Retirement Income Fund                                             | ON                    |                  |          |
| Dynamic Short Term Bond Fund                                               | ON                    |                  |          |



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| Name / Dénomination                                                           | Principal/ Principale | Default / Défaut | CTO/ IOV |
|-------------------------------------------------------------------------------|-----------------------|------------------|----------|
| Dynamic Short Term Credit PLUS Fund                                           | ON                    |                  |          |
| Dynamic Small Business Fund                                                   | ON                    |                  |          |
| Dynamic Strategic Energy Class                                                | ON                    |                  |          |
| Dynamic Strategic Gold Class                                                  | ON                    |                  |          |
| Dynamic Strategic Resource Class                                              | ON                    |                  |          |
| Dynamic Strategic Yield Class                                                 | ON                    |                  |          |
| Dynamic Strategic Yield Fund                                                  | ON                    |                  |          |
| Dynamic Sustainable Credit Fund                                               | ON                    |                  |          |
| Dynamic Sustainable Equity Fund                                               | ON                    |                  |          |
| Dynamic Tactical Bond Private Pool                                            | ON                    |                  |          |
| Dynamic Total Return Bond Class                                               | ON                    |                  |          |
| Dynamic Total Return Bond Fund                                                | ON                    |                  |          |
| Dynamic U.S. Balanced Class                                                   | ON                    |                  |          |
| Dynamic U.S. Equity Income Fund                                               | ON                    |                  |          |
| Dynamic U.S. Equity Private Pool Class                                        | ON                    |                  |          |
| Dynamic U.S. Strategic Yield Fund (formerly Dynamic U.S. Monthly Income Fund) | ON                    |                  |          |
| Dynamic Ultra Short Term Bond Fund                                            | ON                    |                  |          |
| Dynamic Value Balanced Class                                                  | ON                    |                  |          |
| Dynamic Value Balanced Fund                                                   | ON                    |                  |          |
| Dynamic Value Fund of Canada                                                  | ON                    |                  |          |
| DynamicEdge Balanced Class Portfolio                                          | ON                    |                  |          |
| DynamicEdge Balanced Growth Class Portfolio                                   | ON                    |                  |          |
| DynamicEdge Balanced Growth Portfolio                                         | ON                    |                  |          |
| DynamicEdge Balanced Income Portfolio                                         | ON                    |                  |          |
| DynamicEdge Balanced Portfolio                                                | ON                    |                  |          |
| DynamicEdge Conservative Class Portfolio                                      | ON                    |                  |          |
| DynamicEdge Defensive Portfolio                                               | ON                    |                  |          |
| DynamicEdge Equity Class Portfolio                                            | ON                    |                  |          |
| DynamicEdge Equity Portfolio                                                  | ON                    |                  |          |
| DynamicEdge Growth Class Portfolio                                            | ON                    |                  |          |
| DynamicEdge Growth Portfolio                                                  | ON                    |                  |          |
| E Automotive Inc.                                                             | ON                    |                  |          |
| E Split Corp.                                                                 | ON                    |                  |          |
| E2Gold Inc.                                                                   | ON                    |                  |          |
| E3 Lithium Ltd.                                                               | AB                    |                  |          |

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| Name / Dénomination                                                     | Principal/ Principale | Default / Défaut       | CTO/ IOV |
|-------------------------------------------------------------------------|-----------------------|------------------------|----------|
| Eagle Credit Card Trust                                                 | ON                    |                        |          |
| EarthLabs Inc.                                                          | ON                    |                        |          |
| East Africa Metals Inc.                                                 | BC                    |                        |          |
| East Side Games Group Inc.                                              | BC                    |                        |          |
| Eastern Platinum Limited                                                | BC                    |                        |          |
| ECN Capital Corp.                                                       | ON                    |                        |          |
| EcoSynthetix Inc.                                                       | ON                    |                        |          |
| Eden Empire Inc.                                                        | BC                    | 1(a), 1(b), 1(c), 1(e) |          |
| EdgePoint Canadian Growth & Income Portfolio                            | ON                    |                        |          |
| EdgePoint Canadian Portfolio                                            | ON                    |                        |          |
| EdgePoint Global Growth & Income Portfolio                              | ON                    |                        |          |
| EdgePoint Global Portfolio                                              | ON                    |                        |          |
| EdgePoint Monthly Income Portfolio                                      | ON                    |                        |          |
| Eguana Technologies Inc.                                                | AB                    | 1(a), 1(b), 1(c), 1(e) |          |
| EHP Alpha Strategies Alternative Fund                                   | ON                    |                        |          |
| EHP Foundation Alternative Fund                                         | ON                    |                        |          |
| EHP Global Multi-Strategy Alternative Fund                              | ON                    |                        |          |
| EHP Select Alternative Fund                                             | ON                    |                        |          |
| EHP Strategic Income Alternative Fund                                   | ON                    |                        |          |
| EHP Tactical Growth Alternative Fund                                    | ON                    |                        |          |
| E-L Financial Corporation Limited                                       | ON                    |                        |          |
| Eldorado Gold Corporation                                               | BC                    |                        |          |
| Electra Battery Materials Corporation                                   | ON                    |                        |          |
| Electric Royalties Ltd. (formerly Rebel Capital Inc.)                   | BC                    |                        |          |
| Electrovaya Inc.                                                        | ON                    |                        |          |
| Element Fleet Management Corp. (formerly Element Financial Corporation) | ON                    |                        |          |
| Elemental Altus Royalties Corp.                                         | BC                    |                        |          |
| Elevation Gold Mining Corporation                                       | BC                    | 1(a), 1(b), 1(c), 1(e) |          |
| Elite Canadian Equity Income Pool                                       | QC                    |                        |          |
| Elite Core Canadian Equity Pool                                         | QC                    |                        |          |
| Elite Core Canadian Fixed Income Pool                                   | QC                    |                        |          |
| Elite Core Global Equity Pool                                           | QC                    |                        |          |
| Elite Core Plus Canadian Equity Pool                                    | QC                    |                        |          |
| Elite Core Plus Global Equity Pool                                      | QC                    |                        |          |
| Elite Core Plus Global Fixed Income Pool                                | QC                    |                        |          |

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| Name / Dénomination                         | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|---------------------------------------------|-----------------------|------------------------------|----------|
| Elite Global Equity Income Pool             | QC                    |                              |          |
| Elite Index Plus Canadian Equity Pool       | QC                    |                              |          |
| Elite Index Plus Canadian Fixed Income Pool | QC                    |                              |          |
| Elite Index Plus Global Equity Pool         | QC                    |                              |          |
| Eloro Resources Ltd.                        | ON                    |                              |          |
| Else Nutrition Holdings Inc.                | BC                    | 1(b), 1(c), 1(e)             |          |
| Embark Select Conservative Plan             | ON                    |                              |          |
| Embark Student Plan                         | ON                    |                              |          |
| Emblem Corp. (formerly Saber Capital Corp.) | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Emera Incorporated                          | NS                    |                              |          |
| Emerge Commerce Ltd.                        | ON                    |                              |          |
| Emerging Markets Equity Corporate Class     | ON                    |                              |          |
| Emerging Markets Equity Fund                | ON                    |                              |          |
| Emerging Markets Equity Pool                | ON                    |                              |          |
| Empire Company Limited                      | NS                    |                              |          |
| Empress Royalty Corp.                       | BC                    |                              |          |
| Enableness Technologies Inc.                | ON                    |                              |          |
| Enbridge Gas Inc.                           | ON                    |                              |          |
| Enbridge Inc.                               | AB                    |                              |          |
| Enbridge Pipelines Inc.                     | AB                    |                              |          |
| Encasa Canadian Bond Fund                   | ON                    |                              |          |
| Encasa Canadian Short-Term Bond Fund        | ON                    |                              |          |
| Encasa Equity Fund                          | ON                    |                              |          |
| enCore Energy Corp.                         | BC                    |                              |          |
| Endeavour Mining plc                        | BC                    |                              |          |
| Endeavour Silver Corp.                      | BC                    |                              |          |
| Endo International plc                      | QC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Enerflex Ltd.                               | AB                    |                              |          |
| Énergir Inc.                                | QC                    |                              |          |
| Energy Fuels Inc.                           | ON                    |                              |          |
| Energy Income Fund                          | ON                    |                              |          |
| Enhanced Income Portfolio                   | ON                    |                              |          |
| EnQuest Energy Services Corp.               | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Enseco Energy Services Corp.                | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Enterprise Group, Inc.                      | AB                    |                              |          |

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| Name / Dénomination                                              | Principal/ Principale | Default / Défaut       | CTO/ IOV |
|------------------------------------------------------------------|-----------------------|------------------------|----------|
| Entheon Biomedical Corp.                                         | BC                    |                        |          |
| Enthusiast Gaming Holdings Inc.                                  | ON                    |                        |          |
| ENTREC Corporation                                               | AB                    | 1(a), 1(b), 1(c), 1(e) |          |
| Entree Resources Ltd.                                            | BC                    |                        |          |
| EnWave Corporation                                               | BC                    |                        |          |
| Enyo Strategic Mining Inc.                                       | BC                    |                        |          |
| EPCOR Utilities Inc.                                             | AB                    |                        |          |
| Epsilon Energy Ltd.                                              | AB                    |                        |          |
| EQ Inc.                                                          | ON                    |                        |          |
| EQB Inc.                                                         | ON                    |                        |          |
| Equinox Gold Corp.                                               | BC                    |                        |          |
| Erdene Resource Development Corporation                          | NS                    |                        |          |
| Ero Copper Corp.                                                 | BC                    |                        |          |
| ESE Entertainment Inc.                                           | BC                    |                        |          |
| Esrey Resources Ltd                                              | BC                    | 1(a), 1(b), 1(c), 1(e) |          |
| Eupraxia Pharmaceuticals Inc.                                    | BC                    |                        |          |
| Euro Sun Mining Inc.                                             | ON                    |                        |          |
| Eurogas International Inc.                                       | ON                    | 1(a), 1(b), 1(c), 1(e) |          |
| European Residential Real Estate Investment Trust                | ON                    |                        |          |
| EV Nickel Inc.                                                   | ON                    |                        |          |
| EverGen Infrastructure Corp.                                     | BC                    |                        |          |
| Evertz Technologies Limited                                      | ON                    |                        |          |
| EvokAI Creative Labs Inc. (formerly "Sebastiani Ventures Corp.") | BC                    | 1(a), 1(b), 1(c), 1(e) |          |
| Evolve Active Canadian Preferred Share Fund                      | ON                    |                        |          |
| Evolve Active Core Fixed Income Fund                             | ON                    |                        |          |
| Evolve Active Global Fixed Income Fund                           | ON                    |                        |          |
| Evolve Artificial Intelligence Fund                              | ON                    |                        |          |
| Evolve Automobile Innovation Index Fund                          | ON                    |                        |          |
| Evolve Bitcoin ETF                                               | ON                    |                        |          |
| Evolve Canadian Aggregate Bond Enhanced Yield Fund               | ON                    |                        |          |
| Evolve Canadian Banks and Lifecos Enhanced Yield Index Fund      | ON                    |                        |          |
| Evolve Canadian Energy Enhanced Yield Index Fund                 | ON                    |                        |          |
| Evolve Canadian Utilities Enhanced Yield Index Fund              | ON                    |                        |          |
| Evolve Cloud Computing Index Fund                                | ON                    |                        |          |
| Evolve Cryptocurrencies ETF                                      | ON                    |                        |          |

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|-----------------------------------------------------------------|-----------------------|------------------------------|----------|
| Evolve Cyber Security Index Fund                                | ON                    |                              |          |
| Evolve E-Gaming Index ETF                                       | ON                    |                              |          |
| Evolve Enhanced Yield Bond Fund                                 | ON                    |                              |          |
| Evolve Enhanced Yield Mid Term Bond Fund                        | ON                    |                              |          |
| Evolve Ether ETF                                                | ON                    |                              |          |
| Evolve European Banks Enhanced Yield ETF                        | ON                    |                              |          |
| Evolve FANGMA Index ETF                                         | ON                    |                              |          |
| Evolve Future Leadership Fund                                   | ON                    |                              |          |
| Evolve Global Healthcare Enhanced Yield Fund                    | ON                    |                              |          |
| Evolve Global Materials & Mining Enhanced Yield Index ETF       | ON                    |                              |          |
| Evolve Innovation Index Fund                                    | ON                    |                              |          |
| Evolve Levered Bitcoin ETF                                      | ON                    |                              |          |
| Evolve Levered Ether ETF                                        | ON                    |                              |          |
| Evolve NASDAQ Technology Enhanced Yield Index Fund              | ON                    |                              |          |
| Evolve NASDAQ Technology Index Fund                             | ON                    |                              |          |
| Evolve S&P 500® Enhanced Yield Fund                             | ON                    |                              |          |
| Evolve S&P/TSX 60 Enhanced Yield Fund                           | ON                    |                              |          |
| Evolve Solana ETF                                               | ON                    |                              |          |
| Evolve US Banks Enhanced Yield Fund                             | ON                    |                              |          |
| Evolve XRP ETF                                                  | ON                    |                              |          |
| Evolved Digital Systems Inc.                                    | QC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Evovest Global Equity ETF                                       | QC                    |                              |          |
| Exall Energy Corporation                                        | AB                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Excellon Resources Inc.                                         | ON                    |                              |          |
| Exchange Income Corporation                                     | MB                    |                              |          |
| Exemplar Global Growth and Income Fund                          | ON                    |                              |          |
| Exemplar Growth and Income Fund                                 | ON                    |                              |          |
| Exemplar Performance Fund                                       | ON                    |                              |          |
| Exro Technologies Inc.                                          | AB                    |                              |          |
| Extendicare Inc.                                                | ON                    |                              |          |
| Faircourt Gold Income Corp.                                     | ON                    |                              |          |
| Fairfax Financial Holdings Limited                              | ON                    |                              |          |
| Fairfax India Holdings Corporation                              | ON                    |                              |          |
| Falco Resources Ltd. formerly Falco Pacific Resource Group Inc. | QC                    |                              |          |
| Falcon Energy Materials plc                                     | QC                    |                              |          |

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|--------------------------------------------------|-----------------------|-------------------------------|----------|
| Falcon Oil & Gas Ltd.                            | BC                    |                               |          |
| Faraday Copper Corp.                             | BC                    |                               |          |
| FDP Balanced Growth Portfolio                    | QC                    |                               |          |
| FDP Balanced Income Portfolio                    | QC                    |                               |          |
| FDP Balanced Portfolio                           | QC                    |                               |          |
| FDP Canadian Bond Portfolio                      | QC                    |                               |          |
| FDP Canadian Dividend Equity Portfolio           | QC                    |                               |          |
| FDP Canadian Equity Portfolio                    | QC                    |                               |          |
| FDP Emerging Markets Equity Portfolio            | QC                    |                               |          |
| FDP Global Equity Portfolio                      | QC                    |                               |          |
| FDP Global Fixed Income Portfolio                | QC                    |                               |          |
| FDP Municipal Bond Portfolio                     | QC                    |                               |          |
| FDP US Equity Portfolio                          | QC                    |                               |          |
| Fédération des caisses Desjardins du Québec      | QC                    |                               |          |
| Fennec Pharmaceuticals Inc.                      | ON                    |                               |          |
| <b>Feronia Inc.</b>                              | <b>ON</b>             | <b>1(a), 1(b), 1(c), 1(e)</b> |          |
| Fidelity - IG Canadian Equity Pool               | MB                    |                               |          |
| Fidelity Absolute Income Fund                    | ON                    |                               |          |
| Fidelity Advanced U.S. Equity Fund               | ON                    |                               |          |
| Fidelity Advantage Bitcoin ETF                   | ON                    |                               |          |
| Fidelity Advantage Bitcoin ETF Fund®             | ON                    |                               |          |
| Fidelity Advantage Ether ETF                     | ON                    |                               |          |
| Fidelity Advantage Ether ETF Fund®               | ON                    |                               |          |
| Fidelity All-American Equity ETF                 | ON                    |                               |          |
| Fidelity All-American Equity ETF Fund            | ON                    |                               |          |
| Fidelity All-Canadian Equity ETF                 | ON                    |                               |          |
| Fidelity All-in-One Balanced ETF                 | ON                    |                               |          |
| Fidelity All-in-One Balanced ETF Fund            | ON                    |                               |          |
| Fidelity All-in-One Conservative ETF             | ON                    |                               |          |
| Fidelity All-in-One Conservative ETF Fund        | ON                    |                               |          |
| Fidelity All-in-One Conservative Income ETF      | ON                    |                               |          |
| Fidelity All-in-One Conservative Income ETF Fund | ON                    |                               |          |
| Fidelity All-in-One Equity ETF                   | ON                    |                               |          |
| Fidelity All-in-One Equity ETF Fund              | ON                    |                               |          |
| Fidelity All-in-One Fixed Income ETF             | ON                    |                               |          |

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|--------------------------------------------------------------|-----------------------|------------------|----------|
| Fidelity All-in-One Fixed Income ETF Fund                    | ON                    |                  |          |
| Fidelity All-in-One Growth ETF                               | ON                    |                  |          |
| Fidelity All-in-One Growth ETF Fund                          | ON                    |                  |          |
| Fidelity All-International Equity ETF                        | ON                    |                  |          |
| Fidelity All-International Equity ETF Fund                   | ON                    |                  |          |
| Fidelity American Balanced Currency Neutral Fund             | ON                    |                  |          |
| Fidelity American Balanced Fund                              | ON                    |                  |          |
| Fidelity American Disciplined Equity® Class                  | ON                    |                  |          |
| Fidelity American Disciplined Equity® Currency Neutral Class | ON                    |                  |          |
| Fidelity American Disciplined Equity® Fund                   | ON                    |                  |          |
| Fidelity American Equity Class                               | ON                    |                  |          |
| Fidelity American Equity Currency Neutral Class              | ON                    |                  |          |
| Fidelity American Equity Fund                                | ON                    |                  |          |
| Fidelity American High Yield Currency Neutral Fund           | ON                    |                  |          |
| Fidelity American High Yield Fund                            | ON                    |                  |          |
| Fidelity AsiaStar® Class                                     | ON                    |                  |          |
| Fidelity AsiaStar® Fund                                      | ON                    |                  |          |
| Fidelity Asset Allocation Currency Neutral Private Pool      | ON                    |                  |          |
| Fidelity Asset Allocation Private Pool                       | ON                    |                  |          |
| Fidelity Asset Allocation Private Pool Trust                 | ON                    |                  |          |
| Fidelity Balanced Class Portfolio                            | ON                    |                  |          |
| Fidelity Balanced Currency Neutral Private Pool              | ON                    |                  |          |
| Fidelity Balanced Income Currency Neutral Private Pool       | ON                    |                  |          |
| Fidelity Balanced Income Private Pool                        | ON                    |                  |          |
| Fidelity Balanced Income Private Pool Trust                  | ON                    |                  |          |
| Fidelity Balanced Managed Risk Portfolio                     | ON                    |                  |          |
| Fidelity Balanced Portfolio                                  | ON                    |                  |          |
| Fidelity Balanced Private Pool                               | ON                    |                  |          |
| Fidelity Balanced Private Pool Trust                         | ON                    |                  |          |
| Fidelity Blue Chip Growth Multi-Asset Base Fund              | ON                    |                  |          |
| Fidelity Canadian Asset Allocation Class                     | ON                    |                  |          |
| Fidelity Canadian Asset Allocation Fund                      | ON                    |                  |          |
| Fidelity Canadian Balanced Class                             | ON                    |                  |          |
| Fidelity Canadian Balanced Fund                              | ON                    |                  |          |
| Fidelity Canadian Bond Fund                                  | ON                    |                  |          |

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|--------------------------------------------------------------------|-----------------------|------------------|----------|
| Fidelity Canadian Bond Multi-Asset Base Fund                       | ON                    |                  |          |
| Fidelity Canadian Core Equity Fund                                 | ON                    |                  |          |
| Fidelity Canadian Disciplined Equity® Class                        | ON                    |                  |          |
| Fidelity Canadian Disciplined Equity® Fund                         | ON                    |                  |          |
| Fidelity Canadian Equity Multi-Asset Base Fund                     | ON                    |                  |          |
| Fidelity Canadian Equity Private Pool                              | ON                    |                  |          |
| Fidelity Canadian Focused Equity Multi-Asset Base Fund             | ON                    |                  |          |
| Fidelity Canadian Fundamental Equity Multi-Asset Base Fund         | ON                    |                  |          |
| Fidelity Canadian Government Long Bond Index Multi-Asset Base Fund | ON                    |                  |          |
| Fidelity Canadian Growth Company Class                             | ON                    |                  |          |
| Fidelity Canadian Growth Company Fund                              | ON                    |                  |          |
| Fidelity Canadian High Dividend ETF                                | ON                    |                  |          |
| Fidelity Canadian High Dividend ETF Fund                           | ON                    |                  |          |
| Fidelity Canadian High Quality ETF                                 | ON                    |                  |          |
| Fidelity Canadian Large Cap Class                                  | ON                    |                  |          |
| Fidelity Canadian Large Cap Fund                                   | ON                    |                  |          |
| Fidelity Canadian Large Cap Multi-Asset Base Fund                  | ON                    |                  |          |
| Fidelity Canadian Long/Short Alternative Fund                      | ON                    |                  |          |
| Fidelity Canadian Low Volatility ETF                               | ON                    |                  |          |
| Fidelity Canadian Momentum ETF                                     | ON                    |                  |          |
| Fidelity Canadian Money Market Fund                                | ON                    |                  |          |
| Fidelity Canadian Money Market Investment Trust                    | ON                    |                  |          |
| Fidelity Canadian Monthly High Income ETF                          | ON                    |                  |          |
| Fidelity Canadian Monthly High Income ETF Fund                     | ON                    |                  |          |
| Fidelity Canadian Opportunities Class                              | ON                    |                  |          |
| Fidelity Canadian Opportunities Fund                               | ON                    |                  |          |
| Fidelity Canadian Real Return Bond Index Multi-Asset Base Fund     | ON                    |                  |          |
| Fidelity Canadian Short Term Bond Fund                             | ON                    |                  |          |
| Fidelity Canadian Short Term Corporate Bond ETF                    | ON                    |                  |          |
| Fidelity Canadian Short Term Corporate Bond ETF Fund               | ON                    |                  |          |
| Fidelity Canadian Short Term Fixed Income Multi-Asset Base Fund    | ON                    |                  |          |
| Fidelity Canadian Short Term Income Class                          | ON                    |                  |          |
| Fidelity Canadian Value ETF                                        | ON                    |                  |          |
| Fidelity CanAM Opportunities Class                                 | ON                    |                  |          |
| Fidelity CanAM Opportunities Currency Neutral Class                | ON                    |                  |          |



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|-------------------------------------------------------------|-----------------------|------------------|----------|
| Fidelity China Class                                        | ON                    |                  |          |
| Fidelity China Fund                                         | ON                    |                  |          |
| Fidelity ClearPath® 2010 Portfolio                          | ON                    |                  |          |
| Fidelity ClearPath® 2015 Portfolio                          | ON                    |                  |          |
| Fidelity ClearPath® 2020 Portfolio                          | ON                    |                  |          |
| Fidelity ClearPath® 2025 Portfolio                          | ON                    |                  |          |
| Fidelity ClearPath® 2030 Portfolio                          | ON                    |                  |          |
| Fidelity ClearPath® 2035 Portfolio                          | ON                    |                  |          |
| Fidelity ClearPath® 2040 Portfolio                          | ON                    |                  |          |
| Fidelity ClearPath® 2045 Portfolio                          | ON                    |                  |          |
| Fidelity ClearPath® 2050 Portfolio                          | ON                    |                  |          |
| Fidelity ClearPath® 2055 Portfolio                          | ON                    |                  |          |
| Fidelity ClearPath® 2060 Portfolio                          | ON                    |                  |          |
| Fidelity ClearPath® 2065 Portfolio                          | ON                    |                  |          |
| Fidelity ClearPath® Income Portfolio                        | ON                    |                  |          |
| Fidelity Climate Leadership Balanced Fund®                  | ON                    |                  |          |
| Fidelity Climate Leadership Bond Fund®                      | ON                    |                  |          |
| Fidelity Climate Leadership Fund®                           | ON                    |                  |          |
| Fidelity Concentrated Canadian Equity Multi-Asset Base Fund | ON                    |                  |          |
| Fidelity Concentrated Canadian Equity Private Pool          | ON                    |                  |          |
| Fidelity Concentrated Value Investment Trust                | ON                    |                  |          |
| Fidelity Concentrated Value Private Pool                    | ON                    |                  |          |
| Fidelity Conservative Income Fund                           | ON                    |                  |          |
| Fidelity Conservative Income Private Pool                   | ON                    |                  |          |
| Fidelity Conservative Managed Risk Portfolio                | ON                    |                  |          |
| Fidelity Convertible Securities Multi-Asset Base Fund       | ON                    |                  |          |
| Fidelity Core U.S. Bond ETF                                 | ON                    |                  |          |
| Fidelity Corporate Bond Class                               | ON                    |                  |          |
| Fidelity Corporate Bond Fund                                | ON                    |                  |          |
| Fidelity Developed International Bond Multi-Asset Base Fund | ON                    |                  |          |
| Fidelity Disruptive™ Automation Class                       | ON                    |                  |          |
| Fidelity Disruptors® Class                                  | ON                    |                  |          |
| Fidelity Dividend Class                                     | ON                    |                  |          |
| Fidelity Dividend Fund                                      | ON                    |                  |          |
| Fidelity Dividend Multi-Asset Base Fund                     | ON                    |                  |          |

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| Name / Dénomination                                                                        | Principal/ Principale | Default / Défaut | CTO/ IOV |
|--------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| Fidelity Dividend Plus Class                                                               | ON                    |                  |          |
| Fidelity Dividend Plus Fund                                                                | ON                    |                  |          |
| Fidelity Dividend Plus Multi-Asset Base Fund                                               | ON                    |                  |          |
| Fidelity Emerging Markets Class                                                            | ON                    |                  |          |
| Fidelity Emerging Markets Debt Multi-Asset Base Fund                                       | ON                    |                  |          |
| Fidelity Emerging Markets Equity Multi-Asset Base Fund                                     | ON                    |                  |          |
| Fidelity Emerging Markets Fund                                                             | ON                    |                  |          |
| Fidelity Emerging Markets Local Currency Debt Multi-Asset Base Fund                        | ON                    |                  |          |
| Fidelity Equity Premium Yield ETF                                                          | ON                    |                  |          |
| Fidelity Europe Class                                                                      | ON                    |                  |          |
| Fidelity Europe Fund                                                                       | ON                    |                  |          |
| Fidelity Far East Class                                                                    | ON                    |                  |          |
| Fidelity Far East Fund                                                                     | ON                    |                  |          |
| Fidelity Floating Rate High Income Currency Neutral Fund                                   | ON                    |                  |          |
| Fidelity Floating Rate High Income Currency Neutral Multi-Asset Base Fund                  | ON                    |                  |          |
| Fidelity Floating Rate High Income Fund                                                    | ON                    |                  |          |
| Fidelity Floating Rate High Income Multi-Asset Base Fund                                   | ON                    |                  |          |
| Fidelity Founders Class®                                                                   | ON                    |                  |          |
| Fidelity Founders Currency Neutral Class™                                                  | ON                    |                  |          |
| Fidelity Founders Investment Trust™                                                        | ON                    |                  |          |
| Fidelity Global Asset Allocation Currency Neutral Private Pool                             | ON                    |                  |          |
| Fidelity Global Asset Allocation Fund                                                      | ON                    |                  |          |
| Fidelity Global Asset Allocation Private Pool                                              | ON                    |                  |          |
| Fidelity Global Balanced Class Portfolio                                                   | ON                    |                  |          |
| Fidelity Global Balanced Portfolio                                                         | ON                    |                  |          |
| Fidelity Global Bond Currency Neutral Fund                                                 | ON                    |                  |          |
| Fidelity Global Bond Currency Neutral Multi-Asset Base Fund                                | ON                    |                  |          |
| Fidelity Global Bond Fund                                                                  | ON                    |                  |          |
| Fidelity Global Bond Multi-Asset Base Fund                                                 | ON                    |                  |          |
| Fidelity Global Class                                                                      | ON                    |                  |          |
| Fidelity Global Concentrated Equity Class                                                  | ON                    |                  |          |
| Fidelity Global Concentrated Equity Currency Neutral Fund                                  | ON                    |                  |          |
| Fidelity Global Concentrated Equity Fund                                                   | ON                    |                  |          |
| Fidelity Global Consumer Brands Class (formerly Fidelity Global Consumer Industries Class) | ON                    |                  |          |

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|-------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| Fidelity Global Consumer Brands Fund                                                | ON                    |                  |          |
| Fidelity Global Core Plus Bond ETF                                                  | ON                    |                  |          |
| Fidelity Global Core Plus Bond ETF Fund                                             | ON                    |                  |          |
| Fidelity Global Credit Ex-U.S. Currency Neutral Multi-Asset Base Fund               | ON                    |                  |          |
| Fidelity Global Credit Ex-U.S. Investment Trust                                     | ON                    |                  |          |
| Fidelity Global Developed Markets Sovereign Bond Index Hedged Multi-Asset Base Fund | ON                    |                  |          |
| Fidelity Global Disciplined Equity® Class                                           | ON                    |                  |          |
| Fidelity Global Disciplined Equity® Currency Neutral Class                          | ON                    |                  |          |
| Fidelity Global Disciplined Equity® Fund                                            | ON                    |                  |          |
| Fidelity Global Dividend Class                                                      | ON                    |                  |          |
| Fidelity Global Dividend Fund                                                       | ON                    |                  |          |
| Fidelity Global Dividend Investment Trust                                           | ON                    |                  |          |
| Fidelity Global Equity Class Portfolio                                              | ON                    |                  |          |
| Fidelity Global Equity Currency Neutral Private Pool                                | ON                    |                  |          |
| Fidelity Global Equity Investment Trust                                             | ON                    |                  |          |
| Fidelity Global Equity Portfolio                                                    | ON                    |                  |          |
| Fidelity Global Equity Private Pool                                                 | ON                    |                  |          |
| Fidelity Global Equity+ Balanced Fund                                               | ON                    |                  |          |
| Fidelity Global Equity+ Fund                                                        | ON                    |                  |          |
| Fidelity Global Financial Services Class                                            | ON                    |                  |          |
| Fidelity Global Financial Services Fund                                             | ON                    |                  |          |
| Fidelity Global Fund                                                                | ON                    |                  |          |
| Fidelity Global Growth and Value Class                                              | ON                    |                  |          |
| Fidelity Global Growth and Value Currency Neutral Class                             | ON                    |                  |          |
| Fidelity Global Growth and Value Investment Trust                                   | ON                    |                  |          |
| Fidelity Global Growth Class Portfolio                                              | ON                    |                  |          |
| Fidelity Global Growth Portfolio                                                    | ON                    |                  |          |
| Fidelity Global Growth Private Pool                                                 | ON                    |                  |          |
| Fidelity Global Health Care Class                                                   | ON                    |                  |          |
| Fidelity Global Health Care Fund                                                    | ON                    |                  |          |
| Fidelity Global High Yield Multi-Asset Base Fund                                    | ON                    |                  |          |
| Fidelity Global Income Class Portfolio                                              | ON                    |                  |          |
| Fidelity Global Income Portfolio                                                    | ON                    |                  |          |
| Fidelity Global Inflation-Linked Bond Index Hedged Multi-Asset Base Fund            | ON                    |                  |          |

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|------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| Fidelity Global Innovators ETF                                                     | ON                    |                  |          |
| Fidelity Global Innovators® Class                                                  | ON                    |                  |          |
| Fidelity Global Innovators® Currency Neutral Class                                 | ON                    |                  |          |
| Fidelity Global Innovators® Investment Trust                                       | ON                    |                  |          |
| Fidelity Global Intrinsic Value Class                                              | ON                    |                  |          |
| Fidelity Global Intrinsic Value Currency Neutral Class                             | ON                    |                  |          |
| Fidelity Global Intrinsic Value Fund                                               | ON                    |                  |          |
| Fidelity Global Intrinsic Value Investment Trust                                   | ON                    |                  |          |
| Fidelity Global Investment Grade Bond ETF                                          | ON                    |                  |          |
| Fidelity Global Investment Grade Bond ETF Fund                                     | ON                    |                  |          |
| Fidelity Global Large Cap Class                                                    | ON                    |                  |          |
| Fidelity Global Large Cap Currency Neutral Class                                   | ON                    |                  |          |
| Fidelity Global Large Cap Fund                                                     | ON                    |                  |          |
| Fidelity Global Micro-Cap Fund                                                     | ON                    |                  |          |
| Fidelity Global Monthly High Income ETF                                            | ON                    |                  |          |
| Fidelity Global Monthly High Income ETF Fund                                       | ON                    |                  |          |
| Fidelity Global Monthly Income Currency Neutral Fund                               | ON                    |                  |          |
| Fidelity Global Monthly Income Fund                                                | ON                    |                  |          |
| Fidelity Global Natural Resources Class                                            | ON                    |                  |          |
| Fidelity Global Natural Resources Fund                                             | ON                    |                  |          |
| Fidelity Global Real Estate Class                                                  | ON                    |                  |          |
| Fidelity Global Real Estate Fund                                                   | ON                    |                  |          |
| Fidelity Global Real Estate Multi-Asset Base Fund                                  | ON                    |                  |          |
| Fidelity Global Small Cap Opportunities Fund                                       | ON                    |                  |          |
| Fidelity Global Value Long/Short Fund                                              | ON                    |                  |          |
| Fidelity Global Value Long/Short Multi-Asset Base Fund                             | ON                    |                  |          |
| Fidelity Greater Canada Class                                                      | ON                    |                  |          |
| Fidelity Greater Canada Fund                                                       | ON                    |                  |          |
| Fidelity Growth Class Portfolio                                                    | ON                    |                  |          |
| Fidelity Growth Portfolio                                                          | ON                    |                  |          |
| Fidelity High Income Commercial Real Estate Currency Neutral Multi-Asset Base Fund | ON                    |                  |          |
| Fidelity High Income Commercial Real Estate Multi-Asset Base Fund                  | ON                    |                  |          |
| Fidelity Income Allocation Fund                                                    | ON                    |                  |          |
| Fidelity Income Class Portfolio                                                    | ON                    |                  |          |

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|----------------------------------------------------------------------|-----------------------|------------------|----------|
| Fidelity Income Portfolio                                            | ON                    |                  |          |
| Fidelity Inflation-Focused Fund                                      | ON                    |                  |          |
| Fidelity Insights Class®                                             | ON                    |                  |          |
| Fidelity Insights Currency Neutral Class™                            | ON                    |                  |          |
| Fidelity Insights Currency Neutral Multi-Asset Base Fund™            | ON                    |                  |          |
| Fidelity Insights Investment Trust™                                  | ON                    |                  |          |
| Fidelity Insights Systematic Currency Hedged Fund™                   | ON                    |                  |          |
| Fidelity International Concentrated Equity Currency Neutral Fund     | ON                    |                  |          |
| Fidelity International Concentrated Equity Fund                      | ON                    |                  |          |
| Fidelity International Disciplined Equity® Class                     | ON                    |                  |          |
| Fidelity International Disciplined Equity® Currency Neutral Class    | ON                    |                  |          |
| Fidelity International Disciplined Equity® Fund                      | ON                    |                  |          |
| Fidelity International Equity Currency Neutral Investment Trust      | ON                    |                  |          |
| Fidelity International Equity Currency Neutral Private Pool          | ON                    |                  |          |
| Fidelity International Equity Investment Trust                       | ON                    |                  |          |
| Fidelity International Equity Multi-Asset Base Fund                  | ON                    |                  |          |
| Fidelity International Equity Private Pool                           | ON                    |                  |          |
| Fidelity International Growth Class                                  | ON                    |                  |          |
| Fidelity International Growth Currency Neutral Multi-Asset Base Fund | ON                    |                  |          |
| Fidelity International Growth Fund                                   | ON                    |                  |          |
| Fidelity International Growth Multi-Asset Base Fund                  | ON                    |                  |          |
| Fidelity International High Dividend ETF                             | ON                    |                  |          |
| Fidelity International High Dividend ETF Fund                        | ON                    |                  |          |
| Fidelity International High Quality ETF                              | ON                    |                  |          |
| Fidelity International High Quality ETF Fund                         | ON                    |                  |          |
| Fidelity International Low Volatility ETF                            | ON                    |                  |          |
| Fidelity International Momentum ETF                                  | ON                    |                  |          |
| Fidelity International Value ETF                                     | ON                    |                  |          |
| Fidelity International Value ETF Fund                                | ON                    |                  |          |
| Fidelity International Value Multi-Asset Base Fund                   | ON                    |                  |          |
| Fidelity Investment Grade Total Bond Currency Neutral Fund           | ON                    |                  |          |
| Fidelity Investment Grade Total Bond Fund                            | ON                    |                  |          |
| Fidelity Japan Class                                                 | ON                    |                  |          |
| Fidelity Japan Fund                                                  | ON                    |                  |          |
| Fidelity Long/Short Alternative Fund                                 | ON                    |                  |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                       | Principal/ Principale | Default / Défaut | CTO/ IOV |
|-----------------------------------------------------------|-----------------------|------------------|----------|
| Fidelity Long/Short Alternative Multi-Asset Base Fund     | ON                    |                  |          |
| Fidelity Long-Term Leaders Currency Neutral Fund          | ON                    |                  |          |
| Fidelity Long-Term Leaders Fund                           | ON                    |                  |          |
| Fidelity Market Neutral Alternative Fund                  | ON                    |                  |          |
| Fidelity Market Neutral Alternative Multi-Asset Base Fund | ON                    |                  |          |
| Fidelity Monthly Income Class                             | ON                    |                  |          |
| Fidelity Monthly Income Fund                              | ON                    |                  |          |
| Fidelity Multi-Asset Innovation Fund                      | ON                    |                  |          |
| Fidelity Multi-Sector Bond Currency Neutral Fund          | ON                    |                  |          |
| Fidelity Multi-Sector Bond Fund                           | ON                    |                  |          |
| Fidelity Multi-Sector Bond Hedged Multi-Asset Base Fund   | ON                    |                  |          |
| Fidelity North American Equity Class                      | ON                    |                  |          |
| Fidelity North American Equity Investment Trust           | ON                    |                  |          |
| Fidelity NorthStar® Balanced Currency Neutral Fund        | ON                    |                  |          |
| Fidelity NorthStar® Balanced Fund                         | ON                    |                  |          |
| Fidelity NorthStar® Class                                 | ON                    |                  |          |
| Fidelity NorthStar® Currency Neutral Class                | ON                    |                  |          |
| Fidelity NorthStar® Fund                                  | ON                    |                  |          |
| Fidelity Premium Fixed Income Private Pool                | ON                    |                  |          |
| Fidelity Premium Fixed Income Private Pool Class          | ON                    |                  |          |
| Fidelity Premium Money Market Private Pool                | ON                    |                  |          |
| Fidelity Premium Tactical Fixed Income Private Pool       | ON                    |                  |          |
| Fidelity Small Cap America Class                          | ON                    |                  |          |
| Fidelity Small Cap America Currency Neutral Class         | ON                    |                  |          |
| Fidelity Small Cap America Fund                           | ON                    |                  |          |
| Fidelity SmartHedge U.S. Equity Fund                      | ON                    |                  |          |
| Fidelity SmartHedge U.S. Equity Multi-Asset Base Fund     | ON                    |                  |          |
| Fidelity Special Situations Class                         | ON                    |                  |          |
| Fidelity Special Situations Fund                          | ON                    |                  |          |
| Fidelity Strategic Income Currency Neutral Fund           | ON                    |                  |          |
| Fidelity Strategic Income Fund                            | ON                    |                  |          |
| Fidelity Sustainable World ETF                            | ON                    |                  |          |
| Fidelity Sustainable World ETF Fund                       | ON                    |                  |          |
| Fidelity Systematic Canadian Bond Index ETF               | ON                    |                  |          |
| Fidelity Systematic Canadian Bond Index ETF Fund          | ON                    |                  |          |

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|-----------------------------------------------------------|-----------------------|------------------|----------|
| Fidelity Tactical Credit Fund                             | ON                    |                  |          |
| Fidelity Tactical Fixed Income Fund                       | ON                    |                  |          |
| Fidelity Tactical Global Dividend ETF Fund                | ON                    |                  |          |
| Fidelity Tactical High Income Currency Neutral Fund       | ON                    |                  |          |
| Fidelity Tactical High Income Fund                        | ON                    |                  |          |
| Fidelity Technology Innovators Class                      | ON                    |                  |          |
| Fidelity Technology Innovators Fund                       | ON                    |                  |          |
| Fidelity True North® Class                                | ON                    |                  |          |
| Fidelity True North® Fund                                 | ON                    |                  |          |
| Fidelity U.S. All Cap Class                               | ON                    |                  |          |
| Fidelity U.S. All Cap Currency Neutral Class              | ON                    |                  |          |
| Fidelity U.S. All Cap Fund                                | ON                    |                  |          |
| Fidelity U.S. Bond Currency Neutral Multi-Asset Base Fund | ON                    |                  |          |
| Fidelity U.S. Bond Multi-Asset Base Fund                  | ON                    |                  |          |
| Fidelity U.S. Core Equity Fund                            | ON                    |                  |          |
| Fidelity U.S. Dividend Currency Neutral Fund              | ON                    |                  |          |
| Fidelity U.S. Dividend for Rising Rates ETF               | ON                    |                  |          |
| Fidelity U.S. Dividend Fund                               | ON                    |                  |          |
| Fidelity U.S. Dividend Investment Trust                   | ON                    |                  |          |
| Fidelity U.S. Dividend Private Pool                       | ON                    |                  |          |
| Fidelity U.S. Dividend Registered Fund                    | ON                    |                  |          |
| Fidelity U.S. Equity Currency Neutral Private Pool        | ON                    |                  |          |
| Fidelity U.S. Equity Investment Trust                     | ON                    |                  |          |
| Fidelity U.S. Equity Private Pool                         | ON                    |                  |          |
| Fidelity U.S. Focused Stock Class                         | ON                    |                  |          |
| Fidelity U.S. Focused Stock Currency Neutral Class        | ON                    |                  |          |
| Fidelity U.S. Focused Stock Fund                          | ON                    |                  |          |
| Fidelity U.S. Growth and Income Private Pool              | ON                    |                  |          |
| Fidelity U.S. Growth Opportunities Class                  | ON                    |                  |          |
| Fidelity U.S. Growth Opportunities Investment Trust       | ON                    |                  |          |
| Fidelity U.S. High Dividend Currency Neutral ETF          | ON                    |                  |          |
| Fidelity U.S. High Dividend ETF                           | ON                    |                  |          |
| Fidelity U.S. High Dividend ETF Fund                      | ON                    |                  |          |
| Fidelity U.S. High Quality Currency Neutral ETF           | ON                    |                  |          |
| Fidelity U.S. High Quality ETF                            | ON                    |                  |          |

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| Name / Dénomination                                      | Principal/ Principale | Default / Défaut       | CTO/ IOV |
|----------------------------------------------------------|-----------------------|------------------------|----------|
| Fidelity U.S. High Quality ETF Fund                      | ON                    |                        |          |
| Fidelity U.S. Low Volatility ETF                         | ON                    |                        |          |
| Fidelity U.S. Low Volatility ETF Fund                    | ON                    |                        |          |
| Fidelity U.S. Momentum ETF                               | ON                    |                        |          |
| Fidelity U.S. Money Market Fund                          | ON                    |                        |          |
| Fidelity U.S. Money Market Investment Trust              | ON                    |                        |          |
| Fidelity U.S. Monthly Income Currency Neutral Fund       | ON                    |                        |          |
| Fidelity U.S. Monthly Income Fund                        | ON                    |                        |          |
| Fidelity U.S. Small/Mid-Cap Equity Multi-Asset Base Fund | ON                    |                        |          |
| Fidelity U.S. Value Currency Neutral ETF                 | ON                    |                        |          |
| Fidelity U.S. Value ETF                                  | ON                    |                        |          |
| Fidelity U.S. Value ETF Fund                             | ON                    |                        |          |
| Fidelity Women's Leadership Fund                         | ON                    |                        |          |
| Field Trip Health & Wellness Ltd.                        | ON                    | 1(a), 1(b), 1(c), 1(e) |          |
| Fiera Capital Corporation                                | QC                    |                        |          |
| Filament Health Corp.                                    | BC                    |                        |          |
| Financial 15 Split Corp.                                 | ON                    |                        |          |
| Finning International Inc.                               | BC                    |                        |          |
| FireFly Metals Ltd.                                      | ON                    |                        |          |
| FireFox Gold Corp.                                       | BC                    |                        |          |
| Firm Capital Apartment Real Estate Investment Trust      | ON                    |                        |          |
| Firm Capital Mortgage Investment Corporation             | ON                    |                        |          |
| Firm Capital Property Trust                              | ON                    |                        |          |
| First Capital Real Estate Investment Trust               | ON                    |                        |          |
| First Lithium Minerals Corp.                             | ON                    |                        |          |
| First Majestic Silver Corp.                              | BC                    |                        |          |
| First Mining Gold Corp.                                  | BC                    |                        |          |
| First National Financial Corporation                     | ON                    |                        |          |
| First Nordic Metals Corp.                                | BC                    |                        |          |
| First Phosphate Corp. (formerly First Potash Corp.)      | ON                    |                        |          |
| First Quantum Minerals Ltd                               | ON                    |                        |          |
| First Trust AlphaDEX™ U.S. Health Care Sector Index ETF  | ON                    |                        |          |
| First Trust AlphaDEX™ U.S. Industrials Sector Index ETF  | ON                    |                        |          |
| First Trust AlphaDEX™ U.S. Technology Sector Index ETF   | ON                    |                        |          |
| First Trust Canadian Capital Strength ETF                | ON                    |                        |          |



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| Name / Dénomination                                               | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|-------------------------------------------------------------------|-----------------------|------------------------------|----------|
| First Trust Cloud Computing ETF                                   | ON                    |                              |          |
| First Trust Dow Jones Internet ETF                                | ON                    |                              |          |
| First Trust Global Risk Managed Income Index ETF                  | ON                    |                              |          |
| First Trust Indxx Innovative Transaction and Process ETF          | ON                    |                              |          |
| First Trust Indxx NextG ETF                                       | ON                    |                              |          |
| First Trust International Capital Strength ETF                    | ON                    |                              |          |
| First Trust JFL Fixed Income Core Plus ETF                        | ON                    |                              |          |
| First Trust JFL Global Equity ETF                                 | ON                    |                              |          |
| First Trust Long/Short Equity ETF                                 | ON                    |                              |          |
| First Trust Morningstar Dividend Leaders ETF (CAD-Hedged)         | ON                    |                              |          |
| First Trust Nasdaq Cybersecurity ETF                              | ON                    |                              |          |
| First Trust NASDAQ® Clean Edge® Green Energy ETF                  | ON                    |                              |          |
| First Trust NYSE Arca Biotechnology ETF                           | ON                    |                              |          |
| First Trust Senior Loan ETF (CAD-Hedged)                          | ON                    |                              |          |
| First Trust SMID Cap Rising Dividend Achievers ETF                | ON                    |                              |          |
| First Trust Tactical Bond Index ETF                               | ON                    |                              |          |
| First Trust Value Line® Dividend Index ETF (CAD-Hedged)           | ON                    |                              |          |
| First Trust Vest Fund of Buffer ETFs (Canada) ETF                 | ON                    |                              |          |
| First Trust Vest SMID Rising Dividend Achievers Target Income ETF | ON                    |                              |          |
| First Trust Vest U.S. Equity Buffer ETF - August                  | ON                    |                              |          |
| First Trust Vest U.S. Equity Buffer ETF - February                | ON                    |                              |          |
| First Trust Vest U.S. Equity Buffer ETF - May                     | ON                    |                              |          |
| First Trust Vest U.S. Equity Buffer ETF - November                | ON                    |                              |          |
| FIRSTSERVICE CORPORATION                                          | ON                    |                              |          |
| Fixed Income Managed Class                                        | ON                    |                              |          |
| Fixed Income Managed Pool                                         | ON                    |                              |          |
| Flagship Communities Real Estate Investment Trust                 | ON                    |                              |          |
| FLINT Corp.                                                       | AB                    |                              |          |
| Flow Capital Corp.                                                | ON                    |                              |          |
| Flower One Holdings Inc.                                          | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| FLUENT Corp.                                                      | ON                    |                              |          |
| Flutter Entertainment plc                                         | ON                    |                              |          |
| FMF Capital Group Ltd.                                            | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| FOBI AI Inc. (formerly Loop Insights Inc.)                        | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Fonds MATIQ40                                                     | QC                    | 1(a), 1(b), 1(c), 1(d)       |          |

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|---------------------------------------------------------------------------------------------------------------------------|-----------------------|------------------------------------|----------|
| Foraco International SA                                                                                                   | ON                    |                                    |          |
| ForAll Core & More U.S. Equity Index ETF                                                                                  | ON                    |                                    |          |
| Foran Mining Corporation                                                                                                  | BC                    |                                    |          |
| Forbes Energy Services Ltd.                                                                                               | AB                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| Ford Credit Canada Company                                                                                                | ON                    |                                    |          |
| Forge First Conservative Alternative Fund                                                                                 | ON                    |                                    |          |
| Forge First Long Short Alternative Fund                                                                                   | ON                    |                                    |          |
| FormerXBC Inc.                                                                                                            | QC                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| Forstrong Global Balanced ETF                                                                                             | BC                    |                                    |          |
| Forstrong Global Growth ETF                                                                                               | BC                    |                                    |          |
| Forstrong Global Income ETF                                                                                               | BC                    |                                    |          |
| Fortaleza Energy Inc.                                                                                                     | AB                    | 1(a), 1(b), 1(c), 1(e)             |          |
| Forte Minerals Corp.                                                                                                      | BC                    |                                    |          |
| Fortified Trust                                                                                                           | ON                    |                                    |          |
| Fortis Inc.                                                                                                               | ON                    |                                    |          |
| FortisAlberta Inc.                                                                                                        | AB                    |                                    |          |
| FortisBC Energy Inc.                                                                                                      | BC                    |                                    |          |
| FortisBC Inc.                                                                                                             | BC                    |                                    |          |
| Fortress Global Enterprises Inc. (formerly Fortress Paper Ltd.)                                                           | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| Fortuna Mining Corp.                                                                                                      | BC                    |                                    |          |
| Fortune Bay Corp.                                                                                                         | NS                    |                                    |          |
| Fortune Minerals Limited                                                                                                  | ON                    |                                    |          |
| Foundation Wealth Diversifier Pool                                                                                        | ON                    |                                    |          |
| Foundation Wealth Equity Pool                                                                                             | ON                    |                                    |          |
| Foundation Wealth Income Pool                                                                                             | ON                    |                                    |          |
| FP Newspapers Inc.                                                                                                        | MB                    |                                    |          |
| Fracmaster Ltd.                                                                                                           | AB                    | 1(a), 1(b), 1(c), 1(d), 1(e), 1(g) |          |
| Franco-Nevada Corporation                                                                                                 | ON                    |                                    |          |
| Franklin All-Equity ETF Portfolio                                                                                         | ON                    |                                    |          |
| Franklin Brandywine Global Balanced Fund (formerly, Franklin Brandywine Global Sustainable Balanced Fund)                 | ON                    |                                    |          |
| Franklin Brandywine Global Income Optimiser Fund (formerly, Franklin Brandywine Global Sustainable Income Optimiser Fund) | ON                    |                                    |          |
| Franklin Brandywine U.S. High Yield Fund (formerly, Franklin High Income Fund)                                            | ON                    |                                    |          |
| Franklin Canadian Balanced Fund (formerly, Franklin Bissett Canadian Balanced Fund)                                       | ON                    |                                    |          |

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| Name / Dénomination                                                                                                                   | Principal/ Principale | Default / Défaut | CTO/ IOV |
|---------------------------------------------------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| Franklin Canadian Bond Fund (formerly, Franklin Bissett Canadian Bond Fund)                                                           | ON                    |                  |          |
| Franklin Canadian Core Equity Fund                                                                                                    | ON                    |                  |          |
| Franklin Canadian Core Plus Bond Fund (formerly, Franklin Bissett Core Plus Bond Fund)                                                | ON                    |                  |          |
| Franklin Canadian Corporate Bond Fund (formerly, Franklin Bissett Corporate Bond Fund)                                                | ON                    |                  |          |
| Franklin Canadian Government Bond Fund (formerly, Franklin Bissett Canadian Government Bond Fund)                                     | ON                    |                  |          |
| Franklin Canadian Low Volatility High Dividend Index ETF                                                                              | ON                    |                  |          |
| Franklin Canadian Money Market Fund (formerly, Franklin Bissett Money Market Fund)                                                    | ON                    |                  |          |
| Franklin Canadian Monthly Income and Growth Fund (formerly, Franklin Bissett Monthly Income and Growth Fund)                          | ON                    |                  |          |
| Franklin Canadian Short Term Bond Fund (formerly, Franklin Bissett Short Duration Bond Fund)                                          | ON                    |                  |          |
| Franklin Canadian Ultra Short Term Bond Fund (formerly, Franklin Bissett Ultra Short Bond Fund)                                       | ON                    |                  |          |
| Franklin ClearBridge Canada Plus Equity Fund (formerly, Franklin Bissett Canada Plus Equity Fund)                                     | ON                    |                  |          |
| Franklin ClearBridge Canadian Dividend Fund (formerly, Franklin Bissett Canadian Dividend Fund)                                       | ON                    |                  |          |
| Franklin Clearbridge Canadian Equity Fund (formerly, Franklin Bissett Canadian Equity Fund)                                           | ON                    |                  |          |
| Franklin ClearBridge Canadian Small Cap Fund (formerly, Franklin Bissett Small Cap Fund)                                              | ON                    |                  |          |
| Franklin ClearBridge Dividend Income Fund (formerly, Franklin Bissett Dividend Income Fund)                                           | ON                    |                  |          |
| Franklin ClearBridge Global Infrastructure Income Fund (formerly, Franklin ClearBridge Sustainable Global Infrastructure Income Fund) | ON                    |                  |          |
| Franklin ClearBridge International Growth Fund (formerly, Franklin ClearBridge Sustainable International Growth Fund)                 | ON                    |                  |          |
| Franklin ClearBridge International Growth Fund II (formerly, Franklin ClearBridge Sustainable International Growth Fund II)           | ON                    |                  |          |
| Franklin ClearBridge U.S. Sustainability Leaders Fund                                                                                 | ON                    |                  |          |
| Franklin Conservative Income ETF Portfolio                                                                                            | ON                    |                  |          |
| Franklin Core ETF Portfolio                                                                                                           | ON                    |                  |          |
| Franklin Emerging Markets Core Equity Fund                                                                                            | ON                    |                  |          |
| Franklin Emerging Markets Equity Index ETF (formerly, Franklin Emerging Markets Multifactor Index ETF)                                | ON                    |                  |          |
| Franklin FTSE Canada All Cap Index ETF                                                                                                | ON                    |                  |          |
| Franklin FTSE Japan Index ETF                                                                                                         | ON                    |                  |          |
| Franklin FTSE U.S. Index ETF                                                                                                          | ON                    |                  |          |

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|-------------------------------------------------------------------------------------------------------------|-----------------------|------------------------|----------|
| Franklin Global Core Bond Fund (formerly, Franklin Global Aggregate Bond Active ETF (CAD-Hedged))           | ON                    |                        |          |
| Franklin Global Growth Fund                                                                                 | ON                    |                        |          |
| Franklin Growth ETF Portfolio                                                                               | ON                    |                        |          |
| Franklin Innovation Fund                                                                                    | ON                    |                        |          |
| Franklin International Core Equity Fund                                                                     | ON                    |                        |          |
| Franklin International Equity Index ETF                                                                     | ON                    |                        |          |
| Franklin International Low Volatility High Dividend Index ETF                                               | ON                    |                        |          |
| Franklin Martin Currie Improving Society Fund                                                               | ON                    |                        |          |
| Franklin Martin Currie Sustainable Emerging Markets Fund                                                    | ON                    |                        |          |
| Franklin Martin Currie Sustainable Global Equity Fund (formerly, Franklin Martin Currie Global Equity Fund) | ON                    |                        |          |
| Franklin Putnam U.S. Large Cap Value Fund                                                                   | ON                    |                        |          |
| Franklin Quotential Balanced Growth Portfolio                                                               | ON                    |                        |          |
| Franklin Quotential Balanced Income Portfolio                                                               | ON                    |                        |          |
| Franklin Quotential Diversified Equity Portfolio                                                            | ON                    |                        |          |
| Franklin Quotential Diversified Income Portfolio                                                            | ON                    |                        |          |
| Franklin Quotential Growth Portfolio                                                                        | ON                    |                        |          |
| Franklin Royce Global Small Cap Premier Fund (formerly, Templeton Global Smaller Companies Fund)            | ON                    |                        |          |
| Franklin Sustainable Canadian Core Equity Fund                                                              | ON                    |                        |          |
| Franklin Sustainable International Core Equity Fund                                                         | ON                    |                        |          |
| Franklin Sustainable U.S. Core Equity Fund                                                                  | ON                    |                        |          |
| Franklin U.S. Core Equity Fund                                                                              | ON                    |                        |          |
| Franklin U.S. Large Cap Multifactor Index ETF                                                               | ON                    |                        |          |
| Franklin U.S. Low Volatility High Dividend Index ETF                                                        | ON                    |                        |          |
| Franklin U.S. Mid Cap Multifactor Index ETF                                                                 | ON                    |                        |          |
| Franklin U.S. Monthly Income Fund                                                                           | ON                    |                        |          |
| Franklin U.S. Opportunities Fund                                                                            | ON                    |                        |          |
| Franklin U.S. Rising Dividends Fund                                                                         | ON                    |                        |          |
| Fraser Papers Inc.                                                                                          | ON                    | 1(a), 1(b), 1(c), 1(e) |          |
| Freehold Royalties Ltd.                                                                                     | AB                    |                        |          |
| Freeman Gold Corp.                                                                                          | BC                    |                        |          |
| Friedberg Asset Allocation Fund                                                                             | ON                    |                        |          |
| Friedberg Global-Macro Hedge Fund                                                                           | ON                    |                        |          |
| FRNT Financial Inc.                                                                                         | ON                    |                        |          |
| Frontenac Mortgage Investment Corporation                                                                   | ON                    | 1(a), 1(b), 1(c), 1(e) |          |

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|--------------------------------------------------------------------|-----------------------|------------------------------|----------|
| Frontera Energy Corporation                                        | AB                    |                              |          |
| FRONTIER LITHIUM INC.                                              | ON                    |                              |          |
| FT Balanced Growth Private Wealth Pool                             | ON                    |                              |          |
| FT Balanced Income Private Wealth Pool                             | ON                    |                              |          |
| FT Growth Private Wealth Pool                                      | ON                    |                              |          |
| Fuel Cell Technologies Ltd.                                        | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Fury Gold Mines Limited                                            | BC                    |                              |          |
| G Mining Ventures Corp.                                            | QC                    |                              |          |
| G2 Goldfields Inc.                                                 | ON                    |                              |          |
| Gabriel Resources Ltd.                                             | BC                    |                              |          |
| Galaxy Digital Inc.                                                | ON                    |                              |          |
| Galiano Gold Inc. (formerly Asanko Gold Inc.)                      | BC                    |                              |          |
| Gamehost Inc.                                                      | AB                    |                              |          |
| GameSquare Holdings, Inc. (formerly Engine Gaming and Media, Inc.) | ON                    |                              |          |
| Garda World Security Corporation                                   | QC                    |                              |          |
| GBW Alternative All-Weather Growth Fund                            | ON                    |                              |          |
| GBW Alternative Short-Term Growth Fund                             | ON                    |                              |          |
| GC One Equity Portfolio                                            | ON                    |                              |          |
| GC One Fixed Income Portfolio                                      | ON                    |                              |          |
| GDI Integrated Facility Services Inc.                              | QC                    |                              |          |
| GE Capital Canada Funding Company                                  | ON                    |                              |          |
| General Motors Company                                             | ON                    |                              |          |
| Generation Mining Limited                                          | ON                    |                              |          |
| Generative AI Solutions Corp. (formerly Idle Lifestyle Inc.)       | BC                    | 1(a), 1(c), 1(e)             |          |
| Genesis Trust II                                                   | ON                    |                              |          |
| Genesis Worldwide Inc.                                             | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Gensource Potash Corporation                                       | SK                    |                              |          |
| Genus High Impact Equity Fund                                      | BC                    |                              |          |
| Geodrill Limited                                                   | ON                    |                              |          |
| GeoPetro Resources Company                                         | AB                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| George Weston Limited                                              | ON                    |                              |          |
| GFL Environmental Inc.                                             | ON                    |                              |          |
| Giant Mining Corp. - formerly Majuba Hill Copper Corp.             | BC                    |                              |          |
| Gibson Energy Inc.                                                 | AB                    |                              |          |
| GIGA Metals Corporation                                            | BC                    |                              |          |

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|----------------------------------------------------------------|-----------------------|------------------|----------|
| Gildan Activewear Inc.                                         | QC                    |                  |          |
| Giyani Metals Corp.                                            | ON                    |                  |          |
| Glacier Credit Card Trust                                      | ON                    |                  |          |
| Glacier Media Inc.                                             | BC                    |                  |          |
| Glass House Brands Inc.                                        | ON                    |                  |          |
| GLG LIFE TECH CORPORATION                                      | BC                    |                  |          |
| Global Atomic Corporation                                      | ON                    |                  |          |
| Global Balanced Growth Pool (formerly, Balanced Growth Fund)   | ON                    |                  |          |
| Global Crossing Airlines Group Inc.                            | BC                    |                  |          |
| Global Dividend Growth Split Corp.                             | ON                    |                  |          |
| Global Equity Allocation Pool                                  | ON                    |                  |          |
| Global Equity Pool                                             | ON                    |                  |          |
| Global Equity Pool (formerly, All Equity Fund)                 | ON                    |                  |          |
| Global Fixed Income Corporate Class                            | ON                    |                  |          |
| Global Fixed Income Pool                                       | ON                    |                  |          |
| Global Iman Fund                                               | ON                    |                  |          |
| Global Managed Volatility Fund                                 | ON                    |                  |          |
| Global Neutral Balanced Pool (formerly, Neutral Balanced Fund) | ON                    |                  |          |
| Global Water Resources, Inc.                                   | ON                    |                  |          |
| Global X 0-3 Month T-Bill ETF                                  | ON                    |                  |          |
| Global X 0-3 Month U.S. T-Bill ETF                             | ON                    |                  |          |
| Global X Active Canadian Bond ETF                              | ON                    |                  |          |
| Global X Active Canadian Dividend ETF                          | ON                    |                  |          |
| Global X Active Canadian Municipal Bond ETF                    | ON                    |                  |          |
| Global X Active Corporate Bond ETF                             | ON                    |                  |          |
| Global X Active Global Dividend ETF                            | ON                    |                  |          |
| Global X Active Global Fixed Income ETF                        | ON                    |                  |          |
| Global X Active Hybrid Bond and Preferred Share ETF            | ON                    |                  |          |
| Global X Active Preferred Share ETF                            | ON                    |                  |          |
| Global X Active Ultra-Short Term Investment Grade Bond ETF     | ON                    |                  |          |
| Global X All-Equity Asset Allocation Covered Call ETF          | ON                    |                  |          |
| Global X All-Equity Asset Allocation ETF                       | ON                    |                  |          |
| Global X Artificial Intelligence & Technology Index ETF        | ON                    |                  |          |
| Global X Artificial Intelligence Infrastructure Index ETF      | ON                    |                  |          |
| Global X Artificial Intelligence Semiconductor Index ETF       | ON                    |                  |          |

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|-----------------------------------------------------------------------------|-----------------------|------------------|----------|
| Global X Balanced Asset Allocation ETF                                      | ON                    |                  |          |
| Global X Big Data & Hardware Index ETF                                      | ON                    |                  |          |
| Global X Bitcoin Covered Call ETF                                           | ON                    |                  |          |
| Global X Canadian High Dividend Index Corporate Class ETF                   | ON                    |                  |          |
| Global X Canadian Oil and Gas Equity Covered Call ETF                       | ON                    |                  |          |
| Global X Canadian Select Universe Bond Index Corporate Class ETF            | ON                    |                  |          |
| Global X Cash Maximizer Corporate Class ETF                                 | ON                    |                  |          |
| Global X Conservative Asset Allocation ETF                                  | ON                    |                  |          |
| Global X Copper Producers Index ETF                                         | ON                    |                  |          |
| Global X Crude Oil ETF                                                      | ON                    |                  |          |
| Global X Cybersecurity Index ETF                                            | ON                    |                  |          |
| Global X Defence Tech Index ETF                                             | ON                    |                  |          |
| Global X Emerging Markets Equity Index Corporate Class ETF                  | ON                    |                  |          |
| Global X Enhanced All-Equity Asset Allocation Covered Call ETF              | ON                    |                  |          |
| Global X Enhanced All-Equity Asset Allocation ETF                           | ON                    |                  |          |
| Global X Enhanced Bitcoin Covered Call ETF                                  | ON                    |                  |          |
| Global X Enhanced Canadian Oil and Gas Equity Covered Call ETF              | ON                    |                  |          |
| Global X Enhanced Equal Weight Banks Index ETF                              | ON                    |                  |          |
| Global X Enhanced Equal Weight Canadian Banks Covered Call ETF              | ON                    |                  |          |
| Global X Enhanced Equal Weight Canadian Telecommunications Covered Call ETF | ON                    |                  |          |
| Global X Enhanced Gold Producer Equity Covered Call ETF                     | ON                    |                  |          |
| Global X Enhanced MSCI EAFE Covered Call ETF                                | ON                    |                  |          |
| Global X Enhanced MSCI EAFE Index ETF                                       | ON                    |                  |          |
| Global X Enhanced MSCI Emerging Markets Covered Call ETF                    | ON                    |                  |          |
| Global X Enhanced MSCI Emerging Markets Index ETF                           | ON                    |                  |          |
| Global X Enhanced Nasdaq-100 Covered Call ETF                               | ON                    |                  |          |
| Global X Enhanced Nasdaq-100 Index ETF                                      | ON                    |                  |          |
| Global X Enhanced Russell 2000 Covered Call ETF                             | ON                    |                  |          |
| Global X Enhanced S&P 500 Covered Call ETF                                  | ON                    |                  |          |
| Global X Enhanced S&P 500 Index ETF                                         | ON                    |                  |          |
| Global X Enhanced S&P/TSX 60 Covered Call ETF                               | ON                    |                  |          |
| Global X Enhanced S&P/TSX 60 Index ETF                                      | ON                    |                  |          |
| Global X Equal Weight Canadian Bank Covered Call ETF                        | ON                    |                  |          |
| Global X Equal Weight Canadian Banks Index Corporate Class ETF              | ON                    |                  |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                                  | Principal/ Principale | Default / Défaut | CTO/ IOV |
|----------------------------------------------------------------------|-----------------------|------------------|----------|
| Global X Equal Weight Canadian Banks Index ETF                       | ON                    |                  |          |
| Global X Equal Weight Canadian Groceries & Staples Index ETF         | ON                    |                  |          |
| Global X Equal Weight Canadian Insurance Index ETF                   | ON                    |                  |          |
| Global X Equal Weight Canadian Oil & Gas Index ETF                   | ON                    |                  |          |
| Global X Equal Weight Canadian Pipelines Index ETF                   | ON                    |                  |          |
| Global X Equal Weight Canadian REITs Index Corporate Class ETF       | ON                    |                  |          |
| Global X Equal Weight Canadian REITs Index ETF                       | ON                    |                  |          |
| Global X Equal Weight Canadian Telecommunications Covered Call ETF   | ON                    |                  |          |
| Global X Equal Weight Canadian Telecommunications Index ETF          | ON                    |                  |          |
| Global X Equal Weight Canadian Utilities Index ETF                   | ON                    |                  |          |
| Global X Equal Weight Global Healthcare Index ETF                    | ON                    |                  |          |
| Global X Equal Weight U.S. Banks Index ETF                           | ON                    |                  |          |
| Global X Equal Weight U.S. Groceries & Staples Index ETF             | ON                    |                  |          |
| Global X Europe 50 Index Corporate Class ETF                         | ON                    |                  |          |
| Global X Global Sustainability Leaders Index ETF                     | ON                    |                  |          |
| Global X Gold ETF                                                    | ON                    |                  |          |
| Global X Gold Producer Equity Covered Call ETF                       | ON                    |                  |          |
| Global X Gold Producers Index ETF                                    | ON                    |                  |          |
| Global X Gold Yield ETF                                              | ON                    |                  |          |
| Global X Growth Asset Allocation Covered Call ETF                    | ON                    |                  |          |
| Global X Growth Asset Allocation ETF                                 | ON                    |                  |          |
| Global X High Interest Savings ETF                                   | ON                    |                  |          |
| Global X India Nifty 50 Index ETF                                    | ON                    |                  |          |
| Global X Industry 4.0 Index ETF                                      | ON                    |                  |          |
| Global X Innovative Bluechip Top 10 Index ETF                        | ON                    |                  |          |
| Global X Inovestor Canadian Equity Index ETF                         | ON                    |                  |          |
| Global X Intl Developed Markets Equity Index Corporate Class ETF     | ON                    |                  |          |
| Global X Laddered Canadian Preferred Share Index Corporate Class ETF | ON                    |                  |          |
| Global X Lithium Producers Index ETF                                 | ON                    |                  |          |
| Global X Long-Term Government Bond Premium Yield ETF                 | ON                    |                  |          |
| Global X Long-Term U.S. Treasury Premium Yield ETF                   | ON                    |                  |          |
| Global X Marijuana Life Sciences Index ETF                           | ON                    |                  |          |
| Global X Mid-Term Government Bond Premium Yield ETF                  | ON                    |                  |          |
| Global X Mid-Term U.S. Treasury Premium Yield ETF                    | ON                    |                  |          |



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| Name / Dénomination                                           | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|---------------------------------------------------------------|-----------------------|------------------------------|----------|
| Global X MSCI EAFE Covered Call ETF                           | ON                    |                              |          |
| Global X MSCI EAFE Index ETF                                  | ON                    |                              |          |
| Global X MSCI Emerging Markets Covered Call ETF               | ON                    |                              |          |
| Global X MSCI Emerging Markets Index ETF                      | ON                    |                              |          |
| Global X Nasdaq-100 Covered Call ETF                          | ON                    |                              |          |
| Global X Nasdaq-100 Index Corporate Class ETF                 | ON                    |                              |          |
| Global X Nasdaq-100 Index ETF                                 | ON                    |                              |          |
| Global X Natural Gas ETF                                      | ON                    |                              |          |
| Global X Robotics & AI Index ETF                              | ON                    |                              |          |
| Global X Russell 2000 Covered Call ETF                        | ON                    |                              |          |
| Global X Russell 2000 Index ETF                               | ON                    |                              |          |
| Global X S&P 500 CAD Hedged Index Corporate Class ETF         | ON                    |                              |          |
| Global X S&P 500 Covered Call ETF                             | ON                    |                              |          |
| Global X S&P 500 Index Corporate Class ETF                    | ON                    |                              |          |
| Global X S&P 500 Index ETF                                    | ON                    |                              |          |
| Global X S&P/TSX 60 Covered Call ETF                          | ON                    |                              |          |
| Global X S&P/TSX 60 Index Corporate Class ETF                 | ON                    |                              |          |
| Global X S&P/TSX 60 Index ETF                                 | ON                    |                              |          |
| Global X S&P/TSX Capped Composite Index Corporate Class ETF   | ON                    |                              |          |
| Global X S&P/TSX Capped Energy Index Corporate Class ETF      | ON                    |                              |          |
| Global X S&P/TSX Capped Financials Index Corporate Class ETF  | ON                    |                              |          |
| Global X Seasonal Rotation ETF                                | ON                    |                              |          |
| Global X Short-Term Government Bond Premium Yield ETF         | ON                    |                              |          |
| Global X Short-Term U.S. Treasury Premium Yield ETF           | ON                    |                              |          |
| Global X Silver ETF                                           | ON                    |                              |          |
| Global X Uranium Index ETF                                    | ON                    |                              |          |
| Global X US 7-10 Year Treasury Bond Index Corporate Class ETF | ON                    |                              |          |
| Global X US Dollar Currency ETF                               | ON                    |                              |          |
| Global X US Large Cap Index Corporate Class ETF               | ON                    |                              |          |
| Global X USD Cash Maximizer Corporate Class ETF               | ON                    |                              |          |
| Global X USD High Interest Savings ETF                        | ON                    |                              |          |
| Goat Industries Ltd.                                          | BC                    |                              |          |
| goeasy Ltd.                                                   | ON                    |                              |          |
| GoGold Resources Inc.                                         | NS                    |                              |          |
| Gold Flora Corporation (formerly TPCO Holding Corp.)          | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                              | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|------------------------------------------------------------------|-----------------------|------------------------------|----------|
| Gold Mountain Mining Corp.                                       | BC                    |                              |          |
| Gold Reserve Ltd.                                                | ON                    |                              |          |
| Gold Royalty Corp.                                               | BC                    |                              |          |
| Gold Terra Resource Corp.                                        | BC                    |                              |          |
| Golden Minerals Company                                          | ON                    |                              |          |
| GoldMining Inc.                                                  | BC                    |                              |          |
| Goodfood Market Corp.                                            | QC                    |                              |          |
| GOODWOOD CAPITAL FUND                                            | ON                    |                              |          |
| GQG Partners Emerging Markets Quality Equity Fund                | ON                    |                              |          |
| GQG Partners Global Quality Equity Fund                          | ON                    |                              |          |
| GQG Partners International Quality Equity Fund                   | ON                    |                              |          |
| GQG Partners U.S. Quality Equity Fund                            | ON                    |                              |          |
| Gran Tierra Energy Inc.                                          | AB                    |                              |          |
| Granite Creek Copper Ltd.                                        | BC                    |                              |          |
| Granite Real Estate Investment Trust                             | ON                    |                              |          |
| Granite REIT Holdings Limited Partnership                        | ON                    |                              |          |
| Graphene Manufacturing Group Ltd.                                | BC                    |                              |          |
| Great Basin Gold Ltd.                                            | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Great Panther Mining Limited                                     | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Greater Toronto Airports Authority                               | ON                    |                              |          |
| Great-West Lifeco Inc.                                           | MB                    |                              |          |
| Green Impact Partners Inc.                                       | AB                    |                              |          |
| Green Shift Commodities Ltd.                                     | ON                    |                              |          |
| Green Thumb Industries Inc.                                      | BC                    |                              |          |
| GreenFirst Forest Products Inc.                                  | ON                    |                              |          |
| Greenheart Gold Inc.                                             | ON                    |                              |          |
| GreenSpace Brands Inc. (formerly Aumento IV Capital Corporation) | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| GREENSTONE RESOURCES LTD.                                        | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| GreenWise Balanced Portfolio                                     | QC                    |                              |          |
| GreenWise Conservative Portfolio                                 | QC                    |                              |          |
| GreenWise Growth Portfolio                                       | QC                    |                              |          |
| Groupe Dynamite Inc.                                             | QC                    |                              |          |
| Grown Rogue International Inc.                                   | ON                    |                              |          |
| Growth 100 Fund                                                  | ON                    |                              |          |
| Growth 80/20 Fund                                                | ON                    |                              |          |

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| Name / Dénomination                                                         | Principal/ Principale | Default / Défaut                   | CTO/ IOV |
|-----------------------------------------------------------------------------|-----------------------|------------------------------------|----------|
| GrowthWorks Canadian Fund Ltd. (formerly GrowthWorks WV Canadian Fund Inc.) | ON                    | 1(a), 1(b), 1(c), 1(d)             |          |
| GT Group Telecom Inc.                                                       | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e), 1(g) |          |
| GT RESOURCES INC. - formerly Palladium One Mining Inc.                      | BC                    |                                    |          |
| Guanajuato Silver Company Ltd.                                              | BC                    |                                    |          |
| GuardBonds TM 1-3 Year Laddered Investment Grade Bond Fund                  | ON                    |                                    |          |
| GuardBonds TM 2025 Investment Grade Bond Fund                               | ON                    |                                    |          |
| GuardBonds TM 2026 Investment Grade Bond Fund                               | ON                    |                                    |          |
| GuardBonds TM 2027 Investment Grade Bond Fund                               | ON                    |                                    |          |
| GuardBonds TM 2028 Investment Grade Bond Fund                               | ON                    |                                    |          |
| GuardBonds TM 2029 Investment Grade Bond Fund                               | ON                    |                                    |          |
| Guardian Canadian Bond Fund                                                 | ON                    |                                    |          |
| Guardian Canadian Equity Fund                                               | ON                    |                                    |          |
| Guardian Canadian Equity Income Fund                                        | ON                    |                                    |          |
| Guardian Canadian Equity Select Fund                                        | ON                    |                                    |          |
| Guardian Canadian Focused Equity Fund                                       | ON                    |                                    |          |
| Guardian Canadian Growth Equity Fund                                        | ON                    |                                    |          |
| Guardian Canadian Sector Controlled Equity Fund                             | ON                    |                                    |          |
| Guardian Canadian Short-Term Investment Fund                                | ON                    |                                    |          |
| Guardian Directed Equity Path Portfolio                                     | ON                    |                                    |          |
| Guardian Directed Premium Yield Portfolio                                   | ON                    |                                    |          |
| Guardian Emerging Markets Equity Fund                                       | ON                    |                                    |          |
| Guardian Fixed Income Select Fund                                           | ON                    |                                    |          |
| Guardian Fundamental Global Equity Fund                                     | ON                    |                                    |          |
| Guardian i <sup>3</sup> Global Dividend Growth Fund                         | ON                    |                                    |          |
| Guardian i <sup>3</sup> Global Dividend Premium Yield Fund                  | ON                    |                                    |          |
| Guardian i <sup>3</sup> Global Quality Growth ETF                           | ON                    |                                    |          |
| Guardian i <sup>3</sup> Global Quality Growth Fund                          | ON                    |                                    |          |
| Guardian i <sup>3</sup> International Quality Growth Fund                   | ON                    |                                    |          |
| Guardian i <sup>3</sup> US Quality Growth Fund                              | ON                    |                                    |          |
| Guardian International Equity Select Fund                                   | ON                    |                                    |          |
| Guardian Investment Grade Corporate Bond Fund                               | ON                    |                                    |          |
| Guardian Managed Balanced Portfolio                                         | ON                    |                                    |          |
| Guardian Managed Growth Portfolio                                           | ON                    |                                    |          |
| Guardian Managed Income & Growth Portfolio                                  | ON                    |                                    |          |

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| Name / Dénomination                                | Principal/ Principale | Default / Défaut                | CTO/ IOV |
|----------------------------------------------------|-----------------------|---------------------------------|----------|
| Guardian Managed Income Portfolio                  | ON                    |                                 |          |
| Guardian Risk Managed Conservative Portfolio       | ON                    |                                 |          |
| Guardian Short Duration Bond Fund                  | ON                    |                                 |          |
| Guardian Strategic Income Fund                     | ON                    |                                 |          |
| Guardian U.S. Equity All Cap Growth Fund           | ON                    |                                 |          |
| Guardian U.S. Equity Select Fund                   | ON                    |                                 |          |
| Guardian Ultra-Short Canadian T-Bill Fund          | ON                    |                                 |          |
| Guardian Ultra-Short U.S. T-Bill Fund              | ON                    |                                 |          |
| Gunnison Copper Corp.                              | BC                    |                                 |          |
| GURU Organic Energy Corp.                          | QC                    |                                 |          |
| H&R Real Estate Investment Trust                   | ON                    |                                 |          |
| Haemacure Corporation                              | QC                    | 1(a), 1(b), 1(c), 1(d), 1(e), 3 |          |
| Haivision Systems Inc.                             | QC                    |                                 |          |
| Halo Collective Inc. (formerly Halo Labs Inc.)     | ON                    | 1(a), 1(b), 1(c), 1(e)          |          |
| Hamilton Australian Bank Equal-Weight Index ETF    | ON                    |                                 |          |
| Hamilton Canadian Bank Equal-Weight Index ETF      | ON                    |                                 |          |
| Hamilton Canadian Bank Mean Reversion Index ETF    | ON                    |                                 |          |
| Hamilton Canadian Financials Index ETF             | ON                    |                                 |          |
| Hamilton Canadian Financials YIELD MAXIMIZER™ ETF  | ON                    |                                 |          |
| HAMILTON CHAMPIONS™ Canadian Dividend Index ETF    | ON                    |                                 |          |
| HAMILTON CHAMPIONS™ Enhanced Canadian Dividend ETF | ON                    |                                 |          |
| HAMILTON CHAMPIONS™ Enhanced U.S. Dividend ETF     | ON                    |                                 |          |
| HAMILTON CHAMPIONS™ U.S. Dividend Index ETF        | ON                    |                                 |          |
| Hamilton Energy YIELD MAXIMIZER™ ETF               | ON                    |                                 |          |
| Hamilton Enhanced Canadian Bank ETF                | ON                    |                                 |          |
| Hamilton Enhanced Canadian Financials ETF          | ON                    |                                 |          |
| Hamilton Enhanced Mixed Asset ETF                  | ON                    |                                 |          |
| Hamilton Enhanced Multi-Sector Covered Call ETF    | ON                    |                                 |          |
| Hamilton Enhanced U.S. Covered Call ETF            | ON                    |                                 |          |
| Hamilton Enhanced Utilities ETF                    | ON                    |                                 |          |
| Hamilton Global Financials ETF                     | ON                    |                                 |          |
| Hamilton Gold Producer YIELD MAXIMIZER™ ETF        | ON                    |                                 |          |
| Hamilton Healthcare YIELD MAXIMIZER™ ETF           | ON                    |                                 |          |
| Hamilton REITs YIELD MAXIMIZER™ ETF                | ON                    |                                 |          |
| Hamilton Technology YIELD MAXIMIZER™ ETF           | ON                    |                                 |          |

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| Name / Dénomination                                       | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|-----------------------------------------------------------|-----------------------|------------------------------|----------|
| Hamilton U.S. Bond YIELD MAXIMIZER™ ETF                   | ON                    |                              |          |
| Hamilton U.S. Equity YIELD MAXIMIZER™ ETF                 | ON                    |                              |          |
| Hamilton U.S. Financials YIELD MAXIMIZER™ ETF             | ON                    |                              |          |
| Hamilton U.S. Mid-Cap Financials ETF                      | ON                    |                              |          |
| Hamilton U.S. T-Bill YIELD MAXIMIZER™ ETF                 | ON                    |                              |          |
| Hamilton Utilities YIELD MAXIMIZER™ ETF                   | ON                    |                              |          |
| Hammond Power Solutions Inc.                              | ON                    |                              |          |
| Hanfeng Evergreen Inc.                                    | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Harvest Alphabet Enhanced High Income Shares ETF          | ON                    |                              |          |
| Harvest Amazon Enhanced High Income Shares ETF            | ON                    |                              |          |
| Harvest Amazon High Income Shares ETF                     | ON                    |                              |          |
| Harvest AMD Enhanced High Income Shares ETF               | ON                    |                              |          |
| Harvest Apple Enhanced High Income Shares ETF             | ON                    |                              |          |
| Harvest Balanced Income & Growth Enhanced ETF             | ON                    |                              |          |
| Harvest Balanced Income & Growth ETF                      | ON                    |                              |          |
| Harvest Banks & Buildings Income Fund                     | ON                    |                              |          |
| Harvest Bitcoin Enhanced Income ETF                       | ON                    |                              |          |
| Harvest Bitcoin Leaders Enhanced Income ETF               | ON                    |                              |          |
| Harvest Brand Leaders Plus Income ETF                     | ON                    |                              |          |
| Harvest Broadcom Enhanced High Income Shares ETF          | ON                    |                              |          |
| Harvest Canadian Equity Income Leaders ETF                | ON                    |                              |          |
| Harvest Canadian Income & Growth Fund                     | ON                    |                              |          |
| Harvest Canadian T-Bill ETF                               | ON                    |                              |          |
| Harvest Clean Energy ETF                                  | ON                    |                              |          |
| Harvest Coinbase Enhanced High Income Shares ETF          | ON                    |                              |          |
| Harvest Coinbase High Income Shares ETF                   | ON                    |                              |          |
| Harvest Costco Enhanced High Income Shares ETF            | ON                    |                              |          |
| Harvest Diversified Equity Income ETF                     | ON                    |                              |          |
| Harvest Diversified High Income Shares ETF                | ON                    |                              |          |
| Harvest Diversified Monthly Income ETF                    | ON                    |                              |          |
| Harvest Eli Lilly Enhanced High Income Shares ETF         | ON                    |                              |          |
| Harvest Eli Lilly High Income Shares ETF                  | ON                    |                              |          |
| Harvest Energy Leaders Plus Income ETF                    | ON                    |                              |          |
| Harvest Equal Weight Global Utilities Enhanced Income ETF | ON                    |                              |          |
| Harvest Equal Weight Global Utilities Income ETF          | ON                    |                              |          |

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| Name / Dénomination                                   | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|-------------------------------------------------------|-----------------------|------------------------------|----------|
| Harvest Global Gold Giants Index ETF                  | ON                    |                              |          |
| Harvest Global REIT Leaders Income ETF                | ON                    |                              |          |
| Harvest Healthcare Leaders Enhanced Income ETF        | ON                    |                              |          |
| Harvest Healthcare Leaders Income ETF                 | ON                    |                              |          |
| Harvest Industrial Leaders Income ETF                 | ON                    |                              |          |
| Harvest Low Volatility Canadian Equity ETF            | ON                    |                              |          |
| Harvest Low Volatility Canadian Equity Income ETF     | ON                    |                              |          |
| Harvest Meta Enhanced High Income Shares ETF          | ON                    |                              |          |
| Harvest Microsoft Enhanced High Income Shares ETF     | ON                    |                              |          |
| Harvest Microsoft High Income Shares ETF              | ON                    |                              |          |
| Harvest MicroStrategy Enhanced High Income Shares ETF | ON                    |                              |          |
| Harvest MicroStrategy High Income Shares ETF          | ON                    |                              |          |
| Harvest Netflix Enhanced High Income Shares ETF       | ON                    |                              |          |
| Harvest NVIDIA Enhanced High Income Shares ETF        | ON                    |                              |          |
| Harvest NVIDIA High Income Shares ETF                 | ON                    |                              |          |
| Harvest Palantir Enhanced High Income Shares ETF      | ON                    |                              |          |
| Harvest Premium Yield 7-10 Year Treasury ETF          | ON                    |                              |          |
| Harvest Premium Yield Treasury ETF                    | ON                    |                              |          |
| Harvest Tech Achievers Enhanced Income ETF            | ON                    |                              |          |
| Harvest Tech Achievers Growth & Income ETF            | ON                    |                              |          |
| Harvest Tesla Enhanced High Income Shares ETF         | ON                    |                              |          |
| Harvest Travel & Leisure Income ETF                   | ON                    |                              |          |
| Harvest Travel & Leisure Index ETF                    | ON                    |                              |          |
| Harvest US Bank Leaders Income ETF                    | ON                    |                              |          |
| HAVN Life Sciences Inc.                               | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Hazelview Alternative Real Estate Fund                | ON                    |                              |          |
| Hazelview Global Real Estate Fund                     | ON                    |                              |          |
| Headwater Exploration Inc.                            | AB                    |                              |          |
| Healthcare Special Opportunities Fund                 | ON                    |                              |          |
| HEALWELL AI Inc.                                      | ON                    |                              |          |
| Heating Oil Partners Income Fund                      | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Hecla Mining Company                                  | BC                    |                              |          |
| Helios Fairfax Partners Corporation                   | ON                    |                              |          |
| Helius Medical Technologies, Inc.                     | BC                    |                              |          |
| Hemisphere Energy Corporation                         | BC                    |                              |          |

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| Name / Dénomination                                 | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|-----------------------------------------------------|-----------------------|------------------------------|----------|
| Hempfusion Wellness Inc.                            | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Heritage Global Inc.                                | ON                    |                              |          |
| Hertz Energy Inc. formerly Hertz Lithium Inc.       | BC                    |                              |          |
| HEXO Corp.                                          | QC                    |                              |          |
| High Interest Savings Account Fund                  | ON                    |                              |          |
| High Liner Foods Incorporated                       | NS                    |                              |          |
| High Tide Inc.                                      | AB                    |                              |          |
| Highland Copper Company Inc.                        | ON                    |                              |          |
| Highlander Silver Corp.                             | ON                    |                              |          |
| Highwood Asset Management Ltd.                      | AB                    |                              |          |
| HIVE Digital Technologies Ltd.                      | BC                    |                              |          |
| HLS Therapeutics Inc.                               | ON                    |                              |          |
| HMZ Metals Inc.                                     | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Horizon Copper Corp.                                | BC                    |                              |          |
| Horizon Petroleum Ltd.                              | AB                    |                              |          |
| Horizonte Minerals PLC                              | ON                    | 1(a), 1(b)                   |          |
| Hot Chili Limited                                   | ON                    |                              |          |
| Hudbay Minerals Inc.                                | ON                    |                              |          |
| Hut 8 Corp.                                         | ON                    |                              |          |
| Hydro One Inc.                                      | ON                    |                              |          |
| Hydro One Limited                                   | ON                    |                              |          |
| Hypercharge Networks Corp.                          | BC                    |                              |          |
| i-80 Gold Corp.                                     | ON                    |                              |          |
| IA Clarington Agile Core Plus Bond Fund             | QC                    |                              |          |
| IA Clarington Agile Global Total Return Income Fund | QC                    |                              |          |
| IA Clarington Canadian Dividend Fund                | QC                    |                              |          |
| IA Clarington Canadian Leaders Class                | QC                    |                              |          |
| IA Clarington Dividend Growth Class                 | QC                    |                              |          |
| IA Clarington Global Balanced Plus Portfolio        | QC                    |                              |          |
| IA Clarington Global Dividend Fund                  | QC                    |                              |          |
| IA Clarington Global Equity Advantage Fund          | QC                    |                              |          |
| IA Clarington Global Equity Exposure Fund           | QC                    |                              |          |
| IA Clarington Global Equity Plus Portfolio          | QC                    |                              |          |
| IA Clarington Global Fixed Income Advantage Fund    | QC                    |                              |          |
| IA Clarington Global Macro Advantage Fund           | QC                    |                              |          |

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| Name / Dénomination                                        | Principal/ Principale | Default / Défaut | CTO/ IOV |
|------------------------------------------------------------|-----------------------|------------------|----------|
| IA Clarington Global Multifactor Equity Fund               | QC                    |                  |          |
| IA Clarington Global Risk-Managed Income Portfolio         | QC                    |                  |          |
| IA Clarington Inhance Balanced SRI Portfolio               | QC                    |                  |          |
| IA Clarington Inhance Bond SRI Fund                        | QC                    |                  |          |
| IA Clarington Inhance Canadian Equity SRI Class            | QC                    |                  |          |
| IA Clarington Inhance Conservative SRI Portfolio           | QC                    |                  |          |
| IA Clarington Inhance Global Equity SRI Class              | QC                    |                  |          |
| IA Clarington Inhance Global Equity SRI Fund               | QC                    |                  |          |
| IA Clarington Inhance Global Small Cap SRI Fund            | QC                    |                  |          |
| IA Clarington Inhance Growth SRI Portfolio                 | QC                    |                  |          |
| IA Clarington Inhance High Growth SRI Portfolio            | QC                    |                  |          |
| IA Clarington Inhance Moderate SRI Portfolio               | QC                    |                  |          |
| IA Clarington Inhance Monthly Income SRI Fund              | QC                    |                  |          |
| IA Clarington Loomis Floating Rate Income Fund             | QC                    |                  |          |
| IA Clarington Loomis Global Allocation Class               | QC                    |                  |          |
| IA Clarington Loomis Global Allocation Fund                | QC                    |                  |          |
| IA Clarington Loomis Global Equity Opportunities Fund      | QC                    |                  |          |
| IA Clarington Loomis Global Multisector Bond Fund          | QC                    |                  |          |
| IA Clarington Loomis International Growth Fund             | QC                    |                  |          |
| IA Clarington Loomis U.S. All Cap Growth Fund              | QC                    |                  |          |
| IA Clarington Loomis U.S. Dollar Floating Rate Income Fund | QC                    |                  |          |
| IA Clarington Money Market Fund                            | QC                    |                  |          |
| IA Clarington Monthly Income Balanced Fund                 | QC                    |                  |          |
| IA Clarington Multi-Strategy Alternative Pool              | QC                    |                  |          |
| IA Clarington QV Canadian Small Cap Class                  | QC                    |                  |          |
| IA Clarington QV Canadian Small Cap Fund                   | QC                    |                  |          |
| IA Clarington QV Global Equity Fund                        | QC                    |                  |          |
| IA Clarington QV U.S. Equity Class                         | QC                    |                  |          |
| IA Clarington QV U.S. Equity Currency Neutral Fund         | QC                    |                  |          |
| IA Clarington Strategic Corporate Bond Fund                | QC                    |                  |          |
| IA Clarington Strategic Equity Income Class                | QC                    |                  |          |
| IA Clarington Strategic Equity Income Fund                 | QC                    |                  |          |
| IA Clarington Strategic Income Fund                        | QC                    |                  |          |
| IA Clarington Tactical Income Class                        | QC                    |                  |          |
| IA Clarington Target Click 2025 Fund                       | QC                    |                  |          |



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| Name / Dénomination                                                        | Principal/ Principale | Default / Défaut                   | CTO/ IOV |
|----------------------------------------------------------------------------|-----------------------|------------------------------------|----------|
| IA Clarington Target Click 2030 Fund                                       | QC                    |                                    |          |
| IA Clarington Thematic Innovation Class                                    | QC                    |                                    |          |
| IA Clarington U.S. Dividend Growth Fund                                    | QC                    |                                    |          |
| iA Financial Corporation Inc.                                              | QC                    |                                    |          |
| IA Wealth Balanced Portfolio                                               | QC                    |                                    |          |
| IA Wealth Conservative Portfolio                                           | QC                    |                                    |          |
| IA Wealth Core Bond Pool                                                   | QC                    |                                    |          |
| IA Wealth Enhanced Bond Pool                                               | QC                    |                                    |          |
| IA Wealth Growth Portfolio                                                 | QC                    |                                    |          |
| IA Wealth High Growth Portfolio                                            | QC                    |                                    |          |
| IA Wealth Moderate Portfolio                                               | QC                    |                                    |          |
| IAMGOLD Corporation                                                        | ON                    |                                    |          |
| iAnthus Capital Holdings, Inc.                                             | ON                    |                                    |          |
| IDEO+ Adaptive Plan                                                        | QC                    |                                    |          |
| IDEO+ Conservative Plan                                                    | QC                    |                                    |          |
| IDEO+ Responsible Plan                                                     | QC                    |                                    |          |
| IDS Intelligent Detection Systems Inc.                                     | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e), 1(g) |          |
| IG Beutel Goodman Canadian Balanced Fund                                   | MB                    |                                    |          |
| IG Beutel Goodman Canadian Equity Fund                                     | MB                    |                                    |          |
| IG Beutel Goodman Canadian Small Cap Fund                                  | MB                    |                                    |          |
| IG BlackRock International Equity Fund                                     | MB                    |                                    |          |
| IG Climate Action Portfolio - Global Equity                                | MB                    |                                    |          |
| IG Climate Action Portfolio - Global Equity Balanced                       | MB                    |                                    |          |
| IG Climate Action Portfolio - Global Fixed Income Balanced                 | MB                    |                                    |          |
| IG Climate Action Portfolio - Global Neutral Balanced                      | MB                    |                                    |          |
| IG Core Portfolio - Balanced                                               | MB                    |                                    |          |
| IG Core Portfolio - Balanced Growth                                        | MB                    |                                    |          |
| IG Core Portfolio - Global Income                                          | MB                    |                                    |          |
| IG Core Portfolio - Growth                                                 | MB                    |                                    |          |
| IG Core Portfolio - Income                                                 | MB                    |                                    |          |
| IG Core Portfolio - Income Balanced                                        | MB                    |                                    |          |
| IG Core Portfolio - Income Focus                                           | MB                    |                                    |          |
| IG Core Portfolio - Income Plus (formerly Investors Income Plus Portfolio) | MB                    |                                    |          |
| IG Cornerstone Portfolio                                                   | MB                    |                                    |          |

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| Name / Dénomination                                                                                          | Principal/ Principale | Default / Défaut | CTO/ IOV |
|--------------------------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| IG FI Canadian Equity Fund                                                                                   | MB                    |                  |          |
| IG Franklin ClearBridge Canadian Equity Fund (formerly IG Franklin Bissett Canadian Equity Fund)             | MB                    |                  |          |
| IG Graduation Portfolio                                                                                      | MB                    |                  |          |
| IG Growth Portfolio – Canadian Balanced (formerly IG Managed Growth Portfolio – Canadian Neutral Balanced)   | MB                    |                  |          |
| IG Growth Portfolio – Canadian Equity (formerly IG Managed Growth Portfolio – Canadian Focused Equity)       | MB                    |                  |          |
| IG Growth Portfolio – Global Balanced (formerly IG Managed Growth Portfolio – Global Neutral Balanced)       | MB                    |                  |          |
| IG Growth Portfolio – Global Equity (formerly IG Managed Growth Portfolio – Global Equity)                   | MB                    |                  |          |
| IG Growth Portfolio – Global Equity Balanced (formerly IG Managed Growth Portfolio – Global Equity Balanced) | MB                    |                  |          |
| IG Income Portfolio – Growth (formerly IG Managed Payout Portfolio with Growth)                              | MB                    |                  |          |
| IG Income Portfolio – Growth Plus (formerly IG Managed Payout Portfolio with Enhanced Growth)                | MB                    |                  |          |
| IG Income Portfolio (formerly IG Managed Payout Portfolio)                                                   | MB                    |                  |          |
| IG JPMorgan Emerging Markets Fund                                                                            | MB                    |                  |          |
| IG JPMorgan Emerging Markets Fund II                                                                         | MB                    |                  |          |
| IG Low Volatility Portfolio – Balanced (formerly IG Managed Risk Portfolio – Balanced)                       | MB                    |                  |          |
| IG Low Volatility Portfolio – Growth (formerly IG Managed Risk Portfolio – Growth Focus)                     | MB                    |                  |          |
| IG Low Volatility Portfolio – Income Balanced (formerly IG Managed Risk Portfolio – Income Balanced)         | MB                    |                  |          |
| IG Low Volatility Portfolio – Income Focus (formerly IG Managed Risk Portfolio – Income Focus)               | MB                    |                  |          |
| IG Mackenzie Betterworld SRI Fund                                                                            | MB                    |                  |          |
| IG Mackenzie Canadian Bond Fund                                                                              | MB                    |                  |          |
| IG Mackenzie Canadian Corporate Bond Fund                                                                    | MB                    |                  |          |
| IG Mackenzie Canadian Dividend Fund                                                                          | MB                    |                  |          |
| IG Mackenzie Canadian Equity Fund (formerly IG Mackenzie Dividend Growth Fund)                               | MB                    |                  |          |
| IG Mackenzie Canadian Money Market Fund                                                                      | MB                    |                  |          |
| IG Mackenzie Canadian Small Cap Fund                                                                         | MB                    |                  |          |
| IG Mackenzie Canadian Small Cap Fund II                                                                      | MB                    |                  |          |
| IG Mackenzie Dividend Fund                                                                                   | MB                    |                  |          |
| IG Mackenzie European Equity Fund                                                                            | MB                    |                  |          |
| IG Mackenzie European Mid-Cap Equity Fund                                                                    | MB                    |                  |          |
| IG Mackenzie Floating Rate Income Fund                                                                       | MB                    |                  |          |

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| Name / Dénomination                                                                            | Principal/ Principale | Default / Défaut | CTO/ IOV |
|------------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| IG Mackenzie Global Consumer Companies Fund                                                    | MB                    |                  |          |
| IG Mackenzie Global Core Plus Bond Fund (formerly IG Mackenzie Global Tactical Bond Fund)      | MB                    |                  |          |
| IG Mackenzie Global Dividend Fund                                                              | MB                    |                  |          |
| IG Mackenzie Global Financial Services Fund                                                    | MB                    |                  |          |
| IG Mackenzie Global Fund                                                                       | MB                    |                  |          |
| IG Mackenzie Global Fund II                                                                    | MB                    |                  |          |
| IG Mackenzie Global Health Care Fund                                                           | MB                    |                  |          |
| IG Mackenzie Global Infrastructure Fund                                                        | MB                    |                  |          |
| IG Mackenzie Global Precious Metals Fund                                                       | MB                    |                  |          |
| IG Mackenzie Global Resource Fund                                                              | MB                    |                  |          |
| IG Mackenzie Global Resources Fund II (formerly IG Mackenzie Global Natural Resources Fund II) | MB                    |                  |          |
| IG Mackenzie Global Science & Technology Fund                                                  | MB                    |                  |          |
| IG Mackenzie Global Science & Technology Fund II                                               | MB                    |                  |          |
| IG Mackenzie International Small Cap Fund                                                      | MB                    |                  |          |
| IG Mackenzie Ivy European Fund                                                                 | MB                    |                  |          |
| IG Mackenzie Mortgage and Short Term Income Fund                                               | MB                    |                  |          |
| IG Mackenzie Mutual of Canada                                                                  | MB                    |                  |          |
| IG Mackenzie North American Corporate Bond Fund                                                | MB                    |                  |          |
| IG Mackenzie North American Equity Fund                                                        | MB                    |                  |          |
| IG Mackenzie Pacific International Fund                                                        | MB                    |                  |          |
| IG Mackenzie Pacific International Fund II                                                     | MB                    |                  |          |
| IG Mackenzie Pan Asian Equity Fund                                                             | MB                    |                  |          |
| IG Mackenzie Real Property Fund (formerly Investors Real Property Fund)                        | MB                    |                  |          |
| IG Mackenzie Strategic Income Fund                                                             | MB                    |                  |          |
| IG Mackenzie U.S. Dividend Registered Fund                                                     | MB                    |                  |          |
| IG Mackenzie U.S. Dollar Fund - Global Equity                                                  | MB                    |                  |          |
| IG Mackenzie U.S. Dollar Fund - Global Equity Balanced                                         | MB                    |                  |          |
| IG Mackenzie U.S. Dollar Fund - Global Fixed Income Balanced                                   | MB                    |                  |          |
| IG Mackenzie U.S. Dollar Fund - Global Neutral Balanced                                        | MB                    |                  |          |
| IG Mackenzie U.S. Equity Fund (formerly IG Mackenzie Core U.S. Equity Fund)                    | MB                    |                  |          |
| IG Mackenzie U.S. Money Market Fund                                                            | MB                    |                  |          |
| IG Mackenzie U.S. Small-Mid Cap Growth Fund                                                    | MB                    |                  |          |
| IG Mackenzie U.S. Small-Mid Cap Growth Fund II                                                 | MB                    |                  |          |

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| Name / Dénomination                                       | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|-----------------------------------------------------------|-----------------------|------------------------------|----------|
| IG Manulife Strategic Income Fund                         | MB                    |                              |          |
| IG PIMCO Global Bond Fund                                 | MB                    |                              |          |
| IG Putnam U.S. Growth Fund                                | MB                    |                              |          |
| IG Putnam U.S. High Yield Income Fund                     | MB                    |                              |          |
| IG T. Rowe Price U.S. Large Cap Equity Fund               | MB                    |                              |          |
| IG Target Education 2030 Portfolio                        | MB                    |                              |          |
| IG Target Education 2035 Portfolio                        | MB                    |                              |          |
| IG Target Education 2040 Portfolio                        | MB                    |                              |          |
| IG U.S. Taxpayer Portfolio - Global Equity                | MB                    |                              |          |
| IG U.S. Taxpayer Portfolio - Global Equity Balanced       | MB                    |                              |          |
| IG U.S. Taxpayer Portfolio - Global Fixed Income Balanced | MB                    |                              |          |
| IG U.S. Taxpayer Portfolio - Global Neutral Balanced      | MB                    |                              |          |
| IGM Financial Inc.                                        | MB                    |                              |          |
| illumin Holdings Inc.                                     | ON                    |                              |          |
| IM CANNABIS CORP.                                         | BC                    |                              |          |
| IMAX Corporation                                          | ON                    |                              |          |
| imaxx Canadian Fixed Pay Fund                             | QC                    |                              |          |
| imaxx Short Term Bond Fund                                | QC                    |                              |          |
| ImmunoPrecise Antibodies Ltd.                             | BC                    |                              |          |
| Impax Energy Services Income Trust                        | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Imperial Canadian Bond Pool                               | ON                    |                              |          |
| Imperial Canadian Diversified Income Pool                 | ON                    |                              |          |
| Imperial Canadian Dividend Income Pool                    | ON                    |                              |          |
| Imperial Canadian Equity Pool                             | ON                    |                              |          |
| Imperial Emerging Economies Pool                          | ON                    |                              |          |
| Imperial Equity High Income Pool                          | ON                    |                              |          |
| Imperial Global Equity Income Pool                        | ON                    |                              |          |
| Imperial International Bond Pool                          | ON                    |                              |          |
| Imperial International Equity Pool                        | ON                    |                              |          |
| Imperial Money Market Pool                                | ON                    |                              |          |
| Imperial Oil Limited                                      | AB                    |                              |          |
| Imperial Overseas Equity Pool                             | ON                    |                              |          |
| Imperial Short-Term Bond Pool                             | ON                    |                              |          |
| Imperial U.S. Equity Pool                                 | ON                    |                              |          |
| IMRIS Inc.                                                | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |

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| Name / Dénomination                                        | Principal/ Principale | Default / Défaut                   | CTO/ IOV |
|------------------------------------------------------------|-----------------------|------------------------------------|----------|
| Income 100 Fund                                            | ON                    |                                    |          |
| Income 20/80 Fund                                          | ON                    |                                    |          |
| Income 40/60 Fund                                          | ON                    |                                    |          |
| Income Balanced Pool (formerly, Income Balanced Fund)      | ON                    |                                    |          |
| Income Financial Trust                                     | ON                    |                                    |          |
| INDEXPLUS Income Fund                                      | ON                    |                                    |          |
| Indiva Limited                                             | ON                    | 1(a), 1(b), 1(c), 1(e)             |          |
| INDIVIDUAL Plan                                            | QC                    |                                    |          |
| INEO Tech Corp.                                            | BC                    |                                    |          |
| Infinico Metals Corp.                                      | BC                    |                                    |          |
| Information Services Corporation                           | SK                    |                                    |          |
| Infowave Software, Inc.                                    | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e), 1(g) |          |
| Infrastructure Dividend Split Corp.                        | ON                    |                                    |          |
| Innergex Renewable Energy Inc.                             | QC                    |                                    |          |
| InnoCan Pharma Corporation                                 | AB                    |                                    |          |
| Innovative Composites International Inc.                   | ON                    | 1(a), 1(b), 1(c), 1(e)             |          |
| Inovalis Real Estate Investment Trust                      | ON                    |                                    |          |
| InPlay Oil Corp.                                           | AB                    |                                    |          |
| INSCAPE Corporation                                        | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| Intact Financial Corporation                               | ON                    |                                    |          |
| Integra Resources Corp.                                    | BC                    |                                    |          |
| INTELLIPHARMACEUTICS INTERNATIONAL INC.                    | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| Inter Pipeline Ltd.                                        | AB                    |                                    |          |
| Interfor Corporation                                       | BC                    |                                    |          |
| Intermap Technologies Corporation                          | AB                    |                                    |          |
| International Equity Alpha Corporate Class                 | ON                    |                                    |          |
| International Equity Alpha Pool                            | ON                    |                                    |          |
| International Equity Fund (formerly EAFE Equity Fund)      | ON                    |                                    |          |
| International Equity Growth Corporate Class                | ON                    |                                    |          |
| International Equity Growth Pool                           | ON                    |                                    |          |
| International Equity Managed Class                         | ON                    |                                    |          |
| International Equity Managed Pool                          | ON                    |                                    |          |
| International Equity Value Corporate Class                 | ON                    |                                    |          |
| International Equity Value Currency Hedged Corporate Class | ON                    |                                    |          |
| International Equity Value Currency Hedged Pool            | ON                    |                                    |          |

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| Name / Dénomination                                                                     | Principal/ Principale | Default / Défaut                   | CTO/ IOV |
|-----------------------------------------------------------------------------------------|-----------------------|------------------------------------|----------|
| International Equity Value Pool                                                         | ON                    |                                    |          |
| International Wallcoverings Inc.                                                        | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e), 1(g) |          |
| InterRent Real Estate Investment Trust                                                  | ON                    |                                    |          |
| Intrepid Metals Corp.                                                                   | BC                    |                                    |          |
| Invesco 1-5 Year Laddered Corporate Bond Index ETF Fund                                 | ON                    |                                    |          |
| Invesco 1-5 Year Laddered Investment Grade Corporate Bond Index ETF                     | ON                    |                                    |          |
| Invesco American Franchise Class                                                        | ON                    |                                    |          |
| Invesco American Franchise Fund                                                         | ON                    |                                    |          |
| Invesco Balanced ETF Portfolio                                                          | ON                    |                                    |          |
| Invesco Balanced Growth Portfolio                                                       | ON                    |                                    |          |
| Invesco Balanced Growth Portfolio Class                                                 | ON                    |                                    |          |
| Invesco Balanced Income Portfolio                                                       | ON                    |                                    |          |
| Invesco Balanced Income Portfolio Class                                                 | ON                    |                                    |          |
| Invesco Canada Money Market Fund                                                        | ON                    |                                    |          |
| Invesco Canadian Class                                                                  | ON                    |                                    |          |
| Invesco Canadian Core Plus Bond ETF                                                     | ON                    |                                    |          |
| Invesco Canadian Core Plus Bond Fund                                                    | ON                    |                                    |          |
| Invesco Canadian Dividend Index ETF (formerly, PowerShares Canadian Dividend Index ETF) | ON                    |                                    |          |
| Invesco Canadian Dividend Index ETF Class                                               | ON                    |                                    |          |
| Invesco Canadian Fund                                                                   | ON                    |                                    |          |
| Invesco Canadian Government Floating Rate Index ETF                                     | ON                    |                                    |          |
| Invesco Canadian Plus Dividend Class                                                    | ON                    |                                    |          |
| Invesco Canadian Premier Balanced Class                                                 | ON                    |                                    |          |
| Invesco Canadian Premier Balanced Fund                                                  | ON                    |                                    |          |
| Invesco Conservative ETF Portfolio                                                      | ON                    |                                    |          |
| Invesco Developing Markets Class                                                        | ON                    |                                    |          |
| Invesco Developing Markets Fund                                                         | ON                    |                                    |          |
| Invesco Diversified Income Portfolio                                                    | ON                    |                                    |          |
| Invesco Diversified Income Portfolio Class                                              | ON                    |                                    |          |
| Invesco Diversified Yield Class                                                         | ON                    |                                    |          |
| Invesco EQV Canadian Premier Equity Class                                               | ON                    |                                    |          |
| Invesco EQV Canadian Premier Equity Fund                                                | ON                    |                                    |          |
| Invesco EQV European Equity Class                                                       | ON                    |                                    |          |
| Invesco EQV European Equity Fund                                                        | ON                    |                                    |          |

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| Name / Dénomination                                                                                                      | Principal/ Principale | Default / Défaut | CTO/ IOV |
|--------------------------------------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| Invesco EQV International Equity Class                                                                                   | ON                    |                  |          |
| Invesco EQV International Equity Fund                                                                                    | ON                    |                  |          |
| Invesco ESG Canadian Core Plus Bond ETF (formerly, Invesco Tactical Bond ETF)                                            | ON                    |                  |          |
| Invesco ESG Canadian Core Plus Bond ETF Fund                                                                             | ON                    |                  |          |
| Invesco ESG Global Bond ETF                                                                                              | ON                    |                  |          |
| Invesco ESG NASDAQ 100 Index ETF                                                                                         | ON                    |                  |          |
| Invesco ESG NASDAQ Next Gen 100 Index ETF                                                                                | ON                    |                  |          |
| Invesco Floating Rate Income Fund                                                                                        | ON                    |                  |          |
| Invesco Fundamental High Yield Corporate Bond Index ETF (formerly, PowerShares Fundamental High Yield Corporate Bond Ind | ON                    |                  |          |
| Invesco Global Balanced Class                                                                                            | ON                    |                  |          |
| Invesco Global Balanced ESG ETF Fund                                                                                     | ON                    |                  |          |
| Invesco Global Balanced Fund                                                                                             | ON                    |                  |          |
| Invesco Global Bond ETF                                                                                                  | ON                    |                  |          |
| Invesco Global Bond Fund                                                                                                 | ON                    |                  |          |
| Invesco Global Companies Fund                                                                                            | ON                    |                  |          |
| Invesco Global Diversified Income Fund                                                                                   | ON                    |                  |          |
| Invesco Global Dividend Class                                                                                            | ON                    |                  |          |
| Invesco Global Dividend ESG ETF Fund                                                                                     | ON                    |                  |          |
| Invesco Global Equity Income Advantage Fund                                                                              | ON                    |                  |          |
| Invesco Global Opportunities Class                                                                                       | ON                    |                  |          |
| Invesco Global Real Estate Fund                                                                                          | ON                    |                  |          |
| Invesco Global Select Equity Class                                                                                       | ON                    |                  |          |
| Invesco Global Select Equity Fund                                                                                        | ON                    |                  |          |
| Invesco Growth ETF Portfolio                                                                                             | ON                    |                  |          |
| Invesco Growth Portfolio                                                                                                 | ON                    |                  |          |
| Invesco Growth Portfolio Class                                                                                           | ON                    |                  |          |
| Invesco Income Growth Fund                                                                                               | ON                    |                  |          |
| Invesco International Developed Dynamic-Multifactor Index ETF                                                            | ON                    |                  |          |
| Invesco LadderRite U.S. 0-5 Year Corporate Bond Index ETF (formerly, PowerShares LadderRite U.S. 0-5 Yr Corp Bond Index  | ON                    |                  |          |
| Invesco Long Term Government Bond Index ETF (formerly, PowerShares Ultra Liquid Long Term Government Bond Index ETF)     | ON                    |                  |          |
| Invesco Low Volatility Portfolio ETF (formerly, PowerShares Low Volatility Portfolio ETF)                                | ON                    |                  |          |

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| Name / Dénomination                                                                               | Principal/ Principale | Default / Défaut | CTO/ IOV |
|---------------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| Invesco Main Street U.S. Small Cap Class                                                          | ON                    |                  |          |
| Invesco Managed Futures Fund                                                                      | ON                    |                  |          |
| Invesco Maximum Growth Portfolio                                                                  | ON                    |                  |          |
| Invesco Maximum Growth Portfolio Class                                                            | ON                    |                  |          |
| Invesco Monthly Income ETF Portfolio                                                              | ON                    |                  |          |
| Invesco Morningstar Global Energy Transition Index ETF                                            | ON                    |                  |          |
| Invesco Morningstar Global Next Gen AI Index ETF                                                  | ON                    |                  |          |
| Invesco NASDAQ 100 Equal Weight Index ETF                                                         | ON                    |                  |          |
| Invesco NASDAQ 100 Income Advantage ETF                                                           | ON                    |                  |          |
| Invesco NASDAQ 100 Index ETF (formerly, Invesco QQQ Index ETF)                                    | ON                    |                  |          |
| Invesco NASDAQ 100 Index ETF Fund                                                                 | ON                    |                  |          |
| Invesco NASDAQ Next Gen 100 Index ETF                                                             | ON                    |                  |          |
| Invesco Oppenheimer International Growth Class                                                    | ON                    |                  |          |
| Invesco Oppenheimer International Growth Fund                                                     | ON                    |                  |          |
| Invesco Pure Canadian Equity Class                                                                | ON                    |                  |          |
| Invesco Pure Canadian Equity Fund                                                                 | ON                    |                  |          |
| Invesco RAFI Canadian Index ETF                                                                   | ON                    |                  |          |
| Invesco RAFI Canadian Index ETF Class                                                             | ON                    |                  |          |
| Invesco RAFI Global Small-Mid ETF                                                                 | ON                    |                  |          |
| Invesco RAFI Global+ ETF Fund                                                                     | ON                    |                  |          |
| Invesco RAFI U.S. ETF Fund                                                                        | ON                    |                  |          |
| Invesco RAFI U.S. Index ETF                                                                       | ON                    |                  |          |
| Invesco RAFI U.S. Index ETF II                                                                    | ON                    |                  |          |
| Invesco Russell 1000 Dynamic-Multifactor Index ETF                                                | ON                    |                  |          |
| Invesco S&P 500 Equal Weight Income Advantage ETF                                                 | ON                    |                  |          |
| Invesco S&P 500 Equal Weight Index ETF                                                            | ON                    |                  |          |
| Invesco S&P 500 ESG Index ETF                                                                     | ON                    |                  |          |
| Invesco S&P 500 ESG Index ETF Fund                                                                | ON                    |                  |          |
| Invesco S&P 500 ESG Tilt Index ETF                                                                | ON                    |                  |          |
| Invesco S&P 500 Low Volatility Index ETF (formerly, PowerShares S&P 500 Low Volatility Index ETF) | ON                    |                  |          |
| Invesco S&P Europe 350 Equal Weight Index ETF                                                     | ON                    |                  |          |
| Invesco S&P International Developed Dividend Aristocrats ESG Index ETF                            | ON                    |                  |          |
| Invesco S&P International Developed ESG Index ETF                                                 | ON                    |                  |          |
| Invesco S&P International Developed ESG Tilt Index ETF                                            | ON                    |                  |          |



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| Name / Dénomination                                                                                                   | Principal/ Principale | Default / Défaut              | CTO/ IOV |
|-----------------------------------------------------------------------------------------------------------------------|-----------------------|-------------------------------|----------|
| Invesco S&P US Dividend Aristocrats ESG Index ETF                                                                     | ON                    |                               |          |
| Invesco S&P US Total Market ESG Index ETF                                                                             | ON                    |                               |          |
| Invesco S&P US Total Market ESG Tilt Index ETF                                                                        | ON                    |                               |          |
| Invesco S&P/TSX 60 Equal Weight Index ETF                                                                             | ON                    |                               |          |
| Invesco S&P/TSX 60 ESG Tilt Index ETF                                                                                 | ON                    |                               |          |
| Invesco S&P/TSX Canadian Dividend Aristocrats ESG Index ETF                                                           | ON                    |                               |          |
| Invesco S&P/TSX Composite ESG Index ETF                                                                               | ON                    |                               |          |
| Invesco S&P/TSX Composite ESG Index ETF Class                                                                         | ON                    |                               |          |
| Invesco S&P/TSX Composite ESG Tilt Index ETF                                                                          | ON                    |                               |          |
| Invesco S&P/TSX Composite Low Volatility Index ETF (formerly, PowerShares S&P/TSX Composite Low Volatility Index ETF) | ON                    |                               |          |
| Invesco Select Balanced Fund                                                                                          | ON                    |                               |          |
| Invesco Select Canadian Equity Fund                                                                                   | ON                    |                               |          |
| Invesco Strategic Yield Fund                                                                                          | ON                    |                               |          |
| Invesco Unconstrained Bond Fund                                                                                       | ON                    |                               |          |
| Invesco US Treasury Floating Rate Note Index ETF (USD)                                                                | ON                    |                               |          |
| Invesque Inc.                                                                                                         | ON                    |                               |          |
| <b>Iona Energy Inc</b>                                                                                                | <b>AB</b>             | <b>1(a), 1(b), 1(c), 1(e)</b> |          |
| Ionik Corporation                                                                                                     | ON                    |                               |          |
| IPC Conservative Income Portfolio                                                                                     | ON                    |                               |          |
| IPC Focus Equity Portfolio                                                                                            | ON                    |                               |          |
| IPC Private Wealth Visio Balanced Growth Pool                                                                         | ON                    |                               |          |
| IPC Private Wealth Visio Balanced Income Pool                                                                         | ON                    |                               |          |
| IPC Private Wealth Visio Balanced Pool                                                                                | ON                    |                               |          |
| IPC Private Wealth Visio Core Fixed Income                                                                            | ON                    |                               |          |
| IPC Private Wealth Visio Global Advantage Balanced Pool                                                               | ON                    |                               |          |
| IPC Private Wealth Visio Global Opportunities Balanced Pool                                                           | ON                    |                               |          |
| IPC Private Wealth Visio Growth Pool                                                                                  | ON                    |                               |          |
| IPC Private Wealth Visio Income Pool                                                                                  | ON                    |                               |          |
| IPC Private Wealth Visio North American Equity                                                                        | ON                    |                               |          |
| IPC Private Wealth Visio North American Equity High Income                                                            | ON                    |                               |          |
| iProfile Active Allocation Private Pool I                                                                             | MB                    |                               |          |
| iProfile Active Allocation Private Pool II                                                                            | MB                    |                               |          |
| iProfile Active Allocation Private Pool III                                                                           | MB                    |                               |          |
| iProfile Active Allocation Private Pool IV                                                                            | MB                    |                               |          |
| iProfile Alternatives Private Pool                                                                                    | MB                    |                               |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                                         | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|-----------------------------------------------------------------------------|-----------------------|------------------------------|----------|
| iProfile Canadian Dividend and Income Equity Private Pool                   | MB                    |                              |          |
| iProfile Canadian Equity Private Pool                                       | MB                    |                              |          |
| iProfile Emerging Markets Private Pool                                      | MB                    |                              |          |
| iProfile Enhanced Monthly Income Portfolio – Canadian Fixed Income Balanced | MB                    |                              |          |
| iProfile Enhanced Monthly Income Portfolio – Canadian Neutral Balanced      | MB                    |                              |          |
| iProfile ETF Private Pool                                                   | MB                    |                              |          |
| iProfile Fixed Income Private Pool                                          | MB                    |                              |          |
| iProfile International Equity Private Pool                                  | MB                    |                              |          |
| iProfile Low Volatility Private Pool                                        | MB                    |                              |          |
| iProfile Portfolio - Global Equity                                          | MB                    |                              |          |
| iProfile Portfolio - Global Equity Balanced                                 | MB                    |                              |          |
| iProfile Portfolio - Global Fixed Income Balanced                           | MB                    |                              |          |
| iProfile Portfolio - Global Neutral Balanced                                | MB                    |                              |          |
| iProfile U.S. Equity Private Pool                                           | MB                    |                              |          |
| Irving Resources Inc.                                                       | BC                    |                              |          |
| ISE Limited                                                                 | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| iShares 0-5 Year TIPS Bond Index ETF                                        | ON                    |                              |          |
| iShares 0-5 Year TIPS Bond Index ETF (CAD-Hedged)                           | ON                    |                              |          |
| iShares 1-10 Year Laddered Corporate Bond Index ETF                         | ON                    |                              |          |
| iShares 1-10 Year Laddered Government Bond Index ETF                        | ON                    |                              |          |
| iShares 1-5 Year Laddered Corporate Bond Index ETF                          | ON                    |                              |          |
| iShares 1-5 Year Laddered Government Bond Index ETF                         | ON                    |                              |          |
| iShares 1-5 Year U.S. IG Corporate Bond Index ETF                           | ON                    |                              |          |
| iShares 1-5 Year U.S. IG Corporate Bond Index ETF (CAD-Hedged)              | ON                    |                              |          |
| iShares 20+ Year U.S. Treasury Bond Index ETF                               | ON                    |                              |          |
| iShares 20+ Year U.S. Treasury Bond Index ETF (CAD-Hedged)                  | ON                    |                              |          |
| iShares Bitcoin ETF                                                         | ON                    |                              |          |
| iShares Canadian Financial Monthly Income ETF                               | ON                    |                              |          |
| iShares Canadian Fundamental Index ETF                                      | ON                    |                              |          |
| iShares Canadian Growth Index ETF                                           | ON                    |                              |          |
| iShares Canadian HYBrid Corporate Bond Index ETF                            | ON                    |                              |          |
| iShares Canadian Real Return Bond Index ETF                                 | ON                    |                              |          |
| iShares Canadian Select Dividend Index ETF                                  | ON                    |                              |          |
| iShares Canadian Value Index ETF                                            | ON                    |                              |          |

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| Name / Dénomination                                                                                    | Principal/ Principale | Default / Défaut | CTO/ IOV |
|--------------------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| iShares China Index ETF                                                                                | ON                    |                  |          |
| iShares Conservative Short Term Strategic Fixed Income ETF                                             | ON                    |                  |          |
| iShares Conservative Strategic Fixed Income ETF                                                        | ON                    |                  |          |
| iShares Convertible Bond Index ETF                                                                     | ON                    |                  |          |
| iShares Core Balanced ETF Portfolio                                                                    | ON                    |                  |          |
| iShares Core Canadian 15+ Year Federal Bond Index ETF                                                  | ON                    |                  |          |
| iShares Core Canadian Corporate Bond Index ETF (formerly, iShares Canadian Corporate Bond Index ETF)   | ON                    |                  |          |
| iShares Core Canadian Government Bond Index ETF (formerly, iShares Canadian Government Bond Index ETF) | ON                    |                  |          |
| iShares Core Canadian Long Term Bond Index ETF                                                         | ON                    |                  |          |
| iShares Core Canadian Short Term Bond Index ETF                                                        | ON                    |                  |          |
| iShares Core Canadian Short Term Corporate Bond Index ETF                                              | ON                    |                  |          |
| iShares Core Canadian Short-Mid Term Universe Bond Index ETF                                           | ON                    |                  |          |
| iShares Core Canadian Universe Bond Index ETF                                                          | ON                    |                  |          |
| iShares Core Conservative Balanced ETF Portfolio                                                       | ON                    |                  |          |
| iShares Core Equity ETF Portfolio                                                                      | ON                    |                  |          |
| iShares Core Growth ETF Portfolio                                                                      | ON                    |                  |          |
| iShares Core Income Balanced ETF Portfolio                                                             | ON                    |                  |          |
| iShares Core MSCI All Country World ex Canada Index ETF                                                | ON                    |                  |          |
| iShares Core MSCI Canadian Quality Dividend Index ETF                                                  | ON                    |                  |          |
| iShares Core MSCI EAFE IMI Index ETF                                                                   | ON                    |                  |          |
| iShares Core MSCI EAFE IMI Index ETF (CAD-Hedged)                                                      | ON                    |                  |          |
| iShares Core MSCI Emerging Markets IMI Index ETF                                                       | ON                    |                  |          |
| iShares Core MSCI Global Quality Dividend Index ETF                                                    | ON                    |                  |          |
| iShares Core MSCI Global Quality Dividend Index ETF (CAD-Hedged)                                       | ON                    |                  |          |
| iShares Core MSCI US Quality Dividend Index ETF                                                        | ON                    |                  |          |
| iShares Core MSCI US Quality Dividend Index ETF (CAD-Hedged)                                           | ON                    |                  |          |
| iShares Core S&P 500 Index ETF                                                                         | ON                    |                  |          |
| iShares Core S&P 500 Index ETF (CAD-Hedged)                                                            | ON                    |                  |          |
| iShares Core S&P Total U.S. Stock Market Index ETF                                                     | ON                    |                  |          |
| iShares Core S&P Total U.S. Stock Market Index ETF (CAD-Hedged)                                        | ON                    |                  |          |
| iShares Core S&P U.S. Total Market Index ETF                                                           | ON                    |                  |          |
| iShares Core S&P U.S. Total Market Index ETF (CAD-Hedged)                                              | ON                    |                  |          |
| iShares Core S&P/TSX Capped Composite Index ETF                                                        | ON                    |                  |          |

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| Name / Dénomination                                                                                             | Principal/ Principale | Default / Défaut | CTO/ IOV |
|-----------------------------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| iShares Cybersecurity and Tech Index ETF                                                                        | ON                    |                  |          |
| iShares Diversified Monthly Income ETF                                                                          | ON                    |                  |          |
| iShares Emerging Markets Fundamental Index ETF                                                                  | ON                    |                  |          |
| iShares Equal Weight Banc & Lifeco ETF                                                                          | ON                    |                  |          |
| iShares ESG Advanced 1-5 Year Canadian Corporate Bond Index ETF                                                 | ON                    |                  |          |
| iShares ESG Advanced Canadian Corporate Bond Index ETF                                                          | ON                    |                  |          |
| iShares ESG Advanced MSCI Canada Index ETF                                                                      | ON                    |                  |          |
| iShares ESG Advanced MSCI EAFE Index ETF                                                                        | ON                    |                  |          |
| iShares ESG Advanced MSCI USA Index ETF                                                                         | ON                    |                  |          |
| iShares ESG Aware Canadian Aggregate Bond Index ETF (formerly, iShares ESG Canadian Aggregate Bond Index ETF)   | ON                    |                  |          |
| iShares ESG Aware Canadian Short Term Bond Index ETF (formerly, iShares ESG Canadian Short Term Bond Index ETF) | ON                    |                  |          |
| iShares ESG Aware MSCI Canada Index ETF                                                                         | ON                    |                  |          |
| iShares ESG Aware MSCI EAFE Index ETF                                                                           | ON                    |                  |          |
| iShares ESG Aware MSCI Emerging Markets Index ETF                                                               | ON                    |                  |          |
| iShares ESG Aware MSCI USA Index ETF                                                                            | ON                    |                  |          |
| iShares ESG Balanced ETF Portfolio                                                                              | ON                    |                  |          |
| iShares ESG Conservative Balanced ETF Portfolio                                                                 | ON                    |                  |          |
| iShares ESG Equity ETF Portfolio                                                                                | ON                    |                  |          |
| iShares ESG Growth ETF Portfolio                                                                                | ON                    |                  |          |
| iShares Exponential Technologies Index ETF                                                                      | ON                    |                  |          |
| iShares Flexible Monthly Income ETF                                                                             | ON                    |                  |          |
| iShares Flexible Monthly Income ETF (CAD-Hedged)                                                                | ON                    |                  |          |
| iShares Floating Rate Index ETF                                                                                 | ON                    |                  |          |
| iShares Genomics Immunology and Healthcare Index ETF                                                            | ON                    |                  |          |
| iShares Global Agriculture Index ETF                                                                            | ON                    |                  |          |
| iShares Global Clean Energy Index ETF                                                                           | ON                    |                  |          |
| iShares Global Electric and Autonomous Vehicles Index ETF                                                       | ON                    |                  |          |
| iShares Global Government Bond Index ETF (CAD-Hedged)                                                           | ON                    |                  |          |
| iShares Global Healthcare Index ETF (CAD-Hedged)                                                                | ON                    |                  |          |
| iShares Global Infrastructure Index ETF                                                                         | ON                    |                  |          |
| iShares Global Monthly Dividend Index ETF (CAD-Hedged)                                                          | ON                    |                  |          |
| iShares Global Real Estate Index ETF                                                                            | ON                    |                  |          |
| iShares Global Water Index ETF                                                                                  | ON                    |                  |          |
| iShares Gold Bullion ETF                                                                                        | ON                    |                  |          |

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| Name / Dénomination                                                  | Principal/ Principale | Default / Défaut | CTO/ IOV |
|----------------------------------------------------------------------|-----------------------|------------------|----------|
| iShares High Quality Canadian Bond Index ETF                         | ON                    |                  |          |
| iShares India Index ETF                                              | ON                    |                  |          |
| iShares International Fundamental Index ETF                          | ON                    |                  |          |
| iShares J.P. Morgan USD Emerging Markets Bond Index ETF (CAD-Hedged) | ON                    |                  |          |
| iShares Jantzi Social Index ETF                                      | ON                    |                  |          |
| iShares Japan Fundamental Index ETF (CAD-Hedged)                     | ON                    |                  |          |
| iShares MSCI EAFE Index ETF (CAD-Hedged)                             | ON                    |                  |          |
| iShares MSCI Emerging Markets ex China Index ETF                     | ON                    |                  |          |
| iShares MSCI Emerging Markets Index ETF                              | ON                    |                  |          |
| iShares MSCI Europe IMI Index ETF                                    | ON                    |                  |          |
| iShares MSCI Europe IMI Index ETF (CAD-Hedged)                       | ON                    |                  |          |
| iShares MSCI Min Vol Canada Index ETF                                | ON                    |                  |          |
| iShares MSCI Min Vol EAFE Index ETF                                  | ON                    |                  |          |
| iShares MSCI Min Vol EAFE Index ETF (CAD-Hedged)                     | ON                    |                  |          |
| iShares MSCI Min Vol Emerging Markets Index ETF                      | ON                    |                  |          |
| iShares MSCI Min Vol Global Index ETF                                | ON                    |                  |          |
| iShares MSCI Min Vol Global Index ETF (CAD-Hedged)                   | ON                    |                  |          |
| iShares MSCI Min Vol USA Index ETF                                   | ON                    |                  |          |
| iShares MSCI Min Vol USA Index ETF (CAD-Hedged)                      | ON                    |                  |          |
| iShares MSCI USA Momentum Factor Index ETF                           | ON                    |                  |          |
| iShares MSCI USA Quality Factor Index ETF                            | ON                    |                  |          |
| iShares MSCI USA Value Factor Index ETF                              | ON                    |                  |          |
| iShares MSCI World Index ETF                                         | ON                    |                  |          |
| iShares NASDAQ 100 Index ETF                                         | ON                    |                  |          |
| iShares NASDAQ 100 Index ETF (CAD-Hedged)                            | ON                    |                  |          |
| iShares Premium Money Market ETF                                     | ON                    |                  |          |
| iShares S&P 500 3% Capped Index ETF                                  | ON                    |                  |          |
| iShares S&P 500 3% Capped Index ETF (CAD-Hedged)                     | ON                    |                  |          |
| iShares S&P Global Consumer Discretionary Index ETF (CAD-Hedged)     | ON                    |                  |          |
| iShares S&P Global Industrials Index ETF (CAD-Hedged)                | ON                    |                  |          |
| iShares S&P U.S. Financials Index ETF                                | ON                    |                  |          |
| iShares S&P U.S. Mid-Cap Index ETF                                   | ON                    |                  |          |
| iShares S&P U.S. Mid-Cap Index ETF (CAD-Hedged)                      | ON                    |                  |          |
| iShares S&P U.S. Small-Cap Index ETF                                 | ON                    |                  |          |

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|-----------------------------------------------------------------------|-----------------------|------------------|----------|
| iShares S&P U.S. Small-Cap Index ETF (CAD-Hedged)                     | ON                    |                  |          |
| iShares S&P/TSX 60 Index ETF                                          | ON                    |                  |          |
| iShares S&P/TSX Canadian Dividend Aristocrats Index ETF               | ON                    |                  |          |
| iShares S&P/TSX Canadian Preferred Share Index ETF                    | ON                    |                  |          |
| iShares S&P/TSX Capped Consumer Staples Index ETF                     | ON                    |                  |          |
| iShares S&P/TSX Capped Energy Index ETF                               | ON                    |                  |          |
| iShares S&P/TSX Capped Financials Index ETF                           | ON                    |                  |          |
| iShares S&P/TSX Capped Information Technology Index ETF               | ON                    |                  |          |
| iShares S&P/TSX Capped Materials Index ETF                            | ON                    |                  |          |
| iShares S&P/TSX Capped REIT Index ETF                                 | ON                    |                  |          |
| iShares S&P/TSX Capped Utilities Index ETF                            | ON                    |                  |          |
| iShares S&P/TSX Completion Index ETF                                  | ON                    |                  |          |
| iShares S&P/TSX Composite High Dividend Index ETF                     | ON                    |                  |          |
| iShares S&P/TSX Energy Transition Materials Index ETF                 | ON                    |                  |          |
| iShares S&P/TSX Global Base Metals Index ETF                          | ON                    |                  |          |
| iShares S&P/TSX Global Gold Index ETF                                 | ON                    |                  |          |
| iShares S&P/TSX North American Preferred Stock Index ETF (CAD-Hedged) | ON                    |                  |          |
| iShares S&P/TSX SmallCap Index ETF                                    | ON                    |                  |          |
| iShares Semiconductor Index ETF                                       | ON                    |                  |          |
| iShares Short Term Strategic Fixed Income ETF                         | ON                    |                  |          |
| iShares Silver Bullion ETF                                            | ON                    |                  |          |
| iShares U.S. Aerospace & Defense Index ETF                            | ON                    |                  |          |
| iShares U.S. Aggregate Bond Index ETF                                 | ON                    |                  |          |
| iShares U.S. Aggregate Bond Index ETF (CAD-Hedged)                    | ON                    |                  |          |
| iShares U.S. High Dividend Equity Index ETF                           | ON                    |                  |          |
| iShares U.S. High Dividend Equity Index ETF (CAD-Hedged)              | ON                    |                  |          |
| iShares U.S. High Yield Bond Index ETF (CAD-Hedged)                   | ON                    |                  |          |
| iShares U.S. IG Corporate Bond Index ETF                              | ON                    |                  |          |
| iShares U.S. IG Corporate Bond Index ETF (CAD-Hedged)                 | ON                    |                  |          |
| iShares U.S. Small Cap Index ETF (CAD-Hedged)                         | ON                    |                  |          |
| iShares US Dividend Growers Index ETF (CAD-Hedged)                    | ON                    |                  |          |
| iShares US Fundamental Index ETF                                      | ON                    |                  |          |
| IsoEnergy Ltd.                                                        | ON                    |                  |          |
| Itafos Inc.                                                           | ON                    |                  |          |

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| Name / Dénomination                              | Principal/ Principale | Default / Défaut                   | CTO/ IOV |
|--------------------------------------------------|-----------------------|------------------------------------|----------|
| itemus inc.                                      | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e), 1(g) |          |
| IVACO INC                                        | QC                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| Ivanhoe Electric Inc.                            | BC                    |                                    |          |
| Ivanhoe Energy Inc.                              | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| Ivanhoe Mines Ltd.                               | BC                    |                                    |          |
| Jade Power Trust                                 | ON                    |                                    |          |
| Jaguar Mining Inc.                               | ON                    |                                    |          |
| Jamieson Wellness Inc.                           | ON                    |                                    |          |
| Jayden Resources Inc.                            | BC                    |                                    |          |
| JC Clark High Income Opportunities Fund          | ON                    |                                    |          |
| Jeotex Inc.                                      | ON                    | 1(a), 1(b), 1(c), 1(e)             |          |
| Jervois Global Limited                           | BC                    | 1(a), 1(c), 1(d), 1(e)             |          |
| JFT Strategies Fund                              | ON                    |                                    |          |
| Journey Energy Inc.                              | AB                    |                                    |          |
| JPMorgan - IG Emerging Markets Pool II           | MB                    |                                    |          |
| JPMorgan (JPM) Yield Shares Purpose ETF          | ON                    |                                    |          |
| JPMorgan Global Select Equity Active ETF         | BC                    |                                    |          |
| JPMorgan Nasdaq Equity Premium Income Active ETF | BC                    |                                    |          |
| JPMorgan US Core Active ETF                      | BC                    |                                    |          |
| JPMorgan US Equity Premium Income Active ETF     | BC                    |                                    |          |
| JPMorgan US Growth Active ETF                    | BC                    |                                    |          |
| JPMorgan US Value Active ETF                     | BC                    |                                    |          |
| JUMBO PETROLEUM CORPORATION                      | ON                    | 1(a), 1(b), 1(c), 1(e)             |          |
| Jura Energy Corporation                          | AB                    |                                    |          |
| Jushi Holdings Inc.                              | ON                    |                                    |          |
| Kap Corporation                                  | ON                    |                                    |          |
| Karnalyte Resources Inc.                         | SK                    |                                    |          |
| K-Bro Linen Inc.                                 | AB                    |                                    |          |
| Kelt Exploration Ltd.                            | AB                    |                                    |          |
| Kew Media Group Inc.                             | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| Keyera Corp.                                     | AB                    |                                    |          |
| Khiron Life Sciences Corp.                       | ON                    | 1(a), 1(b), 1(c), 1(e)             |          |
| Kiboko Gold Inc.                                 | BC                    |                                    |          |
| Killam Apartment Limited Partnership             | NS                    |                                    |          |
| Killam Apartment Real Estate Investment Trust    | NS                    |                                    |          |

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|----------------------------------------------------------|-----------------------|------------------------------|----------|
| Kinaxis Inc.                                             | ON                    |                              |          |
| Kingsway Financial Services Inc.                         | ON                    |                              |          |
| KINGWEST AVENUE PORTFOLIO                                | ON                    |                              |          |
| Kingwest Canadian Equity Portfolio                       | ON                    |                              |          |
| KINGWEST U.S. EQUITY PORTFOLIO                           | ON                    |                              |          |
| Kinross Gold Corporation                                 | ON                    |                              |          |
| Kits Eyecare Ltd.                                        | BC                    |                              |          |
| Kiwetinohk Energy Corp.                                  | AB                    |                              |          |
| kneat.com, inc.                                          | ON                    |                              |          |
| Knight Therapeutics Inc.                                 | QC                    |                              |          |
| KOLOMBO TECHNOLOGIES LTD.                                | QC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Kontrol Technologies Corp.                               | ON                    |                              |          |
| Kootenay Silver Inc.                                     | BC                    |                              |          |
| KORE Mining Ltd.                                         | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| KP Tissue Inc.                                           | ON                    |                              |          |
| Kraken Robotics Inc.                                     | ON                    |                              |          |
| Kure Technologies, Inc.                                  | ON                    |                              |          |
| KWESST Micro Systems Inc.                                | ON                    |                              |          |
| LAB Research Inc.                                        | QC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Labrador Iron Mines Holdings Limited                     | ON                    |                              |          |
| Labrador Iron Ore Royalty Corporation                    | ON                    |                              |          |
| Landdrill International Inc.                             | NB (NB-PR)            | 1(a), 1(b), 1(c), 1(e)       | Yes      |
| Landmark Global Financial Corporation                    | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Lanesborough Real Estate Investment Trust                | MB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Langdon Canadian Smaller Companies Portfolio             | ON                    |                              |          |
| Langdon Global Smaller Companies Portfolio               | ON                    |                              |          |
| Lantower Residential Real Estate Development Trust No. 1 | ON                    |                              |          |
| Lantronix, Inc.                                          | BC                    |                              |          |
| Laramide Resources Ltd.                                  | ON                    |                              |          |
| Largo Inc.                                               | ON                    |                              |          |
| Laurentian Bank of Canada                                | QC                    |                              |          |
| Lavras Gold Corp.                                        | ON                    |                              |          |
| Lazard Defensive Global Dividend Fund                    | ON                    |                              |          |
| Lazard Global Balanced Income Fund                       | ON                    |                              |          |
| Lazard Global Compounders Fund                           | ON                    |                              |          |



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|---------------------------------------------------------------------------|-----------------------|------------------------|----------|
| Lazard International Compounders Fund                                     | ON                    |                        |          |
| Leafly Holdings, Inc.                                                     | ON                    |                        |          |
| Legacy Education Savings Plan formerly, Global Educational Trust Plan     | ON                    |                        |          |
| Legend Power Systems Inc.                                                 | BC                    |                        |          |
| Leith Wheeler Balanced Fund                                               | BC                    |                        |          |
| Leith Wheeler Canadian Dividend Fund                                      | BC                    |                        |          |
| Leith Wheeler Canadian Equity Fund                                        | BC                    |                        |          |
| Leith Wheeler Carbon Constrained Canadian Equity Fund                     | BC                    |                        |          |
| Leith Wheeler Corporate Advantage Fund                                    | BC                    |                        |          |
| Leith Wheeler High Yield Bond Fund                                        | BC                    |                        |          |
| Leith Wheeler Income Advantage Fund                                       | BC                    |                        |          |
| Leith Wheeler International Equity Plus Fund                              | BC                    |                        |          |
| Leith Wheeler Money Market Fund                                           | BC                    |                        |          |
| Leith Wheeler Multi Credit Fund                                           | BC                    |                        |          |
| Leith Wheeler Preferred Share Fund                                        | BC                    |                        |          |
| Leith Wheeler U.S. Small/Mid-Cap Equity Fund                              | BC                    |                        |          |
| LeoNovus Inc.                                                             | ON                    |                        |          |
| Leon's Furniture Limited                                                  | ON                    |                        |          |
| LexaGene Holdings Inc.                                                    | BC                    | 1(a), 1(b), 1(c), 1(e) |          |
| LGC Skyrota Wind Energy Corp.                                             | BC                    | 1(a), 1(b), 1(c), 1(e) |          |
| Liberty Defense Holdings, Ltd. (formerly, Gulfstream Acquisition 1 Corp.) | BC                    |                        |          |
| Liberty Gold Corp.                                                        | BC                    |                        |          |
| Life & Banc Split Corp.                                                   | ON                    |                        |          |
| Lifeist Wellness Inc.                                                     | ON                    |                        |          |
| LifeSpeak Inc.                                                            | ON                    |                        |          |
| Li-FT Power Ltd.                                                          | BC                    |                        |          |
| Light AI Inc., formerly, Mojave Brands Inc.                               | BC                    |                        |          |
| Lightspeed Commerce Inc.                                                  | QC                    |                        |          |
| Lightstream Resources Ltd.                                                | AB                    | 1(a), 1(b), 1(c), 1(e) |          |
| Lincluden Balanced Fund                                                   | ON                    |                        |          |
| Lion One Metals Limited                                                   | BC                    |                        |          |
| Lions Petroleum Inc.                                                      | ON                    | 1(a), 1(b), 1(c), 1(e) |          |
| Lipari Mining Ltd. formerly Golden Share Resources Corporation            | ON                    |                        |          |
| Liquid Alternative Fund                                                   | ON                    |                        |          |

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|---------------------------------------------------------------|-----------------------|------------------------------------|----------|
| Lithium Americas Corp. formerly 1397468 B.C. Ltd.             | BC                    |                                    |          |
| Lithium Argentina AG                                          | BC                    |                                    |          |
| Lithium ION Energy Ltd.                                       | ON                    |                                    |          |
| Lithium Royalty Corp.                                         | ON                    |                                    |          |
| Livent Inc.                                                   | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e), 1(g) |          |
| LNG Energy Group Corp.                                        | BC                    | 1(a), 1(b), 1(c), 1(e)             |          |
| Loblaw Companies Limited                                      | ON                    |                                    |          |
| Logan Energy Corp.                                            | AB                    |                                    |          |
| Logiq, Inc.                                                   | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| Loncor Gold Inc.                                              | ON                    |                                    |          |
| Long Duration Bond Fund                                       | ON                    |                                    |          |
| Longevity Pension Fund                                        | ON                    |                                    |          |
| Los Andes Copper Ltd.                                         | BC                    |                                    |          |
| Lowell Farms Inc.                                             | ON                    | 1(a), 1(b), 1(c), 1(e)             |          |
| LQWD Technologies Corp.                                       | BC                    |                                    |          |
| LSC Communications, Inc.                                      | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| Lucky Minerals Inc.                                           | BC                    | 1(a), 1(b), 1(c), 1(e)             |          |
| lululemon athletica inc.                                      | BC                    |                                    |          |
| Lumiera Health Inc. (formerly, Mondias Natural Products Inc.) | QC                    | 1(a), 1(b), 1(c), 1(e)             |          |
| Lumine Group Inc.                                             | ON                    |                                    |          |
| Lundin Gold Inc.                                              | BC                    |                                    |          |
| Lundin Mining Corporation                                     | ON                    |                                    |          |
| Lupaka Gold Corp.                                             | BC                    |                                    |          |
| Luxxfolio Holdings Inc.                                       | BC                    |                                    |          |
| LWP Capital Inc. (formerly Legumex Walker Inc.)               | MB                    | 1(a), 1(b), 1(c), 1(e)             |          |
| LXRandCo, Inc. (formerly Gibraltar Growth Corporation)        | QC                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| Lycos Energy Inc.                                             | AB                    |                                    |          |
| Lydian International Limited                                  | ON                    | 1(a), 1(b), 1(c), 1(e)             |          |
| Lysander All Canadian Balanced Fund                           | ON                    |                                    |          |
| Lysander All Canadian Equity Fund                             | ON                    |                                    |          |
| Lysander Balanced Income Fund                                 | ON                    |                                    |          |
| Lysander TDV Fund                                             | ON                    |                                    |          |
| Lysander-Canso All Country Long/Short Equity Fund             | ON                    |                                    |          |
| Lysander-Canso Balanced Fund                                  | ON                    |                                    |          |
| Lysander-Canso Bond Fund                                      | ON                    |                                    |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                   | Principal/ Principale | Default / Défaut                    | CTO/ IOV |
|-------------------------------------------------------|-----------------------|-------------------------------------|----------|
| Lysander-Canso Broad Corporate Bond Fund              | ON                    |                                     |          |
| Lysander-Canso Canadian Alumni Balanced Fund          | ON                    |                                     |          |
| Lysander-Canso Canadian Equity Fund                   | ON                    |                                     |          |
| Lysander-Canso Corporate Treasury ActivETF            | ON                    |                                     |          |
| Lysander-Canso Corporate Treasury Fund                | ON                    |                                     |          |
| Lysander-Canso Corporate Value Bond Fund              | ON                    |                                     |          |
| Lysander-Canso Credit Opportunities Fund              | ON                    |                                     |          |
| Lysander-Canso Equity Fund                            | ON                    |                                     |          |
| Lysander-Canso Floating Rate ActivETF                 | ON                    |                                     |          |
| Lysander-Canso Short Term and Floating Rate Fund      | ON                    |                                     |          |
| Lysander-Canso Strategic Loan Fund                    | ON                    |                                     |          |
| Lysander-Canso U.S. Corporate Treasury Fund           | ON                    |                                     |          |
| Lysander-Canso U.S. Corporate Value Bond Fund         | ON                    |                                     |          |
| Lysander-Canso U.S. Short Term and Floating Rate Fund | ON                    |                                     |          |
| Lysander-Crusader Equity Income Fund                  | ON                    |                                     |          |
| Lysander-Fulcra Corporate Securities Fund             | ON                    |                                     |          |
| Lysander-Patient Capital Equity Fund                  | ON                    |                                     |          |
| Lysander-Pembroke Canadian All Cap Equity Fund        | ON                    |                                     |          |
| Lysander-Pembroke U.S. Small-Mid Cap Fund             | ON                    |                                     |          |
| Lysander-Seamark Balanced Fund                        | ON                    |                                     |          |
| Lysander-Seamark Total Equity Fund                    | ON                    |                                     |          |
| Lysander-Slater Preferred Share ActivETF              | ON                    |                                     |          |
| Lysander-Slater Preferred Share Dividend Fund         | ON                    |                                     |          |
| Lysander-Triasima All Country Equity Fund             | ON                    |                                     |          |
| Lysander-Triasima Canadian Small Cap Equity Fund      | ON                    |                                     |          |
| M Split Corp.                                         | ON                    |                                     |          |
| <b>M8 Entertainment Inc</b>                           | <b>QC</b>             | <b>1(a), 1(b), 1(c), 1(d), 1(e)</b> |          |
| Mack Canadian Bond Pool                               | ON                    |                                     |          |
| Mack Canadian Equity Pool                             | ON                    |                                     |          |
| Mack Comprehensive Equity Pool                        | ON                    |                                     |          |
| Mack EAFE Equity Pool                                 | ON                    |                                     |          |
| Mack Global Bond Pool                                 | ON                    |                                     |          |
| Mack US Equity Pool                                   | ON                    |                                     |          |
| Mackenzie - IG Canadian Bond Pool                     | MB                    |                                     |          |
| Mackenzie - IG Canadian Corporate Bond Pool           | MB                    |                                     |          |

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| Name / Dénomination                                        | Principal/ Principale | Default / Défaut | CTO/ IOV |
|------------------------------------------------------------|-----------------------|------------------|----------|
| Mackenzie - IG Canadian Equity Income Pool                 | MB                    |                  |          |
| Mackenzie - IG Canadian Equity Pool                        | MB                    |                  |          |
| Mackenzie - IG Equity Pool                                 | MB                    |                  |          |
| Mackenzie - IG Global Bond Pool                            | MB                    |                  |          |
| Mackenzie - IG Income Pool                                 | MB                    |                  |          |
| Mackenzie - IG Low Volatility Canadian Equity Pool         | MB                    |                  |          |
| Mackenzie - IG Low Volatility Emerging Markets Equity Pool | MB                    |                  |          |
| Mackenzie - IG Low Volatility U.S. Equity Pool             | MB                    |                  |          |
| Mackenzie - IG U.S. Equity Pool                            | MB                    |                  |          |
| Mackenzie AAA CLO ETF                                      | ON                    |                  |          |
| Mackenzie All-Equity Allocation ETF                        | ON                    |                  |          |
| Mackenzie All-Equity ETF Portfolio                         | ON                    |                  |          |
| Mackenzie Alternative Enhanced Yield Fund                  | ON                    |                  |          |
| Mackenzie Asian Small-Mid Cap Fund                         | ON                    |                  |          |
| Mackenzie Balanced Allocation ETF                          | ON                    |                  |          |
| Mackenzie Balanced ETF Portfolio                           | ON                    |                  |          |
| Mackenzie Betterworld Canadian Equity Fund                 | ON                    |                  |          |
| Mackenzie Betterworld Global Equity Fund                   | ON                    |                  |          |
| Mackenzie Bluewater Canadian Growth Balanced Fund          | ON                    |                  |          |
| Mackenzie Bluewater Canadian Growth Fund                   | ON                    |                  |          |
| Mackenzie Bluewater Global Growth Balanced Fund            | ON                    |                  |          |
| Mackenzie Bluewater Global Growth Fund                     | ON                    |                  |          |
| Mackenzie Bluewater Next Gen Growth ETF                    | ON                    |                  |          |
| Mackenzie Bluewater Next Gen Growth Fund                   | ON                    |                  |          |
| Mackenzie Bluewater North American Balanced Fund           | ON                    |                  |          |
| Mackenzie Bluewater North American Equity Fund             | ON                    |                  |          |
| Mackenzie Bluewater US Growth Fund                         | ON                    |                  |          |
| Mackenzie Broad Risk Premia Collection Fund                | ON                    |                  |          |
| Mackenzie Canada Low Volatility ETF                        | ON                    |                  |          |
| Mackenzie Canadian Aggregate Bond Index ETF                | ON                    |                  |          |
| Mackenzie Canadian All Corporate Bond Index ETF            | ON                    |                  |          |
| Mackenzie Canadian Bond Fund                               | ON                    |                  |          |
| Mackenzie Canadian Dividend Fund                           | ON                    |                  |          |
| Mackenzie Canadian Enhanced Core Plus Fixed Income Fund    | ON                    |                  |          |
| Mackenzie Canadian Equity Fund                             | ON                    |                  |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                                                                       | Principal/ Principale | Default / Défaut | CTO/ IOV |
|-----------------------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| Mackenzie Canadian Equity Index ETF                                                                       | ON                    |                  |          |
| Mackenzie Canadian Government Long Bond Index ETF                                                         | ON                    |                  |          |
| Mackenzie Canadian Large Cap Equity Index ETF                                                             | ON                    |                  |          |
| Mackenzie Canadian Money Market Fund                                                                      | ON                    |                  |          |
| Mackenzie Canadian Short Term Fixed Income ETF                                                            | ON                    |                  |          |
| Mackenzie Canadian Short Term Income Fund                                                                 | ON                    |                  |          |
| Mackenzie Canadian Short-Term Bond Index ETF                                                              | ON                    |                  |          |
| Mackenzie Canadian Small Cap Fund                                                                         | ON                    |                  |          |
| Mackenzie Canadian Strategic Fixed Income ETF (formerly<br>Mackenzie Core Plus Canadian Fixed Income ETF) | ON                    |                  |          |
| Mackenzie Canadian Sustainable Bond Fund                                                                  | ON                    |                  |          |
| Mackenzie Canadian Ultra Short Bond Index ETF                                                             | ON                    |                  |          |
| Mackenzie China A-Shares CSI 300 Index ETF                                                                | ON                    |                  |          |
| Mackenzie ChinaAMC All China Bond Fund                                                                    | ON                    |                  |          |
| Mackenzie ChinaAMC All China Equity Fund                                                                  | ON                    |                  |          |
| Mackenzie ChinaAMC Multi-Asset Fund                                                                       | ON                    |                  |          |
| Mackenzie Conservative Allocation ETF                                                                     | ON                    |                  |          |
| Mackenzie Conservative ETF Portfolio                                                                      | ON                    |                  |          |
| Mackenzie Conservative Income ETF Portfolio                                                               | ON                    |                  |          |
| Mackenzie Core Plus Global Fixed Income ETF                                                               | ON                    |                  |          |
| Mackenzie Core Resources ETF                                                                              | ON                    |                  |          |
| Mackenzie Corporate Bond Fund                                                                             | ON                    |                  |          |
| Mackenzie Corporate Knights Global 100 Index ETF                                                          | ON                    |                  |          |
| Mackenzie Corporate Knights Global 100 Index Fund                                                         | ON                    |                  |          |
| Mackenzie Cundill Canadian Balanced Fund                                                                  | ON                    |                  |          |
| Mackenzie Cundill Canadian Security Fund                                                                  | ON                    |                  |          |
| Mackenzie Cundill Value Fund                                                                              | ON                    |                  |          |
| Mackenzie Cundill Value Fund II                                                                           | ON                    |                  |          |
| Mackenzie Developed ex-North America Aggregate Bond Index<br>ETF (CAD-Hedged)                             | ON                    |                  |          |
| Mackenzie Developed Markets Real Estate Index ETF                                                         | ON                    |                  |          |
| Mackenzie Diversified Alternatives Fund                                                                   | ON                    |                  |          |
| Mackenzie Emerging Markets Bond Index ETF (CAD-Hedged)                                                    | ON                    |                  |          |
| Mackenzie Emerging Markets Equity Index ETF                                                               | ON                    |                  |          |
| Mackenzie Emerging Markets ex-China Equity Fund                                                           | ON                    |                  |          |
| Mackenzie Emerging Markets Fund                                                                           | ON                    |                  |          |

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| Name / Dénomination                                                                  | Principal/ Principale | Default / Défaut | CTO/ IOV |
|--------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| Mackenzie Emerging Markets Fund II                                                   | ON                    |                  |          |
| Mackenzie Emerging Markets Large Cap Fund                                            | ON                    |                  |          |
| Mackenzie Emerging Markets Local Currency Bond Index ETF                             | ON                    |                  |          |
| Mackenzie Emerging Markets Small Cap Fund                                            | ON                    |                  |          |
| Mackenzie Enhanced Equity Risk Premia Fund                                           | ON                    |                  |          |
| Mackenzie Enhanced Fixed Income Risk Premia Fund                                     | ON                    |                  |          |
| Mackenzie Enhanced Global Balanced Fund (formerly Mackenzie Diversified Growth Fund) | ON                    |                  |          |
| Mackenzie European Small-Mid Cap Fund                                                | ON                    |                  |          |
| Mackenzie Floating Rate Income ETF                                                   | ON                    |                  |          |
| Mackenzie Floating Rate Income Fund                                                  | ON                    |                  |          |
| Mackenzie FuturePath Canadian Balanced Fund                                          | ON                    |                  |          |
| Mackenzie FuturePath Canadian Core Bond Fund                                         | ON                    |                  |          |
| Mackenzie FuturePath Canadian Core Fund                                              | ON                    |                  |          |
| Mackenzie FuturePath Canadian Core Plus Bond Fund                                    | ON                    |                  |          |
| Mackenzie FuturePath Canadian Dividend Fund                                          | ON                    |                  |          |
| Mackenzie FuturePath Canadian Equity Balanced Fund                                   | ON                    |                  |          |
| Mackenzie FuturePath Canadian Fixed Income Portfolio                                 | ON                    |                  |          |
| Mackenzie FuturePath Canadian Growth Fund                                            | ON                    |                  |          |
| Mackenzie FuturePath Canadian Money Market Fund                                      | ON                    |                  |          |
| Mackenzie FuturePath Canadian Sustainable Equity Fund                                | ON                    |                  |          |
| Mackenzie FuturePath Global Balanced Fund                                            | ON                    |                  |          |
| Mackenzie FuturePath Global Core Fund                                                | ON                    |                  |          |
| Mackenzie FuturePath Global Core Plus Bond Fund                                      | ON                    |                  |          |
| Mackenzie FuturePath Global Equity Balanced Fund                                     | ON                    |                  |          |
| Mackenzie FuturePath Global Equity Balanced Portfolio                                | ON                    |                  |          |
| Mackenzie FuturePath Global Equity Portfolio                                         | ON                    |                  |          |
| Mackenzie FuturePath Global Fixed Income Balanced Portfolio                          | ON                    |                  |          |
| Mackenzie FuturePath Global Growth Fund                                              | ON                    |                  |          |
| Mackenzie FuturePath Global Neutral Balanced Portfolio                               | ON                    |                  |          |
| Mackenzie FuturePath Global Value Fund                                               | ON                    |                  |          |
| Mackenzie FuturePath International Equity Fund                                       | ON                    |                  |          |
| Mackenzie FuturePath Monthly Income Balanced Portfolio                               | ON                    |                  |          |
| Mackenzie FuturePath Monthly Income Conservative Portfolio                           | ON                    |                  |          |
| Mackenzie FuturePath Monthly Income Growth Portfolio                                 | ON                    |                  |          |
| Mackenzie FuturePath Shariah Global Equity Fund                                      | ON                    |                  |          |

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| Name / Dénomination                                                                 | Principal/ Principale | Default / Défaut | CTO/ IOV |
|-------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| Mackenzie FuturePath US All Cap Growth Fund                                         | ON                    |                  |          |
| Mackenzie FuturePath US Core Fund                                                   | ON                    |                  |          |
| Mackenzie FuturePath US Growth Fund                                                 | ON                    |                  |          |
| Mackenzie FuturePath US Value Fund                                                  | ON                    |                  |          |
| Mackenzie FuturePath USD US Core Fund                                               | ON                    |                  |          |
| Mackenzie Global Core Plus Bond Fund (formerly Mackenzie Global Tactical Bond Fund) | ON                    |                  |          |
| Mackenzie Global Corporate Fixed Income Fund                                        | ON                    |                  |          |
| Mackenzie Global Dividend Enhanced Yield Fund                                       | ON                    |                  |          |
| Mackenzie Global Dividend Enhanced Yield Plus Fund                                  | ON                    |                  |          |
| Mackenzie Global Dividend ETF                                                       | ON                    |                  |          |
| Mackenzie Global Dividend Fund                                                      | ON                    |                  |          |
| Mackenzie Global Energy Opportunities Long/Short Fund                               | ON                    |                  |          |
| Mackenzie Global Enhanced Core Plus Fixed Income Fund                               | ON                    |                  |          |
| Mackenzie Global Equity ETF                                                         | ON                    |                  |          |
| Mackenzie Global Equity Fund                                                        | ON                    |                  |          |
| Mackenzie Global Equity Income Fund                                                 | ON                    |                  |          |
| Mackenzie Global Fixed Income Allocation ETF                                        | ON                    |                  |          |
| Mackenzie Global Green Bond Fund                                                    | ON                    |                  |          |
| Mackenzie Global High Yield Fixed Income ETF                                        | ON                    |                  |          |
| Mackenzie Global Inflation-Linked Fund                                              | ON                    |                  |          |
| Mackenzie Global Infrastructure Index ETF                                           | ON                    |                  |          |
| Mackenzie Global Low Volatility Equity Fund                                         | ON                    |                  |          |
| Mackenzie Global Macro Fund                                                         | ON                    |                  |          |
| Mackenzie Global Resource Fund                                                      | ON                    |                  |          |
| Mackenzie Global Resource Fund II                                                   | ON                    |                  |          |
| Mackenzie Global Small-Mid Cap Fund                                                 | ON                    |                  |          |
| Mackenzie Global Strategic Income Fund                                              | ON                    |                  |          |
| Mackenzie Global Sustainable Balanced Fund                                          | ON                    |                  |          |
| Mackenzie Global Sustainable Bond ETF                                               | ON                    |                  |          |
| Mackenzie Global Sustainable Bond Fund                                              | ON                    |                  |          |
| Mackenzie Global Sustainable High Yield Bond Fund                                   | ON                    |                  |          |
| Mackenzie Global Women's Leadership ETF                                             | ON                    |                  |          |
| Mackenzie Global Women's Leadership Fund                                            | ON                    |                  |          |
| Mackenzie Gold Bullion Fund                                                         | ON                    |                  |          |
| Mackenzie GQE Canadian Balanced Fund                                                | ON                    |                  |          |

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| Name / Dénomination                                    | Principal/ Principale | Default / Défaut | CTO/ IOV |
|--------------------------------------------------------|-----------------------|------------------|----------|
| Mackenzie GQE Canadian Equity Fund                     | ON                    |                  |          |
| Mackenzie GQE Global Balanced Fund                     | ON                    |                  |          |
| Mackenzie GQE US Alpha Extension Fund                  | ON                    |                  |          |
| Mackenzie Greenchip Global Environmental All Cap Fund  | ON                    |                  |          |
| Mackenzie Greenchip Global Environmental Balanced Fund | ON                    |                  |          |
| Mackenzie Greenchip Global Environmental Equity Fund   | ON                    |                  |          |
| Mackenzie Growth Allocation ETF                        | ON                    |                  |          |
| Mackenzie Growth ETF Portfolio                         | ON                    |                  |          |
| Mackenzie High Quality Floating Rate Fund              | ON                    |                  |          |
| Mackenzie Income Fund                                  | ON                    |                  |          |
| Mackenzie Inflation-Focused Fund                       | ON                    |                  |          |
| Mackenzie International All Cap Equity Fund            | ON                    |                  |          |
| Mackenzie International Dividend Fund                  | ON                    |                  |          |
| Mackenzie International Equity ETF                     | ON                    |                  |          |
| Mackenzie International Equity Index ETF               | ON                    |                  |          |
| Mackenzie International Equity Index ETF (CAD-Hedged)  | ON                    |                  |          |
| Mackenzie International Quantitative Large Cap Fund    | ON                    |                  |          |
| Mackenzie International Quantitative Small Cap Fund    | ON                    |                  |          |
| Mackenzie Ivy Canadian Balanced Fund                   | ON                    |                  |          |
| Mackenzie Ivy Canadian Fund                            | ON                    |                  |          |
| Mackenzie Ivy European Fund                            | ON                    |                  |          |
| Mackenzie Ivy Foreign Equity Currency Neutral Fund     | ON                    |                  |          |
| Mackenzie Ivy Foreign Equity Fund                      | ON                    |                  |          |
| Mackenzie Ivy Global Balanced Fund                     | ON                    |                  |          |
| Mackenzie Ivy Global Equity ETF                        | ON                    |                  |          |
| Mackenzie Ivy International Fund                       | ON                    |                  |          |
| Mackenzie Ivy International Fund II                    | ON                    |                  |          |
| Mackenzie Master Limited Partnership                   | ON                    |                  |          |
| Mackenzie Moderate Growth ETF Portfolio                | ON                    |                  |          |
| Mackenzie Monthly Income Balanced Portfolio            | ON                    |                  |          |
| Mackenzie Monthly Income Conservative Portfolio        | ON                    |                  |          |
| Mackenzie Monthly Income Growth Portfolio              | ON                    |                  |          |
| Mackenzie North American Corporate Bond Fund           | ON                    |                  |          |
| Mackenzie Northleaf Private Credit Interval Fund       | ON                    |                  |          |
| Mackenzie Precious Metals Fund                         | ON                    |                  |          |



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| Name / Dénomination                                                 | Principal/ Principale | Default / Défaut | CTO/ IOV |
|---------------------------------------------------------------------|-----------------------|------------------|----------|
| Mackenzie Private Equity Replication Fund                           | ON                    |                  |          |
| Mackenzie Shariah Global Equity Fund                                | ON                    |                  |          |
| Mackenzie Sovereign Bond Fund                                       | ON                    |                  |          |
| Mackenzie Strategic Bond Fund                                       | ON                    |                  |          |
| Mackenzie Strategic Income Fund                                     | ON                    |                  |          |
| Mackenzie Target 2027 North American IG Corporate Bond ETF          | ON                    |                  |          |
| Mackenzie Target 2027 North American IG Corporate Bond Fund         | ON                    |                  |          |
| Mackenzie Target 2029 North American IG Corporate Bond ETF          | ON                    |                  |          |
| Mackenzie Target 2029 North American IG Corporate Bond Fund         | ON                    |                  |          |
| Mackenzie Tax-Managed Global Equity Fund                            | ON                    |                  |          |
| Mackenzie U.S. Aggregate Bond Index ETF (CAD-Hedged)                | ON                    |                  |          |
| Mackenzie Unconstrained Bond ETF                                    | ON                    |                  |          |
| Mackenzie Unconstrained Fixed Income Fund                           | ON                    |                  |          |
| Mackenzie US All Cap Growth Fund                                    | ON                    |                  |          |
| Mackenzie US Core Equity Fund                                       | ON                    |                  |          |
| Mackenzie US Dividend Fund                                          | ON                    |                  |          |
| Mackenzie US Government Long Bond Index ETF                         | ON                    |                  |          |
| Mackenzie US High Yield Bond Index ETF (CAD-Hedged)                 | ON                    |                  |          |
| Mackenzie US Investment Grade Corporate Bond Index ETF (CAD-Hedged) | ON                    |                  |          |
| Mackenzie US Large Cap Equity Index ETF                             | ON                    |                  |          |
| Mackenzie US Large Cap Equity Index ETF (CAD-Hedged)                | ON                    |                  |          |
| Mackenzie US Low Volatility ETF                                     | ON                    |                  |          |
| Mackenzie US Mid Cap Opportunities Currency Neutral Fund            | ON                    |                  |          |
| Mackenzie US Mid Cap Opportunities Fund                             | ON                    |                  |          |
| Mackenzie US Quantitative Large Cap Fund                            | ON                    |                  |          |
| Mackenzie US Quantitative Small Cap Fund                            | ON                    |                  |          |
| Mackenzie US Small Cap Fund                                         | ON                    |                  |          |
| Mackenzie US Small-Mid Cap Growth Currency Neutral Fund             | ON                    |                  |          |
| Mackenzie US Small-Mid Cap Growth Fund                              | ON                    |                  |          |
| Mackenzie US TIPS Index ETF (CAD-Hedged)                            | ON                    |                  |          |
| Mackenzie US Value Fund                                             | ON                    |                  |          |
| Mackenzie USD Global Dividend Fund                                  | ON                    |                  |          |
| Mackenzie USD Global Strategic Income Fund                          | ON                    |                  |          |
| Mackenzie USD Greenchip Global Environmental All Cap Fund           | ON                    |                  |          |
| Mackenzie USD Ultra Short Duration Income Fund                      | ON                    |                  |          |

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| Name / Dénomination                               | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|---------------------------------------------------|-----------------------|------------------------------|----------|
| Mackenzie USD Unconstrained Fixed Income Fund     | ON                    |                              |          |
| Mackenzie USD US Mid Cap Opportunities Fund       | ON                    |                              |          |
| Mackenzie World Low Volatility ETF                | ON                    |                              |          |
| Mackenzie World Low Volatility Fund               | ON                    |                              |          |
| MAG Silver Corp.                                  | BC                    |                              |          |
| Magellan Aerospace Corporation                    | ON                    |                              |          |
| MagIndustries Corp.                               | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Magna International Inc.                          | ON                    |                              |          |
| Magnum Hunter Resources Corporation               | AB                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Major Drilling Group International Inc.           | NB (NB-PR)            |                              |          |
| Mandalay Resources Corporation                    | ON                    |                              |          |
| Manitok Energy Inc.                               | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Manulife Alternative Opportunities Fund           | ON                    |                              |          |
| Manulife Asia Equity Class                        | ON                    |                              |          |
| Manulife Balanced Equity Private Pool             | ON                    |                              |          |
| Manulife Balanced Income Private Trust            | ON                    |                              |          |
| Manulife Balanced Portfolio                       | ON                    |                              |          |
| Manulife Bond Fund                                | ON                    |                              |          |
| Manulife Canadian Balanced Fund                   | ON                    |                              |          |
| Manulife Canadian Balanced Private Pool           | ON                    |                              |          |
| Manulife Canadian Bond Fund                       | ON                    |                              |          |
| Manulife Canadian Dividend Growth Fund            | ON                    |                              |          |
| Manulife Canadian Equity Class                    | ON                    |                              |          |
| Manulife Canadian Equity Private Pool             | ON                    |                              |          |
| Manulife Canadian Growth and Income Private Trust | ON                    |                              |          |
| Manulife Canadian Investment Class                | ON                    |                              |          |
| Manulife Canadian Investment Fund                 | ON                    |                              |          |
| Manulife Canadian Universe Bond Fund              | ON                    |                              |          |
| Manulife China Class                              | ON                    |                              |          |
| Manulife Climate Action Balanced Fund             | ON                    |                              |          |
| Manulife Climate Action Bond Fund                 | ON                    |                              |          |
| Manulife Climate Action Class                     | ON                    |                              |          |
| Manulife Climate Action Fund                      | ON                    |                              |          |
| Manulife Conservative Portfolio                   | ON                    |                              |          |
| Manulife Core Plus Bond Fund                      | ON                    |                              |          |

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|-----------------------------------------------|-----------------------|------------------|----------|
| Manulife Corporate Bond Fund                  | ON                    |                  |          |
| Manulife Corporate Fixed Income Private Trust | ON                    |                  |          |
| Manulife Covered Call U.S. Equity Class       | ON                    |                  |          |
| Manulife Covered Call U.S. Equity Fund        | ON                    |                  |          |
| Manulife Diversified Investment Fund          | ON                    |                  |          |
| Manulife Dividend Income Class                | ON                    |                  |          |
| Manulife Dividend Income Fund                 | ON                    |                  |          |
| Manulife Dividend Income Plus Class           | ON                    |                  |          |
| Manulife Dividend Income Plus Fund            | ON                    |                  |          |
| Manulife Dividend Income Private Pool         | ON                    |                  |          |
| Manulife Dollar-Cost Averaging Fund           | ON                    |                  |          |
| Manulife Emerging Markets Fund                | ON                    |                  |          |
| Manulife Finance (Delaware), L.P.             | ON                    |                  |          |
| Manulife Financial Corporation                | ON                    |                  |          |
| Manulife Fundamental Balanced Class           | ON                    |                  |          |
| Manulife Fundamental Dividend Fund            | ON                    |                  |          |
| Manulife Fundamental Equity Fund              | ON                    |                  |          |
| Manulife Fundamental Global Equity Class      | ON                    |                  |          |
| Manulife Fundamental Global Equity Fund       | ON                    |                  |          |
| Manulife Fundamental Income Class             | ON                    |                  |          |
| Manulife Fundamental Income Fund              | ON                    |                  |          |
| Manulife Global Balanced Fund                 | ON                    |                  |          |
| Manulife Global Balanced Private Trust        | ON                    |                  |          |
| Manulife Global Core Balanced Fund            | ON                    |                  |          |
| Manulife Global Core Equity Fund              | ON                    |                  |          |
| Manulife Global Dividend Class                | ON                    |                  |          |
| Manulife Global Dividend Fund                 | ON                    |                  |          |
| Manulife Global Dividend Growth Fund          | ON                    |                  |          |
| Manulife Global Equity Class                  | ON                    |                  |          |
| Manulife Global Equity Private Pool           | ON                    |                  |          |
| Manulife Global Fixed Income Private Trust    | ON                    |                  |          |
| Manulife Global Franchise Class               | ON                    |                  |          |
| Manulife Global Franchise Fund                | ON                    |                  |          |
| Manulife Global Listed Infrastructure Class   | ON                    |                  |          |
| Manulife Global Listed Infrastructure Fund    | ON                    |                  |          |

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|--------------------------------------------------------|-----------------------|------------------|----------|
| Manulife Global Managed Volatility Portfolio           | ON                    |                  |          |
| Manulife Global Monthly High Income Class              | ON                    |                  |          |
| Manulife Global Monthly High Income Fund               | ON                    |                  |          |
| Manulife Global Small Cap Balanced Fund                | ON                    |                  |          |
| Manulife Global Small Cap Fund                         | ON                    |                  |          |
| Manulife Global Strategic Balanced Yield Fund          | ON                    |                  |          |
| Manulife Global Unconstrained Bond Fund                | ON                    |                  |          |
| Manulife Growth Opportunities Fund                     | ON                    |                  |          |
| Manulife Growth Portfolio                              | ON                    |                  |          |
| Manulife International Equity Private Trust            | ON                    |                  |          |
| Manulife International Large Cap Fund                  | ON                    |                  |          |
| Manulife Moderate Portfolio                            | ON                    |                  |          |
| Manulife Money Market Fund                             | ON                    |                  |          |
| Manulife Monthly High Income Class                     | ON                    |                  |          |
| Manulife Monthly High Income Fund                      | ON                    |                  |          |
| Manulife Multifactor Canadian Large Cap Index ETF      | ON                    |                  |          |
| Manulife Multifactor Canadian SMID Cap Index ETF       | ON                    |                  |          |
| Manulife Multifactor Developed International Index ETF | ON                    |                  |          |
| Manulife Multifactor Emerging Markets Index ETF        | ON                    |                  |          |
| Manulife Multifactor U.S. Large Cap Index ETF          | ON                    |                  |          |
| Manulife Multifactor U.S. Mid Cap Index ETF            | ON                    |                  |          |
| Manulife Multifactor U.S. Small Cap Index ETF          | ON                    |                  |          |
| Manulife Simplicity Balanced Portfolio                 | ON                    |                  |          |
| Manulife Simplicity Conservative Portfolio             | ON                    |                  |          |
| Manulife Simplicity Global Balanced Portfolio          | ON                    |                  |          |
| Manulife Simplicity Growth Portfolio                   | ON                    |                  |          |
| Manulife Simplicity Moderate Portfolio                 | ON                    |                  |          |
| Manulife Smart Balanced Dividend ETF Bundle            | ON                    |                  |          |
| Manulife Smart Core Bond ETF                           | ON                    |                  |          |
| Manulife Smart Corporate Bond ETF                      | ON                    |                  |          |
| Manulife Smart Defensive Equity ETF                    | ON                    |                  |          |
| Manulife Smart Dividend ETF                            | ON                    |                  |          |
| Manulife Smart Enhanced Yield ETF                      | ON                    |                  |          |
| Manulife Smart Global Bond ETF                         | ON                    |                  |          |
| Manulife Smart Global Dividend ETF Portfolio           | ON                    |                  |          |

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| Name / Dénomination                                  | Principal/ Principale | Default / Défaut | CTO/ IOV |
|------------------------------------------------------|-----------------------|------------------|----------|
| Manulife Smart International Defensive Equity ETF    | ON                    |                  |          |
| Manulife Smart International Dividend ETF            | ON                    |                  |          |
| Manulife Smart Short-Term Bond ETF                   | ON                    |                  |          |
| Manulife Smart U.S. Defensive Equity ETF             | ON                    |                  |          |
| Manulife Smart U.S. Dividend ETF                     | ON                    |                  |          |
| Manulife Smart U.S. Enhanced Yield ETF               | ON                    |                  |          |
| Manulife Strategic Balanced Yield Fund               | ON                    |                  |          |
| Manulife Strategic Dividend Bundle                   | ON                    |                  |          |
| Manulife Strategic Income Fund                       | ON                    |                  |          |
| Manulife Strategic Income Plus Fund                  | ON                    |                  |          |
| Manulife Strategic Investment Grade Global Bond Fund | ON                    |                  |          |
| Manulife Tactical Income Fund                        | ON                    |                  |          |
| Manulife Tax-Managed Growth Fund                     | ON                    |                  |          |
| Manulife U.S. All Cap Equity Class                   | ON                    |                  |          |
| Manulife U.S. All Cap Equity Fund                    | ON                    |                  |          |
| Manulife U.S. Balanced Private Trust                 | ON                    |                  |          |
| Manulife U.S. Balanced Value Private Trust           | ON                    |                  |          |
| Manulife U.S. Dividend Income Class                  | ON                    |                  |          |
| Manulife U.S. Dividend Income Fund                   | ON                    |                  |          |
| Manulife U.S. Dollar Strategic Balanced Yield Fund   | ON                    |                  |          |
| Manulife U.S. Dollar Strategic Income Fund           | ON                    |                  |          |
| Manulife U.S. Dollar U.S. All Cap Equity Fund        | ON                    |                  |          |
| Manulife U.S. Dollar U.S. Dividend Income Fund       | ON                    |                  |          |
| Manulife U.S. Dollar U.S. Equity Fund                | ON                    |                  |          |
| Manulife U.S. Dollar U.S. Mid-Cap Equity Fund        | ON                    |                  |          |
| Manulife U.S. Equity Fund                            | ON                    |                  |          |
| Manulife U.S. Equity Private Pool                    | ON                    |                  |          |
| Manulife U.S. Mid-Cap Equity Fund                    | ON                    |                  |          |
| Manulife U.S. Monthly High Income Fund               | ON                    |                  |          |
| Manulife U.S. Opportunities Fund                     | ON                    |                  |          |
| Manulife U.S. Unconstrained Bond Fund                | ON                    |                  |          |
| Manulife World Investment Class                      | ON                    |                  |          |
| Manulife World Investment Fund                       | ON                    |                  |          |
| Manulife Yield Opportunities Fund                    | ON                    |                  |          |
| Maple Gold Mines Ltd.                                | BC                    |                  |          |

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| Name / Dénomination                                                                             | Principal/ Principale | Default / Défaut       | CTO/ IOV |
|-------------------------------------------------------------------------------------------------|-----------------------|------------------------|----------|
| Maple Leaf Critical Minerals 2024 Enhanced Flow-Through Limited Partnership - National Class    | BC                    |                        |          |
| Maple Leaf Critical Minerals 2024 Enhanced Flow-Through Limited Partnership - Quebec Class      | BC                    |                        |          |
| Maple Leaf Critical Minerals 2024-II Enhanced Flow-Through Limited Partnership - National Class | BC                    |                        |          |
| Maple Leaf Critical Minerals 2024-II Enhanced Flow-Through Limited Partnership - Quebec Class   | BC                    |                        |          |
| Maple Leaf Critical Minerals 2025 Enhanced Flow-Through Limited Partnership - National Class    | BC                    |                        |          |
| Maple Leaf Critical Minerals 2025 Enhanced Flow-Through Limited Partnership - Quebec Class      | BC                    |                        |          |
| Maple Leaf Foods Inc.                                                                           | ON                    |                        |          |
| Maple Leaf Income Class                                                                         | BC                    |                        |          |
| Maple Leaf Resource Class                                                                       | BC                    |                        |          |
| Marimaca Copper Corp.                                                                           | BC                    |                        |          |
| Marquest Mutual Funds Inc. - Explorer Series Fund                                               | ON                    |                        |          |
| Marquis Balanced Class Portfolio                                                                | ON                    |                        |          |
| Marquis Balanced Growth Class Portfolio                                                         | ON                    |                        |          |
| Marquis Balanced Growth Portfolio                                                               | ON                    |                        |          |
| Marquis Balanced Income Portfolio                                                               | ON                    |                        |          |
| Marquis Balanced Portfolio                                                                      | ON                    |                        |          |
| Marquis Equity Portfolio                                                                        | ON                    |                        |          |
| Marquis Growth Portfolio                                                                        | ON                    |                        |          |
| Marquis Institutional Balanced Growth Portfolio                                                 | ON                    |                        |          |
| Marquis Institutional Balanced Portfolio                                                        | ON                    |                        |          |
| Marquis Institutional Bond Portfolio                                                            | ON                    |                        |          |
| Marquis Institutional Canadian Equity Portfolio                                                 | ON                    |                        |          |
| Marquis Institutional Equity Portfolio                                                          | ON                    |                        |          |
| Marquis Institutional Global Equity Portfolio                                                   | ON                    |                        |          |
| Marquis Institutional Growth Portfolio                                                          | ON                    |                        |          |
| Marwest Apartment Real Estate Investment Trust                                                  | MB                    |                        |          |
| Matrix 2013 Short Duration National Class                                                       | ON                    | 1(a), 1(b), 1(c), 1(d) |          |
| Matrix 2013 Short Duration Québec Class                                                         | ON                    | 1(a), 1(b), 1(c), 1(d) |          |
| Matrix Asset Management Inc.                                                                    | BC                    | 1(a), 1(b), 1(c), 1(e) |          |
| Mattr Corp.                                                                                     | ON                    |                        |          |
| Maudore Minerals Ltd                                                                            | QC                    | 1(a), 1(b), 1(c), 1(e) |          |
| Mawer Balanced Fund                                                                             | AB                    |                        |          |

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| Name / Dénomination                                         | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|-------------------------------------------------------------|-----------------------|------------------------------|----------|
| Mawer Canadian Bond Fund                                    | AB                    |                              |          |
| Mawer Canadian Equity Fund                                  | AB                    |                              |          |
| Mawer Canadian Money Market Fund                            | AB                    |                              |          |
| Mawer EAFE Large Cap Fund                                   | AB                    |                              |          |
| Mawer Emerging Markets Equity Fund                          | AB                    |                              |          |
| Mawer Global Balanced Fund                                  | AB                    |                              |          |
| Mawer Global Credit Opportunities Fund                      | AB                    |                              |          |
| Mawer Global Equity Fund                                    | AB                    |                              |          |
| Mawer Global Small Cap Fund                                 | AB                    |                              |          |
| Mawer International Equity Fund                             | AB                    |                              |          |
| Mawer New Canada Fund                                       | AB                    |                              |          |
| Mawer Tax Effective Balanced Fund                           | AB                    |                              |          |
| Mawer U.S. Equity Fund                                      | AB                    |                              |          |
| Mawer U.S. Mid Cap Equity Fund                              | AB                    |                              |          |
| Mawson Finland Limited                                      | ON                    |                              |          |
| Maxam Arbitrage Fund                                        | BC                    |                              |          |
| Maxam Diversified Strategies Fund                           | BC                    |                              |          |
| Mayfair Gold Corp.                                          | BC                    |                              |          |
| MCAN Mortgage Corporation (d/b/a/ MCAN Financial Group)     | ON                    |                              |          |
| McElvaine Value Fund                                        | BC                    |                              |          |
| McEwen Mining Inc.                                          | ON                    |                              |          |
| mCloud Technologies Corp. (formerly Universal mCloud Corp.) | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| MD American Growth Fund                                     | ON                    |                              |          |
| MD American Value Fund                                      | ON                    |                              |          |
| MD Bond Fund                                                | ON                    |                              |          |
| MD Canadian Equity Fund                                     | ON                    |                              |          |
| MD Dividend Growth Fund                                     | ON                    |                              |          |
| MD Equity Fund                                              | ON                    |                              |          |
| MD Fossil Fuel Free Bond Fund                               | ON                    |                              |          |
| MD Fossil Fuel Free Equity Fund                             | ON                    |                              |          |
| MD Growth Investments Limited                               | ON                    |                              |          |
| MD International Growth Fund                                | ON                    |                              |          |
| MD International Value Fund                                 | ON                    |                              |          |
| MD Money Fund                                               | ON                    |                              |          |
| MD Precision Balanced Growth Index Portfolio                | ON                    |                              |          |

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| Name / Dénomination                                      | Principal/ Principale | Default / Défaut       | CTO/ IOV |
|----------------------------------------------------------|-----------------------|------------------------|----------|
| MD Precision Balanced Growth Portfolio                   | ON                    |                        |          |
| MD Precision Balanced Income Portfolio                   | ON                    |                        |          |
| MD Precision Canadian Balanced Growth Fund               | ON                    |                        |          |
| MD Precision Canadian Moderate Growth Fund               | ON                    |                        |          |
| MD Precision Conservative Index Portfolio                | ON                    |                        |          |
| MD Precision Conservative Portfolio                      | ON                    |                        |          |
| MD Precision Maximum Growth Index Portfolio              | ON                    |                        |          |
| MD Precision Maximum Growth Portfolio                    | ON                    |                        |          |
| MD Precision Moderate Balanced Index Portfolio           | ON                    |                        |          |
| MD Precision Moderate Balanced Portfolio                 | ON                    |                        |          |
| MD Precision Moderate Growth Portfolio                   | ON                    |                        |          |
| MD Short-Term Bond Fund                                  | ON                    |                        |          |
| MD Strategic Opportunities Fund                          | ON                    |                        |          |
| MD Strategic Yield Fund                                  | ON                    |                        |          |
| MDA Space Ltd.                                           | ON                    |                        |          |
| MDPIM Bond Pool                                          | ON                    |                        |          |
| MDPIM Canadian Equity Pool                               | ON                    |                        |          |
| MDPIM Canadian Equity Pool                               | ON                    |                        |          |
| MDPIM Dividend Pool                                      | ON                    |                        |          |
| MDPIM Emerging Markets Equity Pool                       | ON                    |                        |          |
| MDPIM International Equity Index Pool                    | ON                    |                        |          |
| MDPIM International Equity Pool                          | ON                    |                        |          |
| MDPIM S&P 500 Index Pool                                 | ON                    |                        |          |
| MDPIM S&P/TSX Capped Composite Index Pool                | ON                    |                        |          |
| MDPIM Short-Term Bond Pool                               | ON                    |                        |          |
| MDPIM Strategic Opportunities Pool                       | ON                    |                        |          |
| MDPIM Strategic Yield Pool                               | ON                    |                        |          |
| MDPIM US Equity Pool                                     | ON                    |                        |          |
| Medexus Pharmaceuticals Inc. (formerly Pediapharm Inc.)  | ON                    |                        |          |
| Medical Facilities Corporation                           | ON                    |                        |          |
| Medicure Inc.                                            | MB                    |                        |          |
| Medicus Pharma Ltd.                                      | ON                    |                        |          |
| MediPharm Labs Corp.                                     | ON                    |                        |          |
| MedMen Enterprises Inc. (formerly Ladera Ventures Corp.) | BC                    | 1(a), 1(b), 1(c), 1(e) |          |
| MedX Health Corp.                                        | ON                    |                        |          |



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| Name / Dénomination                                                  | Principal/ Principale | Default / Défaut | CTO/ IOV |
|----------------------------------------------------------------------|-----------------------|------------------|----------|
| MEG Energy Corp.                                                     | AB                    |                  |          |
| Mega Uranium Ltd.                                                    | ON                    |                  |          |
| MegaLong (3X) 20+ Year US Treasury Daily Leveraged Alternative ETF   | ON                    |                  |          |
| MegaLong (3X) Canadian Banks Daily Leveraged Alternative ETF         | ON                    |                  |          |
| MegaLong (3X) Canadian Gold Miners Daily Leveraged Alternative ETF   | ON                    |                  |          |
| MegaLong (3X) NASDAQ-100® Daily Leveraged Alternative ETF            | ON                    |                  |          |
| MegaLong (3X) S&P 500® Daily Leveraged Alternative ETF               | ON                    |                  |          |
| MegaLong (3X) US Semiconductors Daily Leveraged Alternative ETF      | ON                    |                  |          |
| MegaShort (-3X) 20+ Year US Treasury Daily Leveraged Alternative ETF | ON                    |                  |          |
| MegaShort (-3X) Canadian Gold Miners Daily Leveraged Alternative ETF | ON                    |                  |          |
| MegaShort (-3X) NASDAQ-100® Daily Leveraged Alternative ETF          | ON                    |                  |          |
| MegaShort (-3X) S&P 500® Daily Leveraged Alternative ETF             | ON                    |                  |          |
| MegaShort (-3X) US Semiconductors Daily Leveraged Alternative ETF    | ON                    |                  |          |
| Melodia 100 per cent Equity Growth Portfolio                         | QC                    |                  |          |
| Melodia Balanced Growth Portfolio                                    | QC                    |                  |          |
| Melodia Conservative Income Portfolio                                | QC                    |                  |          |
| Melodia Diversified Growth Portfolio                                 | QC                    |                  |          |
| Melodia Diversified Income Portfolio                                 | QC                    |                  |          |
| Melodia Maximum Growth Portfolio                                     | QC                    |                  |          |
| Melodia Moderate Growth Portfolio                                    | QC                    |                  |          |
| Melodia Moderate Income Portfolio                                    | QC                    |                  |          |
| Melodia Very Conservative Income Portfolio                           | QC                    |                  |          |
| Mene Inc.                                                            | ON                    |                  |          |
| Mercer Park Opportunities Corp.                                      | ON                    |                  |          |
| Meritage American Equity Portfolio                                   | QC                    |                  |          |
| Meritage Balanced Income Portfolio                                   | QC                    |                  |          |
| Meritage Balanced Portfolio                                          | QC                    |                  |          |
| Meritage Canadian Equity Portfolio                                   | QC                    |                  |          |
| Meritage Conservative Income Portfolio                               | QC                    |                  |          |
| Meritage Conservative Portfolio                                      | QC                    |                  |          |
| Meritage Diversified Fixed Income Portfolio                          | QC                    |                  |          |
| Meritage Global Balanced Portfolio                                   | QC                    |                  |          |

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| Name / Dénomination                            | Principal/ Principale | Default / Défaut | CTO/ IOV |
|------------------------------------------------|-----------------------|------------------|----------|
| Meritage Global Conservative Portfolio         | QC                    |                  |          |
| Meritage Global Equity Portfolio               | QC                    |                  |          |
| Meritage Global Growth Plus Portfolio          | QC                    |                  |          |
| Meritage Global Growth Portfolio               | QC                    |                  |          |
| Meritage Global Moderate Portfolio             | QC                    |                  |          |
| Meritage Growth Income Portfolio               | QC                    |                  |          |
| Meritage Growth Plus Income Portfolio          | QC                    |                  |          |
| Meritage Growth Plus Portfolio                 | QC                    |                  |          |
| Meritage Growth Portfolio                      | QC                    |                  |          |
| Meritage International Equity Portfolio        | QC                    |                  |          |
| Meritage Moderate Income Portfolio             | QC                    |                  |          |
| Meritage Moderate Portfolio                    | QC                    |                  |          |
| Meritage Tactical ETF Balanced Portfolio       | QC                    |                  |          |
| Meritage Tactical ETF Equity Portfolio         | QC                    |                  |          |
| Meritage Tactical ETF Growth Portfolio         | QC                    |                  |          |
| Meritage Tactical ETF Moderate Portfolio       | QC                    |                  |          |
| META (META) Yield Shares Purpose ETF           | ON                    |                  |          |
| Metalla Royalty and Streaming Ltd.             | BC                    |                  |          |
| Methanex Corporation                           | BC                    |                  |          |
| Metro inc.                                     | QC                    |                  |          |
| Microbix Biosystems Inc.                       | ON                    |                  |          |
| Microsoft (MSFT) Yield Shares Purpose ETF      | ON                    |                  |          |
| Middlefield ActivEnergy Dividend Class         | ON                    |                  |          |
| Middlefield Canadian Dividend Growers Class    | ON                    |                  |          |
| Middlefield Global Agriculture Class           | ON                    |                  |          |
| Middlefield Global Dividend Growers Class      | ON                    |                  |          |
| Middlefield Global Dividend Growers ETF        | ON                    |                  |          |
| Middlefield Global Infrastructure Dividend ETF | ON                    |                  |          |
| Middlefield Global Infrastructure Fund         | ON                    |                  |          |
| Middlefield Healthcare Dividend ETF            | ON                    |                  |          |
| Middlefield Healthcare Dividend Fund           | ON                    |                  |          |
| Middlefield High Interest Income Class         | ON                    |                  |          |
| Middlefield Income Plus Class                  | ON                    |                  |          |
| Middlefield Innovation Dividend Class          | ON                    |                  |          |
| Middlefield Innovation Dividend ETF            | ON                    |                  |          |

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| Name / Dénomination                                              | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|------------------------------------------------------------------|-----------------------|------------------------------|----------|
| Middlefield Real Estate Dividend Class                           | ON                    |                              |          |
| Middlefield Real Estate Dividend ETF                             | ON                    |                              |          |
| Middlefield U.S. Equity Dividend Class                           | ON                    |                              |          |
| Middlefield U.S. Equity Dividend ETF                             | ON                    |                              |          |
| Military Metals Corp. (formerly, X1 Entertainment Group Inc.)    | BC                    |                              |          |
| MINCO SILVER CORPORATION                                         | BC                    |                              |          |
| Mind Medicine (MindMed) Inc.                                     | BC                    |                              |          |
| Mindready Solutions Inc.                                         | QC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Minera Alamos Inc.                                               | ON                    |                              |          |
| Minera IRL Limited                                               | BC                    | 1(a), 1(c), 1(d), 1(e)       |          |
| Mineros S.A.                                                     | ON                    |                              |          |
| MINT Income Fund                                                 | ON                    |                              |          |
| Minto Apartment Real Estate Investment Trust                     | ON                    |                              |          |
| Mirabela Nickel Limited                                          | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| MLD Core Fund                                                    | ON                    |                              |          |
| MNP Petroleum Corporation                                        | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Mogo Inc.                                                        | BC                    |                              |          |
| Mogotes Metals Inc.                                              | ON                    |                              |          |
| Molson Coors Beverage Company                                    | QC                    |                              |          |
| Molson Coors Canada Inc.                                         | QC                    |                              |          |
| Monarch Mining Corporation                                       | QC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Money Market Fund                                                | ON                    |                              |          |
| MonoGen, Inc.                                                    | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Montage Gold Corp.                                               | BC                    |                              |          |
| Morguard Corporation                                             | ON                    |                              |          |
| Morguard North American Residential Real Estate Investment Trust | ON                    |                              |          |
| Morguard Real Estate Investment Trust                            | ON                    |                              |          |
| Morien Resources Corp.                                           | NS                    |                              |          |
| Mosaic Group Inc.                                                | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Mount Logan Capital Inc.                                         | ON                    |                              |          |
| Mountain Province Diamonds Inc.                                  | ON                    |                              |          |
| MRF 2024 Resource Limited Partnership                            | ON                    |                              |          |
| MRF 2025 Resource Limited Partnership                            | ON                    |                              |          |
| MTY Food Group Inc.                                              | QC                    |                              |          |
| Mullen Group Ltd.                                                | AB                    |                              |          |

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| Name / Dénomination                                                                                    | Principal/ Principale | Default / Défaut       | CTO/ IOV |
|--------------------------------------------------------------------------------------------------------|-----------------------|------------------------|----------|
| Multi-Asset Growth & Income Strategy                                                                   | ON                    |                        |          |
| Multi-Asset Growth & Income Strategy Class                                                             | ON                    |                        |          |
| Multi-Asset Growth Strategy                                                                            | ON                    |                        |          |
| Multi-Asset Growth Strategy Class                                                                      | ON                    |                        |          |
| Multi-Asset Income Strategy                                                                            | ON                    |                        |          |
| Multi-Asset Income Strategy Class                                                                      | ON                    |                        |          |
| Multi-Asset International Equity                                                                       | ON                    |                        |          |
| Mulvihill Canadian Bank Enhanced Yield ETF (formerly, Mulvihill Enhanced Yield Canadian Bank ETF)      | ON                    |                        |          |
| Mulvihill Enhanced Split Preferred Share ETF (formerly, Mulvihill U.S. Health Care Enhanced Yield ETF) | ON                    |                        |          |
| Mulvihill Premium Yield Fund                                                                           | ON                    |                        |          |
| Murchison Minerals Ltd.                                                                                | ON                    |                        |          |
| MustGrow Biologics Corp.                                                                               | SK                    |                        |          |
| Mydecine Innovations Group Inc.                                                                        | BC                    | 1(a), 1(b), 1(c), 1(e) |          |
| Nabors Industries Ltd.                                                                                 | AB                    |                        |          |
| Namibia Critical Metals Inc.                                                                           | NS                    |                        |          |
| Nanalysis Scientific Corp.                                                                             | AB                    |                        |          |
| Nano One Materials Corp.                                                                               | BC                    |                        |          |
| NanoXplore Inc.                                                                                        | QC                    |                        |          |
| National Bank of Canada                                                                                | QC                    |                        |          |
| Nautilus Minerals Inc.                                                                                 | BC                    | 1(a), 1(b), 1(c), 1(e) |          |
| NAV CANADA                                                                                             | ON                    |                        |          |
| NBI Active Canadian Preferred Shares ETF                                                               | QC                    |                        |          |
| NBI Active Global Equity Fund                                                                          | QC                    |                        |          |
| NBI Active International Equity ETF                                                                    | QC                    |                        |          |
| NBI Active International Equity Fund                                                                   | QC                    |                        |          |
| NBI Active U.S. Equity ETF                                                                             | QC                    |                        |          |
| NBI Active U.S. Equity Fund                                                                            | QC                    |                        |          |
| NBI Balanced Portfolio                                                                                 | QC                    |                        |          |
| NBI Bond Fund                                                                                          | QC                    |                        |          |
| NBI Canadian All Cap Equity Fund                                                                       | QC                    |                        |          |
| NBI Canadian Bond Index Fund                                                                           | QC                    |                        |          |
| NBI Canadian Bond Private Portfolio                                                                    | QC                    |                        |          |
| NBI Canadian Core Plus Bond Fund                                                                       | QC                    |                        |          |
| NBI Canadian Dividend Income ETF                                                                       | QC                    |                        |          |

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| Name / Dénomination                                        | Principal/ Principale | Default / Défaut | CTO/ IOV |
|------------------------------------------------------------|-----------------------|------------------|----------|
| NBI Canadian Equity Fund                                   | QC                    |                  |          |
| NBI Canadian Equity Growth Fund                            | QC                    |                  |          |
| NBI Canadian Equity Index Fund                             | QC                    |                  |          |
| NBI Canadian Equity Private Portfolio                      | QC                    |                  |          |
| NBI Canadian Fixed Income Private Portfolio                | QC                    |                  |          |
| NBI Canadian High Conviction Equity Private Portfolio      | QC                    |                  |          |
| NBI Conservative Portfolio                                 | QC                    |                  |          |
| NBI Corporate Bond Fund                                    | QC                    |                  |          |
| NBI Corporate Bond Private Portfolio                       | QC                    |                  |          |
| NBI Diversified Emerging Markets Equity Fund               | QC                    |                  |          |
| NBI Diversified Traditional Fixed Income Private Portfolio | QC                    |                  |          |
| NBI Equity Income Private Portfolio                        | QC                    |                  |          |
| NBI Equity Portfolio                                       | QC                    |                  |          |
| NBI Floating Rate Income Fund                              | QC                    |                  |          |
| NBI Global Balanced Growth Fund                            | QC                    |                  |          |
| NBI Global Climate Ambition Fund                           | QC                    |                  |          |
| NBI Global Diversified Equity Fund                         | QC                    |                  |          |
| NBI Global Equity Fund                                     | QC                    |                  |          |
| NBI Global Equity Markets Private Portfolio                | QC                    |                  |          |
| NBI Global Private Equity ETF                              | QC                    |                  |          |
| NBI Global Real Assets Income ETF                          | QC                    |                  |          |
| NBI Global Real Assets Income Fund                         | QC                    |                  |          |
| NBI Global Small Cap Fund                                  | QC                    |                  |          |
| NBI Global Tactical Bond Fund                              | QC                    |                  |          |
| NBI Growth Portfolio                                       | QC                    |                  |          |
| NBI High Yield Bond ETF                                    | QC                    |                  |          |
| NBI High Yield Bond Fund                                   | QC                    |                  |          |
| NBI Income Fund                                            | QC                    |                  |          |
| NBI Innovators Fund                                        | QC                    |                  |          |
| NBI International Equity Fund                              | QC                    |                  |          |
| NBI International Equity Index Fund                        | QC                    |                  |          |
| NBI International High Conviction Equity Private Portfolio | QC                    |                  |          |
| NBI Jarislowsky Fraser Select Balanced Fund                | QC                    |                  |          |
| NBI Jarislowsky Fraser Select Income Fund                  | QC                    |                  |          |
| NBI Liquid Alternatives ETF                                | QC                    |                  |          |

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| Name / Dénomination                                        | Principal/ Principale | Default / Défaut | CTO/ IOV |
|------------------------------------------------------------|-----------------------|------------------|----------|
| NBI Moderate Portfolio                                     | QC                    |                  |          |
| NBI Money Market Fund                                      | QC                    |                  |          |
| NBI Multiple Asset Class Private Portfolio                 | QC                    |                  |          |
| NBI Non-Traditional Capital Appreciation Private Portfolio | QC                    |                  |          |
| NBI Non-Traditional Fixed Income Private Portfolio         | QC                    |                  |          |
| NBI North American Dividend Private Portfolio              | QC                    |                  |          |
| NBI Precious Metals Fund                                   | QC                    |                  |          |
| NBI Preferred Equity Fund                                  | QC                    |                  |          |
| NBI Preferred Equity Income Fund                           | QC                    |                  |          |
| NBI Presumed Sound Investments Fund                        | QC                    |                  |          |
| NBI Quebec Growth Fund                                     | QC                    |                  |          |
| NBI Resource Fund                                          | QC                    |                  |          |
| NBI Secure Portfolio                                       | QC                    |                  |          |
| NBI Senior Loan Fund                                       | QC                    |                  |          |
| NBI Small Cap Fund                                         | QC                    |                  |          |
| NBI SmartBeta Low Volatility Canadian Equity Fund          | QC                    |                  |          |
| NBI SmartBeta Low Volatility Global Equity Fund            | QC                    |                  |          |
| NBI SmartData International Equity Fund                    | QC                    |                  |          |
| NBI SmartData U.S. Equity Fund                             | QC                    |                  |          |
| NBI Sustainable Balanced Portfolio                         | QC                    |                  |          |
| NBI Sustainable Canadian Bond ETF                          | QC                    |                  |          |
| NBI Sustainable Canadian Bond Fund                         | QC                    |                  |          |
| NBI Sustainable Canadian Corporate Bond ETF                | QC                    |                  |          |
| NBI Sustainable Canadian Equity ETF                        | QC                    |                  |          |
| NBI Sustainable Canadian Equity Fund                       | QC                    |                  |          |
| NBI Sustainable Canadian Short Term Bond ETF               | QC                    |                  |          |
| NBI Sustainable Conservative Portfolio                     | QC                    |                  |          |
| NBI Sustainable Equity Portfolio                           | QC                    |                  |          |
| NBI Sustainable Global Bond Fund                           | QC                    |                  |          |
| NBI Sustainable Global Equity ETF                          | QC                    |                  |          |
| NBI Sustainable Global Equity Fund                         | QC                    |                  |          |
| NBI Sustainable Growth Portfolio                           | QC                    |                  |          |
| NBI Sustainable Moderate Portfolio                         | QC                    |                  |          |
| NBI Sustainable Secure Portfolio                           | QC                    |                  |          |
| NBI Tactical Asset Allocation Fund                         | QC                    |                  |          |

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| Name / Dénomination                                                               | Principal/ Principale | Default / Défaut | CTO/ IOV |
|-----------------------------------------------------------------------------------|-----------------------|------------------|----------|
| NBI Tactical Equity Private Portfolio                                             | QC                    |                  |          |
| NBI Target 2025 Investment Grade Bond Fund                                        | QC                    |                  |          |
| NBI Target 2026 Investment Grade Bond Fund                                        | QC                    |                  |          |
| NBI Target 2027 Investment Grade Bond Fund                                        | QC                    |                  |          |
| NBI Target 2028 Investment Grade Bond Fund                                        | QC                    |                  |          |
| NBI Target 2029 Investment Grade Bond Fund                                        | QC                    |                  |          |
| NBI Target 2030 Investment Grade Bond Fund                                        | QC                    |                  |          |
| NBI Target 2031 Investment Grade Bond Fund                                        | QC                    |                  |          |
| NBI U.S. Bond Private Portfolio                                                   | QC                    |                  |          |
| NBI U.S. Equity Fund                                                              | QC                    |                  |          |
| NBI U.S. Equity Index Fund                                                        | QC                    |                  |          |
| NBI U.S. Equity Private Portfolio                                                 | QC                    |                  |          |
| NBI U.S. High Conviction Equity Private Portfolio                                 | QC                    |                  |          |
| NBI Unconstrained Fixed Income ETF                                                | QC                    |                  |          |
| NBI Unconstrained Fixed Income Fund                                               | QC                    |                  |          |
| NCM Core Canadian                                                                 | AB                    |                  |          |
| NCM Core Global                                                                   | AB                    |                  |          |
| NCM Core International                                                            | AB                    |                  |          |
| NCM Dividend Champions                                                            | AB                    |                  |          |
| NCM Global Equity Balanced Portfolio                                              | AB                    |                  |          |
| NCM Global Income Balanced Portfolio                                              | AB                    |                  |          |
| NCM Global Income Growth Class                                                    | AB                    |                  |          |
| NCM Income Growth Class                                                           | AB                    |                  |          |
| NCM Small Companies Class                                                         | AB                    |                  |          |
| NEI Balanced Private Portfolio                                                    | ON                    |                  |          |
| NEI Balanced Yield Portfolio (formerly NEI Global Strategic Yield Fund)           | ON                    |                  |          |
| NEI Canadian Bond Fund                                                            | ON                    |                  |          |
| NEI Canadian Dividend Fund (formerly NEI Northwest Canadian Dividend Fund)        | ON                    |                  |          |
| NEI Canadian Equity Fund (formerly NEI Northwest Canadian Equity Fund)            | ON                    |                  |          |
| NEI Canadian Equity Pool                                                          | ON                    |                  |          |
| NEI Canadian Equity RS Fund (formerly NEI Ethical Canadian Equity Fund)           | ON                    |                  |          |
| NEI Canadian Impact Bond Fund                                                     | ON                    |                  |          |
| NEI Canadian Small Cap Equity Fund (formerly NEI Northwest Specialty Equity Fund) | ON                    |                  |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                                                            | Principal/ Principale | Default / Défaut | CTO/ IOV |
|------------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| NEI Canadian Small Cap Equity RS Fund (formerly NEI Ethical Special Equity Fund)               | ON                    |                  |          |
| NEI Clean Infrastructure Fund                                                                  | ON                    |                  |          |
| NEI Conservative Yield Portfolio                                                               | ON                    |                  |          |
| NEI Emerging Markets Fund (formerly NEI Northwest Emerging Markets Fund)                       | ON                    |                  |          |
| NEI Environmental Leaders Fund                                                                 | ON                    |                  |          |
| NEI ESG Canadian Enhanced Index Fund (formerly NEI Jantzi Social Index® Fund)                  | ON                    |                  |          |
| NEI Fixed Income Pool                                                                          | ON                    |                  |          |
| NEI Global Corporate Leaders Fund                                                              | ON                    |                  |          |
| NEI Global Dividend RS Fund (formerly NEI Ethical Global Dividend Fund)                        | ON                    |                  |          |
| NEI Global Equity Pool                                                                         | ON                    |                  |          |
| NEI Global Equity RS Fund (formerly NEI Ethical Global Equity Fund)                            | ON                    |                  |          |
| NEI Global Growth Fund (formerly NEI Global Equity Fund)                                       | ON                    |                  |          |
| NEI Global High Yield Bond Fund (formerly NEI Northwest Specialty Global High Yield Bond Fund) | ON                    |                  |          |
| NEI Global Impact Bond Fund                                                                    | ON                    |                  |          |
| NEI Global Sustainable Balanced Fund (formerly NEI Balanced RS Fund)                           | ON                    |                  |          |
| NEI Global Total Return Bond Fund                                                              | ON                    |                  |          |
| NEI Global Value Fund                                                                          | ON                    |                  |          |
| NEI Growth & Income Fund (formerly NEI Northwest Growth and Income Fund)                       | ON                    |                  |          |
| NEI Growth Private Portfolio                                                                   | ON                    |                  |          |
| NEI Impact Balanced Portfolio                                                                  | ON                    |                  |          |
| NEI Impact Conservative Portfolio                                                              | ON                    |                  |          |
| NEI Impact Growth Portfolio                                                                    | ON                    |                  |          |
| NEI Income & Growth Private Portfolio                                                          | ON                    |                  |          |
| NEI Income Private Portfolio                                                                   | ON                    |                  |          |
| NEI International Equity RS Fund (formerly NEI Ethical International Equity Fund)              | ON                    |                  |          |
| NEI Long Short Equity Fund                                                                     | ON                    |                  |          |
| NEI Managed Asset Allocation Pool                                                              | ON                    |                  |          |
| NEI Money Market Fund                                                                          | ON                    |                  |          |
| NEI Select Balanced RS Portfolio (formerly NEI Ethical Select Balanced Portfolio)              | ON                    |                  |          |
| NEI Select Growth & Income RS Portfolio (formerly Meritas Growth & Income Portfolio)           | ON                    |                  |          |



## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                                                          | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|----------------------------------------------------------------------------------------------|-----------------------|------------------------------|----------|
| NEI Select Growth RS Portfolio (formerly NEI Ethical Select Growth Portfolio)                | ON                    |                              |          |
| NEI Select Income & Growth RS Portfolio (formerly NEI Ethical Select Conservative Portfolio) | ON                    |                              |          |
| NEI Select Income RS Portfolio (formerly NEI Ethical Select Income Portfolio)                | ON                    |                              |          |
| NEI Select Maximum Growth RS Portfolio (formerly Meritas Maximum Growth Portfolio)           | ON                    |                              |          |
| NEI U.S. Equity RS Fund (formerly NEI Ethical U.S. Equity Fund)                              | ON                    |                              |          |
| Neo Performance Materials Inc.                                                               | ON                    |                              |          |
| Neptune Wellness Solutions Inc. (formerly Neptune Technologies & Bioressources Inc.)         | QC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| NervGen Pharma Corp.                                                                         | BC                    |                              |          |
| Netflix (NFLX) Yield Shares Purpose ETF                                                      | ON                    |                              |          |
| Neuronetics, Inc.                                                                            | ON                    |                              |          |
| Nevada Copper Corp.                                                                          | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| New Commerce Split Fund                                                                      | ON                    |                              |          |
| New Found Gold Corp.                                                                         | BC                    |                              |          |
| New Gold Inc.                                                                                | ON                    |                              |          |
| New Leaf Ventures Inc.                                                                       | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| New Pacific Metals Corp.                                                                     | BC                    |                              |          |
| New Zealand Energy Corp.                                                                     | BC                    |                              |          |
| Newcore Gold Ltd.                                                                            | BC                    |                              |          |
| Newfoundland Power Inc.                                                                      | QC                    |                              |          |
| NewGen Alternative Income Fund                                                               | ON                    |                              |          |
| NewGen Credit Strategies Fund                                                                | ON                    |                              |          |
| NewGen Focused Alpha Fund                                                                    | ON                    |                              |          |
| Newmont Corporation                                                                          | ON                    |                              |          |
| Newstrike Brands Ltd. (formerly, Newstrike Resources Ltd.)                                   | ON                    |                              |          |
| Nexa Resources S.A.                                                                          | ON                    |                              |          |
| Nexe Innovations Inc.                                                                        | BC                    |                              |          |
| Nexera Energy Inc.                                                                           | AB                    |                              |          |
| NexGen Energy Ltd.                                                                           | BC                    |                              |          |
| NexGold Mining Corp.                                                                         | ON                    |                              |          |
| Nexient Learning Inc.                                                                        | NS                    | 1(a), 1(b), 1(c), 1(e)       |          |
| NexJ Health Holdings Inc.                                                                    | ON                    |                              |          |
| NexLiving Communities Inc.                                                                   | NS                    |                              |          |
| NexPoint Diversified Real Estate Trust                                                       | ON                    |                              |          |

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| Name / Dénomination                                                           | Principal/ Principale | Default / Défaut                    | CTO/ IOV |
|-------------------------------------------------------------------------------|-----------------------|-------------------------------------|----------|
| Next Edge Biotech and Life Sciences Opportunities Fund                        | ON                    |                                     |          |
| Next Edge Strategic Metals and Commodities Fund                               | ON                    |                                     |          |
| NexTech3D.ai Corporation                                                      | ON                    |                                     |          |
| NextGen Digital Platforms Inc.                                                | BC                    |                                     |          |
| Nextleaf Solutions Ltd.                                                       | BC                    |                                     |          |
| <b>NextPoint Financial Inc.</b>                                               | <b>ON</b>             | <b>1(a), 1(b), 1(c), 1(d), 1(e)</b> |          |
| NextSource Materials Inc.                                                     | ON                    |                                     |          |
| Nexus Industrial REIT                                                         | ON                    |                                     |          |
| NFI Group Inc.                                                                | MB                    |                                     |          |
| NG Energy International Corp.                                                 | BC                    |                                     |          |
| NGTL Limited Partnership                                                      | AB                    |                                     |          |
| <b>NHC Communications Inc.</b>                                                | <b>QC</b>             | <b>1(a), 1(b), 1(c), 1(d), 1(e)</b> |          |
| Nickel 28 Capital Corp.                                                       | ON                    |                                     |          |
| Niko Resources Ltd.                                                           | AB                    |                                     |          |
| Ninepoint 2024 Short Duration Flow-Through Limited Partnership II             | ON                    |                                     |          |
| Ninepoint 2025 Flow-Through Limited Partnership                               | ON                    |                                     |          |
| Ninepoint Alternative Credit Opportunities Fund                               | ON                    |                                     |          |
| Ninepoint Cannabis & Alternative Health Fund                                  | ON                    |                                     |          |
| Ninepoint Capital Appreciation Fund                                           | ON                    |                                     |          |
| Ninepoint Cash Management Fund                                                | ON                    |                                     |          |
| Ninepoint Crypto and AI Leaders ETF (formerly Ninepoint Web3 Innovators Fund) | ON                    |                                     |          |
| Ninepoint Diversified Bond Fund                                               | ON                    |                                     |          |
| Ninepoint Energy Fund                                                         | ON                    |                                     |          |
| Ninepoint Energy Income Fund                                                  | ON                    |                                     |          |
| Ninepoint Focused Global Dividend Fund                                        | ON                    |                                     |          |
| Ninepoint Global Infrastructure Fund                                          | ON                    |                                     |          |
| Ninepoint Global Macro Fund                                                   | ON                    |                                     |          |
| Ninepoint Global Select Fund                                                  | ON                    |                                     |          |
| Ninepoint Gold and Precious Minerals Fund                                     | ON                    |                                     |          |
| Ninepoint Gold Bullion Fund                                                   | ON                    |                                     |          |
| Ninepoint Resource Fund                                                       | ON                    |                                     |          |
| Ninepoint Resource Fund Class                                                 | ON                    |                                     |          |
| Ninepoint Risk Advantaged U.S. Equity Index Fund                              | ON                    |                                     |          |
| Ninepoint Silver Bullion Fund                                                 | ON                    |                                     |          |

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| Name / Dénomination                                          | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|--------------------------------------------------------------|-----------------------|------------------------------|----------|
| Ninepoint Silver Equities Fund                               | ON                    |                              |          |
| Ninepoint Target Income Fund                                 | ON                    |                              |          |
| NioCorp Developments Ltd.                                    | ON                    |                              |          |
| NL2 Capital Inc.                                             | NS                    |                              |          |
| NOA Lithium Brines Inc.                                      | AB                    |                              |          |
| Nobilis Health Corp. (formerly Northstar Healthcare Inc.)    | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Norbourn Balanced Fund                                       | QC                    | 1(a), 1(b), 1(c), 1(d)       |          |
| Norbourn Canadian Tactical Asset Allocation Fund             | QC                    | 1(a), 1(b), 1(c), 1(d)       |          |
| Norbourn Convertible Debentures Fund                         | QC                    | 1(a), 1(b), 1(c), 1(d)       |          |
| Norbourn Emerging Growth Companies Fund                      | QC                    | 1(a), 1(b), 1(c), 1(d)       |          |
| Norbourn Equity-Special Situations Fund                      | QC                    | 1(a), 1(b), 1(c), 1(d)       |          |
| Norbourn Fixed Income Fund                                   | QC                    | 1(a), 1(b), 1(c), 1(d)       |          |
| Norbourn International Balanced Fund                         | QC                    | 1(a), 1(b), 1(c), 1(d)       |          |
| Norbourn Money Market Fund                                   | QC                    | 1(a), 1(b), 1(c), 1(d)       |          |
| Nord Precious Metals Mining Inc.                             | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Nortel Networks Corporation                                  | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Nortel Networks Limited                                      | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| North American Construction Group Ltd.                       | AB                    |                              |          |
| North American Financial 15 Split Corp.                      | ON                    |                              |          |
| North American Tungsten Corporation Ltd.                     | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| North Growth Canadian Equity Fund                            | BC                    |                              |          |
| North Growth U.S. Equity Advisor Fund                        | BC                    |                              |          |
| Northern Dynasty Minerals Ltd.                               | BC                    |                              |          |
| NorthIsle Copper and Gold Inc.                               | BC                    |                              |          |
| Northland Power Inc.                                         | ON                    |                              |          |
| Northland Resources SE (formerly Northland Resources S.A.)   | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Northstar Aerospace, Inc.                                    | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Northstar Gold Corp.                                         | ON                    |                              |          |
| Northview Residential REIT                                   | AB                    |                              |          |
| NorthWest Copper Corp.                                       | BC                    |                              |          |
| NorthWest Healthcare Properties Real Estate Investment Trust | ON                    |                              |          |
| Nouveau Monde Graphite Inc.                                  | QC                    |                              |          |
| Nova Leap Health Corp.                                       | NS                    |                              |          |
| Nova Scotia Power Incorporated                               | NS                    |                              |          |
| NOVAGOLD RESOURCES INC.                                      | BC                    |                              |          |

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| Name / Dénomination                                                           | Principal/ Principale | Default / Défaut                | CTO/ IOV |
|-------------------------------------------------------------------------------|-----------------------|---------------------------------|----------|
| Novanta Inc.                                                                  | NB (NB-PR)            |                                 |          |
| NowVertical Group Inc.                                                        | ON                    |                                 |          |
| NS Partners International Equity Focus Fund                                   | ON                    |                                 |          |
| Numinus Wellness Inc.                                                         | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e)    |          |
| Nutrien Ltd.                                                                  | SK                    |                                 |          |
| Nuvau Minerals Inc.                                                           | ON                    |                                 |          |
| Nuveen Global Green Bond Fund                                                 | ON                    |                                 |          |
| NUVISTA ENERGY LTD.                                                           | AB                    |                                 |          |
| NVIDIA (NVDA) Yield Shares Purpose ETF                                        | ON                    |                                 |          |
| Oak Hill AQR Delphi Long-Short Equity Fund                                    | ON                    |                                 |          |
| Oak Hill NexPoint Global Merger Arbitrage Fund                                | ON                    |                                 |          |
| Oak Hill NexPoint Global Merger Arbitrage Plus Fund                           | ON                    |                                 |          |
| Obsidian Energy Ltd.                                                          | AB                    |                                 |          |
| OceanaGold Corporation                                                        | ON                    |                                 |          |
| Oceansix Future Paths Ltd. (formerly K.B. Recycling Industries Ltd.)          | ON                    | 1(a), 1(b), 1(c), 1(e)          |          |
| Oil Optimization Inc.                                                         | AB                    | 1(a), 1(b), 1(c), 1(e)          |          |
| Oilsands Quest Inc.                                                           | AB                    | 1(a), 1(b), 1(c), 1(d), 1(e), 3 |          |
| Old API Wind-down Ltd. (formerly, Aralez Pharmaceuticals Inc.)                | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e)    |          |
| Old MAV Wind-Down Ltd. (formerly, MAV Beauty Brands Inc.)                     | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e)    |          |
| Oncolytics Biotech Inc.                                                       | AB                    |                                 |          |
| ONE Financial All-Weather Profit Canada Fund                                  | ON                    | 1(a), 1(b), 1(c), 1(d)          |          |
| ONE Financial All-Weather Profit Commodities Fund                             | ON                    | 1(a), 1(b), 1(c), 1(d)          |          |
| ONE Financial All-Weather Profit Conservative Growth 2022 Protected Portfolio | ON                    | 1(a), 1(b), 1(c), 1(d)          |          |
| ONE Financial All-Weather Profit Emerging Markets Fund                        | ON                    | 1(a), 1(b), 1(c), 1(d)          |          |
| ONE Financial All-Weather Profit Europe & Asia Fund                           | ON                    | 1(a), 1(b), 1(c), 1(d)          |          |
| ONE Financial All-Weather Profit Global Diversified Fund                      | ON                    | 1(a), 1(b), 1(c), 1(d)          |          |
| ONE Financial All-Weather Profit Global Diversified Growth Fund               | ON                    | 1(a), 1(b), 1(c), 1(d)          |          |
| ONE Financial All-Weather Profit Growth & Income Balanced Fund                | ON                    | 1(a), 1(b), 1(c), 1(d)          |          |
| ONE Financial All-Weather Profit Monthly ROC Income 2022 Protected Portfolio  | ON                    | 1(a), 1(b), 1(c), 1(d)          |          |
| ONE Financial All-Weather Profit Monthly Tax-Efficient Bond Fund              | ON                    | 1(a), 1(b), 1(c), 1(d)          |          |
| ONE Financial All-Weather Profit Tax-Efficient Short-term Savings Fund        | ON                    | 1(a), 1(b), 1(c), 1(d)          |          |
| ONE Financial All-Weather Profit U.S. Fund                                    | ON                    | 1(a), 1(b), 1(c), 1(d)          |          |

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| Name / Dénomination                                         | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|-------------------------------------------------------------|-----------------------|------------------------------|----------|
| ONE Financial Real Property Development Trust (2008-1)      | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| ONE Financial Real Property Income Fund (2008-1)            | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| ONEnergy Inc.                                               | ON                    |                              |          |
| ONEX CORPORATION                                            | ON                    |                              |          |
| Onex Dividend Distribution Fund                             | ON                    |                              |          |
| Onex Global Special Situations Alternative Fund             | ON                    |                              |          |
| Onex High Yield Bond Fund (Canada)                          | ON                    |                              |          |
| Onex International Fund                                     | ON                    |                              |          |
| Onex Premium Income Trust                                   | ON                    |                              |          |
| Onex U.S. Equity Fund                                       | ON                    |                              |          |
| Ontario Power Generation Inc.                               | ON                    |                              |          |
| Open Text Corporation                                       | ON                    |                              |          |
| Optimi Health Corp.                                         | BC                    |                              |          |
| Optiva Inc.                                                 | ON                    |                              |          |
| OR ROYALTIES INC.                                           | QC                    |                              |          |
| Oracle Commodity Holding Corp.                              | BC                    |                              |          |
| Orange                                                      | QC                    |                              |          |
| Orbit Garant Drilling Inc.                                  | QC                    |                              |          |
| Orbite Technologies Inc.                                    | QC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Orca Energy Group Inc. formerly Orca Exploration Group Inc. | AB                    |                              |          |
| Orea Mining Corp.                                           | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Orezone Gold Corporation                                    | BC                    |                              |          |
| Organigram Global Inc.                                      | ON                    |                              |          |
| Organto Foods Inc.                                          | BC                    |                              |          |
| Orla Mining Ltd.                                            | BC                    |                              |          |
| Orvana Minerals Corp.                                       | ON                    |                              |          |
| Osisko Development Corp.                                    | QC                    |                              |          |
| Outcome Canadian Equity Income Fund                         | ON                    |                              |          |
| Outcrop Silver & Gold Corporation                           | BC                    |                              |          |
| OutdoorPartner Media Corporation                            | ON                    |                              |          |
| Ovintiv Inc.                                                | AB                    |                              |          |
| Padlock Euro Storage Fund I                                 | ON                    |                              |          |
| Paladin Energy Ltd.                                         | ON                    |                              |          |
| Palantir (PLTR) Yield Shares Purpose ETF                    | ON                    |                              |          |
| Palos Equity Income Fund                                    | QC                    |                              |          |

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|---------------------------------------------------------------------------------------|-----------------------|------------------------------|----------|
| Pan American Silver Corp.                                                             | BC                    |                              |          |
| Panther Minerals Inc.                                                                 | BC                    |                              |          |
| Parallel Energy Trust                                                                 | AB                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Paramount Resources Ltd.                                                              | AB                    |                              |          |
| Parex Resources Inc.                                                                  | AB                    |                              |          |
| Parkland Corporation                                                                  | AB                    |                              |          |
| Partners Value Split Corp.                                                            | ON                    |                              |          |
| Pasofino Gold Limited                                                                 | ON                    |                              |          |
| Patagonia Gold Corp.                                                                  | BC                    |                              |          |
| Patriot Battery Metals Inc.                                                           | BC                    |                              |          |
| PC 1 Corp.                                                                            | ON                    |                              |          |
| PCJ Absolute Return II Fund                                                           | ON                    |                              |          |
| PCJ Focused Opportunities Fund                                                        | ON                    |                              |          |
| Peak Discovery Capital Ltd. (formerly HANWEI ENERGY SERVICES CORP.)                   | BC                    |                              |          |
| Pembina Pipeline Corporation                                                          | AB                    |                              |          |
| PEMBROKE AMERICAN GROWTH FUND INC.                                                    | QC                    |                              |          |
| Pembroke Canadian All Cap Fund                                                        | QC                    |                              |          |
| PEMBROKE CANADIAN BALANCED FUND                                                       | QC                    |                              |          |
| PEMBROKE CANADIAN BOND FUND                                                           | QC                    |                              |          |
| PEMBROKE CANADIAN GROWTH FUND                                                         | QC                    |                              |          |
| PEMBROKE CONCENTRATED FUND                                                            | QC                    |                              |          |
| PEMBROKE CORPORATE BOND FUND                                                          | QC                    |                              |          |
| Pembroke Dividend Growth Fund                                                         | QC                    |                              |          |
| PEMBROKE GLOBAL BALANCED FUND                                                         | QC                    |                              |          |
| PEMBROKE INTERNATIONAL GROWTH FUND                                                    | QC                    |                              |          |
| PEMBROKE MONEY MARKET FUND                                                            | QC                    |                              |          |
| Pender Alternative Absolute Return Fund                                               | BC                    |                              |          |
| Pender Alternative Arbitrage Fund                                                     | BC                    |                              |          |
| Pender Alternative Arbitrage Plus Fund                                                | BC                    |                              |          |
| Pender Alternative Multi-Strategy Income Fund                                         | BC                    |                              |          |
| Pender Alternative Special Situations Fund (formerly, Pender Special Situations Fund) | BC                    |                              |          |
| Pender Bond Universe Fund                                                             | BC                    |                              |          |
| Pender Corporate Bond Fund                                                            | BC                    |                              |          |
| Pender Global Small/Mid Cap Equity Fund                                               | BC                    |                              |          |

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|---------------------------------------------------------------------------------|-----------------------|-------------------------------------|----------|
| Pender Growth Fund Inc.                                                         | BC                    |                                     |          |
| Pender Small Cap Opportunities Fund                                             | BC                    |                                     |          |
| Pender Strategic Growth and Income Fund (formerly, Pender Enhanced Income Fund) | BC                    |                                     |          |
| Pender US Small/Mid Cap Equity Fund                                             | BC                    |                                     |          |
| PENN Entertainment, Inc.                                                        | ON                    |                                     |          |
| <b>Perk Labs Inc.</b>                                                           | <b>BC</b>             | <b>1(a), 1(b), 1(c), 1(e)</b>       |          |
| Perpetua Resources Corp.                                                        | BC                    |                                     |          |
| PERSEUS MINING LIMITED                                                          | BC                    |                                     |          |
| PERSONAS SOCIAL INCORPORATED                                                    | ON                    |                                     |          |
| Pet Valu Holdings Ltd.                                                          | ON                    |                                     |          |
| PetroTal Corp.                                                                  | AB                    |                                     |          |
| Petro-Victory Energy Corp.                                                      | AB                    |                                     |          |
| <b>Petrowest Corporation</b>                                                    | <b>AB</b>             | <b>1(a), 1(b), 1(c), 1(d), 1(e)</b> |          |
| Peyto Exploration and Development Corp.                                         | AB                    |                                     |          |
| PharmaCorp Rx Inc.                                                              | SK                    |                                     |          |
| <b>PHILIP SERVICES CORP.</b>                                                    | <b>ON</b>             | <b>1(a), 1(b), 1(c), 1(d), 1(e)</b> |          |
| Phillips, Hager & North \$U.S. Money Market Fund                                | ON                    |                                     |          |
| Phillips, Hager & North Balanced Fund                                           | ON                    |                                     |          |
| Phillips, Hager & North Balanced Pension Trust                                  | ON                    |                                     |          |
| Phillips, Hager & North Bond Fund                                               | ON                    |                                     |          |
| Phillips, Hager & North Canadian Equity Fund                                    | ON                    |                                     |          |
| Phillips, Hager & North Canadian Equity Pension Trust                           | ON                    |                                     |          |
| Phillips, Hager & North Canadian Equity Plus Pension Trust                      | ON                    |                                     |          |
| Phillips, Hager & North Canadian Equity Underlying Fund                         | ON                    |                                     |          |
| Phillips, Hager & North Canadian Equity Underlying Fund II                      | ON                    |                                     |          |
| Phillips, Hager & North Canadian Equity Value Fund                              | ON                    |                                     |          |
| Phillips, Hager & North Canadian Growth Fund                                    | ON                    |                                     |          |
| Phillips, Hager & North Canadian Income Fund                                    | ON                    |                                     |          |
| Phillips, Hager & North Canadian Money Market Fund                              | ON                    |                                     |          |
| Phillips, Hager & North Conservative Equity Income Fund                         | ON                    |                                     |          |
| Phillips, Hager & North Conservative Pension Trust                              | ON                    |                                     |          |
| Phillips, Hager & North Currency-Hedged Overseas Equity Fund                    | ON                    |                                     |          |
| Phillips, Hager & North Currency-Hedged U.S. Equity Fund                        | ON                    |                                     |          |
| Phillips, Hager & North Dividend Income Fund                                    | ON                    |                                     |          |
| Phillips, Hager & North Global Equity Fund                                      | ON                    |                                     |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                          | Principal/ Principale | Default / Défaut | CTO/ IOV |
|--------------------------------------------------------------|-----------------------|------------------|----------|
| Phillips, Hager & North Growth Pension Trust                 | ON                    |                  |          |
| Phillips, Hager & North High Yield Bond Fund                 | ON                    |                  |          |
| Phillips, Hager & North LifeTime 2015 Fund                   | ON                    |                  |          |
| Phillips, Hager & North LifeTime 2020 Fund                   | ON                    |                  |          |
| Phillips, Hager & North LifeTime 2025 Fund                   | ON                    |                  |          |
| Phillips, Hager & North LifeTime 2030 Fund                   | ON                    |                  |          |
| Phillips, Hager & North LifeTime 2035 Fund                   | ON                    |                  |          |
| Phillips, Hager & North LifeTime 2040 Fund                   | ON                    |                  |          |
| Phillips, Hager & North LifeTime 2045 Fund                   | ON                    |                  |          |
| Phillips, Hager & North LifeTime 2050 Fund                   | ON                    |                  |          |
| Phillips, Hager & North LifeTime 2055 Fund                   | ON                    |                  |          |
| Phillips, Hager & North LifeTime 2060 Fund                   | ON                    |                  |          |
| Phillips, Hager & North LifeTime 2065 Fund                   | ON                    |                  |          |
| Phillips, Hager & North Monthly Income Fund                  | ON                    |                  |          |
| Phillips, Hager & North Overseas Equity Fund                 | ON                    |                  |          |
| Phillips, Hager & North Short Term Bond & Mortgage Fund      | ON                    |                  |          |
| Phillips, Hager & North Small Float Fund                     | ON                    |                  |          |
| Phillips, Hager & North Total Return Bond Fund               | ON                    |                  |          |
| Phillips, Hager & North U.S. Dividend Income Fund            | ON                    |                  |          |
| Phillips, Hager & North U.S. Equity Fund                     | ON                    |                  |          |
| Phillips, Hager & North U.S. Growth Fund                     | ON                    |                  |          |
| Phillips, Hager & North U.S. Multi-Style All-Cap Equity Fund | ON                    |                  |          |
| Phillips, Hager & North Vintage Fund                         | ON                    |                  |          |
| PHX Energy Services Corp.                                    | AB                    |                  |          |
| PICTON Arbitrage Alternative Fund                            | ON                    |                  |          |
| PICTON Arbitrage Plus Alternative Fund                       | ON                    |                  |          |
| PICTON Balanced Fund                                         | ON                    |                  |          |
| PICTON Core Bond Fund                                        | ON                    |                  |          |
| PICTON Credit Opportunities Alternative Fund                 | ON                    |                  |          |
| PICTON Global Equity Fund                                    | ON                    |                  |          |
| PICTON Income Fund                                           | ON                    |                  |          |
| PICTON Inflation Opportunities Alternative Fund              | ON                    |                  |          |
| PICTON Investment Grade Alternative Fund                     | ON                    |                  |          |
| PICTON Long Short Equity (130/30) Alternative Fund           | ON                    |                  |          |
| PICTON Long Short Equity Alternative Fund                    | ON                    |                  |          |



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| Name / Dénomination                                                              | Principal/ Principale | Default / Défaut       | CTO/ IOV |
|----------------------------------------------------------------------------------|-----------------------|------------------------|----------|
| PICTON Long Short Income Alternative Fund                                        | ON                    |                        |          |
| PICTON Market Neutral Equity Alternative Fund                                    | ON                    |                        |          |
| PICTON Multi-Strategy Alpha Alternative Fund                                     | ON                    |                        |          |
| PICTON Multi-Strategy Alternative Fund                                           | ON                    |                        |          |
| PIMCO - IG Global Bond Pool                                                      | MB                    |                        |          |
| PIMCO Canadian Core Bond Fund                                                    | ON                    |                        |          |
| PIMCO Climate Bond Fund (Canada)                                                 | ON                    |                        |          |
| PIMCO ESG Income Fund (Canada)                                                   | ON                    |                        |          |
| PIMCO Flexible Global Bond Fund (Canada)                                         | ON                    |                        |          |
| PIMCO Global Income Opportunities Fund                                           | ON                    |                        |          |
| PIMCO Global Short Maturity Fund (Canada)                                        | ON                    |                        |          |
| PIMCO Investment Grade Credit Fund (Canada)                                      | ON                    |                        |          |
| PIMCO Low Duration Monthly Income Fund (Canada)                                  | ON                    |                        |          |
| PIMCO Managed Conservative Bond Pool                                             | ON                    |                        |          |
| PIMCO Managed Core Bond Pool                                                     | ON                    |                        |          |
| PIMCO Monthly Enhanced Income Fund                                               | ON                    |                        |          |
| PIMCO Monthly Income Fund (Canada)                                               | ON                    |                        |          |
| PIMCO Unconstrained Bond Fund (Canada)                                           | ON                    |                        |          |
| Pine Cliff Energy Ltd.                                                           | AB                    |                        |          |
| Pine Trail Real Estate Investment Trust formerly, Pine Trail Capital Trust       | ON                    |                        |          |
| Pinnacle Balanced Portfolio                                                      | ON                    |                        |          |
| Piper Resources Ltd.                                                             | AB                    | 1(a), 1(b), 1(c), 1(e) |          |
| Pivotree Inc.                                                                    | ON                    |                        |          |
| Pizza Pizza Royalty Corp.                                                        | ON                    |                        |          |
| PK Core Fund                                                                     | ON                    |                        |          |
| Planet 13 Holdings Inc.                                                          | ON                    |                        |          |
| Planet Based Foods Global Inc.                                                   | BC                    |                        |          |
| Plant Veda Foods Ltd.                                                            | BC                    | 1(a), 1(b), 1(c), 1(e) |          |
| Plant-Based Investment Corp. (formerly, Cannabis Growth Opportunity Corporation) | ON                    | 1(a), 1(b), 1(c), 1(e) |          |
| Platinum Group Metals Ltd.                                                       | BC                    |                        |          |
| Plaza Retail REIT                                                                | NB (NB-PR)            |                        |          |
| Plazacorp Willowgrove Residential Real Estate Development Trust                  | ON                    |                        |          |
| Plurilock Security Inc. formerly Libby K Industries Inc.                         | BC                    |                        |          |
| POET Technologies Inc.                                                           | ON                    |                        |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                                                          | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|----------------------------------------------------------------------------------------------|-----------------------|------------------------------|----------|
| Point Loma Resources Ltd.                                                                    | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Polaris Renewable Energy Inc.                                                                | ON                    |                              |          |
| Pollard Banknote Limited                                                                     | MB                    |                              |          |
| Ponderosa Fund                                                                               | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Portex Minerals Inc.                                                                         | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Portland 15 of 15 Alternative Fund                                                           | ON                    |                              |          |
| Portland Canadian Balanced Fund                                                              | ON                    |                              |          |
| Portland Life Sciences Alternative Fund                                                      | ON                    |                              |          |
| Portland Replacement of Fossil Fuels Alternative Fund                                        | ON                    |                              |          |
| Poseidon Concepts Corp. (formerly Open Range Energy Corp.)                                   | AB                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Postmedia Network Canada Corp.                                                               | ON                    |                              |          |
| Power Corporation of Canada                                                                  | QC                    |                              |          |
| Power Financial Corporation                                                                  | QC                    |                              |          |
| Poynt Corporation                                                                            | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Prairie Provident Resources Inc.                                                             | AB                    |                              |          |
| PrairieSky Royalty Ltd.                                                                      | AB                    |                              |          |
| Precious Metals and Mining Trust                                                             | ON                    |                              |          |
| Precision Drilling Corporation                                                               | AB                    |                              |          |
| Premium Brands Holdings Corporation                                                          | BC                    |                              |          |
| Premium Cash Management Fund                                                                 | ON                    |                              |          |
| Premium Global Income Split Corp.                                                            | ON                    |                              |          |
| Premium Income Corporation                                                                   | ON                    |                              |          |
| Preo Software Inc.                                                                           | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Primaris Real Estate Investment Trust                                                        | ON                    |                              |          |
| Prime Dividend Corp.                                                                         | ON                    |                              |          |
| Primerica Balanced Yield Fund                                                                | ON                    |                              |          |
| Primerica Canadian Balanced Growth Fund                                                      | ON                    |                              |          |
| Primerica Canadian Money Market Fund                                                         | ON                    |                              |          |
| Primerica Conservative Income Fund                                                           | ON                    |                              |          |
| Primerica Global Balanced Growth Fund                                                        | ON                    |                              |          |
| Primerica Global Equity Fund                                                                 | ON                    |                              |          |
| Primerica Income Fund                                                                        | ON                    |                              |          |
| Priszm Income Fund                                                                           | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Pro Real Estate Investment Trust                                                             | QC                    |                              |          |
| Probity Mining 2024 Short Duration Flow-Through Limited Partnership - British Columbia Class | BC                    |                              |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                                                             | Principal/ Principale | Default / Défaut       | CTO/ IOV |
|-------------------------------------------------------------------------------------------------|-----------------------|------------------------|----------|
| Probity Mining 2024 Short Duration Flow-Through Limited Partnership - National Class            | BC                    |                        |          |
| Probity Mining 2024 Short Duration Flow-Through Limited Partnership - Quebec Class              | BC                    |                        |          |
| Probity Mining 2024-II Short Duration Flow-Through Limited Partnership - British Columbia Class | BC                    |                        |          |
| Probity Mining 2024-II Short Duration Flow-Through Limited Partnership - National Class         | BC                    |                        |          |
| Probity Mining 2024-II Short Duration Flow-Through Limited Partnership - Quebec Class           | BC                    |                        |          |
| Probity Mining 2025 Short Duration Flow-Through Limited Partnership - British Columbia Class    | BC                    |                        |          |
| Probity Mining 2025 Short Duration Flow-Through Limited Partnership - National Class            | BC                    |                        |          |
| Probity Mining 2025 Short Duration Flow-Through Limited Partnership - Quebec Class              | BC                    |                        |          |
| Production Enhancement Group, Inc.                                                              | AB                    | 1(a), 1(b), 1(c), 1(e) |          |
| Profound Medical Corp.                                                                          | ON                    |                        |          |
| Promino Nutritional Sciences Inc.                                                               | ON                    | 1(a), 1(b), 1(c), 1(e) |          |
| ProMIS Neurosciences Inc.                                                                       | ON                    |                        |          |
| Propel Holdings Inc.                                                                            | ON                    |                        |          |
| PsyBio Therapeutics Corp. (formerly Leo Acquisitions Corp.)                                     | BC                    | 1(a), 1(b), 1(c), 1(e) |          |
| Pulsar Helium Inc.                                                                              | BC                    |                        |          |
| Pure Extracts Technologies Corp. (formerly, Big Sky Petroleum Corporation)                      | BC                    | 1(a), 1(b), 1(c), 1(e) |          |
| Purebread Brands Inc. (formerly Coho Collective Kitchens Inc.)                                  | BC                    |                        |          |
| Purepoint Uranium Group Inc.                                                                    | ON                    |                        |          |
| Purpose Active Balanced Fund                                                                    | ON                    |                        |          |
| Purpose Active Conservative Fund                                                                | ON                    |                        |          |
| Purpose Active Growth Fund                                                                      | ON                    |                        |          |
| Purpose Best Ideas Fund                                                                         | ON                    |                        |          |
| Purpose Bitcoin ETF                                                                             | ON                    |                        |          |
| Purpose Bitcoin Yield ETF                                                                       | ON                    |                        |          |
| Purpose Canadian Financial Income Fund                                                          | ON                    |                        |          |
| Purpose Canadian Preferred Share Fund                                                           | ON                    |                        |          |
| Purpose Cash Management Fund (formerly Purpose Cash Management Portfolio)                       | ON                    |                        |          |
| Purpose Conservative Income Fund                                                                | ON                    |                        |          |
| Purpose Core Bitcoin ETF                                                                        | ON                    |                        |          |
| Purpose Core Dividend Fund                                                                      | ON                    |                        |          |

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| Name / Dénomination                                                                                  | Principal/ Principale | Default / Défaut | CTO/ IOV |
|------------------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| Purpose Core Equity Income Fund                                                                      | ON                    |                  |          |
| Purpose Core Ether ETF                                                                               | ON                    |                  |          |
| Purpose Credit Opportunities Fund                                                                    | ON                    |                  |          |
| Purpose Diversified Real Asset Fund                                                                  | ON                    |                  |          |
| Purpose Emerging Markets Dividend Fund                                                               | ON                    |                  |          |
| Purpose Enhanced Dividend Fund                                                                       | ON                    |                  |          |
| Purpose Enhanced Premium Yield Fund                                                                  | ON                    |                  |          |
| Purpose Ether ETF                                                                                    | ON                    |                  |          |
| Purpose Ether Staking Corp. ETF                                                                      | ON                    |                  |          |
| Purpose Ether Yield ETF                                                                              | ON                    |                  |          |
| Purpose Global Bond Class                                                                            | ON                    |                  |          |
| Purpose Global Bond Fund                                                                             | ON                    |                  |          |
| Purpose Global Flexible Credit Fund (formerly Purpose Floating Rate Income Fund)                     | ON                    |                  |          |
| Purpose Global Innovators Fund                                                                       | ON                    |                  |          |
| Purpose Global Resource Fund                                                                         | ON                    |                  |          |
| Purpose Gold Bullion Fund                                                                            | ON                    |                  |          |
| Purpose High Interest Savings Fund (formerly, Purpose High Interest Savings ETF)                     | ON                    |                  |          |
| Purpose International Dividend Fund                                                                  | ON                    |                  |          |
| Purpose International Tactical Hedged Equity Fund                                                    | ON                    |                  |          |
| Purpose Monthly Income Fund                                                                          | ON                    |                  |          |
| Purpose Multi-Asset Income Fund                                                                      | ON                    |                  |          |
| Purpose Multi-Strategy Market Neutral Fund                                                           | ON                    |                  |          |
| Purpose Premium Money Market Fund                                                                    | ON                    |                  |          |
| Purpose Premium Yield Fund                                                                           | ON                    |                  |          |
| Purpose Real Estate Income Fund                                                                      | ON                    |                  |          |
| Purpose Select Equity Fund                                                                           | ON                    |                  |          |
| Purpose Silver Bullion Fund                                                                          | ON                    |                  |          |
| Purpose Solana ETF                                                                                   | ON                    |                  |          |
| Purpose Special Opportunities Fund                                                                   | ON                    |                  |          |
| Purpose Strategic Yield Fund                                                                         | ON                    |                  |          |
| Purpose Structured Equity Growth Fund                                                                | ON                    |                  |          |
| Purpose Structured Equity Yield Fund (formerly, Purpose Structured Equity Yield Portfolio)           | ON                    |                  |          |
| Purpose Structured Equity Yield Plus Fund (formerly, Purpose Structured Equity Yield Plus Portfolio) | ON                    |                  |          |

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| Name / Dénomination                                             | Principal/ Principale | Default / Défaut                   | CTO/ IOV |
|-----------------------------------------------------------------|-----------------------|------------------------------------|----------|
| Purpose Tactical Asset Allocation Fund                          | ON                    |                                    |          |
| Purpose Tactical Hedged Equity Fund                             | ON                    |                                    |          |
| Purpose Tactical Thematic Fund                                  | ON                    |                                    |          |
| Purpose Total Return Bond Fund                                  | ON                    |                                    |          |
| Purpose U.S. Preferred Share Fund                               | ON                    |                                    |          |
| Purpose US Cash Fund                                            | ON                    |                                    |          |
| Purpose USD Cash Management Fund                                | ON                    |                                    |          |
| Purpose XRP ETF                                                 | ON                    |                                    |          |
| Putnam - IG High Yield Income Pool                              | MB                    |                                    |          |
| Putnam - IG Sustainable Leaders Pool                            | MB                    |                                    |          |
| Putnam - IG U.S. Growth Pool                                    | MB                    |                                    |          |
| PyroGenesis Inc.                                                | QC                    |                                    |          |
| Quadravest Preferred Split Share ETF                            | ON                    |                                    |          |
| Quantum BioPharma Ltd.                                          | ON                    |                                    |          |
| Quarterhill Inc.                                                | ON                    |                                    |          |
| Quebecor Inc.                                                   | QC                    |                                    |          |
| Queens Road Capital Investment Ltd.                             | BC                    |                                    |          |
| Queensway Financial Holdings Limited                            | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e), 1(g) |          |
| Questerre Energy Corporation                                    | AB                    |                                    |          |
| Quipt Home Medical Corp.                                        | BC                    |                                    |          |
| Quorum Expansion Capital Fund Inc.                              | ON                    | 1(a), 1(b), 1(c), 1(d)             |          |
| Radiant Technologies Inc.                                       | AB                    | 1(a), 1(b), 1(c), 1(e), 3          |          |
| Rakovina Therapeutics Inc. - formerly, Vincero Capital Corp.    | BC                    |                                    |          |
| Rare Element Resources Ltd.                                     | BC                    |                                    |          |
| Ravelin Properties REIT                                         | ON                    |                                    |          |
| Ravensource Fund (formerly The First Asia Income Fund)          | ON                    |                                    |          |
| Rayonier Advanced Materials Inc.                                | QC                    |                                    |          |
| RB Energy Inc. (formerly Canada Lithium Corp.)                  | BC                    | 1(a), 1(b), 1(c), 1(e)             |          |
| RB Global, Inc. formerly Ritchie Bros. Auctioneers Incorporated | BC                    |                                    |          |
| RBC \$U.S. Core Bond Pool                                       | ON                    |                                    |          |
| RBC \$U.S. Core Plus Bond Pool                                  | ON                    |                                    |          |
| RBC \$U.S. Global Balanced Portfolio                            | ON                    |                                    |          |
| RBC \$U.S. Global Bond Fund                                     | ON                    |                                    |          |
| RBC \$U.S. High Yield Bond Fund                                 | ON                    |                                    |          |
| RBC \$U.S. Investment Grade Corporate Bond Fund                 | ON                    |                                    |          |

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|---------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| RBC \$U.S. Money Market Fund                                                          | ON                    |                  |          |
| RBC \$U.S. Short-Term Corporate Bond Fund                                             | ON                    |                  |          |
| RBC \$U.S. Short-Term Government Bond Fund                                            | ON                    |                  |          |
| RBC \$U.S. Strategic Income Bond Fund                                                 | ON                    |                  |          |
| RBC 1-5 Year Laddered Canadian Bond ETF                                               | ON                    |                  |          |
| RBC 1-5 Year Laddered Canadian Corporate Bond ETF                                     | ON                    |                  |          |
| RBC AAA CLO (CAD Hedged) ETF                                                          | ON                    |                  |          |
| RBC Asia Pacific ex-Japan Equity Fund                                                 | ON                    |                  |          |
| RBC Asian Equity Fund                                                                 | ON                    |                  |          |
| RBC Balanced Fund                                                                     | ON                    |                  |          |
| RBC Balanced Growth & Income Fund                                                     | ON                    |                  |          |
| RBC Bond Fund                                                                         | ON                    |                  |          |
| RBC Canadian Bank Yield Index ETF                                                     | ON                    |                  |          |
| RBC Canadian Bond Index ETF Fund                                                      | ON                    |                  |          |
| RBC Canadian Corporate Bond Fund (formerly, RBC Private Canadian Corporate Bond Pool) | ON                    |                  |          |
| RBC Canadian Discount Bond ETF                                                        | ON                    |                  |          |
| RBC Canadian Dividend Covered Call ETF                                                | ON                    |                  |          |
| RBC Canadian Dividend Fund                                                            | ON                    |                  |          |
| RBC Canadian Equity Fund                                                              | ON                    |                  |          |
| RBC Canadian Equity Income Fund                                                       | ON                    |                  |          |
| RBC Canadian Equity Index ETF Fund                                                    | ON                    |                  |          |
| RBC Canadian Government Bond Index Fund                                               | ON                    |                  |          |
| RBC Canadian Index Fund                                                               | ON                    |                  |          |
| RBC Canadian Mid-Cap Equity Fund                                                      | ON                    |                  |          |
| RBC Canadian Money Market Fund                                                        | ON                    |                  |          |
| RBC Canadian Preferred Share ETF                                                      | ON                    |                  |          |
| RBC Canadian Short-Term Income Fund                                                   | ON                    |                  |          |
| RBC Canadian Small & Mid-Cap Resources Fund                                           | ON                    |                  |          |
| RBC Canadian T-Bill Fund                                                              | ON                    |                  |          |
| RBC Canadian Ultra Short Term Bond ETF                                                | ON                    |                  |          |
| RBC China Equity Fund                                                                 | ON                    |                  |          |
| RBC Conservative Bond Pool                                                            | ON                    |                  |          |
| RBC Conservative Growth & Income Fund                                                 | ON                    |                  |          |
| RBC Core Bond Pool                                                                    | ON                    |                  |          |
| RBC Core Plus Bond Pool                                                               | ON                    |                  |          |

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| Name / Dénomination                              | Principal/ Principale | Default / Défaut | CTO/ IOV |
|--------------------------------------------------|-----------------------|------------------|----------|
| RBC Emerging Markets Balanced Fund               | ON                    |                  |          |
| RBC Emerging Markets Bond Fund                   | ON                    |                  |          |
| RBC Emerging Markets Bond Fund (CAD Hedged)      | ON                    |                  |          |
| RBC Emerging Markets Dividend Fund               | ON                    |                  |          |
| RBC Emerging Markets Equity Focus Fund           | ON                    |                  |          |
| RBC Emerging Markets Equity Fund                 | ON                    |                  |          |
| RBC Emerging Markets Equity Index ETF Fund       | ON                    |                  |          |
| RBC Emerging Markets ex-China Dividend Fund      | ON                    |                  |          |
| RBC Emerging Markets ex-China Equity Fund        | ON                    |                  |          |
| RBC Emerging Markets Foreign Exchange Fund       | ON                    |                  |          |
| RBC Emerging Markets Multi-Strategy Equity Fund  | ON                    |                  |          |
| RBC Emerging Markets Small-Cap Equity Fund       | ON                    |                  |          |
| RBC European Equity Fund                         | ON                    |                  |          |
| RBC European Mid-Cap Equity Fund                 | ON                    |                  |          |
| RBC Global All-Equity Portfolio                  | ON                    |                  |          |
| RBC Global Balanced Fund                         | ON                    |                  |          |
| RBC Global Balanced Portfolio                    | ON                    |                  |          |
| RBC Global Bond Fund                             | ON                    |                  |          |
| RBC Global Bond Index ETF Fund                   | ON                    |                  |          |
| RBC Global Choices All-Equity Portfolio          | ON                    |                  |          |
| RBC Global Choices Balanced Portfolio            | ON                    |                  |          |
| RBC Global Choices Conservative Portfolio        | ON                    |                  |          |
| RBC Global Choices Growth Portfolio              | ON                    |                  |          |
| RBC Global Choices Very Conservative Portfolio   | ON                    |                  |          |
| RBC Global Conservative Portfolio                | ON                    |                  |          |
| RBC Global Corporate Bond Fund                   | ON                    |                  |          |
| RBC Global Dividend Growth Currency Neutral Fund | ON                    |                  |          |
| RBC Global Dividend Growth Fund                  | ON                    |                  |          |
| RBC Global Energy Fund                           | ON                    |                  |          |
| RBC Global Equity Focus Currency Neutral Fund    | ON                    |                  |          |
| RBC Global Equity Focus Fund                     | ON                    |                  |          |
| RBC Global Equity Fund                           | ON                    |                  |          |
| RBC Global Equity Index ETF Fund                 | ON                    |                  |          |
| RBC Global Equity Leaders Currency Neutral Fund  | ON                    |                  |          |
| RBC Global Equity Leaders Fund                   | ON                    |                  |          |

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| Name / Dénomination                                                                        | Principal/ Principale | Default / Défaut | CTO/ IOV |
|--------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| RBC Global Growth & Income Fund                                                            | ON                    |                  |          |
| RBC Global Growth Portfolio                                                                | ON                    |                  |          |
| RBC Global High Yield Bond Fund                                                            | ON                    |                  |          |
| RBC Global Large-Cap Equity Fund                                                           | ON                    |                  |          |
| RBC Global Precious Metals Fund                                                            | ON                    |                  |          |
| RBC Global Resources Fund                                                                  | ON                    |                  |          |
| RBC Global Technology Fund                                                                 | ON                    |                  |          |
| RBC Global Very Conservative Portfolio                                                     | ON                    |                  |          |
| RBC High Yield Bond Fund                                                                   | ON                    |                  |          |
| RBC India Equity Fund (formerly, RBC Indigo Indian Equity Fund)                            | ON                    |                  |          |
| RBC International Dividend Growth Fund                                                     | ON                    |                  |          |
| RBC International Equity Currency Neutral Fund                                             | ON                    |                  |          |
| RBC International Equity Currency Neutral Index ETF Fund                                   | ON                    |                  |          |
| RBC International Equity Fund                                                              | ON                    |                  |          |
| RBC International Equity Index Fund (formerly, RBC Indigo International Equity Index Fund) | ON                    |                  |          |
| RBC Japanese Equity Fund                                                                   | ON                    |                  |          |
| RBC Life Science and Technology Fund                                                       | ON                    |                  |          |
| RBC Managed Payout Solution                                                                | ON                    |                  |          |
| RBC Managed Payout Solution - Enhanced                                                     | ON                    |                  |          |
| RBC Managed Payout Solution - Enhanced Plus                                                | ON                    |                  |          |
| RBC Monthly Income Bond Fund                                                               | ON                    |                  |          |
| RBC Monthly Income Fund                                                                    | ON                    |                  |          |
| RBC North American Growth Fund                                                             | ON                    |                  |          |
| RBC North American Value Fund                                                              | ON                    |                  |          |
| RBC O'Shaughnessy All-Canadian Equity Fund                                                 | ON                    |                  |          |
| RBC O'Shaughnessy Canadian Equity Fund                                                     | ON                    |                  |          |
| RBC O'Shaughnessy Global Equity Fund                                                       | ON                    |                  |          |
| RBC O'Shaughnessy International Equity Fund                                                | ON                    |                  |          |
| RBC O'Shaughnessy U.S. Growth Fund                                                         | ON                    |                  |          |
| RBC O'Shaughnessy U.S. Growth Fund II                                                      | ON                    |                  |          |
| RBC O'Shaughnessy U.S. Value Fund                                                          | ON                    |                  |          |
| RBC O'Shaughnessy U.S. Value Fund (Unhedged)                                               | ON                    |                  |          |
| RBC PH&N Short Term Canadian Bond ETF                                                      | ON                    |                  |          |
| RBC Premium \$U.S. Money Market Fund                                                       | ON                    |                  |          |
| RBC Premium Money Market Fund                                                              | ON                    |                  |          |



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| Name / Dénomination                                           | Principal/ Principale | Default / Défaut | CTO/ IOV |
|---------------------------------------------------------------|-----------------------|------------------|----------|
| RBC Private Canadian Dividend Pool                            | ON                    |                  |          |
| RBC Private Canadian Equity Pool                              | ON                    |                  |          |
| RBC Private EAFE Equity Pool                                  | ON                    |                  |          |
| RBC Private Fundamental Canadian Equity Pool                  | ON                    |                  |          |
| RBC Private Global Growth Equity Pool                         | ON                    |                  |          |
| RBC Private Income Pool                                       | ON                    |                  |          |
| RBC Private Overseas Equity Pool                              | ON                    |                  |          |
| RBC Private Short-Term Income Pool                            | ON                    |                  |          |
| RBC Private U.S. Growth Equity Pool                           | ON                    |                  |          |
| RBC Private U.S. Large-Cap Core Equity Currency Neutral Pool  | ON                    |                  |          |
| RBC Private U.S. Large-Cap Core Equity Pool                   | ON                    |                  |          |
| RBC Private U.S. Large-Cap Value Equity Currency Neutral Pool | ON                    |                  |          |
| RBC Private U.S. Large-Cap Value Equity Pool                  | ON                    |                  |          |
| RBC Private U.S. Small-Cap Equity Pool                        | ON                    |                  |          |
| RBC Private World Equity Pool                                 | ON                    |                  |          |
| RBC Quant Canadian Dividend Leaders ETF                       | ON                    |                  |          |
| RBC Quant EAFE Dividend Leaders (CAD Hedged) ETF              | ON                    |                  |          |
| RBC Quant EAFE Dividend Leaders ETF                           | ON                    |                  |          |
| RBC Quant Emerging Markets Dividend Leaders ETF               | ON                    |                  |          |
| RBC Quant European Dividend Leaders (CAD Hedged) ETF          | ON                    |                  |          |
| RBC Quant European Dividend Leaders ETF                       | ON                    |                  |          |
| RBC Quant U.S. Dividend Leaders (CAD Hedged) ETF              | ON                    |                  |          |
| RBC Quant U.S. Dividend Leaders ETF                           | ON                    |                  |          |
| RBC QUBE Canadian Equity Fund                                 | ON                    |                  |          |
| RBC QUBE Global Equity Fund                                   | ON                    |                  |          |
| RBC QUBE Low Volatility All Country World Equity Fund         | ON                    |                  |          |
| RBC QUBE Low Volatility Canadian Equity Fund                  | ON                    |                  |          |
| RBC QUBE Low Volatility Emerging Markets Equity Fund          | ON                    |                  |          |
| RBC QUBE Low Volatility Global Equity Currency Neutral Fund   | ON                    |                  |          |
| RBC QUBE Low Volatility Global Equity Fund                    | ON                    |                  |          |
| RBC QUBE Low Volatility U.S. Equity Currency Neutral Fund     | ON                    |                  |          |
| RBC QUBE Low Volatility U.S. Equity Fund                      | ON                    |                  |          |
| RBC QUBE Market Neutral World Equity Fund                     | ON                    |                  |          |
| RBC QUBE Market Neutral World Equity Fund (CAD Hedged)        | ON                    |                  |          |
| RBC QUBE U.S. Equity Fund                                     | ON                    |                  |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                    | Principal/ Principale | Default / Défaut | CTO/ IOV |
|--------------------------------------------------------|-----------------------|------------------|----------|
| RBC Retirement 2020 Portfolio                          | ON                    |                  |          |
| RBC Retirement 2025 Portfolio                          | ON                    |                  |          |
| RBC Retirement 2030 Portfolio                          | ON                    |                  |          |
| RBC Retirement 2035 Portfolio                          | ON                    |                  |          |
| RBC Retirement 2040 Portfolio                          | ON                    |                  |          |
| RBC Retirement 2045 Portfolio                          | ON                    |                  |          |
| RBC Retirement 2050 Portfolio                          | ON                    |                  |          |
| RBC Retirement 2055 Portfolio                          | ON                    |                  |          |
| RBC Retirement 2060 Portfolio                          | ON                    |                  |          |
| RBC Retirement Income Solution                         | ON                    |                  |          |
| RBC Select Aggressive Growth Portfolio                 | ON                    |                  |          |
| RBC Select Balanced Portfolio                          | ON                    |                  |          |
| RBC Select Choices Aggressive Growth Portfolio         | ON                    |                  |          |
| RBC Select Choices Balanced Portfolio                  | ON                    |                  |          |
| RBC Select Choices Conservative Portfolio              | ON                    |                  |          |
| RBC Select Choices Growth Portfolio                    | ON                    |                  |          |
| RBC Select Conservative Portfolio                      | ON                    |                  |          |
| RBC Select Growth Portfolio                            | ON                    |                  |          |
| RBC Select Very Conservative Portfolio                 | ON                    |                  |          |
| RBC Short Term U.S. Corporate Bond ETF                 | ON                    |                  |          |
| RBC Short-Term Global Bond Fund                        | ON                    |                  |          |
| RBC Strategic Income Bond Fund                         | ON                    |                  |          |
| RBC Target 2025 Canadian Corporate Bond Index ETF      | ON                    |                  |          |
| RBC Target 2025 Canadian Government Bond ETF           | ON                    |                  |          |
| RBC Target 2025 Education Fund                         | ON                    |                  |          |
| RBC Target 2025 U.S. Corporate Bond ETF                | ON                    |                  |          |
| RBC Target 2026 Canadian Corporate Bond Index ETF      | ON                    |                  |          |
| RBC Target 2026 Canadian Corporate Bond Index ETF Fund | ON                    |                  |          |
| RBC Target 2026 Canadian Government Bond ETF           | ON                    |                  |          |
| RBC Target 2026 U.S. Corporate Bond ETF                | ON                    |                  |          |
| RBC Target 2027 Canadian Corporate Bond Index ETF      | ON                    |                  |          |
| RBC Target 2027 Canadian Corporate Bond Index ETF Fund | ON                    |                  |          |
| RBC Target 2027 Canadian Government Bond ETF           | ON                    |                  |          |
| RBC Target 2027 U.S. Corporate Bond ETF                | ON                    |                  |          |
| RBC Target 2028 Canadian Corporate Bond Index ETF      | ON                    |                  |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                    | Principal/ Principale | Default / Défaut | CTO/ IOV |
|--------------------------------------------------------|-----------------------|------------------|----------|
| RBC Target 2028 Canadian Corporate Bond Index ETF Fund | ON                    |                  |          |
| RBC Target 2028 Canadian Government Bond ETF           | ON                    |                  |          |
| RBC Target 2028 U.S. Corporate Bond ETF                | ON                    |                  |          |
| RBC Target 2029 Canadian Corporate Bond Index ETF      | ON                    |                  |          |
| RBC Target 2029 Canadian Corporate Bond Index ETF Fund | ON                    |                  |          |
| RBC Target 2029 Canadian Government Bond ETF           | ON                    |                  |          |
| RBC Target 2029 U.S. Corporate Bond ETF                | ON                    |                  |          |
| RBC Target 2030 Canadian Corporate Bond Index ETF      | ON                    |                  |          |
| RBC Target 2030 Canadian Corporate Bond Index ETF Fund | ON                    |                  |          |
| RBC Target 2030 Canadian Government Bond ETF           | ON                    |                  |          |
| RBC Target 2030 Education Fund                         | ON                    |                  |          |
| RBC Target 2030 U.S. Corporate Bond ETF                | ON                    |                  |          |
| RBC Target 2031 Canadian Corporate Bond ETF            | ON                    |                  |          |
| RBC Target 2031 Canadian Government Bond ETF           | ON                    |                  |          |
| RBC Target 2031 U.S. Corporate Bond ETF                | ON                    |                  |          |
| RBC Target 2035 Education Fund                         | ON                    |                  |          |
| RBC Target 2040 Education Fund                         | ON                    |                  |          |
| RBC Trend Canadian Equity Fund                         | ON                    |                  |          |
| RBC U.S. Banks Yield (CAD Hedged) Index ETF            | ON                    |                  |          |
| RBC U.S. Banks Yield Index ETF                         | ON                    |                  |          |
| RBC U.S. Discount Bond (CAD Hedged) ETF                | ON                    |                  |          |
| RBC U.S. Discount Bond ETF                             | ON                    |                  |          |
| RBC U.S. Dividend Covered Call ETF                     | ON                    |                  |          |
| RBC U.S. Dividend Currency Neutral Fund                | ON                    |                  |          |
| RBC U.S. Dividend Fund                                 | ON                    |                  |          |
| RBC U.S. Equity Currency Neutral Fund                  | ON                    |                  |          |
| RBC U.S. Equity Currency Neutral Index ETF Fund        | ON                    |                  |          |
| RBC U.S. Equity Fund                                   | ON                    |                  |          |
| RBC U.S. Equity Index ETF Fund                         | ON                    |                  |          |
| RBC U.S. Equity Value Fund                             | ON                    |                  |          |
| RBC U.S. Index Fund                                    | ON                    |                  |          |
| RBC U.S. Mid-Cap Growth Equity Currency Neutral Fund   | ON                    |                  |          |
| RBC U.S. Mid-Cap Growth Equity Fund                    | ON                    |                  |          |
| RBC U.S. Mid-Cap Value Equity Fund                     | ON                    |                  |          |
| RBC U.S. Monthly Income Fund                           | ON                    |                  |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                                  | Principal/ Principale | Default / Défaut                    | CTO/ IOV |
|----------------------------------------------------------------------|-----------------------|-------------------------------------|----------|
| RBC U.S. Small-Cap Core Equity Fund                                  | ON                    |                                     |          |
| RBC U.S. Small-Cap Value Equity Fund                                 | ON                    |                                     |          |
| RBC Vision Balanced Fund                                             | ON                    |                                     |          |
| RBC Vision Bond Fund                                                 | ON                    |                                     |          |
| RBC Vision Canadian Equity Fund                                      | ON                    |                                     |          |
| RBC Vision Fossil Fuel Free Balanced Fund                            | ON                    |                                     |          |
| RBC Vision Fossil Fuel Free Bond Fund                                | ON                    |                                     |          |
| RBC Vision Fossil Fuel Free Emerging Markets Equity Fund             | ON                    |                                     |          |
| RBC Vision Fossil Fuel Free Global Equity Fund                       | ON                    |                                     |          |
| RBC Vision Fossil Fuel Free Short-Term Bond Fund                     | ON                    |                                     |          |
| RBC Vision Global Equity Fund                                        | ON                    |                                     |          |
| RBC Vision QUBE Fossil Fuel Free Low Volatility Canadian Equity Fund | ON                    |                                     |          |
| <b>RDX Technologies Corporation</b>                                  | <b>AB</b>             | <b>1(a), 1(b), 1(c), 1(e)</b>       |          |
| RE Royalties Ltd. formerly Baetis Ventures Ltd.                      | BC                    |                                     |          |
| Real Estate Investment Corporate Class                               | ON                    |                                     |          |
| Real Estate Investment Pool                                          | ON                    |                                     |          |
| Real Estate Split Corp.                                              | ON                    |                                     |          |
| Real Matters Inc.                                                    | ON                    |                                     |          |
| Real Return Bond Fund                                                | ON                    |                                     |          |
| Realbotix Corp.                                                      | ON                    |                                     |          |
| <b>Realia Properties Inc.</b>                                        | <b>BC</b>             | <b>1(a), 1(b), 1(c), 1(e)</b>       |          |
| Reconnaissance Energy Africa Ltd.                                    | AB                    |                                     |          |
| <b>Red Eagle Mining Corporation</b>                                  | <b>BC</b>             | <b>1(a), 1(b), 1(c), 1(d), 1(e)</b> |          |
| Red Light Holland Corp. (formerly, Added Capital Inc.)               | ON                    |                                     |          |
| Red Pine Exploration Inc.                                            | ON                    |                                     |          |
| <b>Red White &amp; Bloom Brands Inc.</b>                             | <b>BC</b>             | <b>1(a), 1(b), 1(c), 1(e)</b>       |          |
| REFLEX Plan                                                          | QC                    |                                     |          |
| Reko International Group Inc.                                        | ON                    |                                     |          |
| <b>Relevium Technologies Inc.</b>                                    | <b>QC</b>             | <b>1(a), 1(b), 1(c), 1(e)</b>       |          |
| Renaissance Canadian All-Cap Equity Fund                             | ON                    |                                     |          |
| Renaissance Canadian Balanced Fund                                   | ON                    |                                     |          |
| Renaissance Canadian Bond Fund                                       | ON                    |                                     |          |
| Renaissance Canadian Core Value Fund                                 | ON                    |                                     |          |
| Renaissance Canadian Dividend Fund                                   | ON                    |                                     |          |
| Renaissance Canadian Growth Fund                                     | ON                    |                                     |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                                                        | Principal/ Principale | Default / Défaut | CTO/ IOV |
|--------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| Renaissance Canadian Monthly Income Fund                                                   | ON                    |                  |          |
| Renaissance Canadian Small-Cap Fund                                                        | ON                    |                  |          |
| Renaissance China Plus Fund                                                                | ON                    |                  |          |
| Renaissance Corporate Bond Fund                                                            | ON                    |                  |          |
| Renaissance Diversified Income Fund                                                        | ON                    |                  |          |
| Renaissance Emerging Markets Fund                                                          | ON                    |                  |          |
| Renaissance Flexible Yield Fund                                                            | ON                    |                  |          |
| Renaissance Floating Rate Income Fund                                                      | ON                    |                  |          |
| Renaissance Global Bond Fund                                                               | ON                    |                  |          |
| Renaissance Global Focus Fund                                                              | ON                    |                  |          |
| Renaissance Global Growth Currency Neutral Fund                                            | ON                    |                  |          |
| Renaissance Global Growth Fund                                                             | ON                    |                  |          |
| Renaissance Global Health Care Fund                                                        | ON                    |                  |          |
| Renaissance Global Infrastructure Currency Neutral Fund                                    | ON                    |                  |          |
| Renaissance Global Infrastructure Fund                                                     | ON                    |                  |          |
| Renaissance Global Innovation Fund (formerly Renaissance Global Science & Technology Fund) | ON                    |                  |          |
| Renaissance Global Markets Fund                                                            | ON                    |                  |          |
| Renaissance Global Real Estate Currency Neutral Fund                                       | ON                    |                  |          |
| Renaissance Global Real Estate Fund                                                        | ON                    |                  |          |
| Renaissance Global Small-Cap Fund                                                          | ON                    |                  |          |
| Renaissance High Income Fund                                                               | ON                    |                  |          |
| Renaissance High-Yield Bond Fund                                                           | ON                    |                  |          |
| Renaissance International Dividend Fund                                                    | ON                    |                  |          |
| Renaissance International Equity Currency Neutral Fund                                     | ON                    |                  |          |
| Renaissance International Equity Fund                                                      | ON                    |                  |          |
| Renaissance Money Market Fund                                                              | ON                    |                  |          |
| Renaissance Optimal Conservative Income Portfolio                                          | ON                    |                  |          |
| Renaissance Optimal Global Equity Portfolio                                                | ON                    |                  |          |
| Renaissance Optimal Growth & Income Portfolio                                              | ON                    |                  |          |
| Renaissance Optimal Income Portfolio                                                       | ON                    |                  |          |
| Renaissance Optimal Inflation Opportunities Portfolio                                      | ON                    |                  |          |
| Renaissance Short-Term Income Fund                                                         | ON                    |                  |          |
| Renaissance U.S. Dollar Corporate Bond Fund                                                | ON                    |                  |          |
| Renaissance U.S. Dollar Diversified Income Fund                                            | ON                    |                  |          |
| Renaissance U.S. Equity Fund                                                               | ON                    |                  |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                                                     | Principal/ Principale | Default / Défaut       | CTO/ IOV |
|-----------------------------------------------------------------------------------------|-----------------------|------------------------|----------|
| Renaissance U.S. Equity Growth Currency Neutral Fund                                    | ON                    |                        |          |
| Renaissance U.S. Equity Growth Fund                                                     | ON                    |                        |          |
| Renaissance U.S. Equity Income Fund                                                     | ON                    |                        |          |
| Renaissance U.S. Equity Value Fund                                                      | ON                    |                        |          |
| Renaissance U.S. Money Market Fund                                                      | ON                    |                        |          |
| Replenish Nutrients Holding Corp. (formerly known as EarthRenew Inc.)                   | AB                    |                        |          |
| Restaurant Brands International Inc.                                                    | ON                    |                        |          |
| Restaurant Brands International Limited Partnership                                     | ON                    |                        |          |
| Resverlogix Corp.                                                                       | AB                    |                        |          |
| Retrocom Growth Fund Inc.                                                               | ON                    | 1(a), 1(b), 1(c), 1(d) |          |
| Return Stacked® Global Balanced & Macro ETF                                             | ON                    |                        |          |
| Revitalist Lifestyle and Wellness Ltd.                                                  | BC                    | 1(a), 1(b), 1(c), 1(e) |          |
| Revival Gold Inc.                                                                       | ON                    |                        |          |
| Revive Therapeutics Ltd.                                                                | ON                    |                        |          |
| Reyna Silver Corp.                                                                      | ON                    |                        |          |
| RF Capital Group Inc.                                                                   | ON                    |                        |          |
| RGP Alternative Income Portfolio                                                        | QC                    |                        |          |
| RGP Emerging Markets Fund                                                               | QC                    |                        |          |
| RGP Global Equity Concentrated Fund                                                     | QC                    |                        |          |
| RGP Global Infrastructure Fund                                                          | QC                    |                        |          |
| RGP Global Sector Class (formerly R.E.G.A.R. Investment Management Global Equity Class) | QC                    |                        |          |
| RGP Global Sector Fund (formerly R.E.G.A.R. Investment Management Global Equity Fund)   | QC                    |                        |          |
| RGP Impact Fixed Income Portfolio                                                       | QC                    |                        |          |
| Richards Packaging Income Fund                                                          | ON                    |                        |          |
| Richelieu Hardware Ltd.                                                                 | QC                    |                        |          |
| Ridgewood Canadian Bond Fund                                                            | ON                    |                        |          |
| Ridgewood Canadian Investment Grade Bond Fund                                           | ON                    |                        |          |
| Ridgewood Tactical Yield Fund                                                           | ON                    |                        |          |
| Rio2 Limited                                                                            | BC                    |                        |          |
| RIOCAN REAL ESTATE INVESTMENT TRUST                                                     | ON                    |                        |          |
| Rivalry Corp. (formerly PMML Corp.)                                                     | ON                    | 1(a), 1(b), 1(c), 1(e) |          |
| Robex Resources Inc.                                                                    | QC                    |                        |          |
| Rock Tech Lithium Inc.                                                                  | BC                    |                        |          |
| Rockefeller - IG Climate Solutions Pool                                                 | MB                    |                        |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                    | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|--------------------------------------------------------|-----------------------|------------------------------|----------|
| Rocky Mountain Liquor Inc.                             | AB                    |                              |          |
| Rodinia Oil Corp.                                      | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Rogers Communications Inc.                             | ON                    |                              |          |
| Rogers Sugar Inc.                                      | BC                    |                              |          |
| Roman Corporation Limited                              | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Roots Corporation                                      | ON                    |                              |          |
| Royal Bank of Canada                                   | QC                    |                              |          |
| Royal Gold, Inc.                                       | ON                    |                              |          |
| Royal Helium Ltd.                                      | SK                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Royal Road Minerals Limited                            | ON                    |                              |          |
| RP Alternative Global Bond Fund                        | ON                    |                              |          |
| RP Strategic Income Plus Fund                          | ON                    |                              |          |
| RP Target 2026 Discount Bond Fund                      | ON                    |                              |          |
| Rua Gold Inc. (formerly, First Uranium Resources Ltd.) | BC                    |                              |          |
| Rubellite Energy Corp.                                 | AB                    |                              |          |
| Rubicon Organics Inc.                                  | BC                    |                              |          |
| Rupert Resources Ltd.                                  | ON                    |                              |          |
| Russel Metals Inc.                                     | ON                    |                              |          |
| Russell Investments Balanced                           | ON                    |                              |          |
| Russell Investments Balanced Class                     | ON                    |                              |          |
| Russell Investments Balanced Growth                    | ON                    |                              |          |
| Russell Investments Balanced Growth Class              | ON                    |                              |          |
| Russell Investments Canadian Cash Fund                 | ON                    |                              |          |
| Russell Investments Canadian Dividend Class            | ON                    |                              |          |
| Russell Investments Canadian Dividend Pool             | ON                    |                              |          |
| Russell Investments Canadian Equity Class              | ON                    |                              |          |
| Russell Investments Canadian Equity Pool               | ON                    |                              |          |
| Russell Investments Canadian Fixed Income Fund         | ON                    |                              |          |
| Russell Investments Conservative Income                | ON                    |                              |          |
| Russell Investments Conservative Income Class          | ON                    |                              |          |
| Russell Investments Diversified Monthly Income         | ON                    |                              |          |
| Russell Investments Diversified Monthly Income Class   | ON                    |                              |          |
| Russell Investments Emerging Markets Equity Class      | ON                    |                              |          |
| Russell Investments Emerging Markets Equity Pool       | ON                    |                              |          |
| Russell Investments ESG Global Equity Pool             | ON                    |                              |          |

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| Name / Dénomination                                                                                            | Principal/ Principale | Default / Défaut | CTO/ IOV |
|----------------------------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| Russell Investments Fixed Income Class                                                                         | ON                    |                  |          |
| Russell Investments Fixed Income Plus Class                                                                    | ON                    |                  |          |
| Russell Investments Fixed Income Plus Pool                                                                     | ON                    |                  |          |
| Russell Investments Fixed Income Pool                                                                          | ON                    |                  |          |
| Russell Investments Global Balanced                                                                            | ON                    |                  |          |
| Russell Investments Global Equity Balanced                                                                     | ON                    |                  |          |
| Russell Investments Global Equity Class                                                                        | ON                    |                  |          |
| Russell Investments Global Equity Pool                                                                         | ON                    |                  |          |
| Russell Investments Global Income Balanced                                                                     | ON                    |                  |          |
| Russell Investments Global Infrastructure Class                                                                | ON                    |                  |          |
| Russell Investments Global Infrastructure Pool                                                                 | ON                    |                  |          |
| Russell Investments Global Real Estate Pool                                                                    | ON                    |                  |          |
| Russell Investments Global Smaller Companies Class                                                             | ON                    |                  |          |
| Russell Investments Global Smaller Companies Pool                                                              | ON                    |                  |          |
| Russell Investments Income Essentials                                                                          | ON                    |                  |          |
| Russell Investments Income Essentials Class                                                                    | ON                    |                  |          |
| Russell Investments Long Duration Bond Fund                                                                    | ON                    |                  |          |
| Russell Investments Long-Term Growth                                                                           | ON                    |                  |          |
| Russell Investments Long-Term Growth Class                                                                     | ON                    |                  |          |
| Russell Investments Money Market Pool                                                                          | ON                    |                  |          |
| Russell Investments Multi-Factor Canadian Equity Pool                                                          | ON                    |                  |          |
| Russell Investments Multi-Factor Global Balanced                                                               | ON                    |                  |          |
| Russell Investments Multi-Factor International Equity Pool                                                     | ON                    |                  |          |
| Russell Investments Multi-Factor US Equity Pool                                                                | ON                    |                  |          |
| Russell Investments Overseas Equity Class                                                                      | ON                    |                  |          |
| Russell Investments Overseas Equity Pool                                                                       | ON                    |                  |          |
| Russell Investments Real Assets                                                                                | ON                    |                  |          |
| Russell Investments Short Term Income Class                                                                    | ON                    |                  |          |
| Russell Investments Short Term Income Pool                                                                     | ON                    |                  |          |
| Russell Investments Strategic Income Pool (formerly Russell Investments Global Credit Pool)                    | ON                    |                  |          |
| Russell Investments Tax-Managed Global Equity Class (formerly Russell Investments Focused Global Equity Class) | ON                    |                  |          |
| Russell Investments Tax-Managed Global Equity Pool (formerly Russell Investments Focused Global Equity Pool)   | ON                    |                  |          |
| Russell Investments Tax-Managed US Equity Class (formerly Russell Investments Focused US Equity Class)         | ON                    |                  |          |



## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                                                                  | Principal/ Principale | Default / Défaut              | CTO/ IOV |
|------------------------------------------------------------------------------------------------------|-----------------------|-------------------------------|----------|
| Russell Investments Tax-Managed US Equity Pool (formerly Russell Investments Focused US Equity Pool) | ON                    |                               |          |
| Russell Investments US Equity Class                                                                  | ON                    |                               |          |
| Russell Investments US Equity Pool                                                                   | ON                    |                               |          |
| Russell Investments Yield Opportunities Pool                                                         | ON                    |                               |          |
| <b>RYU Apparel Inc.</b>                                                                              | <b>BC</b>             | <b>1(a), 1(b), 1(c), 1(e)</b> |          |
| S2 Minerals Inc.                                                                                     | ON                    |                               |          |
| Sagen MI Canada Inc.                                                                                 | ON                    |                               |          |
| Sagicor Financial Company Ltd.                                                                       | ON                    |                               |          |
| Saltire Capital Ltd.                                                                                 | ON                    |                               |          |
| <b>Samco Gold Limited</b>                                                                            | <b>ON</b>             | <b>1(a), 1(b), 1(c), 1(e)</b> |          |
| <b>San Gold Corporation</b>                                                                          | <b>MB</b>             | <b>1(a), 1(b), 1(c), 1(e)</b> |          |
| Sanatana Resources Inc.                                                                              | BC                    |                               |          |
| SANDSTORM GOLD LTD.                                                                                  | BC                    |                               |          |
| Sangoma Technologies Corporation                                                                     | ON                    |                               |          |
| Santacruz Silver Mining Ltd.                                                                         | BC                    |                               |          |
| Saputo Inc.                                                                                          | QC                    |                               |          |
| Sarama Resources Ltd.                                                                                | BC                    |                               |          |
| Saturn Oil & Gas Inc.                                                                                | AB                    |                               |          |
| Savaria Corporation                                                                                  | QC                    |                               |          |
| SavvyLong (2X) AAPL ETF                                                                              | ON                    |                               |          |
| SavvyLong (2X) AMZN ETF                                                                              | ON                    |                               |          |
| SavvyLong (2X) GOOGL ETF                                                                             | ON                    |                               |          |
| SavvyLong (2X) MSFT ETF                                                                              | ON                    |                               |          |
| SavvyLong (2X) NVDA ETF                                                                              | ON                    |                               |          |
| SavvyLong (2X) TSLA ETF                                                                              | ON                    |                               |          |
| SavvyLong Geared Crude Oil ETF                                                                       | ON                    |                               |          |
| SavvyLong Geared Natural Gas ETF                                                                     | ON                    |                               |          |
| SavvyShort (-2X) NVDA ETF                                                                            | ON                    |                               |          |
| SavvyShort (-2X) TSLA ETF                                                                            | ON                    |                               |          |
| SavvyShort Geared Crude Oil ETF                                                                      | ON                    |                               |          |
| SavvyShort Geared Natural Gas ETF                                                                    | ON                    |                               |          |
| Scotia Canadian Balanced Fund                                                                        | ON                    |                               |          |
| Scotia Canadian Bond Index Fund                                                                      | ON                    |                               |          |
| Scotia Canadian Bond Index Tracker ETF                                                               | ON                    |                               |          |
| Scotia Canadian Dividend Class                                                                       | ON                    |                               |          |

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| Name / Dénomination                                | Principal/ Principale | Default / Défaut | CTO/ IOV |
|----------------------------------------------------|-----------------------|------------------|----------|
| Scotia Canadian Dividend Fund                      | ON                    |                  |          |
| Scotia Canadian Equity Blend Class                 | ON                    |                  |          |
| Scotia Canadian Equity Fund                        | ON                    |                  |          |
| Scotia Canadian Equity Index Fund                  | ON                    |                  |          |
| Scotia Canadian Growth Fund                        | ON                    |                  |          |
| Scotia Canadian Income Fund                        | ON                    |                  |          |
| Scotia Canadian Large Cap Equity Index Tracker ETF | ON                    |                  |          |
| Scotia Canadian Small Cap Fund                     | ON                    |                  |          |
| Scotia Diversified Balanced Fund                   | ON                    |                  |          |
| Scotia Diversified Monthly Income Fund             | ON                    |                  |          |
| Scotia Dividend Balanced Fund                      | ON                    |                  |          |
| Scotia Emerging Markets Equity Index Tracker ETF   | ON                    |                  |          |
| Scotia Essentials Balanced Portfolio               | ON                    |                  |          |
| Scotia Essentials Growth Portfolio                 | ON                    |                  |          |
| Scotia Essentials Income Portfolio                 | ON                    |                  |          |
| Scotia Essentials Maximum Growth Portfolio         | ON                    |                  |          |
| Scotia Global Balanced Fund                        | ON                    |                  |          |
| Scotia Global Bond Fund                            | ON                    |                  |          |
| Scotia Global Dividend Class                       | ON                    |                  |          |
| Scotia Global Dividend Fund                        | ON                    |                  |          |
| Scotia Global Equity Fund                          | ON                    |                  |          |
| Scotia Global Growth Fund                          | ON                    |                  |          |
| Scotia Global Small Cap Fund                       | ON                    |                  |          |
| Scotia Income Advantage Fund                       | ON                    |                  |          |
| Scotia India Equity Fund                           | ON                    |                  |          |
| Scotia INNOVA Balanced Growth Portfolio            | ON                    |                  |          |
| Scotia INNOVA Balanced Growth Portfolio Class      | ON                    |                  |          |
| Scotia INNOVA Balanced Income Portfolio            | ON                    |                  |          |
| Scotia INNOVA Balanced Income Portfolio Class      | ON                    |                  |          |
| Scotia INNOVA Growth Portfolio                     | ON                    |                  |          |
| Scotia INNOVA Growth Portfolio Class               | ON                    |                  |          |
| Scotia INNOVA Income Portfolio                     | ON                    |                  |          |
| Scotia INNOVA Income Portfolio Class               | ON                    |                  |          |
| Scotia INNOVA Maximum Growth Portfolio             | ON                    |                  |          |
| Scotia INNOVA Maximum Growth Portfolio Class       | ON                    |                  |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                         | Principal/ Principale | Default / Défaut       | CTO/ IOV |
|-------------------------------------------------------------|-----------------------|------------------------|----------|
| Scotia International Equity Fund                            | ON                    |                        |          |
| Scotia International Equity Index Fund                      | ON                    |                        |          |
| Scotia International Equity Index Tracker ETF               | ON                    |                        |          |
| Scotia Low Carbon Canadian Fixed Income Fund                | ON                    |                        |          |
| Scotia Low Carbon Global Balanced Fund                      | ON                    |                        |          |
| Scotia Low Carbon Global Equity Fund                        | ON                    |                        |          |
| Scotia Money Market Fund                                    | ON                    |                        |          |
| Scotia Mortgage Income Fund                                 | ON                    |                        |          |
| Scotia Nasdaq Index Fund                                    | ON                    |                        |          |
| Scotia Partners Balanced Growth Portfolio                   | ON                    |                        |          |
| Scotia Partners Balanced Growth Portfolio Class             | ON                    |                        |          |
| Scotia Partners Balanced Income Portfolio                   | ON                    |                        |          |
| Scotia Partners Balanced Income Portfolio Class             | ON                    |                        |          |
| Scotia Partners Growth Portfolio                            | ON                    |                        |          |
| Scotia Partners Growth Portfolio Class                      | ON                    |                        |          |
| Scotia Partners Income Portfolio                            | ON                    |                        |          |
| Scotia Partners Maximum Growth Portfolio                    | ON                    |                        |          |
| Scotia Partners Maximum Growth Portfolio Class              | ON                    |                        |          |
| Scotia Resource Fund                                        | ON                    |                        |          |
| Scotia Responsible Investing Canadian Bond Index ETF        | ON                    |                        |          |
| Scotia Responsible Investing Canadian Equity Index ETF      | ON                    |                        |          |
| Scotia Responsible Investing International Equity Index ETF | ON                    |                        |          |
| Scotia Responsible Investing U.S. Equity Index ETF          | ON                    |                        |          |
| Scotia Schools Trust                                        | NS                    | 1(a), 1(b), 1(c), 1(e) |          |
| Scotia Selected Balanced Growth Portfolio                   | ON                    |                        |          |
| Scotia Selected Balanced Income Portfolio                   | ON                    |                        |          |
| Scotia Selected Growth Portfolio                            | ON                    |                        |          |
| Scotia Selected Income Portfolio                            | ON                    |                        |          |
| Scotia Selected Maximum Growth Portfolio                    | ON                    |                        |          |
| Scotia U.S. \$ Balanced Fund                                | ON                    |                        |          |
| Scotia U.S. \$ Bond Fund                                    | ON                    |                        |          |
| Scotia U.S. \$ Money Market Fund                            | ON                    |                        |          |
| Scotia U.S. Dividend Fund                                   | ON                    |                        |          |
| Scotia U.S. Equity Blend Class                              | ON                    |                        |          |
| Scotia U.S. Equity Fund                                     | ON                    |                        |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                       | Principal/ Principale | Default / Défaut | CTO/ IOV |
|-----------------------------------------------------------|-----------------------|------------------|----------|
| Scotia U.S. Equity Index Fund                             | ON                    |                  |          |
| Scotia U.S. Equity Index Tracker ETF                      | ON                    |                  |          |
| Scotia U.S. Opportunities Fund                            | ON                    |                  |          |
| Scotia Wealth American Core-Plus Bond Pool                | ON                    |                  |          |
| Scotia Wealth Canadian Bond Pool                          | ON                    |                  |          |
| Scotia Wealth Canadian Core Bond Pool                     | ON                    |                  |          |
| Scotia Wealth Canadian Corporate Bond Pool                | ON                    |                  |          |
| Scotia Wealth Canadian Equity Pool                        | ON                    |                  |          |
| Scotia Wealth Canadian Growth Pool                        | ON                    |                  |          |
| Scotia Wealth Canadian Small Cap Pool                     | ON                    |                  |          |
| Scotia Wealth Canadian Value Pool                         | ON                    |                  |          |
| Scotia Wealth Credit Absolute Return Pool                 | ON                    |                  |          |
| Scotia Wealth Emerging Markets Pool                       | ON                    |                  |          |
| Scotia Wealth Floating Rate Income Pool                   | ON                    |                  |          |
| Scotia Wealth Focus International Value Pool              | ON                    |                  |          |
| Scotia Wealth Focus U.S. Growth Pool                      | ON                    |                  |          |
| Scotia Wealth Focus U.S. Value Pool                       | ON                    |                  |          |
| Scotia Wealth Fundamental International Equity Pool       | ON                    |                  |          |
| Scotia Wealth Global Equity Pool                          | ON                    |                  |          |
| Scotia Wealth Global High Yield Pool                      | ON                    |                  |          |
| Scotia Wealth Global Infrastructure Pool                  | ON                    |                  |          |
| Scotia Wealth Global Real Estate Pool                     | ON                    |                  |          |
| Scotia Wealth High Yield Bond Pool                        | ON                    |                  |          |
| Scotia Wealth High Yield Income Pool                      | ON                    |                  |          |
| Scotia Wealth Income Pool                                 | ON                    |                  |          |
| Scotia Wealth International Core Equity Pool              | ON                    |                  |          |
| Scotia Wealth International Equity Pool                   | ON                    |                  |          |
| Scotia Wealth International Small to Mid Cap Value Pool   | ON                    |                  |          |
| Scotia Wealth North American Dividend Pool                | ON                    |                  |          |
| Scotia Wealth Premium Payout Pool                         | ON                    |                  |          |
| Scotia Wealth Quantitative Canadian Small Cap Equity Pool | ON                    |                  |          |
| Scotia Wealth Quantitative Global Small Cap Equity Pool   | ON                    |                  |          |
| Scotia Wealth Real Estate Income Pool                     | ON                    |                  |          |
| Scotia Wealth Short Term Bond Pool                        | ON                    |                  |          |
| Scotia Wealth Short-Mid Government Bond Pool              | ON                    |                  |          |

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| Name / Dénomination                                                      | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|--------------------------------------------------------------------------|-----------------------|------------------------------|----------|
| Scotia Wealth Strategic Balanced Pool                                    | ON                    |                              |          |
| Scotia Wealth Total Return Bond Pool                                     | ON                    |                              |          |
| Scotia Wealth U.S. Dividend Pool                                         | ON                    |                              |          |
| Scotia Wealth U.S. Large Cap Growth Pool                                 | ON                    |                              |          |
| Scotia Wealth U.S. Mid Cap Value Pool                                    | ON                    |                              |          |
| Scotia Wealth U.S. Value Pool                                            | ON                    |                              |          |
| Scotia Wealth World Infrastructure Pool                                  | ON                    |                              |          |
| Scotiabank Capital Trust                                                 | ON                    |                              |          |
| SDX Energy plc                                                           | AB                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Sears Canada Inc.                                                        | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| SectorWise Balanced Portfolio                                            | QC                    |                              |          |
| SectorWise Conservative Portfolio                                        | QC                    |                              |          |
| SectorWise Growth Portfolio                                              | QC                    |                              |          |
| Secure Waste Infrastructure Corp. (formerly Secure Energy Services Inc.) | AB                    |                              |          |
| Sedibelo Resources Limited                                               | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| SemBioSys Genetics Inc.                                                  | AB                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Sentry Select Primary Metals Corp.                                       | ON                    |                              |          |
| Serinus Energy Limited (formerly Serinus Energy plc)                     | AB                    |                              |          |
| Sernova Biotherapeutics Inc.                                             | ON                    |                              |          |
| Sherritt International Corporation                                       | ON                    |                              |          |
| Shopify Inc.                                                             | ON                    |                              |          |
| Shoreline Energy Corp.                                                   | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Short Term Bond Fund                                                     | ON                    |                              |          |
| Short Term Income Corporate Class                                        | ON                    |                              |          |
| Short Term Income Pool                                                   | ON                    |                              |          |
| Short Term Investment Fund                                               | ON                    |                              |          |
| Sienna Senior Living Inc.                                                | ON                    |                              |          |
| Sigma Lithium Corporation                                                | ON                    |                              |          |
| Silver Bear Resources Plc                                                | ON                    |                              |          |
| Silver Elephant Mining Corp.                                             | BC                    |                              |          |
| Silver Mountain Resources Inc.                                           | ON                    |                              |          |
| SILVER SPRUCE RESOURCES INC.                                             | NS                    |                              |          |
| Silver Tiger Metals Inc.                                                 | NS                    |                              |          |
| SILVERCORP METALS INC.                                                   | BC                    |                              |          |
| Sintana Energy Inc.                                                      | ON                    |                              |          |

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| Name / Dénomination                                         | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|-------------------------------------------------------------|-----------------------|------------------------------|----------|
| Sionna Canadian Equity Fund                                 | ON                    |                              |          |
| Sionna Opportunities Fund                                   | ON                    |                              |          |
| Sionna Strategic Income Fund                                | ON                    |                              |          |
| SIR Royalty Income Fund                                     | ON                    |                              |          |
| Sirius XM Holdings Inc.                                     | ON                    |                              |          |
| Sixth Wave Innovations Inc.                                 | NS                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Skeena Resources Limited                                    | BC                    |                              |          |
| Skycap Investment Holdings Inc.                             | ON                    |                              |          |
| Skye Bioscience, Inc.                                       | BC                    |                              |          |
| Slam Exploration Ltd.                                       | NB (NB-PR)            |                              |          |
| SLANG Worldwide Inc.                                        | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Slate Grocery REIT                                          | ON                    |                              |          |
| Slater Steel Inc.                                           | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| SmartCentres Real Estate Investment Trust                   | ON                    |                              |          |
| SNDL Inc.                                                   | AB                    |                              |          |
| Sobeys Inc.                                                 | NS                    |                              |          |
| SolarBank Corporation                                       | ON                    |                              |          |
| Solaris Resources Inc.                                      | BC                    |                              |          |
| SOLITARIO RESOURCES CORP.                                   | ON                    |                              |          |
| Solution Financial Inc.                                     | BC                    |                              |          |
| Sona Nanotech Inc.                                          | NS                    |                              |          |
| SOPerior Fertilizer Corp.                                   | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Source Energy Services Ltd.                                 | AB                    |                              |          |
| Source Rock Royalties Ltd.                                  | AB                    |                              |          |
| South Bow Corporation                                       | AB                    |                              |          |
| Southern Energy Corp.                                       | AB                    |                              |          |
| SouthGobi Resources Ltd.                                    | BC                    |                              |          |
| Spackman Equities Group Inc. formerly, Centiva Capital Inc. | ON                    |                              |          |
| Spartan Delta Corp.                                         | AB                    |                              |          |
| Specialty Foods Group Income Fund                           | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Spectra7 Microsystems Inc.                                  | ON                    |                              |          |
| Spectral Medical Inc.                                       | ON                    |                              |          |
| Spin Master Corp.                                           | ON                    |                              |          |
| Spire Global, Inc.                                          | ON                    |                              |          |
| Sprott Inc.                                                 | ON                    |                              |          |

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| Name / Dénomination                                | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|----------------------------------------------------|-----------------------|------------------------------|----------|
| Sprott Physical Copper Trust                       | ON                    |                              |          |
| Sprott Physical Gold and Silver Trust              | ON                    |                              |          |
| Sprott Physical Gold Trust                         | ON                    |                              |          |
| Sprott Physical Platinum and Palladium Trust       | ON                    |                              |          |
| Sprott Physical Silver Trust                       | ON                    |                              |          |
| Sprott Physical Uranium Trust                      | ON                    |                              |          |
| Spyglass Resources Corp.                           | AB                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| SRAI Capital Corp.                                 | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| SSC Security Services Corp.                        | SK                    |                              |          |
| SSR Mining Inc.                                    | BC                    |                              |          |
| St. Augustine Gold and Copper Limited              | ON                    |                              |          |
| Stack Capital Group Inc.                           | ON                    |                              |          |
| Stage Capital Corp. - formerly Stage Holdco Ltd.   | BC                    |                              |          |
| Stagwell Inc.                                      | ON                    |                              |          |
| Stampede Drilling Inc.                             | AB                    |                              |          |
| Standard Lithium Ltd.                              | BC                    |                              |          |
| Standard Uranium Ltd.                              | BC                    |                              |          |
| Stantec Inc.                                       | AB                    |                              |          |
| Star Diamond Corporation                           | SK                    |                              |          |
| Star Royalties Ltd.                                | ON                    |                              |          |
| Starlight Dividend Growth Class                    | ON                    |                              |          |
| Starlight Global Balanced Fund                     | ON                    |                              |          |
| Starlight Global Growth Fund                       | ON                    |                              |          |
| Starlight Global Infrastructure Fund               | ON                    |                              |          |
| Starlight Global Real Estate Fund                  | ON                    |                              |          |
| Starlight North American Equity Fund               | ON                    |                              |          |
| Starlight Private Global Real Assets Trust         | ON                    |                              |          |
| Starlight U.S. Multi-Family (No. 2) Core Plus Fund | ON                    |                              |          |
| Starlight US Residential Fund                      | ON                    |                              |          |
| Starlight Western Canada Multi-Family (No. 2) Fund | ON                    |                              |          |
| Stella-Jones Inc.                                  | QC                    |                              |          |
| Stem Holdings, Inc.                                | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| STEP Energy Services Ltd.                          | AB                    |                              |          |
| Steppe Gold Ltd.                                   | ON                    |                              |          |
| Sterling Shoes Inc.                                | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |

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| Name / Dénomination                                                            | Principal/ Principale | Default / Défaut       | CTO/ IOV |
|--------------------------------------------------------------------------------|-----------------------|------------------------|----------|
| Stingray Group Inc.                                                            | QC                    |                        |          |
| STLLR Gold Inc.                                                                | ON                    |                        |          |
| Stone Mountain Holdings Inc.                                                   | BC                    | 1(a), 1(b), 1(c), 1(e) |          |
| StoneCastle Equity Growth Fund (formerly, Purpose Canadian Equity Growth Fund) | ON                    |                        |          |
| StoneCastle Income Growth Fund (formerly, Purpose Canadian Income Growth Fund) | ON                    |                        |          |
| StorageVault Canada Inc.                                                       | ON                    |                        |          |
| Strategic Fixed Income Corporate Class                                         | ON                    |                        |          |
| Strategic Fixed Income Pool                                                    | ON                    |                        |          |
| Strategic Oil & Gas Ltd.                                                       | AB                    | 1(a), 1(b), 1(c), 1(e) |          |
| Strathcona Resources Ltd.                                                      | AB                    |                        |          |
| Sucro Limited                                                                  | ON                    |                        |          |
| SugarBud Craft Growers Corp. (formerly Relentless Resources Ltd.)              | AB                    | 1(a), 1(b), 1(c), 1(e) |          |
| Sulliden Mining Capital Inc.                                                   | ON                    |                        |          |
| Summa Silver Corp.                                                             | BC                    |                        |          |
| Sun Life Acadian International Equity Fund                                     | ON                    |                        |          |
| Sun Life Aditya Birla India Fund                                               | ON                    |                        |          |
| Sun Life Assurance Company of Canada                                           | ON                    |                        |          |
| Sun Life BlackRock Canadian Composite Equity Fund                              | ON                    |                        |          |
| Sun Life BlackRock Canadian Equity Fund                                        | ON                    |                        |          |
| Sun Life BlackRock Canadian Universe Bond Fund                                 | ON                    |                        |          |
| Sun Life Capital Trust                                                         | ON                    |                        |          |
| Sun Life Core Advantage Credit Private Pool                                    | ON                    |                        |          |
| Sun Life Crescent Specialty Credit Private Pool                                | ON                    |                        |          |
| Sun Life Dynamic Equity Income Fund                                            | ON                    |                        |          |
| Sun Life Dynamic Strategic Yield Fund                                          | ON                    |                        |          |
| Sun Life Financial Inc.                                                        | ON                    |                        |          |
| Sun Life Global Mid Cap Fund                                                   | ON                    |                        |          |
| Sun Life Granite Balanced Class                                                | ON                    |                        |          |
| Sun Life Granite Balanced Growth Class                                         | ON                    |                        |          |
| Sun Life Granite Balanced Growth Portfolio                                     | ON                    |                        |          |
| Sun Life Granite Balanced Portfolio                                            | ON                    |                        |          |
| Sun Life Granite Conservative Class                                            | ON                    |                        |          |
| Sun Life Granite Conservative Portfolio                                        | ON                    |                        |          |
| Sun Life Granite Enhanced Income Portfolio                                     | ON                    |                        |          |



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| Name / Dénomination                                                                | Principal/ Principale | Default / Défaut | CTO/ IOV |
|------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| Sun Life Granite Growth Class                                                      | ON                    |                  |          |
| Sun Life Granite Growth Portfolio                                                  | ON                    |                  |          |
| Sun Life Granite Income Portfolio                                                  | ON                    |                  |          |
| Sun Life Granite Moderate Class                                                    | ON                    |                  |          |
| Sun Life Granite Moderate Portfolio                                                | ON                    |                  |          |
| Sun Life Granite Tactical Completion Fund                                          | ON                    |                  |          |
| Sun Life JPMorgan International Equity Fund                                        | ON                    |                  |          |
| Sun Life KBI Global Dividend Private Pool                                          | ON                    |                  |          |
| Sun Life KBI Sustainable Infrastructure Private Pool                               | ON                    |                  |          |
| Sun Life MFS Canadian Bond Fund                                                    | ON                    |                  |          |
| Sun Life MFS Canadian Equity Fund                                                  | ON                    |                  |          |
| Sun Life MFS Diversified Income Fund (formerly, Sun Life MFS Dividend Income Fund) | ON                    |                  |          |
| Sun Life MFS Global Core Plus Bond Fund                                            | ON                    |                  |          |
| Sun Life MFS Global Growth Class                                                   | ON                    |                  |          |
| Sun Life MFS Global Growth Fund                                                    | ON                    |                  |          |
| Sun Life MFS Global Total Return Fund                                              | ON                    |                  |          |
| Sun Life MFS Global Value Fund                                                     | ON                    |                  |          |
| Sun Life MFS International Opportunities Class                                     | ON                    |                  |          |
| Sun Life MFS International Opportunities Fund                                      | ON                    |                  |          |
| Sun Life MFS International Value Fund                                              | ON                    |                  |          |
| Sun Life MFS Low Volatility Global Equity Fund                                     | ON                    |                  |          |
| Sun Life MFS Low Volatility International Equity Fund                              | ON                    |                  |          |
| Sun Life MFS U.S. Equity Fund                                                      | ON                    |                  |          |
| Sun Life MFS U.S. Growth Class                                                     | ON                    |                  |          |
| Sun Life MFS U.S. Growth Fund                                                      | ON                    |                  |          |
| Sun Life MFS U.S. Mid Cap Growth Fund                                              | ON                    |                  |          |
| Sun Life MFS U.S. Value Fund                                                       | ON                    |                  |          |
| Sun Life Milestone 2025 Fund                                                       | ON                    |                  |          |
| Sun Life Milestone 2030 Fund                                                       | ON                    |                  |          |
| Sun Life Milestone 2035 Fund                                                       | ON                    |                  |          |
| Sun Life Milestone Global Equity Fund                                              | ON                    |                  |          |
| Sun Life Money Market Class                                                        | ON                    |                  |          |
| Sun Life Money Market Fund                                                         | ON                    |                  |          |
| Sun Life Multi-Strategy Bond Fund                                                  | ON                    |                  |          |
| Sun Life Net Zero Target Bond Fund                                                 | ON                    |                  |          |

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|-------------------------------------------------------------------------------------------------|-----------------------|------------------|----------|
| Sun Life Nuveen Flexible Income Fund (formerly, Sun Life NWQ Flexible Income Fund)              | ON                    |                  |          |
| Sun Life Real Assets Private Pool (formerly, Sun Life Real Assets Fund)                         | ON                    |                  |          |
| Sun Life Risk Managed U.S. Equity Fund                                                          | ON                    |                  |          |
| Sun Life Schroder Emerging Markets Fund                                                         | ON                    |                  |          |
| Sun Life Tactical Balanced ETF Portfolio                                                        | ON                    |                  |          |
| Sun Life Tactical Conservative ETF Portfolio                                                    | ON                    |                  |          |
| Sun Life Tactical Equity ETF Portfolio                                                          | ON                    |                  |          |
| Sun Life Tactical Fixed Income ETF Portfolio                                                    | ON                    |                  |          |
| Sun Life Tactical Growth ETF Portfolio                                                          | ON                    |                  |          |
| Sun Life U.S. Core Fixed Income Fund (formerly, Sun Life Ryan Labs U.S. Core Fixed Income Fund) | ON                    |                  |          |
| Sun Life Wellington Opportunistic Fixed Income Private Pool                                     | ON                    |                  |          |
| Sun Residential Real Estate Investment Trust                                                    | ON                    |                  |          |
| Suncor Energy Inc.                                                                              | AB                    |                  |          |
| Sunstone Opportunity (2006) Debenture Fund                                                      | BC                    |                  |          |
| Sunstone Opportunity 2006 Realty Trust                                                          | BC                    |                  |          |
| Superior Plus Corp.                                                                             | ON                    |                  |          |
| Supremex Inc.                                                                                   | QC                    |                  |          |
| Surge Energy Inc.                                                                               | AB                    |                  |          |
| Sustainable Balanced 40/60 Fund                                                                 | ON                    |                  |          |
| Sustainable Balanced 60/40 Fund                                                                 | ON                    |                  |          |
| Sustainable Growth 100 Fund                                                                     | ON                    |                  |          |
| Sustainable Growth 80/20 Fund                                                                   | ON                    |                  |          |
| Sustainable Income 100 Fund                                                                     | ON                    |                  |          |
| Sustainable Income 20/80 Fund                                                                   | ON                    |                  |          |
| Sustainable Innovation & Health Dividend Fund                                                   | ON                    |                  |          |
| Sustainable Power & Infrastructure Split Corp.                                                  | ON                    |                  |          |
| Swiss Water Decaffeinated Coffee Inc.                                                           | BC                    |                  |          |
| Symmetry Balanced Portfolio                                                                     | ON                    |                  |          |
| Symmetry Conservative Income Portfolio                                                          | ON                    |                  |          |
| Symmetry Conservative Portfolio                                                                 | ON                    |                  |          |
| Symmetry Equity Portfolio                                                                       | ON                    |                  |          |
| Symmetry Fixed Income Portfolio                                                                 | ON                    |                  |          |
| Symmetry Growth Portfolio                                                                       | ON                    |                  |          |
| Symmetry Moderate Growth Portfolio                                                              | ON                    |                  |          |

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|--------------------------------------------------------------------------------|-----------------------|------------------------------|----------|
| Symphony Floating Rate Senior Loan Fund                                        | ON                    |                              |          |
| Synodon Inc.                                                                   | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| T. Rowe Price - IG Global Impact Pool                                          | MB                    |                              |          |
| T. Rowe Price - IG U.S. Equity Pool                                            | MB                    |                              |          |
| T. Rowe Price Global Allocation Fund (formerly Morningstar Balanced Portfolio) | ON                    |                              |          |
| T. Rowe Price U.S. Blue Chip Growth Fund                                       | ON                    |                              |          |
| Tactical Asset Allocation Balanced Class                                       | ON                    |                              |          |
| Tactical Asset Allocation Balanced Growth Class                                | ON                    |                              |          |
| Tactical Asset Allocation Balanced Growth Pool                                 | ON                    |                              |          |
| Tactical Asset Allocation Balanced Pool                                        | ON                    |                              |          |
| Tactical Asset Allocation Conservative Balanced Class                          | ON                    |                              |          |
| Tactical Asset Allocation Conservative Balanced Pool                           | ON                    |                              |          |
| Tactical Asset Allocation Conservative Class                                   | ON                    |                              |          |
| Tactical Asset Allocation Conservative Income Class                            | ON                    |                              |          |
| Tactical Asset Allocation Conservative Income Pool                             | ON                    |                              |          |
| Tactical Asset Allocation Conservative Pool                                    | ON                    |                              |          |
| Tactical Asset Allocation Equity Class                                         | ON                    |                              |          |
| Tactical Asset Allocation Equity Pool                                          | ON                    |                              |          |
| Tactical Asset Allocation Growth Class                                         | ON                    |                              |          |
| Tactical Asset Allocation Growth Pool                                          | ON                    |                              |          |
| Tactical Asset Allocation Income Class                                         | ON                    |                              |          |
| Tactical Asset Allocation Income Pool                                          | ON                    |                              |          |
| Tactical Asset Allocation Neutral Balanced Class                               | ON                    |                              |          |
| Tactical Asset Allocation Neutral Balanced Pool                                | ON                    |                              |          |
| Tactical Sovereign Bond Fund                                                   | ON                    |                              |          |
| TAG Oil Ltd                                                                    | BC                    |                              |          |
| Tahera Diamond Corporation (formerly Tahera Corporation)                       | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Taiga Building Products Ltd.                                                   | BC                    |                              |          |
| Talon Metals Corp.                                                             | ON                    |                              |          |
| Tamarack Valley Energy Ltd.                                                    | AB                    |                              |          |
| Tangerine Balanced ETF Portfolio                                               | ON                    |                              |          |
| Tangerine Balanced Growth ETF Portfolio                                        | ON                    |                              |          |
| Tangerine Balanced Growth Portfolio                                            | ON                    |                              |          |
| Tangerine Balanced Growth SRI Portfolio                                        | ON                    |                              |          |
| Tangerine Balanced Income ETF Portfolio                                        | ON                    |                              |          |

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|-----------------------------------------------------------------|-----------------------|------------------|----------|
| Tangerine Balanced Income Portfolio                             | ON                    |                  |          |
| Tangerine Balanced Income SRI Portfolio                         | ON                    |                  |          |
| Tangerine Balanced Portfolio                                    | ON                    |                  |          |
| Tangerine Balanced SRI Portfolio                                | ON                    |                  |          |
| Tangerine Dividend Portfolio                                    | ON                    |                  |          |
| Tangerine Equity Growth ETF Portfolio                           | ON                    |                  |          |
| Tangerine Equity Growth Portfolio                               | ON                    |                  |          |
| Tangerine Equity Growth SRI Portfolio                           | ON                    |                  |          |
| Tangerine Money Market Fund                                     | ON                    |                  |          |
| Tantalus Systems Holding Inc. (formerly RiseTech Capital Corp.) | BC                    |                  |          |
| Taseko Mines Limited                                            | BC                    |                  |          |
| TC Energy Corporation                                           | AB                    |                  |          |
| TD Active Global Enhanced Dividend ETF                          | ON                    |                  |          |
| TD Active Global Equity Growth ETF                              | ON                    |                  |          |
| TD Active Global Income ETF                                     | ON                    |                  |          |
| TD Active Global Infrastructure Equity ETF                      | ON                    |                  |          |
| TD Active Global Real Estate Equity ETF                         | ON                    |                  |          |
| TD Active Preferred Share ETF                                   | ON                    |                  |          |
| TD Active U.S. Enhanced Dividend CAD Hedged ETF                 | ON                    |                  |          |
| TD Active U.S. Enhanced Dividend ETF                            | ON                    |                  |          |
| TD Active U.S. High Yield Bond ETF                              | ON                    |                  |          |
| TD All-Equity ETF Portfolio                                     | ON                    |                  |          |
| TD Alternative Commodities Pool                                 | ON                    |                  |          |
| TD Alternative Long/Short Commodities Pool                      | ON                    |                  |          |
| TD Alternative Risk Focused Pool                                | ON                    |                  |          |
| TD Balanced ETF Portfolio                                       | ON                    |                  |          |
| TD Balanced Growth Fund                                         | ON                    |                  |          |
| TD Balanced Index Fund                                          | ON                    |                  |          |
| TD Canadian Aggregate Bond Index ETF                            | ON                    |                  |          |
| TD Canadian Bank Dividend Index ETF                             | ON                    |                  |          |
| TD Canadian Blue Chip Dividend Fund                             | ON                    |                  |          |
| TD Canadian Bond Fund                                           | ON                    |                  |          |
| TD Canadian Bond Index Fund                                     | ON                    |                  |          |
| TD Canadian Core Plus Bond Fund                                 | ON                    |                  |          |
| TD Canadian Corporate Bond Fund                                 | ON                    |                  |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                            | Principal/ Principale | Default / Défaut | CTO/ IOV |
|------------------------------------------------|-----------------------|------------------|----------|
| TD Canadian Diversified Yield Fund             | ON                    |                  |          |
| TD Canadian Equity Class                       | ON                    |                  |          |
| TD Canadian Equity Fund                        | ON                    |                  |          |
| TD Canadian Equity Index ETF                   | ON                    |                  |          |
| TD Canadian Equity Pool                        | ON                    |                  |          |
| TD Canadian Equity Pool Class                  | ON                    |                  |          |
| TD Canadian Index Fund                         | ON                    |                  |          |
| TD Canadian Large-Cap Equity Fund              | ON                    |                  |          |
| TD Canadian Long Term Federal Bond ETF         | ON                    |                  |          |
| TD Canadian Long Term Federal Bond Fund        | ON                    |                  |          |
| TD Canadian Low Volatility Class               | ON                    |                  |          |
| TD Canadian Low Volatility Fund                | ON                    |                  |          |
| TD Canadian Low Volatility Pool                | ON                    |                  |          |
| TD Canadian Money Market Fund                  | ON                    |                  |          |
| TD Canadian Small-Cap Equity Class             | ON                    |                  |          |
| TD Canadian Small-Cap Equity Fund              | ON                    |                  |          |
| TD Cash Management ETF                         | ON                    |                  |          |
| TD China Income & Growth Fund                  | ON                    |                  |          |
| TD Comfort Aggressive Growth Portfolio         | ON                    |                  |          |
| TD Comfort Balanced Growth Portfolio           | ON                    |                  |          |
| TD Comfort Balanced Income Portfolio           | ON                    |                  |          |
| TD Comfort Balanced Portfolio                  | ON                    |                  |          |
| TD Comfort Conservative Income Portfolio       | ON                    |                  |          |
| TD Comfort Growth Portfolio                    | ON                    |                  |          |
| TD Conservative ETF Portfolio                  | ON                    |                  |          |
| TD Diversified Monthly Income Fund             | ON                    |                  |          |
| TD Dividend Growth Class                       | ON                    |                  |          |
| TD Dividend Growth Fund                        | ON                    |                  |          |
| TD Dividend Income Class                       | ON                    |                  |          |
| TD Dividend Income Fund                        | ON                    |                  |          |
| TD Dow Jones Industrial Average Index Fund     | ON                    |                  |          |
| TD Emerald Balanced Fund                       | ON                    |                  |          |
| TD Emerald Canadian Bond Index Fund            | ON                    |                  |          |
| TD Emerald Canadian Equity Index Fund          | ON                    |                  |          |
| TD Emerald Canadian Short Term Investment Fund | ON                    |                  |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                                 | Principal/ Principale | Default / Défaut | CTO/ IOV |
|---------------------------------------------------------------------|-----------------------|------------------|----------|
| TD Emerald Canadian Treasury Management - Government of Canada Fund | ON                    |                  |          |
| TD Emerald Canadian Treasury Management Fund                        | ON                    |                  |          |
| TD Emerald International Equity Index Fund                          | ON                    |                  |          |
| TD Emerald U.S. Market Index Fund                                   | ON                    |                  |          |
| TD Emerging Markets Class                                           | ON                    |                  |          |
| TD Emerging Markets Fund                                            | ON                    |                  |          |
| TD European Index Fund                                              | ON                    |                  |          |
| TD Fixed Income Pool                                                | ON                    |                  |          |
| TD FundSmart Managed Aggressive Growth Portfolio                    | ON                    |                  |          |
| TD FundSmart Managed Balanced Growth Portfolio                      | ON                    |                  |          |
| TD FundSmart Managed Income & Moderate Growth Portfolio             | ON                    |                  |          |
| TD Global Balanced Opportunities Fund                               | ON                    |                  |          |
| TD Global Capital Reinvestment Class                                | ON                    |                  |          |
| TD Global Capital Reinvestment Fund                                 | ON                    |                  |          |
| TD Global Carbon Credit Index ETF                                   | ON                    |                  |          |
| TD Global Conservative Opportunities Fund                           | ON                    |                  |          |
| TD Global Core Plus Bond Fund                                       | ON                    |                  |          |
| TD Global Disciplined Equity Alpha Fund™                            | ON                    |                  |          |
| TD Global Entertainment & Communications Fund                       | ON                    |                  |          |
| TD Global Equity Focused Fund                                       | ON                    |                  |          |
| TD Global Equity Pool                                               | ON                    |                  |          |
| TD Global Equity Pool Class                                         | ON                    |                  |          |
| TD Global Healthcare Leaders Index ETF                              | ON                    |                  |          |
| TD Global Income Fund                                               | ON                    |                  |          |
| TD Global Low Volatility Class                                      | ON                    |                  |          |
| TD Global Low Volatility Fund                                       | ON                    |                  |          |
| TD Global Shareholder Yield Fund                                    | ON                    |                  |          |
| TD Global Tactical Monthly Income Fund                              | ON                    |                  |          |
| TD Global Technology Innovators Index ETF                           | ON                    |                  |          |
| TD Global Technology Leaders CAD Hedged Index ETF                   | ON                    |                  |          |
| TD Global Technology Leaders Index ETF                              | ON                    |                  |          |
| TD Global Technology Leaders Index Fund                             | ON                    |                  |          |
| TD Global Unconstrained Bond Fund                                   | ON                    |                  |          |
| TD Growth ETF Portfolio                                             | ON                    |                  |          |
| TD Health Sciences Fund                                             | ON                    |                  |          |

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| Name / Dénomination                               | Principal/ Principale | Default / Défaut | CTO/ IOV |
|---------------------------------------------------|-----------------------|------------------|----------|
| TD High Yield Bond Fund                           | ON                    |                  |          |
| TD Income Advantage Portfolio                     | ON                    |                  |          |
| TD Income Opportunities Pool                      | ON                    |                  |          |
| TD International Equity CAD Hedged Index ETF      | ON                    |                  |          |
| TD International Equity Focused Class             | ON                    |                  |          |
| TD International Equity Focused Fund              | ON                    |                  |          |
| TD International Equity Fund                      | ON                    |                  |          |
| TD International Equity Index ETF                 | ON                    |                  |          |
| TD International Index Currency Neutral Fund      | ON                    |                  |          |
| TD International Index Fund                       | ON                    |                  |          |
| TD Managed Aggressive Growth ETF Portfolio        | ON                    |                  |          |
| TD Managed Aggressive Growth Portfolio            | ON                    |                  |          |
| TD Managed Balanced Growth ETF Portfolio          | ON                    |                  |          |
| TD Managed Balanced Growth Portfolio              | ON                    |                  |          |
| TD Managed Income & Moderate Growth ETF Portfolio | ON                    |                  |          |
| TD Managed Income & Moderate Growth Portfolio     | ON                    |                  |          |
| TD Managed Income ETF Portfolio                   | ON                    |                  |          |
| TD Managed Income Portfolio                       | ON                    |                  |          |
| TD Managed Maximum Equity Growth ETF Portfolio    | ON                    |                  |          |
| TD Managed Maximum Equity Growth Portfolio        | ON                    |                  |          |
| TD Monthly Income Fund                            | ON                    |                  |          |
| TD Nasdaq Index Fund                              | ON                    |                  |          |
| TD North American Dividend Fund                   | ON                    |                  |          |
| TD North American Sustainability Balanced Fund    | ON                    |                  |          |
| TD North American Sustainability Bond Fund        | ON                    |                  |          |
| TD North American Sustainability Equity Fund      | ON                    |                  |          |
| TD Opportunities Pool                             | ON                    |                  |          |
| TD Precious Metals Fund                           | ON                    |                  |          |
| TD Preferred Share Fund                           | ON                    |                  |          |
| TD Premium Money Market Fund                      | ON                    |                  |          |
| TD Q Canadian Dividend ETF                        | ON                    |                  |          |
| TD Q Canadian Low Volatility ETF                  | ON                    |                  |          |
| TD Q Global Dividend ETF                          | ON                    |                  |          |
| TD Q Global Multifactor ETF                       | ON                    |                  |          |
| TD Q International Low Volatility ETF             | ON                    |                  |          |

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| Name / Dénomination                                 | Principal/ Principale | Default / Défaut | CTO/ IOV |
|-----------------------------------------------------|-----------------------|------------------|----------|
| TD Q U.S. Low Volatility ETF                        | ON                    |                  |          |
| TD Q U.S. Small-Mid-Cap Equity ETF                  | ON                    |                  |          |
| TD Resource Fund                                    | ON                    |                  |          |
| TD Retirement Balanced Portfolio                    | ON                    |                  |          |
| TD Retirement Conservative Portfolio                | ON                    |                  |          |
| TD Risk Management Pool                             | ON                    |                  |          |
| TD Risk Reduction Pool                              | ON                    |                  |          |
| TD Risk Reduction Pool - US\$                       | ON                    |                  |          |
| TD Science & Technology Fund                        | ON                    |                  |          |
| TD Select Short Term Corporate Bond Ladder ETF      | ON                    |                  |          |
| TD Select U.S. Short Term Corporate Bond Ladder ETF | ON                    |                  |          |
| TD Short Term Bond Fund                             | ON                    |                  |          |
| TD Short Term Investment Class                      | ON                    |                  |          |
| TD Tactical Monthly Income Class                    | ON                    |                  |          |
| TD Tactical Monthly Income Fund                     | ON                    |                  |          |
| TD Tactical Pool                                    | ON                    |                  |          |
| TD Tactical Pool Class                              | ON                    |                  |          |
| TD Target 2025 Investment Grade Bond ETF            | ON                    |                  |          |
| TD Target 2025 Investment Grade Bond Fund           | ON                    |                  |          |
| TD Target 2025 U.S. Investment Grade Bond ETF       | ON                    |                  |          |
| TD Target 2025 U.S. Investment Grade Bond Fund      | ON                    |                  |          |
| TD Target 2026 Investment Grade Bond ETF            | ON                    |                  |          |
| TD Target 2026 Investment Grade Bond Fund           | ON                    |                  |          |
| TD Target 2026 U.S. Investment Grade Bond ETF       | ON                    |                  |          |
| TD Target 2026 U.S. Investment Grade Bond Fund      | ON                    |                  |          |
| TD Target 2027 Investment Grade Bond ETF            | ON                    |                  |          |
| TD Target 2027 Investment Grade Bond Fund           | ON                    |                  |          |
| TD Target 2027 U.S. Investment Grade Bond ETF       | ON                    |                  |          |
| TD Target 2027 U.S. Investment Grade Bond Fund      | ON                    |                  |          |
| TD Target 2028 Investment Grade Bond ETF            | ON                    |                  |          |
| TD Target 2029 Investment Grade Bond ETF            | ON                    |                  |          |
| TD Target 2030 Investment Grade Bond ETF            | ON                    |                  |          |
| TD U.S. Capital Reinvestment Fund                   | ON                    |                  |          |
| TD U.S. Cash Management ETF                         | ON                    |                  |          |
| TD U.S. Corporate Bond Fund                         | ON                    |                  |          |



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| Name / Dénomination                          | Principal/ Principale | Default / Défaut                          | CTO/ IOV |
|----------------------------------------------|-----------------------|-------------------------------------------|----------|
| TD U.S. Disciplined Equity Alpha Fund™       | ON                    |                                           |          |
| TD U.S. Dividend Growth Fund                 | ON                    |                                           |          |
| TD U.S. Equity CAD Hedged Index ETF          | ON                    |                                           |          |
| TD U.S. Equity Focused Currency Neutral Fund | ON                    |                                           |          |
| TD U.S. Equity Focused Fund                  | ON                    |                                           |          |
| TD U.S. Equity Index ETF                     | ON                    |                                           |          |
| TD U.S. Equity Pool                          | ON                    |                                           |          |
| TD U.S. Index Currency Neutral Fund          | ON                    |                                           |          |
| TD U.S. Index Fund                           | ON                    |                                           |          |
| TD U.S. Large-Cap Value Class                | ON                    |                                           |          |
| TD U.S. Large-Cap Value Fund                 | ON                    |                                           |          |
| TD U.S. Long Term Treasury Bond ETF          | ON                    |                                           |          |
| TD U.S. Long Term Treasury Bond Fund         | ON                    |                                           |          |
| TD U.S. Low Volatility Fund                  | ON                    |                                           |          |
| TD U.S. Mid-Cap Growth Class                 | ON                    |                                           |          |
| TD U.S. Mid-Cap Growth Currency Neutral Fund | ON                    |                                           |          |
| TD U.S. Mid-Cap Growth Fund                  | ON                    |                                           |          |
| TD U.S. Money Market Fund                    | ON                    |                                           |          |
| TD U.S. Monthly Income Fund                  | ON                    |                                           |          |
| TD U.S. Monthly Income Fund - C\$            | ON                    |                                           |          |
| TD U.S. Shareholder Yield Fund               | ON                    |                                           |          |
| TD U.S. Small-Cap Equity Fund                | ON                    |                                           |          |
| TD Ultra Short Term Bond Fund                | ON                    |                                           |          |
| TD US\$ Retirement Portfolio                 | ON                    |                                           |          |
| TDb Split Corp.                              | ON                    |                                           |          |
| <b>TearLab Corporation</b>                   | <b>ON</b>             | <b>1(a), 1(b), 1(c), 1(e)</b>             |          |
| Tech Innovators Yield Shares Purpose ETF     | ON                    |                                           |          |
| Teck Resources Limited                       | BC                    |                                           |          |
| Tecsys Inc.                                  | QC                    |                                           |          |
| Telesat Corporation                          | ON                    |                                           |          |
| Telesat Partnership LP                       | ON                    |                                           |          |
| <b>Telescene Film Group Inc.</b>             | <b>QC</b>             | <b>1(a), 1(b), 1(c), 1(d), 1(e), 1(g)</b> |          |
| TELUS Communications Inc.                    | BC                    |                                           |          |
| TELUS Corporation                            | BC                    |                                           |          |
| TELUS International (Cda) Inc.               | BC                    |                                           |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                       | Principal/ Principale | Default / Défaut                   | CTO/ IOV |
|-----------------------------------------------------------|-----------------------|------------------------------------|----------|
| Templeton Emerging Markets Fund                           | ON                    |                                    |          |
| Templeton Global Bond Fund                                | ON                    |                                    |          |
| Templeton Growth Fund                                     | ON                    |                                    |          |
| TERAGO Inc.                                               | ON                    |                                    |          |
| Terra Energy Corp.                                        | AB                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| TerrAscend Corp.                                          | ON                    |                                    |          |
| TerraVest Industries Inc.                                 | AB                    |                                    |          |
| Tesla (TSLA) Yield Shares Purpose ETF                     | ON                    |                                    |          |
| Tethys Petroleum Limited                                  | AB                    |                                    |          |
| Tetra Bio-Pharma Inc.                                     | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| TFI International Inc.                                    | QC                    |                                    |          |
| The Bitcoin Fund                                          | ON                    |                                    |          |
| The Canada Life Assurance Company                         | MB                    |                                    |          |
| The Cannabist Company Holdings Inc.                       | ON                    |                                    |          |
| The Children's Educational Foundation of Canada           | ON                    |                                    |          |
| The Descartes Systems Group Inc.                          | ON                    |                                    |          |
| The Empire Life Insurance Company                         | ON                    |                                    |          |
| The Ether Fund                                            | ON                    |                                    |          |
| The Flowr Corporation (formerly The Needle Capital Corp.) | ON                    | 1(a), 1(b), 1(c), 1(e)             |          |
| The Keg Royalties Income Fund                             | BC                    |                                    |          |
| The Lion Electric Company                                 | QC                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| The Loewen Group Inc                                      | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e), 1(g) |          |
| The Medipattern Corporation                               | ON                    | 1(a), 1(b), 1(c), 1(e)             |          |
| The North West Company Inc.                               | MB                    |                                    |          |
| The Planting Hope Company Inc.                            | BC                    | 1(a), 1(b), 1(c), 1(e)             |          |
| The Real Brokerage Inc.                                   | ON                    |                                    |          |
| The Supreme Cannabis Company, Inc.                        | ON                    |                                    |          |
| The Toronto-Dominion Bank                                 | ON                    |                                    |          |
| The Very Good Food Company Inc.                           | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e)       |          |
| The Westaim Corporation                                   | ON                    |                                    |          |
| The Western Investment Company of Canada Limited          | AB                    |                                    |          |
| Theralase Technologies Inc.                               | ON                    |                                    |          |
| Theratechnologies Inc.                                    | QC                    |                                    |          |
| Thinkific Labs Inc.                                       | BC                    |                                    |          |
| Thomson Reuters Corporation                               | ON                    |                                    |          |

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| Name / Dénomination                                  | Principal/ Principale | Default / Défaut       | CTO/ IOV |
|------------------------------------------------------|-----------------------|------------------------|----------|
| Three Valley Copper Corp.                            | ON                    |                        |          |
| Threegold Resources Inc.                             | QC                    | 1(a), 1(b), 1(c), 1(e) |          |
| Thunder River Enterprises Inc.                       | ON                    |                        |          |
| Tidewater Midstream and Infrastructure Ltd.          | AB                    |                        |          |
| Tidewater Renewables Ltd.                            | AB                    |                        |          |
| Tier One Silver Inc.                                 | BC                    |                        |          |
| Tilray Brands, Inc.                                  | BC                    |                        |          |
| Timbercreek Financial Corp.                          | ON                    |                        |          |
| Times Three Wireless Inc.                            | AB                    | 1(a), 1(b), 1(c), 1(e) |          |
| Tincorp Metals Inc.                                  | BC                    |                        |          |
| Tinka Resources Limited                              | BC                    |                        |          |
| Tiny Ltd.                                            | BC                    |                        |          |
| Titan Mining Corporation                             | BC                    |                        |          |
| Titanium Canadian Equity Private Pool                | QC                    |                        |          |
| Titanium Canadian Fixed Income Private Pool          | QC                    |                        |          |
| Titanium Global Fixed Income Private Pool            | QC                    |                        |          |
| Titanium Global Strategic Opportunities Private Pool | QC                    |                        |          |
| Titanium International Equity Private Pool           | QC                    |                        |          |
| Titanium Transportation Group Inc.                   | ON                    |                        |          |
| Titanium U.S. Equity Private Pool                    | QC                    |                        |          |
| TLC Vision Corporation                               | ON                    | 1(a), 1(b), 1(c), 1(e) |          |
| TMX Group Limited                                    | ON                    |                        |          |
| Toggle3D.ai Inc.                                     | ON                    |                        |          |
| Topaz Energy Corp.                                   | AB                    |                        |          |
| Topicus.com Inc.                                     | ON                    |                        |          |
| Torex Gold Resources Inc.                            | ON                    |                        |          |
| Toromont Industries Ltd.                             | ON                    |                        |          |
| Toronto Hydro Corporation                            | ON                    |                        |          |
| Torq Resources Inc.                                  | BC                    |                        |          |
| Total Energy Services Inc.                           | AB                    |                        |          |
| Touchstone Exploration Inc.                          | AB                    |                        |          |
| Tourmaline Oil Corp.                                 | AB                    |                        |          |
| TR Finance LLC                                       | ON                    |                        |          |
| Tradex Bond Fund                                     | ON                    |                        |          |
| Tradex Equity Fund Limited                           | ON                    |                        |          |

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| Name / Dénomination                                       | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|-----------------------------------------------------------|-----------------------|------------------------------|----------|
| Tradex Global Equity Fund                                 | ON                    |                              |          |
| Tralucet Global Alt (Long/Short) Equity Fund              | ON                    |                              |          |
| TransAlta Corporation                                     | AB                    |                              |          |
| Transat A.T. Inc.                                         | QC                    |                              |          |
| TransCanada PipeLines Limited                             | AB                    |                              |          |
| TransCanada Trust                                         | AB                    |                              |          |
| Transcontinental Inc.                                     | QC                    |                              |          |
| Treatment.com AI Inc.                                     | BC                    |                              |          |
| Tree Island Steel Ltd.                                    | BC                    |                              |          |
| Trevali Mining Corporation                                | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Tribe Property Technologies Inc.                          | BC                    |                              |          |
| Trican Well Service Ltd.                                  | AB                    |                              |          |
| Trillion Energy International Inc.                        | BC                    |                              |          |
| Trilogy Metals Inc.                                       | BC                    |                              |          |
| Triple Flag Precious Metals Corp.                         | ON                    |                              |          |
| TriSummit Utilities Inc.                                  | AB                    |                              |          |
| Trisura Group Ltd.                                        | ON                    |                              |          |
| Troilus Gold Corp.                                        | QC                    |                              |          |
| TRU Precious Metals Corp.                                 | NB (NB-PR)            |                              |          |
| TRU.X Exogenous Risk Pool                                 | ON                    |                              |          |
| True North Commercial Real Estate Investment Trust        | ON                    |                              |          |
| Trulieve Cannabis Corp.                                   | ON                    |                              |          |
| TRX Gold Corporation                                      | ON                    |                              |          |
| Tucows Inc.                                               | ON                    |                              |          |
| Tudor Gold Corp.                                          | BC                    |                              |          |
| Tuktu Resources Ltd. - formerly Jasper Mining Corporation | AB                    |                              |          |
| TURNKEY E&P INC.                                          | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| TVA Group Inc.                                            | QC                    |                              |          |
| TWC Enterprises Limited                                   | ON                    |                              |          |
| Twin Butte Energy Ltd.                                    | AB                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| U.S. All Cap Equity Index Fund                            | ON                    |                              |          |
| U.S. Gold Corp.                                           | ON                    |                              |          |
| U.S. GoldMining Inc.                                      | BC                    |                              |          |
| U.S. High Yield Bond Fund                                 | ON                    |                              |          |
| U.S. Large Cap Index Fund                                 | ON                    |                              |          |

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| Name / Dénomination                               | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|---------------------------------------------------|-----------------------|------------------------------|----------|
| U.S. Large Company Equity Fund                    | ON                    |                              |          |
| U.S. Small Company Equity Fund                    | ON                    |                              |          |
| Ucore Rare Metals Inc.                            | NS                    |                              |          |
| United Lithium Corp                               | BC                    |                              |          |
| UnitedHealth Group (UNH) Yield Shares Purpose ETF | ON                    |                              |          |
| UNIVERSITAS Plan                                  | QC                    |                              |          |
| Uranium Energy Corp.                              | BC                    |                              |          |
| Uranium Royalty Corp.                             | BC                    |                              |          |
| Urbana Corporation                                | ON                    |                              |          |
| Ur-Energy Inc.                                    | ON                    |                              |          |
| UrtheCast Corp.                                   | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| US Equity Alpha Corporate Class                   | ON                    |                              |          |
| US Equity Alpha Pool                              | ON                    |                              |          |
| US Equity Growth Corporate Class                  | ON                    |                              |          |
| US Equity Growth Pool                             | ON                    |                              |          |
| US Equity Managed Class                           | ON                    |                              |          |
| US Equity Managed Pool                            | ON                    |                              |          |
| US Equity Small Cap Corporate Class               | ON                    |                              |          |
| US Equity Small Cap Pool                          | ON                    |                              |          |
| US Equity Value Corporate Class                   | ON                    |                              |          |
| US Equity Value Currency Hedged Corporate Class   | ON                    |                              |          |
| US Equity Value Currency Hedged Pool              | ON                    |                              |          |
| US Equity Value Pool                              | ON                    |                              |          |
| US Financial 15 Split Corp.                       | ON                    |                              |          |
| US High Interest Savings Account Fund             | ON                    |                              |          |
| US Premium Cash Management Fund                   | ON                    |                              |          |
| VAALCO Energy, Inc.                               | AB                    |                              |          |
| Vail Resorts, Inc.                                | BC                    |                              |          |
| Valeura Energy Inc.                               | AB                    |                              |          |
| Vanguard All-Equity ETF Portfolio                 | ON                    |                              |          |
| Vanguard All-Equity ETF Portfolio Fund            | ON                    |                              |          |
| Vanguard Balanced ETF Portfolio                   | ON                    |                              |          |
| Vanguard Balanced ETF Portfolio Fund              | ON                    |                              |          |
| Vanguard Canadian Aggregate Bond Index ETF        | ON                    |                              |          |
| Vanguard Canadian Corporate Bond Index ETF        | ON                    |                              |          |

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| Name / Dénomination                                                     | Principal/ Principale | Default / Défaut | CTO/ IOV |
|-------------------------------------------------------------------------|-----------------------|------------------|----------|
| Vanguard Canadian Government Bond Index ETF                             | ON                    |                  |          |
| Vanguard Canadian Long-Term Bond Index ETF                              | ON                    |                  |          |
| Vanguard Canadian Short-Term Bond Index ETF                             | ON                    |                  |          |
| Vanguard Canadian Short-Term Corporate Bond Index ETF                   | ON                    |                  |          |
| Vanguard Canadian Ultra-Short Government Bond Index ETF                 | ON                    |                  |          |
| Vanguard Conservative ETF Portfolio                                     | ON                    |                  |          |
| Vanguard Conservative ETF Portfolio Fund                                | ON                    |                  |          |
| Vanguard Conservative Income ETF Portfolio                              | ON                    |                  |          |
| Vanguard FTSE Canada All Cap Index ETF                                  | ON                    |                  |          |
| Vanguard FTSE Canada Index ETF                                          | ON                    |                  |          |
| Vanguard FTSE Canadian Capped REIT Index ETF                            | ON                    |                  |          |
| Vanguard FTSE Canadian High Dividend Yield Index ETF                    | ON                    |                  |          |
| Vanguard FTSE Developed All Cap ex North America Index ETF              | ON                    |                  |          |
| Vanguard FTSE Developed All Cap ex North America Index ETF (CAD-hedged) | ON                    |                  |          |
| Vanguard FTSE Developed All Cap ex U.S. Index ETF                       | ON                    |                  |          |
| Vanguard FTSE Developed All Cap ex U.S. Index ETF (CAD-hedged)          | ON                    |                  |          |
| Vanguard FTSE Developed Asia Pacific All Cap Index ETF                  | ON                    |                  |          |
| Vanguard FTSE Developed Europe All Cap Index ETF                        | ON                    |                  |          |
| Vanguard FTSE Developed ex North America High Dividend Yield Index ETF  | ON                    |                  |          |
| Vanguard FTSE Emerging Markets All Cap Index ETF                        | ON                    |                  |          |
| Vanguard FTSE Global All Cap ex Canada Index ETF                        | ON                    |                  |          |
| Vanguard Global Aggregate Bond Index ETF (CAD-hedged)                   | ON                    |                  |          |
| Vanguard Global Balanced Fund                                           | ON                    |                  |          |
| Vanguard Global Credit Bond Fund                                        | ON                    |                  |          |
| Vanguard Global Dividend Fund                                           | ON                    |                  |          |
| Vanguard Global Equity Fund                                             | ON                    |                  |          |
| Vanguard Global ex-U.S. Aggregate Bond Index ETF (CAD-hedged)           | ON                    |                  |          |
| Vanguard Global Minimum Volatility ETF                                  | ON                    |                  |          |
| Vanguard Global Momentum Factor ETF                                     | ON                    |                  |          |
| Vanguard Global Value Factor ETF                                        | ON                    |                  |          |
| Vanguard Growth ETF Portfolio                                           | ON                    |                  |          |
| Vanguard Growth ETF Portfolio Fund                                      | ON                    |                  |          |
| Vanguard International Growth Fund                                      | ON                    |                  |          |

## Reporting Issuer List / Liste des Émetteurs Assujettis

| Name / Dénomination                                        | Principal/ Principale | Default / Défaut             | CTO/ IOV |
|------------------------------------------------------------|-----------------------|------------------------------|----------|
| Vanguard Retirement Income ETF Portfolio                   | ON                    |                              |          |
| Vanguard S&P 500 Index ETF                                 | ON                    |                              |          |
| Vanguard S&P 500 Index ETF (CAD-hedged)                    | ON                    |                              |          |
| Vanguard U.S. Aggregate Bond Index ETF (CAD-hedged)        | ON                    |                              |          |
| Vanguard U.S. Dividend Appreciation Index ETF              | ON                    |                              |          |
| Vanguard U.S. Dividend Appreciation Index ETF (CAD-hedged) | ON                    |                              |          |
| Vanguard U.S. Total Market Index ETF                       | ON                    |                              |          |
| Vanguard U.S. Total Market Index ETF (CAD-hedged)          | ON                    |                              |          |
| Vanguard Windsor U.S. Value Fund                           | ON                    |                              |          |
| Vecima Networks Inc.                                       | BC                    |                              |          |
| Veji Holdings Ltd.                                         | BC                    |                              |          |
| Velan Inc.                                                 | QC                    |                              |          |
| Venator Alternative Income Fund                            | ON                    |                              |          |
| Venator Ascendant Alternative Fund                         | ON                    |                              |          |
| Verano Holdings Corp.                                      | AB                    |                              |          |
| Verde AgriTech Ltd.                                        | ON                    |                              |          |
| Veritas Absolute Return Fund                               | ON                    |                              |          |
| Veritas Canadian Equity Fund                               | ON                    |                              |          |
| Veritas Next Edge Premium Yield Fund                       | ON                    |                              |          |
| Vermilion Energy Inc.                                      | AB                    |                              |          |
| VersaBank                                                  | ON                    |                              |          |
| Verses AI Inc.                                             | BC                    |                              |          |
| VerticalScope Holdings Inc.                                | ON                    |                              |          |
| VEXT Science, Inc.                                         | BC                    |                              |          |
| Viavi Solutions Inc.                                       | BC                    |                              |          |
| Victoria Gold Corp.                                        | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Viewpoint Diversified Commodities Trust                    | AB                    |                              |          |
| Viewpoint Enhanced Global Multi-Asset Trust                | AB                    |                              |          |
| Viewpoint Global Multi-Asset Trust                         | AB                    |                              |          |
| Village Farms International, Inc.                          | BC                    |                              |          |
| Vintage Wine Estates, Inc.                                 | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| VIQ Solutions Inc.                                         | ON                    |                              |          |
| Virginia Hills Oil Corp.                                   | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Visa Inc.                                                  | ON                    |                              |          |
| Visible Gold Mines Inc.                                    | QC                    |                              |          |

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|---------------------------------------------------------------------|-----------------------|------------------------------|----------|
| Vision Alternative Income Fund                                      | ON                    |                              |          |
| Vista Gold Corp.                                                    | BC                    |                              |          |
| Vitalist Inc.                                                       | AB                    |                              |          |
| Vizsla Copper Corp.                                                 | BC                    |                              |          |
| VIZSLA ROYALTIES CORP.                                              | BC                    |                              |          |
| Vizsla Silver Corp.                                                 | BC                    |                              |          |
| VM Hotel Acquisition Corp.                                          | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Voice Mobility International, Inc.                                  | BC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Volatus Aerospace Corp.                                             | ON                    | 1(b), 1(c), 1(e)             |          |
| Volatus Aerospace Inc. (formerly, Drone Delivery Canada Corp.)      | ON                    |                              |          |
| Volt Lithium Corp.                                                  | AB                    |                              |          |
| VOTI Detection Inc. (formerly Steamsand Capital Corp.)              | QC                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Vox Royalty Corp.                                                   | ON                    |                              |          |
| Voxtur Analytics Corp. - formerly iLOOKABOUT Corp.                  | ON                    |                              |          |
| Voyager Digital Ltd.                                                | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Voyageur Mineral Explorers Corp.                                    | ON                    |                              |          |
| VPI Canadian Balanced Pool                                          | MB                    |                              |          |
| VPI Canadian Equity Pool                                            | MB                    |                              |          |
| VPI Corporate Bond Pool                                             | MB                    |                              |          |
| VPI Dividend Growth Pool                                            | MB                    |                              |          |
| VPI Global Equity Pool                                              | MB                    |                              |          |
| VPI High Interest Savings Pool                                      | MB                    |                              |          |
| VPI Income Pool                                                     | MB                    |                              |          |
| VPI Sustainability Leaders Pool                                     | MB                    |                              |          |
| VPI Total Equity Pool                                               | MB                    |                              |          |
| Vuzix Corporation                                                   | ON                    |                              |          |
| Wajax Corporation                                                   | ON                    |                              |          |
| Wallbridge Mining Company Limited                                   | ON                    |                              |          |
| Walter Energy, Inc.                                                 | BC                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Walton Edgemont Development Corporation                             | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Walton Ontario Land L.P. 1                                          | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Waratah Alternative Equity Income Fund                              | ON                    |                              |          |
| Waratah Core Fund                                                   | ON                    |                              |          |
| Waste Connections, Inc. (formerly Progressive Waste Solutions Ltd.) | ON                    |                              |          |
| WaveFront All-Weather Alternative Fund                              | ON                    |                              |          |



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|--------------------------------------------------------------------------------|-----------------------|------------------------------|----------|
| Wavefront Global Diversified Investment Fund                                   | ON                    |                              |          |
| WaveRider Communications Inc.                                                  | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Waverunner Capital Inc.                                                        | BC                    |                              |          |
| Way of Will Inc.                                                               | ON                    | 1(a), 1(b), 1(c), 1(d), 1(e) |          |
| Wayland Group Corp. (formerly, Maricann Group Inc.)                            | ON                    | 1(a), 1(b), 1(c), 1(e)       |          |
| Wealthsimple Developed Markets ex North America Socially Responsible Index ETF | ON                    |                              |          |
| Wealthsimple North America Socially Responsible Index ETF                      | ON                    |                              |          |
| Wealthsimple North American Green Bond Index ETF (CAD-Hedged)                  | ON                    |                              |          |
| Wealthsimple Shariah World Equity Index ETF                                    | ON                    |                              |          |
| WELL Health Technologies Corp.                                                 | BC                    |                              |          |
| Wellington - IG Global Equity Hedge Pool                                       | MB                    |                              |          |
| Wesdome Gold Mines Ltd.                                                        | ON                    |                              |          |
| West Fraser Timber Co. Ltd.                                                    | BC                    |                              |          |
| West Red Lake Gold Mines Ltd.                                                  | BC                    |                              |          |
| West Side Square Development Fund                                              | ON                    |                              |          |
| West Vault Mining Inc.                                                         | BC                    |                              |          |
| Westcoast Energy Inc.                                                          | AB                    |                              |          |
| Western Copper and Gold Corporation                                            | BC                    |                              |          |
| Western Energy Services Corp.                                                  | AB                    |                              |          |
| Western Forest Products Inc.                                                   | BC                    |                              |          |
| Westgate Energy Inc.                                                           | AB                    |                              |          |
| Westgold Resources Limited                                                     | ON                    |                              |          |
| Westhaven Gold Corp.                                                           | BC                    |                              |          |
| Westport Fuel Systems Inc.                                                     | BC                    |                              |          |
| Westshore Terminals Investment Corporation                                     | BC                    |                              |          |
| Wheaton Precious Metals Corp. formerly Silver Wheaton Corp.                    | BC                    |                              |          |
| White Gold Corp.                                                               | ON                    |                              |          |
| Whitecap Resources Inc.                                                        | AB                    |                              |          |
| WildBrain Ltd.                                                                 | ON                    |                              |          |
| Wildpack Beverage Inc.                                                         | BC                    |                              |          |
| Wishpond Technologies Ltd. (formerly, Antera Ventures I Corp.)                 | BC                    |                              |          |
| Wolfden Resources Corporation                                                  | ON                    |                              |          |
| Wolverine Energy and Infrastructure Inc.                                       | AB                    | 1(a), 1(b), 1(c), 1(e)       |          |
| WonderFi Technologies Inc.                                                     | BC                    |                              |          |

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|--------------------------------------------------------------------|-----------------------|------------------------|----------|
| Woodfine Professional Centres Limited Partnership                  | BC                    | 1(a), 1(b), 1(c), 1(e) |          |
| World Copper Ltd.                                                  | BC                    |                        |          |
| WSP Global Inc.                                                    | QC                    |                        |          |
| Xali Gold Corp.                                                    | BC                    |                        |          |
| Xtract One Technologies Inc.                                       | ON                    |                        |          |
| Yangarra Resources Ltd.                                            | AB                    |                        |          |
| Ynvisible Interactive Inc.                                         | BC                    |                        |          |
| Young Australian Mines Ltd. (formerly, Moly Mines Limited)         | ON                    | 1(a), 1(b), 1(c), 1(e) |          |
| YourWay Cannabis Brands Inc. (formerly Hollister Biosciences Inc.) | BC                    | 1(a), 1(b), 1(c), 1(e) |          |
| YTM Capital Fixed Income Alternative Fund                          | ON                    |                        |          |
| Zedcor Inc.                                                        | AB                    |                        |          |
| Zenith Capital Corp.                                               | AB                    |                        |          |
| Zentek Ltd. formerly, ZEN Graphene Solutions Ltd.                  | ON                    |                        |          |
| ZRCN Inc.                                                          | QC                    |                        |          |
| Zungui Haixi Corporation                                           | ON                    | 1(a), 1(b), 1(c), 1(e) |          |
| Zymeworks Inc.                                                     | BC                    |                        |          |